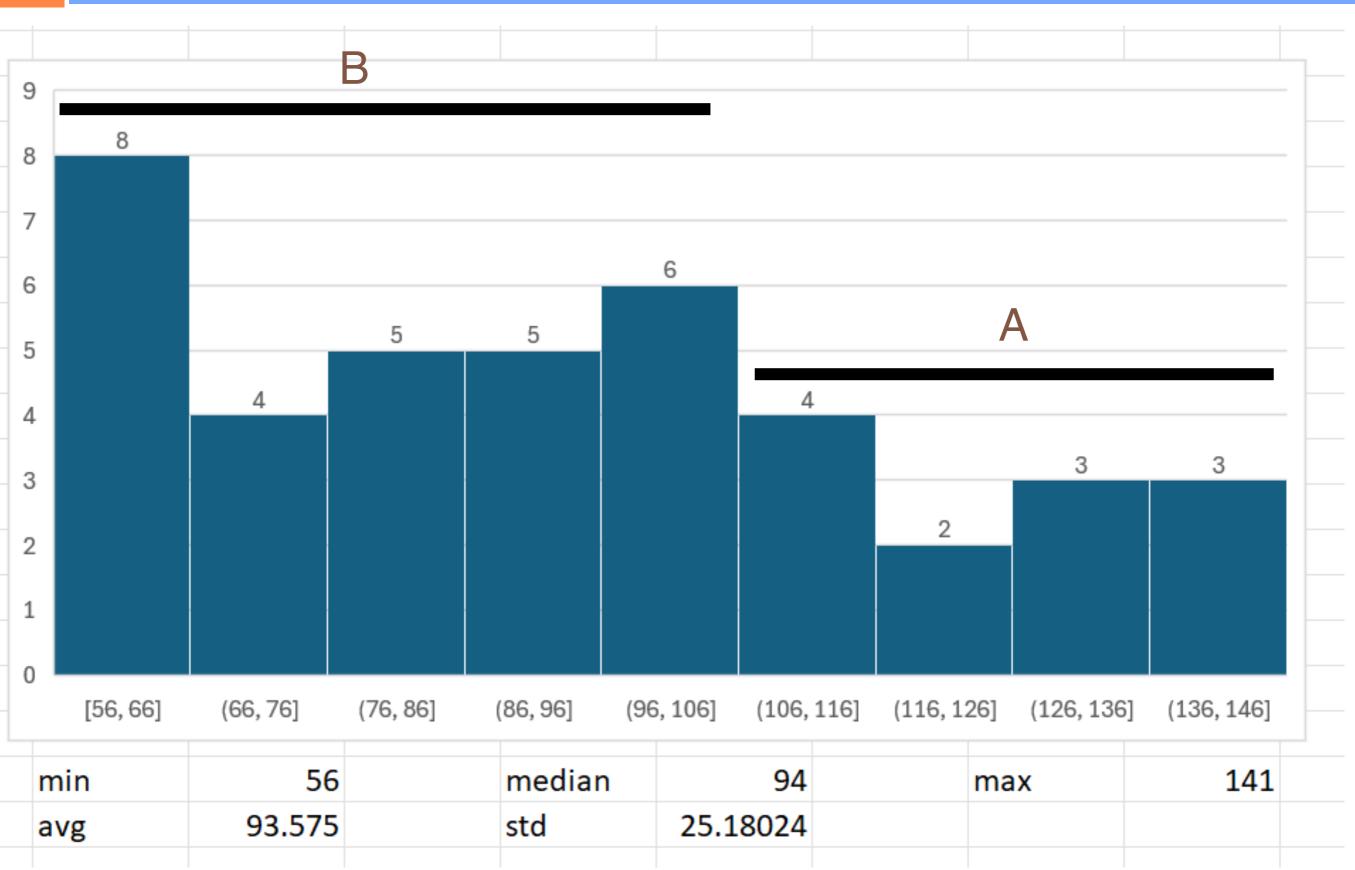
CS 565

Programming Languages (graduate) Spring 2025

Week 10
Axiomatic Semantics and Hoare Logic

Midterm



Semantics

Before Break (Programming Language Foundations):

- Operational Semantics
 - ★ Simple abstract machine shows how to evaluate expression

Can Prove:

- Determinism of Evaluation
- Soundness of Program Transformations
- Program Equivalence



Axiomatic Semantics

Axiomatic Semantics

- Meaning given by proof rules
- Useful for reasoning about properties of specific programs
- Step I: Define a language of claims
- Step 2: Define a set of rules (axioms) to build proofs of claims
- Step 3: Verify specific programs

Assertions

 Not unusual to see pre- and post-conditions in code comments:

```
/*Precondition: 0 <= i <= A.length
  Postcondition: returns A[i]*/
public int get(int i) {
  return A[i]
}</pre>
```

 Step IA: Define a language of assertions to capture these sorts of claims

Assertions

- Step IA: Define a language of assertions to capture these claims about states
- Examples:
 - ★ The value of the variable X is greater than 4
 - ★ The variable Y holds an even number
 - \star The value of X is half of the value of Z
- Formalize claims in some logic with variables
 - ★ Coq (Software Foundations)
 - ★ smt-lib (many automated verifiers)
 - ★ First-order logic: \forall , \exists , \land , \rightarrow , X = Y

Hoare Triple

- Step IB: Define a judgement for claims about programs involving assertions
- Partial Correctness Triple:

If we start in a state satisfying P

And c terminates in a state, state satisfies Q

Hoare Triple

An Axiomatic Basis for Computer Programming

C. A. R. Hoare
The Queen's University of Belfast,* Northern Ireland

In this paper an attempt is made to explore the logical foundations of computer programming by use of techniques which were first applied in the study of geometry and have later been extended to other branches of mathematics. This involves the elucidation of sets of axioms and rules of inference which can be used in proofs of the properties of computer programs. Examples are given of such axioms and rules, and a formal proof of a simple theorem is displayed. Finally, it is argued that important advantages, both theoretical and practical, may follow from a pursuance of these topics.

KEY WORDS AND PHRASES: axiomatic method, theory of programming' proofs of programs, formal language definition, programming language design, machine-independent programming, program documentation CR CATEGORY: 4.0, 4.21, 4.22, 5.20, 5.21, 5.23, 5.24



of axioms it is possible to deduce such simple theorems as:

$$x = x + y \times 0$$

$$y \leqslant r \supset r + y \times q = (r - y) + y \times (1 + q)$$

The proof of the second of these is:

A5
$$(r - y) + y \times (1 + q)$$

$$= (r - y) + (y \times 1 + y \times q)$$
A9
$$= (r - y) + (y + y \times q)$$

$$= ((r - y) + y) + y \times q$$
A6
$$= r + y \times q \text{ provided } y \leqslant r$$

The axioms A1 to A9 are, of course, true of the traditional infinite set of integers in mathematics. However, they are also true of the finite sets of "integers" which are manipulated by computers provided that they are confined to *nonnegative* numbers. Their truth is independent of the size of the set; furthermore, it is largely independent of the choice of technique applied in the event of "overflow"; for example:

- (1) Strict interpretation: the result of an overflowing operation does not exist; when overflow occurs, the offending program never completes its operation. Note that in this case, the equalities of A1 to A9 are strict, in the sense that both sides exist or fail to exist together.
- (2) Firm boundary: the result of an overflowing operation is taken as the maximum value represented.

C. A. R. Hoare. 1969. An axiomatic basis for computer programming. Commun. ACM 12, 10 (Oct. 1969), 576-580.

Hoare Triple

- Step IB: Define a judgement for claims about programs involving assertions
- Partial Correctness Triple:

- Total Correctness Triple:

- A triple that makes a true claim is said to be valid

Hoare Triples

What should these mean:

```
{True} c {X = 5}

∀m. {X = m} c {X = m + 5}

[X <= Y] c [Y <= X]
```

Concept Check

Which of these should be valid? $\{X = 2\} \ X := X + 1 \{X = 3\}$ $\{X = 2\} \ X := 5; \ Y := 3 \ \{X = 5\}$ {False} skip {True} [Y = 2] X := Y + 3 [X = 5]{True} while true do SKIP end {False} [True] while true do SKIP end [False] [True] while true do SKIP end [True]

Axiomatic Semantics

- Step I: Define a language of claims
- Step 2: Define a set of rules (axioms) to build proofs of claims
- Step 3: Verify specific programs

Imp Assertions

One assertion language for Imp commands is:

$$X \in Id$$
 $N \in \mathbb{N}$
 $A ::= N | A + A | A - A | A * A | X$
 $P, Q ::= T | \bot | A < A | A = A$
 $P \wedge Q | P \vee Q | \neg P$

Examples Assertions:

The value of the variable X is greater than 4. The variable Y holds an even number. The value of X is half of the value of Z.

Satisfiability

★ We define a semantics for this language to identify when a state of satisfies an assertion P:

$$\sigma \models T$$

$$\sigma \models P$$

$$\sigma$$
, $a_1 \lor v_1$ σ , $a_2 \lor v_2$ $v_1 <_{\mathbb{N}} v_2$

$$\sigma \models a_1 < a_2$$

$$\sigma$$
, $a_1 \Downarrow v_1$ σ , $a_2 \Downarrow v_2$ $v_1 =_{\mathbb{N}} v_2$

$$\sigma \models a_1 = a_2$$

Satisfability

We define a semantics for this language to identify when a state σ satisfies an assertion P:

$$\sigma \models P$$

$$\frac{\sigma \models P \qquad \sigma \models Q}{\sigma \models P \land Q}$$

$$\frac{\sigma \models P}{\sigma \models P \lor Q}$$

$$\frac{\sigma \models Q}{\sigma \models P \lor Q}$$

$$\sigma \not\models P$$
 $\sigma \models \neg P$

Validity

We can now precisely define what a partial Hoare Triple is valid:

$$\{P\} \subset \{Q\} = \forall \sigma. \ \sigma \models P \rightarrow \emptyset$$

∀σ'. σ, с ₩ σ'

in a state of the state of the

$$\sigma' \models G$$



VALIDITY

The rule admits the possibility that there is no such σ'

then that final state satisfies Q

Validity (total correctness) H Ne saistino

 $\{P\} c \{Q\} =$ $\forall \sigma. \ \sigma \models P \rightarrow$ $\exists \sigma'. \ \sigma, \ c \Downarrow \sigma'$

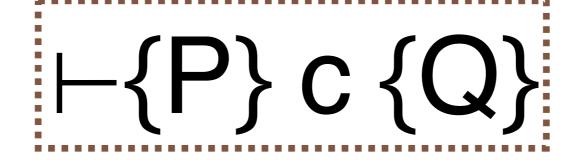
 $\sigma' \models Q$

The rule enforces the requirement that there is such a σ'

State Satisfinal
Satisfies Q

Proving Validity

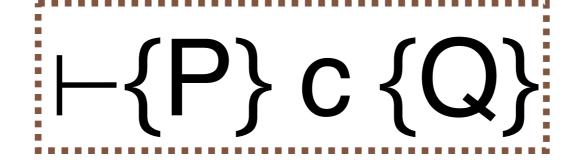
- That gives us the first part of axiomatic semantics
 - ★ Step I: Define a language of claims
- How to prove that {P} c {Q} is valid?
 - ★ Could reason directly about the semantics of c
 - ★ <u>Step 2</u>: Define a set of rules (axioms) to build proofs of claims without reasoning directly about states and executions



Proof Rules

How to prove that {P} c {Q} is valid?

- Could reason directly about the semantics of c
- Step 2: Define a set of rules (axioms) to build proofs of claims without reasoning directly about states and executions



Hoare Skip

Use our intuition about what we want to be able to prove to guide definition of rules

$$\{P\} c \{Q\} \equiv \\ \forall \sigma. \ \sigma \models P \rightarrow \forall \sigma'. \ \sigma, c \Downarrow \sigma' \rightarrow \sigma' \models Q$$

Hoare Skip?

$$\{?\}$$
 skip $\{Q\} \equiv \forall \sigma. \ \sigma \models ? \rightarrow \forall \sigma'. \ \sigma, \ skip \ \psi \ \sigma' \rightarrow \sigma' \models Q$

Hoare Skip!

Hoare Assign?

Hoare Assign!

$$\{[X \coloneqq a]Q\} X \succeq a \{Q\} \equiv \\ \forall \sigma. \ \sigma \models [X \coloneqq a]Q \rightarrow \\ \forall \sigma'. \ \sigma, \ X \succeq a \Downarrow \sigma' \rightarrow \sigma' \models Q$$

$$-\{[X:=a]Q\} X:=a\{Q\}$$

HLASSIGN

Hoare Assignbad

★ Why not this "forward" rule?

$$\vdash\{P\}X=a\{[X=a]P\}$$

Hoare Assign!

$$\{[X \coloneqq a]Q\} X \succeq a \{Q\} \equiv \\ \forall \sigma. \ \sigma \models [X \coloneqq a]Q \rightarrow \\ \forall \sigma'. \ \sigma, \ X \succeq a \Downarrow \sigma' \rightarrow \sigma' \models Q$$

$$H(X:=a]QX:=a(Q)$$

HLASSIGN

Hoare Seq?

$$\vdash$$
{ ? } C₁; C₂ {Q}

Hoare Seq?

```
 \left\{ \begin{array}{l} ? \ \right\} c_1; c_2 \{Q\} \equiv \\ \forall \sigma_1. \ \sigma_1 \models ? \ \rightarrow \ \forall \sigma_3. \\ (\exists \sigma_2. \ \sigma, c_1 \Downarrow \sigma_2 \land \ \sigma, c_2 \Downarrow \sigma_3) \ \rightarrow \\ \sigma_3 \models Q \end{array}
```

$$\vdash$$
{ ? } C₁; C₂ {Q}

Hoare Seq?

```
 \left\{ \begin{array}{l} ?_1 \right\} c_1; c_2 \left\{ Q \right\} \equiv \\ \forall \sigma_1. \ \sigma_1 \models ?_1 \ \rightarrow \ \forall \sigma_3. \\ \\ \left( \exists \sigma_2. \ \sigma, c_1 \Downarrow \sigma_2 \ \land \ \sigma, c_2 \Downarrow \sigma_3 \right) \rightarrow \\ \\ \sigma_3 \models Q \end{array}
```

$$\vdash \{?_1\} C_1 \{?_2\} \vdash \{?_2\} C_2 \{Q\}$$

 $\vdash \{?_1\} C_1; C_2 \{Q\}$

Hoare Seq!

```
  \{ P \} c_1; c_2 \{Q\} \equiv     \forall \sigma. \ \sigma \models P \rightarrow    \forall \sigma'. \ \sigma, \ c_1; \ c_2 \Downarrow \sigma' \rightarrow \sigma' \models Q
```

$$\vdash \{P\}_{C_1}\{R\} \vdash \{R\}_{C_2}\{Q\}$$
 $\vdash \{P\}_{C_1}; C_2 \{Q\}$
HISE

Hoare Seq!

$$\vdash \{P\}c_1\{R\} \vdash \{R\}c_2\{Q\}$$

$$\vdash \{P\} C_1; C_2 \{Q\}$$

HLSEQ

Hoare If!

$$\vdash \{P \land b\} c_1 \{Q\} \vdash \{P \land \neg b\} c_2 \{Q\}$$

 \vdash {P} if b then c₁ else c₂ end {Q}

HLIF

Proof Rules

- What if Assertions don't align?

$$\{X=2\}\ X = X + 1 \{X = 3\}$$

 Have rule for strengthening preconditions and weakening postconditions

$$-\{X=2\} X = X + 1 \{X = 3\}$$

Rule Review

HLAssign

HLSKIP

$$\vdash \{Q[X := a]\}X := a\{Q\}$$

 \vdash {Q} skip {Q}

$$\vdash \{P \land b\} c_1 \{Q\}$$

$$\vdash \{P \land \neg b\} c_2 \{Q\}$$

HI IF

 $\vdash \{P\}$ if b then c_1 else $c_2 \{Q\}$

Hoare While?

$$\vdash \{X < 3\} \text{ while } (X < 3) \text{ do } X := X + 1 \text{ end } \{X = 3\}$$

$$-\{?\}c\{?\}$$

⊢{?} while b do c end {Q}

Hoare While?

$$\vdash \{X < 4\} \ X := X + 1 \ \{X < 4\}$$

$$\vdash \{X < 4\} \text{ while } (X < 3) \text{ do } X := X + 1 \text{ end } \{X < 4\}$$

$$\vdash \{X < 3\} \text{ while } (X < 3) \text{ do } X := X + 1 \text{ end } \{X = 3\}$$

$$\vdash \{Q \} C \{Q\}$$

⊢{Q} while b do c end {Q} }

Hoare While?

$$\vdash \{X < 4 \land X < 3\} X := X + 1 \{X < 4\}$$

$$\vdash \{X < 4\} \text{ while } (X < 3) \text{ do } X := X + 1 \text{ end } \{X < 4\}$$

$$\vdash \{X < 3\}$$
 while $(X < 3)$ do $X := X + 1$ end $\{X = 3\}$

$$\vdash \{Q \land b\} c \{Q\}$$

Hoare While?

$$\vdash \{X < 4 \land X < 3\} X := X + 1 \{X < 4\}$$

$$\vdash \{X < 4\}$$
 while $(X < 3)$ do $X := X + 1$ end $\{X < 4 \land \neg X < 3\}$

$$\vdash \{X < 3\} \text{ while } (X < 3) \text{ do } X := X + 1 \text{ end } \{X = 3\}$$

$$\vdash \{Q \land b\} c \{Q\}$$

 \vdash {Q} while b do c end {Q $\land \neg b$ }

Hoare While!

I is a *loop invariant*:

- Holds before loop
- Holds after each loop iteration
- Holds when the loop exits

$$-\{I \land b\}c\{I\}$$

 \vdash {I} while b do c end {I $\land \neg b$ }



Rule Review

HLSKIP		HLAssign
ip {Q}	⊢{Q} skip	=a]}X:=a{Q}
Q} HLSEQ	$\vdash \{R\} c_2 \{C_2\}$	$\vdash \{P\} \ c_1 \ \{R\}$ $\vdash \{P\} \ c_1;$
C ₂ {Q} HLIF	$\vdash \{P \land \neg b\} c_2$	$\vdash \{P \land b\} c_1 \{Q\}$
	1 else C ₂ {Q}	⊢{P} if b then c
— HLWHILE	C {I}	⊢{I ∧ b} (
	d {I∧¬b}	⊢{I} while b do c end

 $\vdash \{p=p\} z := p \{z = p\}$

 $\vdash \{ m = m \} x := m; z := p$

Hoare in Action

Want to build proof trees:

```
\vdash \{(z-1)-(x-1)=p-m \land x=0\} z := z-1; x := x-1\{(z-1)-(x-1)=p-m\}
                       \vdash \{(z-1) - (x-1) = p - m \} while
                                                                                          z = p - m \wedge (x = 0)
                              Proof is compositional: it follows structure of
                                                                                            \{z = p - m \land (x = 0)\}
                                                                                             \{z = p - m \land (x = 0)\}
                                                 program!
\vdash \{ m = m \} x := m \{x = m\}
                                                                                             \{z = p - m \land (x = 0)\}
```

 $-m \wedge (x = 0)$

 \vdash { True } x := m; z := p, while x \neq 0 do z := z - 1; x := x - 1 end {z = p - m }

Idea: include assertions in program

```
\{ True \} \rightarrow \{ m = m \}
   X := m;
\{X = m\} \rightarrow \{X = m \land p = p\}
   Z := p;
\{ X = m \land Z = p \} \rightarrow \{ Z - X = p - m \}
   while X \neq 0 do
\{Z - X = p - m \land X \neq 0\} \rightarrow \{(Z - 1) - (X - 1) = p - m\}
     Z := Z - 1:
\{Z - (X - 1) = p - m\}
      X := X - 1
\{ Z - X = p - m \}
   end;
\{ Z - X = p - m \land \neg (X \neq 0) \} \rightarrow \{ Z = p - m \}
```

- Idea: include assertions in program
- If each individual command is correct, so is the program

```
{ X = m \land Y = n }
X := X + Y
{??}
Y := X - Y
{??}
X := X - Y
{ X := X - Y }
```

- Idea: include assertions in program
- If each individual command is correct, so is the program

```
{X = m \land Y = n}
X := X + Y
{??}
Y := X - Y
{X - Y = n \land Y = m}
X := X - Y
{X = n \land Y = m}
```

- Idea: include assertions in program
- If each individual command is correct, so is the program

```
\{ X = m \land Y = n \}
X := X + Y
\{ X - (X - Y) = n \land X - Y = m \}
Y := X - Y
\{ X - Y = n \land Y = m \}
X := X - Y
\{ X = n \land Y = m \}
```

- Idea: include assertions in program
- If each individual command is correct, so is the program

```
 \left\{ \begin{array}{l} X = m \ \land \ Y = n \right\} \rightarrow \\ \left\{ (X + Y) - ((X + Y) - Y) = n \ \land \ (X + Y) - Y = m \right\} \\ X := X + Y \\ \left\{ X - (X - Y) = n \ \land \ X - Y = m \right\} \\ Y := X - Y \\ \left\{ \begin{array}{l} X - Y = n \ \land \ Y = m \end{array} \right\} \\ X := X - Y \\ \left\{ \begin{array}{l} X = n \ \land \ Y = m \end{array} \right\}
```

- Largely straightforward
- Except for loops!

```
{ X = m }
while X ≠ 0 do
X ::= X - 1
end
{ X = 0 }
```

```
? needs to
```

- Largely straightfolloweak enough to be implied by the loop's precondition,
- Except for loc 2.be strong enough to imply the loop's postcondition
 - 3.be preserved by one iteration of the

```
\{ X = m \land Y = n \} \rightarrow \{ ? \}
  while X \neq 0 do
                                       loop
  \{? \land X \neq 0\} \rightarrow \{[X = X-1][Y = Y-1]?\}
    Y := Y - 1;
  { [X≔X-1] ? }
     X := X - 1
  {?}
  end
\{ ? \land X = 0 \} \rightarrow \{ Y = n - m \}
```

- Largely straightfo
- Except for loo

```
? needs to
```

- 1.be weak enough to be implied by the loop's precondition,
- 2.be strong enough to imply the loop's postcondition

```
\{X = m \land Y = n\} \rightarrow \{Tru_3.be \text{ preserved by one iteration of the}\}
  while X \neq 0 do
                                 loop
  { True \land X \neq 0 } \rightarrow { [X:=X-1] [Y:=Y-1] True }
    Y := Y - 1;
  { [X≔X-1] True }
    X := X - 1
  { True }
  end
{ True \land X = 0 } \rightarrow { Y = n - m }
```

- Except for loc

```
\{X = m \land Y = n\} \rightarrow \{True\}
  while X \neq 0 do
  { True \land X \neq 0 } \rightarrow { [X=X=1] | F= 1-1 | Irue}
    Y := Y - 1;
  { [X≔X-1] True }
    X := X - 1
  { True }
  end
{ True \land X = 0 } \rightarrow { Y = n - m }
```

```
? needs to
```

- Largely straightf
1.be weak enough to be implied by the loop's precondition,

2.be strong enough to imply the loop's postcondition

3.be preserved by one iteration of the

What fails to hold when ? is True?

- ★ Largely straightforward
- **Except** for loops!

```
\{X = m \land Y = n\} \rightarrow \{Y-X = n\}
  while X \neq 0 do
                                        loop
  \{Y-X = n - m \land X \neq 0\} \rightarrow \{[X = 0]\}
                                                Y := Y - 1;
  \{ Y - X = n - m [X = X - 1] \}
     X := X - 1
  \{ Y - X = n - m \}
  end
\{ Y - X = n - m \land X = 0 \} \rightarrow \{ Y = n - m \}
```

? needs to

- 1.be weak enough to be implied by the loop's precondition,
- 2.be strong enough to imply the loop's postcondition
- 3.be preserved by one iteration of the

Success!

Recap

- Developed a logic for proving that {P} c {Q} is valid
 We defined a set of rules (axioms) to build proofs of claims without reasoning directly about states and executions
- Saw how to verify specific programs

