

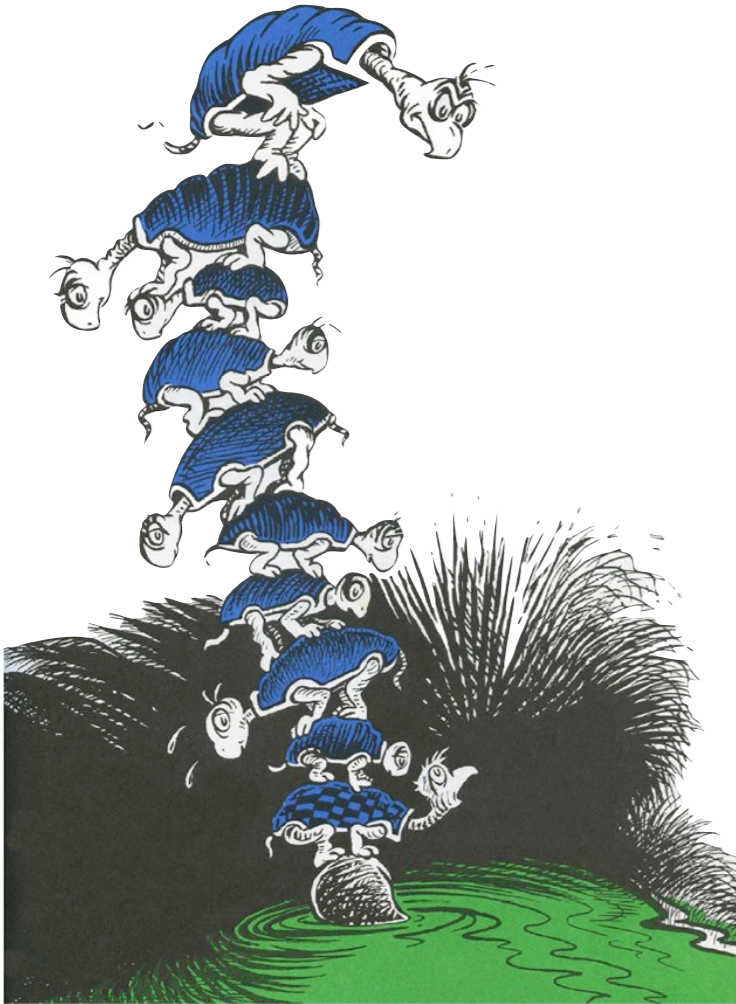
# CS 565

## Programming Languages (graduate) Spring 2024

Week 2  
Induction

# Today

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- Generate the induction principle for inductive data types
- Prove properties of inductive data types using induction.

# Proof By Case Analysis

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How would you justify the following claim?

$$b1 \ \&\& \ (b2 \ \&\& \ b3) = (b1 \ \&\& \ b2) \ \&\& \ b3$$

Construct a truth table that enumerates all cases:

P	Q	R	Formula
T	T	T	T
T	T	F	T
T	F	T	T
T	F	F	T
F	T	T	T
F	T	F	T
F	F	T	T
F	F	F	T

# PROOF BY EXHAUSTION



**TOO TIRED  
MUST BE TRUE**

# Proof By Case Analysis

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How would you justify the following fact:

For any three numbers  $n$ ,  $m$  and  $p$ ,  
$$n + (m + p) = (n + m) + p.$$

Infinite number of cases here!

# Proof By Induction

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How would you justify the following fact:

$$\text{For any three numbers } n, m \text{ and } p, \\ n + (m + p) = (n + m) + p.$$

**Proof:** By induction on  $n$ .

First, suppose  $n = 0$ .

We must show:  $0 + (m + p) = (0 + m) + p$ .

This follows directly from the definition of addition.

Next, suppose  $n = 1 + n'$ , where  $n' + (m + p) = (n' + m) + p$ .

We must show:  $(1 + n') + (m + p) = ((1 + n') + m) + p$ .

By the definition of  $+$ , this follows from  $1 + (n' + (m + p)) = 1 + ((n' + m) + p)$ , which is immediate from the induction hypothesis. **QED.**

*Induction Hypothesis*



# Nat Induction

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## Mathematical Induction for Natural Numbers:

For any predicate  $P$  on natural numbers, **if:**

1.  $P(0)$
2.  $P(n)$  implies  $P(n+1)$

**Then:**

for all  $n$ ,  $P(n)$  holds.

# Induction

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```
Inductive tree : Type :=
```

```
  | leaf
```

```
  | node (x : nat) (lt rt : tree).
```

```
Fixpoint insert (cmp : nat -> nat -> bool)  
                (t : tree) (x : nat) : tree :=
```

```
  match t with
```

```
  | leaf => node x leaf leaf
```

```
  | node y lt rt => if (cmp x y) then node y (insert cmp lt x) rt  
                   else node y lt (insert cmp rt y)
```

```
  end.
```

```
Fixpoint element (t : tree) (n : nat) : bool :=
```

```
  match t with
```

```
  | leaf => false
```

```
  | node y lt rt =>
```

```
    orb (eqb x y) (orb (element eqb lt x) (element eqb rt x))
```

```
  end.
```



# Tree Induction

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Works for trees too:

For any number  $n$ , and tree  $t$   
element  $(\text{insert } t \ n) \ n = \text{true}$ .

**Proof:** By induction on  $t$ .

First, suppose  $t = \text{leaf}$ .

We must show:  $\text{element } (\text{insert leaf } n) \ n = \text{true}$ .

This follows directly from the definition of element.

# Tree Induction

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Works for trees too:

For any number  $n$ , and tree  $t$   
element  $(\text{insert } t \ n) \ n = \text{true}$ .

*Induction Hypothesis*

**Proof:** By induction on  $t$ .

Next, suppose  $t = \text{node } n' \ \text{lt} \ \text{rt}$  where

element  $(\text{insert } \text{lt} \ n) \ n = \text{true}$  and element  $(\text{insert } \text{rt} \ n) \ n = \text{true}$ .

We must show: element  $(\text{insert } (\text{node } n' \ \text{lt} \ \text{rt}) \ n) \ n = \text{true}$ .

By definition, this is equivalent to:

element **if**  $(\text{cmp } n \ n')$  **then** node  $n'$   $(\text{insert } \text{cmp} \ \text{lt} \ n) \ \text{rt}$   
**else** node  $y$   $\text{lt} \ (\text{insert } \text{cmp} \ \text{rt} \ n)$

★ Consider the case when  $\text{cmp } n \ n' = \text{true}$ .

We must show: element  $(\text{node } n' \ (\text{insert } \text{cmp} \ \text{lt} \ n) \ \text{rt}) \ n = \text{true}$ .

This follows from the IH.

★ Consider the case when  $\text{cmp } n \ n' = \text{false}$ .

We must show: element  $(\text{node } n' \ \text{lt} \ (\text{insert } \text{cmp} \ \text{rt} \ n)) \ n = \text{true}$ .

This follows from the IH.

# Tree Induction

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Works for trees too:

## Mathematical Induction for Binary Trees:

For any predicate  $Q$  on binary trees, **if:**

1.  $Q(\text{leaf})$
2.  $Q(t_1)$  and  $Q(t_2)$  implies  $Q(\text{node } n \ t_1 \ t_2)$

**Then:**

for all  $t$ ,  $Q(t)$  holds.

# ADT Induction

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## Principle of Mathematical Induction:

For any algebraic datatype  $T$  with constructors  $c_1 \dots c_n$ ,

For any predicate  $Q$  on  $T$ , **if:**

1.  $Q(v_1)$  and  $Q(v_2)$  and ...  $Q(v_j)$  implies  $Q(c_1 v_1 \dots v_j)$

2.  $Q(v_1)$  and  $Q(v_2)$  and ...  $Q(v_j)$  implies  $Q(c_2 v_1 \dots v_j)$

...

n.  $Q(v_1)$  and  $Q(v_2)$  and ...  $Q(v_j)$  implies  $Q(c_n v_1 \dots v_j)$

**Then:**

for all  $t$ ,  $Q(t)$  holds.

```
Inductive list {X : Type} : Type :=  
  | nil  
  | cons (x : X) (l : list).
```

## Mathematical Induction for Lists:

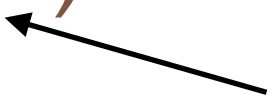
For any predicate  $Q$  on lists, **if:**

1.  $Q(\text{nil})$
2.  $Q(l)$  implies  $Q(\text{cons } x \ l)$

**Then:**

for all  $l$ ,  $Q(l)$  holds.

*a list constructed by adding  $x$   
to the head of  $l$*



# Induction on syntax trees

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```
Inductive aexp : Type :=  
  | ANum (a : nat)  
  | APlus (a1 a2 : aexp)  
  | AMinus (a1 a2 : aexp)  
  | AMult (a1 a2 : aexp).
```

```
Fixpoint aexp_opt_zero (a : aexp) : aexp :=  
  match a with  
    | ANum n => ANum n  
    | APlus (ANum 0) e2 => aexp_opt_plus e2  
    | APlus e1 e2 => APlus (aexp_opt_plus e1) (aexp_opt_plus e2)  
    | AMinus e1 e2 => AMinus (aexp_opt_plus e1) (aexp_opt_plus e2)  
    | AMult e1 e2 => AMult (aexp_opt_plus e1) (aexp_opt_plus e2)  
  end.
```

# Induction on syntax trees

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- Works for abstract syntax trees too!
- Using ADT induction, we can prove in Coq:

**Theorem** `aexp_opt_zero_sound`  
: `forall a, aeval (aexp_opt_zero a) = aeval a.`

**Proof.**

`induction a.`

- ...

- ...

**Qed.**