

Asynchronous Algorithms in MapReduce

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Abstract—

Asynchronous algorithms have been demonstrated to improve scalability of a variety of applications in parallel environments. Their distributed adaptations have received relatively less attention, particularly in the context of conventional execution environments and associated overheads. One such framework, MapReduce, has emerged as a commonly used programming framework for large-scale distributed environments. While the MapReduce programming model has proved to be effective for data-parallel applications, significant questions relating to its performance and application scope remain unresolved. The strict synchronization between map and reduce phases limits expression of asynchrony and hence, does not readily support asynchronous algorithms.

This paper investigates the notion of partial synchronizations in iterative MapReduce applications to overcome global synchronization overheads. The proposed approach applies a locality-enhancing partition on the computation. Map tasks execute local computations with (relatively) frequent local synchronizations, with less frequent global synchronizations. This approach yields significant performance gains in distributed environments, even though their serial operation counts are higher. We demonstrate these performance gains on asynchronous algorithms for diverse applications, including *PageRank*, *Shortest Path*, and *K-Means*. We make the following specific contributions in the paper — (i) we motivate the need to extend MapReduce with constructs for asynchrony, (ii) we propose an API to facilitate partial synchronizations combined with eager scheduling and locality enhancing techniques, and (iii) demonstrate performance improvements from our proposed extensions through a variety of applications from different domains.

I. INTRODUCTION

Motivated by the large amounts of data generated by web-based applications, scientific experiments, business transactions, etc., and the need to analyze this data in effective, efficient, and scalable ways, there has been significant recent activity in developing suitable programming models, runtime systems, and development tools. The distributed nature of data sources, coupled with rapid advances in networking and storage technologies naturally motivate abstractions for supporting large-scale distributed applications.

Asynchronous algorithms have been shown to enhance the scalability of a variety of algorithms in parallel environments. In particular, a number of unstructured graph problems have been shown to utilize asynchrony effectively to tradeoff serial operation counts with communication costs. The increased communication costs in distributed

settings further motivates the use of asynchronous algorithms. However, implementing asynchronous algorithms within traditional distributed computing frameworks presents challenges. These challenges, their solutions, and resulting performance gains form the focus of this paper.

To support large-scale distributed applications in unreliable wide-area environments, Dean and Ghemawat proposed a novel programming model based on `maps` and `reduces`, called MapReduce [4]. The inherent simplicity of this programming model, combined with underlying system support for scalable, fault-tolerant, distributed execution, make MapReduce an attractive platform for diverse data-intensive applications. Indeed, MapReduce has been used effectively in a wide variety of data processing applications. Large volumes of data processed at Google, Yahoo, Facebook, *etc.* stand testimony to the effectiveness and scalability of MapReduce. The open-source implementation of MapReduce, Hadoop MapReduce¹, serves as a development testbed for a wide variety of distributed data-processing applications.

A majority of the applications currently executing in the MapReduce framework have a data-parallel, uniform access profile, which makes them ideally suited to `map` and `reduce` abstractions. Recent research interest, however, has focused on more unstructured applications that do not lend themselves naturally to data-parallel formulations. Common examples of these include sparse unstructured graph operations (as encountered in diverse domains including social networks, financial transactions, and scientific datasets), discrete optimization and state-space search techniques (in business process optimization, planning), and discrete event modeling. For these applications, there are two major unresolved questions: (i) can the existing MapReduce framework effectively support such applications in a scalable manner? and (ii) what enhancements to the MapReduce framework would significantly enhance its performance and scalability without compromising desirable attributes of programmability and fault tolerance?

This paper primarily focuses on the second question — namely, it seeks to extend the MapReduce semantics to support specific classes of unstructured applications on large-scale distributed environments. Recognizing that one of the key bottlenecks in supporting such applications is the global synchronization between the `map` and `reduce`

¹Hadoop. <http://hadoop.apache.org/mapreduce/>

phases, it introduces notions of partial synchronization and eager scheduling. The underlying insight is that for an important class of applications, algorithms exist that do not need (frequent) global synchronization for correctness. Specifically, while global synchronizations optimize serial operation counts, violating these synchronizations merely increases operation counts without impacting correctness of the algorithm. Common examples of such algorithms include, computation of eigenvectors (pageranks) through (asynchronous) power methods, branch-and-bound based discrete optimization with lazy bound updates, computing all-pairs shortest paths in sparse graphs, constraint labeling and other heuristic state-space search algorithms. For such algorithms, a global synchronization can be replaced by concurrent partial synchronizations. However, these partial synchronizations must be augmented with suitable locality enhancing techniques to minimize their adverse effect on operation counts. These locality enhancing techniques typically take the form of min-cut graph partitioning and aggregation in graph analysis, periodic quality equalization in branch-and-bound, and other such operations that are well known in the parallel processing community. Replacing global synchronizations with partial synchronizations also allows us to schedule subsequent maps in an eager fashion. This has the important effect of smoothing load imbalances associated with typical applications.

This paper combines partial synchronizations, locality enhancement, and eager scheduling, along with algorithmic asynchrony to deliver distributed performance improvements of up to 800% (and beyond in some cases). Importantly, our proposed enhancements to programming semantics do not impact application programmability. We demonstrate all of our results on an Amazon EC2 8-node cluster, which involves real-world cloud latencies, in the context of *PageRank*, *Shortest Path*, and clustering (*K-Means*) implementations. These applications are selected because of their ubiquitous interaction patterns, and are representative of a broad set of application classes.

The rest of the paper is organized as follows: section II provides a more comprehensive background on MapReduce, Hadoop, and motivates the problem; section III outlines our primary contributions and their significance; section IV provides an API to realize partial synchronizations; section V discusses our implementations of the proposed API, *PageRank*, *Shortest Path* and *K-Means* clustering in the context of the API and analyze the performance gains of our approach. We outline avenues for ongoing work and conclusions in sections VIII and IX.

II. BACKGROUND AND MOTIVATION

The primary design motivation for the functional MapReduce abstractions is to allow programmers to express simple concurrent computations, while hiding the cumbersome details of parallelization, fault-tolerance, data distribution, and

load balancing in a single library [4]. The simplicity of the API makes programming easy. Programs in MapReduce are expressed as `map` and `reduce` operations. The `map` phase takes in a list of key-value pairs and applies the programmer-specified `map` function independently on each pair in the list. The `reduce` phase operates on a list, indexed by a key, of all corresponding values and applies the `reduce` function on the values; and outputs a list of key-value pairs. Each phase involves distributed execution of tasks (application of the user-defined functions on a part of the data). The `reduce` phase must wait for all the `map` tasks to complete, since it requires all the values corresponding to each key. In order to reduce the network overhead, a `combiner` is often used to aggregate over keys from `map` tasks executing on the same node. Fault tolerance is achieved through deterministic-replay, i.e., re-scheduling failed computations on another running node. Most applications require iterations of MapReduce jobs. Once the `reduce` phase terminates, the next set of `map` tasks can be scheduled. As may be expected, for many applications, the dominant overhead in the program is associated with the global synchronizations between the `map` and `reduce` phases. When executed in wide-area distributed environments, these synchronizations often incur substantial latencies associated with underlying network and storage infrastructure.

To alleviate the overhead of global synchronization, we propose partial synchronizations (synchronization only across a subset of maps) that take significantly less time depending on where the maps execute. We observe that in many parallel algorithms, frequent partial synchronizations can be used to reduce the number of global synchronizations. The resulting algorithm(s) may be suboptimal in serial operation counts, but can be more efficient and scalable in a MapReduce framework. A particularly relevant class of algorithms where such tradeoffs are possible are iterative techniques applied to unstructured problems (where the underlying data access patterns are unstructured). This broad class of algorithms underlies applications ranging from *PageRank* to sparse solvers in scientific computing applications, and clustering algorithms. Our proposed API incorporates a two-level scheme to realize partial synchronization in MapReduce, described in detail in section IV.

We illustrate the concept using a simple example — consider *PageRank* computations over a network, where the rank of a node is determined by the rank of its neighbors. In the traditional MapReduce formulation, during each iteration, `map` involves each node pushing its *PageRank* to all its outlinks and `reduce` accumulates all neighbors' contributions to compute *PageRank* for the corresponding node. These iterations continue until the *PageRanks* converge. An alternate formulation would partition the graph; each `map` task now corresponds to the local *PageRank* computation of all nodes within the sub-graph (partition). For each of the internal nodes (nodes that have no edges leaving the

partition), a partial reduction accurately computes the rank (assuming the neighbors’ ranks were accurate to begin with). On the other hand, boundary nodes (nodes that have edges leading to other partitions) require a global reduction to account for remote neighbors. It follows therefore that if the ranks of the boundary nodes were accurate, ranks of internal nodes can be computed simply through local iterations. Thus follows a two-level scheme, wherein partitions (`maps`) iterate on local data to convergence and then perform a global reduction. It is easy to see that this two-level scheme increases the serial operation count. Moreover, it increases the total number of synchronizations (partial + global) compared to the traditional formulation. However, and perhaps most importantly, it reduces the number of global reductions. Since this is the major overhead, the program has significantly better performance and scalability.

Indeed optimizations such as these have been explored in the context of traditional HPC platforms as well with some success. However, the difference in overhead between a partial and global synchronization in relation to the intervening useful computation is not as large for HPC platforms. Consequently, the performance improvement from algorithmic asynchrony is significantly amplified on distributed platforms. It also follows thereby that performance improvements from MapReduce deployments on wide-area platforms, as compared to single processor executions are not expected to be significant unless the problem is scaled significantly to amortize overheads. However, MapReduce formulations are motivated primarily by the distributed nature of underlying data and sources, as opposed to the need for parallel speedup. For this reason, performance comparisons must be with respect to traditional MapReduce formulations, as opposed to speedup and efficiency measures more often used in the parallel programming community. While our development efforts and validation results are in the context of *PageRank*, *K-Means* and *Shortest Path* algorithms, concepts of partial reductions combined with locality enhancing techniques and eager `map` scheduling apply to broad classes of iterative asynchronous algorithms.

III. TECHNICAL CONTRIBUTIONS

This paper makes the following specific contributions —

- Motivates the use of MapReduce for implementing asynchronous algorithms in a distributed setting.
- Proposes partial synchronizations and an associated API to alleviate the overhead due to the expensive global synchronization between `map` and `reduce` phases. Global synchronizations limit asynchrony.
- Demonstrates the use of partial synchronization and eager scheduling in combination with coarse-grained, locality enhancing techniques.
- Evaluates the applicability and performance improvements due to the aforementioned techniques on a va-

riety of applications – *PageRank*, *Shortest Path*, and *K-Means*.

IV. PROPOSED API

In this section, we present our API for the proposed partial synchronization and discuss its effectiveness. Our API is built on the rigorous semantics for iterative MapReduce, we propose in the associated technical report [7]. As mentioned earlier, our API for iterative MapReduce comprises a two-level scheme — *local* and *global* MapReduce. We refer to the regular MapReduce with global synchronizations as global MapReduce, and MapReduce with local/partial synchronization as local MapReduce. A *global map* takes a partition as input, and involves invocation of *local map* and *local reduce* functions iteratively on the partition. The *local reduce* operation applies the specified reduction function to only those key-value pairs emanating from *local map* functions. Since partial synchronization suffices, *local map* operations corresponding to the next iteration can be eagerly scheduled. The *local map* and *local reduce* operations can use a thread-pool to extract further parallelism.

Often, the local and global `map/reduce` operations are functionally the same and differ only in the data they are applied on. Given a regular MapReduce implementation, it is fairly straight-forward to generate the *local map* and *local reduce* functions using the semantics explained in the technical report [7], thus not increasing the programming complexity. In the traditional MapReduce API, the user provides `map` and `reduce` functions along with the functions to split and format the input data. To generate the *local map* and *local reduce* functions, the user must provide functions for termination of global and local MapReduce iterations, and functions to convert data into the formats required by the *local map* and *local reduce* functions.

However, to accommodate greater flexibility, we propose use of four functions — `gmap`, `greduce`, `lmap` and `lreduce`; `gmap` invoking `lmap` and `lreduce` functions, as described in section V. Functions *Emit()* and *EmitIntermediate()* support data-flow in traditional MapReduce. We introduce their local equivalents — *EmitLocal()* and *EmitLocalIntermediate()*. Function `lreduce` operates on the data emitted through *EmitLocalIntermediate()*. At the end of local iterations, the output through *EmitLocal()* is sent to the `greduce`; otherwise, `lmap` receives it as input. Section V describes our implementation of the API and our implementations of *PageRank*, *Shortest Path*, and *K-Means* using the proposed API; demonstrating its ease of use and effectiveness in improving the performance of applications using asynchronous algorithms.

V. IMPLEMENTATION AND EVALUATION

In this section, we describe our implementation of the API and the performance benefits from the proposed techniques of locality-enhanced partitioning, partial synchronization,

Table I
MEASUREMENT TESTBED, SOFTWARE

Amazon EC2	8 64 bit EC2 Compute Units
8 Large Instances	15 GB RAM, 4 x 420 GB storage
Software	Hadoop 0.20.1, Java 1.6
Heap space	4 GB per slave

and eager scheduling. We consider three applications — *PageRank*, *Shortest Path*, and *K-Means* to compare general MapReduce implementations with their modified implementations.

Our experiments were run on an 8-node Amazon EC2 cluster of extra large instances. This reflects the characteristics of a typical cloud environment. Also, it allows us to monitor the utilization and execution of `map` and `reduce` tasks. Table I presents the physical resources, software, and restrictions on the cluster.

A. API Implementation

As in regular MapReduce, our execution also involves `map` and `reduce` phases; each phase executing tasks on nodes. Each `map/reduce` task involves the application of `gmap/greduce` functions to corresponding data. Within the `gmap` function we execute *local MapReduce* iterations.

```

gmap(xs : X list) {
  while(no-local-convergence-intimated) {
    for each element x in xs {
      lmap(x); // emits lkey, lval
    }

    lreduce(); // operates on the output of lmap functions
  }

  for each value in lreduce-output{
    EmitIntermediate(key, value);
  }
}

```

Figure 1. Construction of `gmap` from `lmap` and `lreduce`

Figure 1 describes our construction of `gmap` from the user-defined functions — `lmap` and `lreduce`. The argument to `gmap` is a `<key, value>` list(`xs`), on which the *local* MapReduce operates. `lmap` takes an element of `xs` as input, and emits its output by invoking `EmitLocalIntermediate()`. Once all the `lmap` functions execute, `lreduce` operates on the local intermediate data. A hashtable is used to store the intermediate and final results of the *local* MapReduce. Upon local convergence, `gmap` outputs the contents of this hashtable. `greduce` acts on `gmap`'s output. Such an implementation allows the use of other optimizations like combiners in conjunction. A combiner, as described in the original MapReduce paper [4], operates on the output of all `gmap` tasks on a single node to decrease the network traffic during the synchronization.

The rest of the section describes benchmark applications, their regular and eager (partial synchronization with eager scheduling) implementations, and corresponding performance gains. We discuss *PageRank* in detail to illustrate our approach; *Shortest Path* and *K-Means* are discussed briefly in the interest of space.

B. PageRank

The *PageRank* of a node is the scaled sum of the *PageRanks* of all its incoming neighbors, given by the following expression:

$$PR_d = (1 - \chi) + \chi * \sum_{(s,d) \in E} s.pagerank/s.outlinks \quad (1)$$

where χ is the damping factor, $s.pagerank$ and $s.outlinks$ correspond to the *PageRank* and the out-degree of the source node, respectively.

The asynchronous *PageRank* algorithm involves an iterative two step method. In the first step, the *PageRank* of each node is sent to all its outlinks. In the second step, the *PageRanks* received at each node are aggregated to compute the new *PageRank*. The *PageRanks* change in each iteration, and eventually converge to the final *PageRanks*. For regular as well as eager implementations, we use a graph represented as adjacency lists as input. All nodes have an initial *PageRank* of 1. We define convergence by a bound on the norm of difference (infinite norm of 10^{-5} in our case).

1) *General PageRank*: The general MapReduce implementation of *PageRank* iterates over a `map` task that emits the *PageRanks* of all the source nodes to the corresponding destinations in the graph, and a `reduce` task that accumulates *PageRank* contributions from various sources to a single destination. In the actual implementation, the `map` function emits tuples of the type `< dn, pn >`, where d_n is the destination-node, and p_n is the *PageRank* contributed to this destination node by the source. The `reduce` task operates on a destination node, gathering the *PageRanks* from the incoming source nodes and computes a new *PageRank*. After every iteration, the nodes have renewed *PageRanks* which propagate through the graph in subsequent iterations until they converge. One can observe that a small change in the *PageRank* of a single node is broadcast to all the nodes in the graph in successive iterations of MapReduce, incurring a potentially significant cost.

Our baseline for performance comparison is a MapReduce implementation for which `maps` operate on complete partitions, as opposed to single node adjacency lists. We use this as a baseline because the performance of this formulation was noted to be on par or better than the adjacency-list formulation. For this reason, our baseline provides a more competitive implementation.

2) *Eager PageRank*: We begin our description of *Eager PageRank* with an intuitive illustration of how the underlying algorithm accommodates asynchrony. In a graph with specific structure (say, a power-law type distribution), one may assume that each hub is surrounded by a large number of spokes, and that inter-component edges are relatively fewer. This allows us to relax strict synchronization on inter-component edges until the sub-graph in the proximity of a hub has relatively self-consistent *PageRanks*. Disregarding the inter-component edges does not lead to algorithmic inconsistency since, after few local iterations of MapReduce calculating the *PageRanks* in the sub-graph, there is a global synchronization (following a *global map*), leading to a dissemination of the *PageRanks* in this sub-graph to other sub-graphs via inter-component edges. This propagation imposes consistency on the global state. Consequently, we update only the (neighboring) nodes in the smaller sub-graph. We achieve this by a set of iterations of *local* MapReduce as described in the API implementation. This method leads to improved efficiency if each *global map* operates on a component or a group of topologically localized nodes. Such topology is inherent in the way we collect data as it is crawler-induced. One can also use one-time graph partitioning using tools like Metis². We use Metis since our test data set is not partitioned a-priori.

In the *Eager PageRank* implementation, the `map` task operates on a sub-graph. *Local* MapReduce, within the *global map*, computes the *PageRank* of the constituent nodes in the sub-graph. Hence, we run the *local* MapReduce to convergence. Instead of waiting for all the other *global map* tasks operating on different sub-graphs, we eagerly schedule the next *local map* and *local reduce* iterations on the individual sub-graph inside a single *global map* task. Upon local convergence on the sub-graphs, we synchronize globally, so that all nodes can propagate their computed *PageRanks* to other sub-graphs. This iteration over *global* MapReduce runs to convergence. Such an *Eager PageRank* incurs more computational cost, since local reductions may proceed with imprecise values of global *PageRanks*. However, the *PageRank* of any node propagated by the *local reduce* is representative, in a way, of the sub-graph it belongs to. Thus, one may observe that the *local reduce* and *global reduce* functions are functionally identical. As the sub-graphs (partitions) have approximately the same number of edges, we expect similar number of local iterations in each *global map*. However, if the convergence rates are very different, the global synchronization requires waiting for all partitions to converge.

Note that in *Eager PageRank*, *local reduce* waits on a *local* synchronization barrier, while the *local maps* can be implemented using a thread pool on a single host in a cluster. The local synchronization does not incur any inter-host

Table II
PageRank INPUT GRAPH PROPERTIES

Input graphs	Graph A	Graph B
Nodes	280,000	100,000
Edges	3 million	3 million
Damping factor	0.85	0.85

communication delays. This makes associated overheads considerably lower than the *global* overheads.

3) *Input data*: Table II describes the two graphs used as input for our experiments on *PageRank*, both conforming to power-law distributions. Graph A has 280K nodes and about 3 million edges. Graph B has 100K nodes and about 3 million edges. We use preferential attachment [3] to generate the graphs using `igraph`³. The algorithm used to create the synthetic graphs is described below, along with its justification.

Preferential attachment based graph generation.

Test graphs are generated by adding vertices one at a time — connecting them to *numConn* vertices already in the network, chosen uniformly at random. For each of these *numConn* vertices, *numIn* and *numOut* of its inlinks and outlinks are chosen uniformly at random and connected to the joining vertex. This is done for all the newly connected nodes to the incoming vertex. This method of creating a graph is closely related to the evolution of online communities, social networks, the web, *etc.* This procedure increases the probability of highly reputed nodes getting linked to new nodes, since they have greater likelihood of being in an inlink from other randomly chosen sites. The best-fit for inlinks in the two input graphs yields the power-law exponent for the graphs, demonstrating their conformity with the hubs-and-spokes model. Very few nodes have a very high inlink values, emphasizing our point that very few nodes require frequent global synchronization. More often than not, even these nodes (hubs) mostly have spokes as their neighbors.

Crawlers inherently induce locality in the graphs as they crawl neighborhoods before crawling remote sites. We partition graphs using Metis. A good partitioning algorithm that minimizes edge-cuts has the desired effect of reducing global synchronizations as well. This partitioning is performed off-line (only once) and takes about 5 seconds which is negligible compared to the runtime of *PageRank*, and hence is not included in the reported numbers.

4) *Results*: To demonstrate the dependence of performance on global synchronizations, we vary the number of iterations of the algorithm by altering the number of partitions the graph is split into. Fewer partitions result in a smaller number of large sub-graphs. Each `map` task does more work and would normally result in fewer global

²METIS. <http://glaros.dtc.umn.edu/gkhome/views/metis/>

³The Igraph Library. <http://igraph.sourceforge.net/>

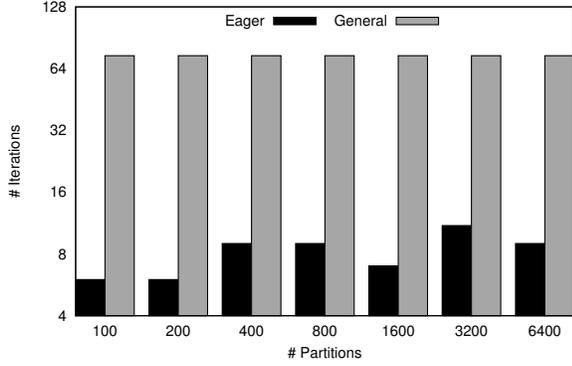


Figure 2. *PageRank*: Number of Iterations to converge(on y-axis) for different number of Partitions(on x-axis) for Graph A

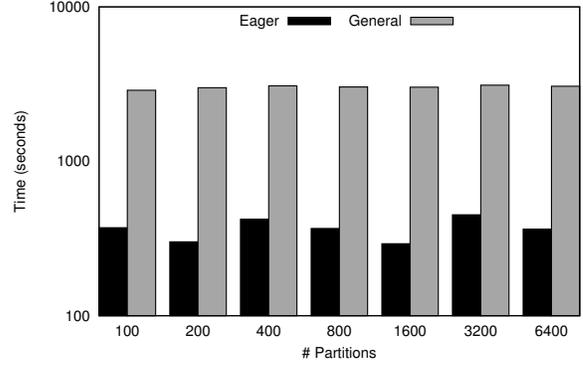


Figure 4. *PageRank*: Time to converge(on y-axis) for various number of Partitions(on x-axis) for Graph A

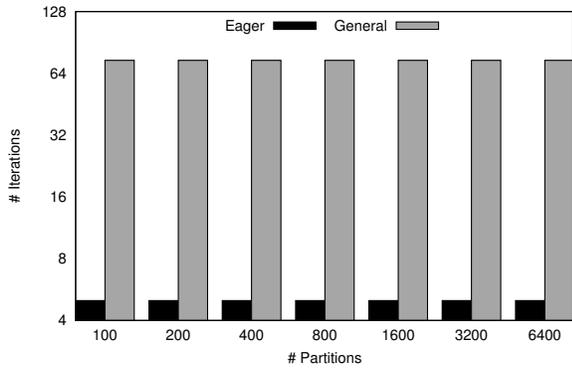


Figure 3. *PageRank*: Number of Iterations to converge(on y-axis) for different number of Partitions(on x-axis) for Graph B

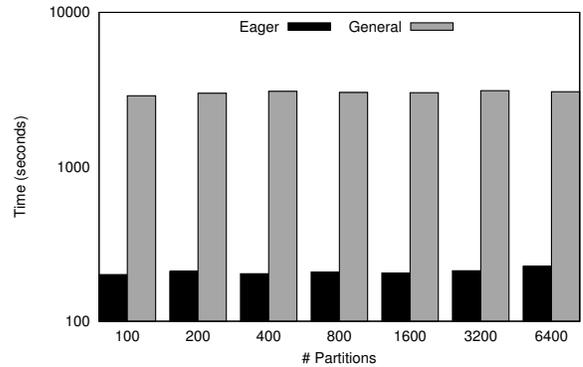


Figure 5. *PageRank*: Time to converge(on y-axis) for various number of Partitions(on x-axis) for Graph B

iterations in the relaxed case. The fundamental observation here is that it takes fewer iterations to converge for a graph having already converged sub-graphs. The trends are more pronounced when the graph follows the power-law distribution more closely. In either case, the total number of iterations are fewer than in the general case. For Eager *PageRank*, if the number of partitions is decreased to one, the entire graph is given to one *global map* and its local MapReduce would compute the final *PageRanks* of all the nodes. If the partition size is one, each partition gets a single adjacency list; Eager *PageRank* becomes General *PageRank*, because each *map* task operates on a single node.

Figures 2 and 3 show the number of global iterations taken by the eager and general implementations of *PageRank* on input graphs A and B that we use for input, as we vary the number of partitions. The number of iterations does not change in the general case, since each iteration performs the same work irrespective of the number of partitions and partition sizes.

The results for Eager *PageRank* are consistent with our expectation. The number of global iterations is low for

fewer partitions. However, it is not strictly monotonic since partitioning into different number of partitions results in varying number of inter-component edges.

The time to solution depends strongly on the number of iterations but is not completely determined by it. It is true that the global synchronization costs would decrease when we reduce the number of partitions significantly; however, the work to be done by each *map* task increases significantly. This increase potentially results in increased cost of computation, more so than the benefit of decreased communication. Hence, there exists an optimal number of partitions for which we observe best performance.

Figures 4 and 5 show the runtimes for the eager and general implementations of *PageRank* on graphs A and B with varying number of partitions. These figures highlight significant performance gains from the relaxed case over the general case for both graphs. On an average, we observe 8x improvement in running times.

C. Shortest Path

Shortest Path algorithms are used to compute the shortest paths and distances between nodes in directed graphs. The

graphs are often large and distributed (for example, networks of financial transactions, citation graphs) and require computation of results in reasonable (interactive) times. For our evaluation, we consider *Single Source Shortest Path* algorithm in which we find the shortest distances to every node in the graph from a single source. *All-Pairs Shortest Path* has a related structure, and a similar approach can be used.

Distributed implementation of the commonly used Dijkstra’s algorithm for *Single Source Shortest Path* allows asynchrony. The algorithm maintains the shortest known distance of each node in the graph from the source (initialized to zero for the source and infinity for the rest of the nodes). Shortest distances are updated for each node as and when a new path to the node is discovered. After a few iterations, all paths to all nodes in the graph are discovered, and hence the shortest distances converge. Distributed implementations of the algorithm allow partitioning of the graph into sub-graphs, and computing shortest distances of nodes using the paths within the sub-graph asynchronously. Once all the paths in the sub-graph are considered, a global synchronization is required to account for the edges across sub-graphs.

1) *Implementation:* In the general implementation of *Single Source Shortest Path* in MapReduce, each `map` operates on one node, say u (would take its adjacency list as input); and for every destination node v , emits the sum of the shortest distance to u and the weight of the edge (u, j) in consideration. This is the shortest distance to the destination node v on a known path through the node n . Each `reduce` function operates on one node (receives weights of paths through multiple nodes as input); finds the minimum of the different paths to find the shortest path until that iteration. Convergence takes a number of iterations — the shortest distances of nodes from the source would not change for subsequent iterations. Again for the base case (like in *PageRank*), we take a partition as input instead of a single node’s adjacency list, without any loss in performance.

In the eager implementation of *Single Source Shortest Path*, each `map` takes a sub-graph as input; and through iterations of *local map* and *local reduce* functions, computes the shortest distances of nodes in the sub-graph from the source through other nodes in the same sub-graph. A *global reduce* ensues upon convergence of all local MapReduce operations. Since most real-world graphs are heavy-tailed, edges across partitions are rare and hence we expect a decrease in the number of global iterations, with bulk of the work performed in the local iterations.

2) *Results:* We evaluate *Single Source Shortest Path* on graph A used in the evaluation of *PageRank*. We assign random weights to the edges in the graphs.

Figure 6 shows the number of global iterations (synchronizations) *Single Source Shortest Path* takes to converge for varying number of partitions in graph A. Clearly, the eager implementation requires fewer global iterations for

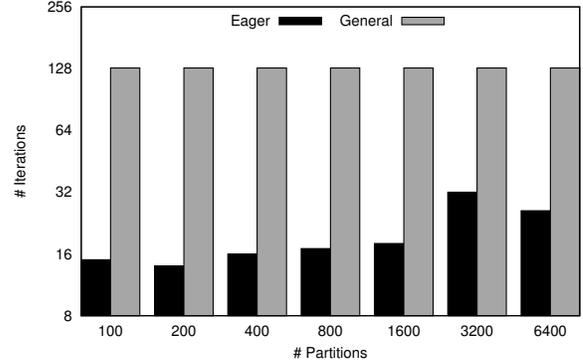


Figure 6. *Single Source Shortest Path*: Number of Iterations to converge(on y-axis) for different number of Partitions(on x-axis) for Graph A

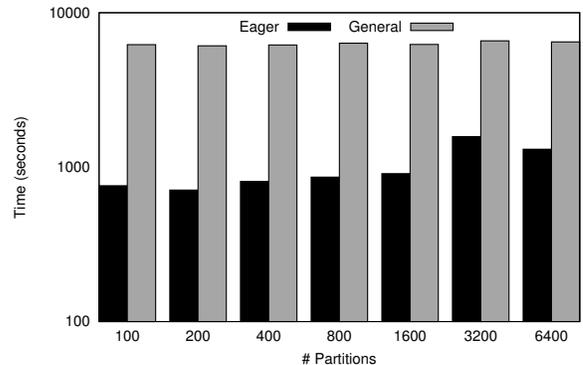


Figure 7. *Single Source Shortest Path*: Time to converge(on y-axis) for various number of Partitions(on x-axis) for Graph A

fewer partitions. Again, the iteration count is not strictly monotonic, due to differences in partitioning. The number of global iterations in the general implementation remains the same.

Figure 7 shows the convergence time for *Single Source Shortest Path* for varying number of partitions in graph A. As observed in *PageRank*, though the running time depends on the number of global iterations, it is not entirely determined by it. As in the previous case, we observe significant performance improvements amounting to 8x speedup over the general implementation.

D. K-Means

K-Means is a commonly-used technique for unsupervised clustering. Implementation of the algorithm in the MapReduce framework is straightforward as outlined in [10, 2]. Briefly, in the `map` phase, every point chooses its closest cluster centroid and in the `reduce` phase, every centroid is updated to be the mean of all the points that chose the particular centroid. The iterations of `map` and `reduce` phases continue until the centroid movement is below a

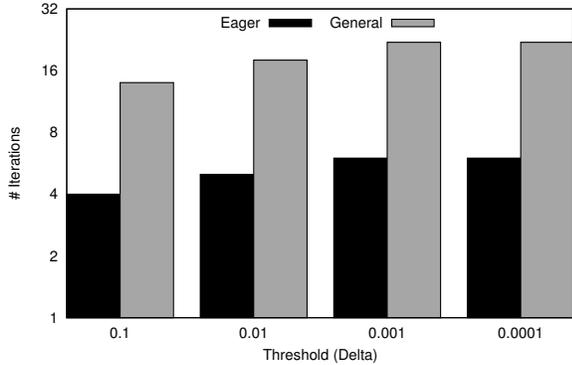


Figure 8. Iterations-to-Converge for Varying thresholds

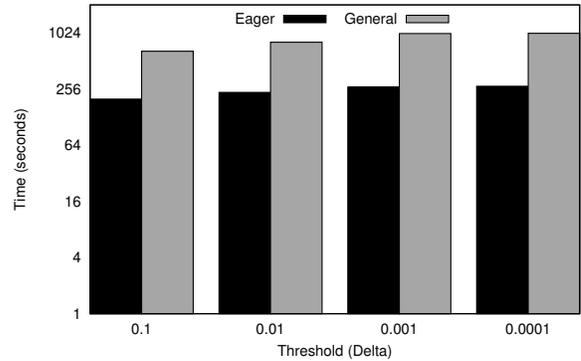


Figure 9. Time-to-Converge for Varying thresholds

given threshold. Euclidean distance metric is usually used to calculate the centroid movement.

In Eager *K-Means*, each *global map* handles a unique subset of the input points. The *local map* and *local reduce* iterations inside the *global map*, cluster the given subset of the points using the common input-cluster centroids. Once the local iterations converge, the *global map* emits the input-centroids and their associated updated-centroids. The *global reduce* calculates the final-centroids, which is the mean of all updated-centroids corresponding to a single input-centroid. The final-centroids form the input-centroids for the next iteration. These iterations continue until the input-centroids converge.

The algorithm used in the eager approach to *K-Means* is similar to the one recently proposed by Tom-Yov and Slonim [12] for pairwise clustering. An important observation from their results is that the input to the *global map* should not be the same subset of the input points in every iteration. Every few iterations, the input points need to be partitioned differently across *global maps* so as to avoid the algorithm’s move towards local optima. Also, the convergence condition includes detection of oscillations along with the Euclidean metric.

We use the *K-Means* implementation in the normal MapReduce framework from the Apache Mahout project⁴. Sampled US Census data of 1990 from the UCI Machine Learning repository⁵ is used as input for comparison between the general and eager approaches. The sample size is around 200K points each with 68 dimensions. For both General and Eager *K-Means*, initial centroids are chosen at random for the sake of generality. Algorithms such as canopy clustering can be used to identify initial centroids for faster execution and better quality of final clusters.

Figure 8 shows the number of iterations required to converge for different thresholds of convergence, with a

fixed number of partitions (52). It is evident that it takes more iterations to converge for smaller threshold values. However, Eager *K-Means* converges in less than one-third of the global iterations taken by general *K-Means*. Figure 9 shows the time taken to converge for different thresholds. As expected, the time to converge is proportional to the number of iterations. It takes longer to converge for smaller threshold values. Partial synchronizations lead to a performance improvement of about 3.5x on average compared to general *K-Means*.

E. Broader Applicability

While we present results for only three applications, our approach is applicable to a broad set of applications that admit asynchronous algorithms. These applications include — all-pairs shortest path, network flow and coding, neural-nets, linear and non-linear solvers, and constraint matching.

VI. DISCUSSION

We now discuss some important aspects of our results — primarily, (i) does our proposed approach generalize beyond small classes of applications? (ii) what impact does it have on the overall programmability? and (iii) how does it interact with other aspects, such as fault tolerance and scalability, of the underlying system?

Generality of Proposed Extensions. Our partial synchronization techniques can be generalized to broad classes of applications. *PageRank*, which relies on an asynchronous mat-vec, is representative of eigenvalue solvers (computing eigenvectors using the power method of repeated multiplications by a unitary matrix). Asynchronous mat-vecs form the core of iterative linear system solvers. *Shortest Path* represents a class of applications over sparse graphs that includes minimum spanning trees, transitive closure, and connected components. Graph alignment through random-walks and isoranks can be directly cast into our framework. A wide range of applications that rely on the spectra of a graph can be computed using this algorithmic template.

⁴Apache Mahout. <http://lucene.apache.org/mahout/>

⁵US Census Data, 1990. UCI Machine Learning Repository: <http://kdd.ics.uci.edu/databases/census1990/USCensus1990.html>

Our methods directly apply to neural-nets, network flow, and coding problems, *etc.* Asynchronous *K-Means* clustering immediately validates utility of our approach in various clustering and data-mining applications. The goal of this paper is to examine tradeoffs of serial operation counts and distributed performance. These tradeoffs manifest themselves in wide application classes.

Programming Complexity. While allowing partial synchronizations and relaxed global synchronizations requires slightly more programming effort than traditional MapReduce, we argue that the programming complexity is not substantial. This is manifested in the simplicity of the semantics in the technical report [7] and the API proposed in the paper. Our implementations of the benchmark problems did not require modifications of over tens of lines of MapReduce code.

Other Optimizations. Few optimizations have been proposed for MapReduce for specific cases. Partial synchronization techniques do not interfere with these optimizations. *eg.*, Combiners are used to aggregate intermediate data corresponding to one key on a node so as to reduce the network traffic. Though it might seem our approach might interfere with the use of combiners, combiners are applied to the output of *global map* operations, and hence *local reduce* (part of the *map*) has no bearing on it.

Fault-tolerance. While our approach relies on existing MapReduce mechanisms for fault-tolerance, in the event of failure(s), our recovery times may be slightly longer, since each *map* task is coarser and re-execution would take longer. However, all of our results are reported on a production cloud environment, with real-life transient failures. This leads us to believe that the overhead is not significant.

Scalability. In general, it is difficult to estimate the resources available to, and used by a program executing in the cloud. In order to get a quantitative understanding of our scalability, we ran a few experiments on the 460-node cluster (provided by the IBM-Google consortium as part of the CluE NSF program) using larger data sets. Such high node utilization incurs heavy network delays during copying and merging before the *reduce* phase, leading to increased synchronization overheads. By showing significant performance improvements on a huge data set even in a setting of such large scale, our approach demonstrates scalability.

VII. RELATED WORK

Several research efforts have targeted various aspects of asynchronous algorithms. These include novel asynchronous algorithms for different problems [9, 1], analysis of their convergence properties, and their execution on different platforms with associated performance gains. Recently, it has been shown that asynchronous algorithms for iterative numerical kernels significantly enhance performance on

multicore processors [8]. In shared-memory systems, apart from the reduced synchronization costs, reduction in the off-chip memory bandwidth pressure due to increased data locality is a major factor for performance gains. Though the execution of asynchronous iterative algorithms on distributed environments has been proposed, constructs for asynchrony, impact on performance, and interactions with the API have not been well investigated. In this paper, we demonstrate the use of asynchronous algorithms in a distributed environment, prone to faults. With intuitive changes to the programming model of MapReduce, we show that data locality along with asynchrony can be safely exploited. Furthermore, the cost of synchronization (due to heavy network overheads) is significantly higher in a distributed setting compared to tightly-coupled parallel computers, leading to higher gains in performance and scalability.

Over the past few years, the MapReduce programming model has gained attention primarily because of its simple programming model and the wide range of underlying hardware environments. There have been efforts exploring both the systems aspects as well as the application base for MapReduce. A number of efforts [6, 11, 14] target optimizations to the MapReduce runtime and scheduling systems. Proposals include dynamic resource allocation to fit job requirements and system capabilities to detect and eliminate bottlenecks within a job. Such improvements combined with our efficient application semantics, would significantly increase the scope and scalability of MapReduce applications. The simplicity of MapReduce programming model has also motivated its use in traditional shared memory systems [10].

A significant part of a typical Hadoop execution corresponds to the underlying communication and I/O. This happens even though the MapReduce runtime attempts to reduce communication by trying to instantiate a task at the node or the rack where the data is present. Afrati et al.⁶ study this important problem and propose alternate computational models for sorting applications to reduce communication between hosts in different racks. Our extended semantics deal with the same problem but, from an application's perspective, independent of the underlying hardware resources.

Recently, various forms of partial aggregations, similar to combiners in the MapReduce paper [4], have been shown to significantly reduce network overheads during global synchronization [13]. These efforts focus on different mathematical properties of aggregators (commutative and associative), which can be leveraged by the runtime to dynamically setup a pipelined tree-structured partial aggregation. These efforts do not address the problem of reducing the number of global synchronizations. In contrast, we focus on the algorithmic properties of the application to reduce the number of global synchronizations and its associated

⁶Foto N. Afrati and Jeffrey D. Ullman: A New Computation Model for Rack-based Computing. <http://infolab.stanford.edu/ullman/pub/mapred.pdf>

network overheads. By combining optimizations such as tree-structured partial aggregation, with capabilities of the proposed *local reduce* operations, we can reduce network overhead further.

VIII. FUTURE WORK

The myriad tradeoffs associated with diverse overheads on different platforms pose intriguing challenges. We identify some of these challenges as they relate to our proposed solutions:

Generality of semantic extensions. We have demonstrated the use of partial synchronization and eager scheduling in the context of few applications. While we have argued in favor of their broader applicability, these claims must be quantitatively established. Currently, partial synchronization is restricted to a `map` and the granularity is determined by the input to the `map`. Taking the configuration of the system into account, one may support a hierarchy of synchronizations. Furthermore, several task-parallel applications with complex interactions are not naturally suited to traditional MapReduce formulations. Do the proposed set of semantic extensions apply to such applications as well?

Optimal granularity for maps. As shown in our work, as well as the results of others, the performance of a MapReduce program is a sensitive function of `map` granularity. An automated technique, based on execution traces and sampling [5] can potentially deliver these performance increments without burdening the programmer with locality enhancing aggregations.

System-level enhancements. Often times, when executing iterative MapReduce programs, the output of one iteration is needed in the next iteration. Currently, the output from a reduction is written to the (distributed) file system (DFS) and must be accessed from the DFS by the next set of `maps`. This involves significant overhead. Using online data structures (for example, Bigtable) provides credible alternatives; however, issues of fault tolerance must be resolved.

IX. CONCLUSION

In this paper, we motivate MapReduce as a platform for distributed execution of asynchronous algorithms. We propose partial synchronization techniques to alleviate global synchronization overheads. We demonstrate that when combined with locality enhancing techniques and algorithmic asynchrony, these extensions are capable of yielding significant performance improvements. We demonstrate our results in the context of the problem of computing *PageRanks* on a web graph, find the *Shortest Path* to any node from a source, and *K-Means* clustering on US census data. Our results strongly motivate the use of partial synchronizations for broad application classes. Finally, these enhancements in performance do not adversely impact the programmability and fault-tolerance features of the underlying MapReduce framework.

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