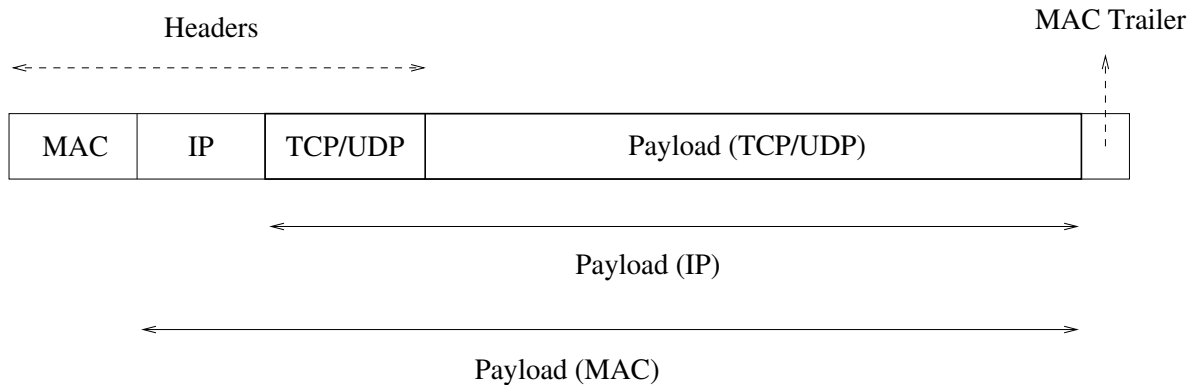


## TRANSPORT LAYER PROTOCOLS

- end-to-end
- runs on top of network layer protocols
- treat network layer & below as black box

Three-level encapsulation: e.g., TCP and UDP



Meaning of protocol “stack”

- push/pop headers

Network layer (IP) assumptions:

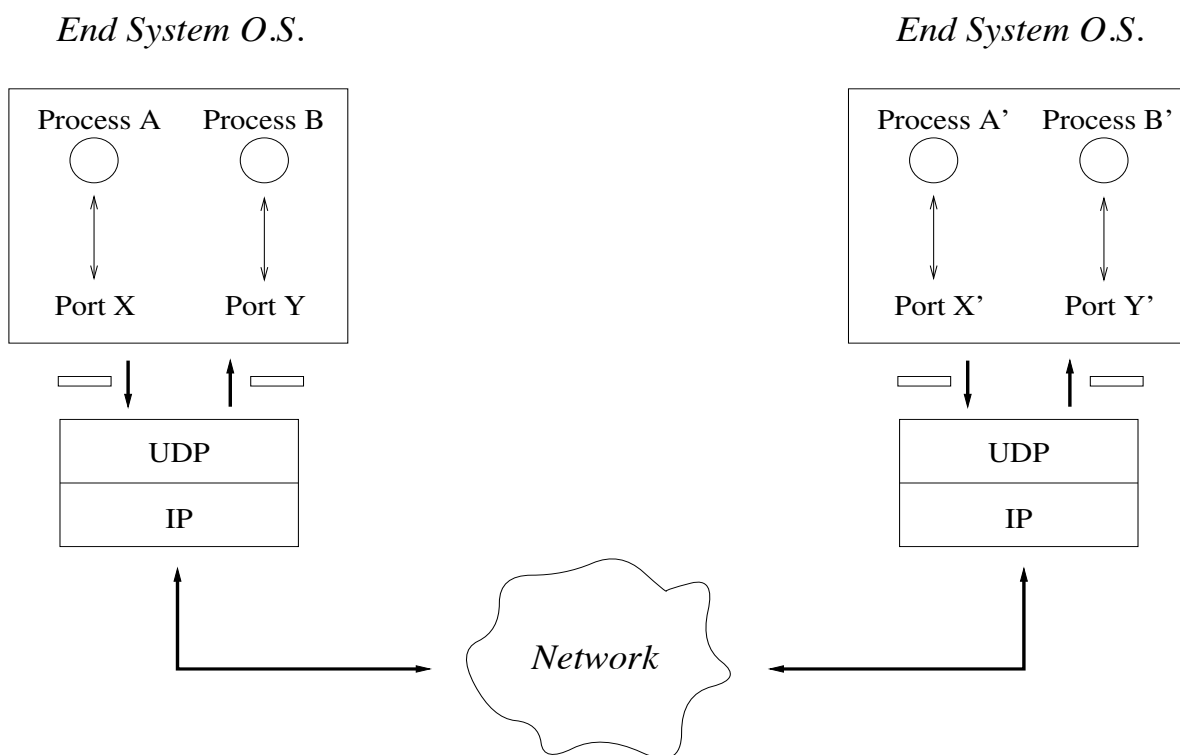
- unreliable
- out-of-order delivery
- absence of QoS guarantees (delay, throughput, etc.)
- insecure (IPv4)  
→ IPsec

Additional performance properties:

- works “ok”
- can break down under high load conditions  
→ e.g., flash crowds, DDoS and worm attacks
- wide behavioral range  
→ from good to bad

Goal of UDP (User Datagram Protocol):

- process identification
- port number as demux key
- minimal support beyond IP



UDP packet format:

2	2
Source Port	Destination Port
Length	Checksum
Payload	

Checksum calculation: pseudo header

4		
Source Address		
Destination Address		
00 ... 0	Protocol	UDP Length

→ pseudo header, UDP header and payload

UDP usage:

- multimedia streaming
  - lean and nimble
  - at minimum requires process identification
  - reliability addressed above UDP if needed: FEC preferred over ARQ
  - congestion control addressed above UDP
  - e.g., QUIC for HTTP/3
- lightweight client/server applications
  - reduce persistent state which leads to overhead

Goals of TCP (Transmission Control Protocol):

- process identification
- reliable communication: ARQ
- speedy communication: congestion control
- segmentation and MTU
- connection establishment and tear-down

→ complex mix of functionalities

Provide stream interface to higher level protocols

→ exported semantics: contiguous byte stream

→ e.g., accessed using `read()`, `write()` system calls

Segmentation:

- segment stream of bytes into blocks of fixed size
- segment size determined by TCP MTU (Maximum Transmission Unit)
- actual unit of transmission in ARQ
- efficiency and reduced fragmentation

TCP packet format:

2

2

Source Port				Destination Port				
Sequence Number								
Acknowledgement Number								
Header Length	///	U R G	A C K	P S H	R S T	S Y N	F I N	Window Size
Checksum				Urgent Pointer				
Options (if any)								
DATA (if any)								

- Sequence Number: position of first byte of payload
- Acknowledgement: next byte of data expected (receiver)
- Header Length (4 bits): 4 B units
- URG: urgent pointer flag
- ACK: ACK packet flag
- PSH: override TCP buffering
- RST: reset connection
- SYN: establish connection
- FIN: close connection
- Window Size: receiver's advertised window size
- Checksum: prepend pseudo-header
- Urgent Pointer: byte offset in current payload where urgent data begins
- Options: MTU; take min of sender and receiver

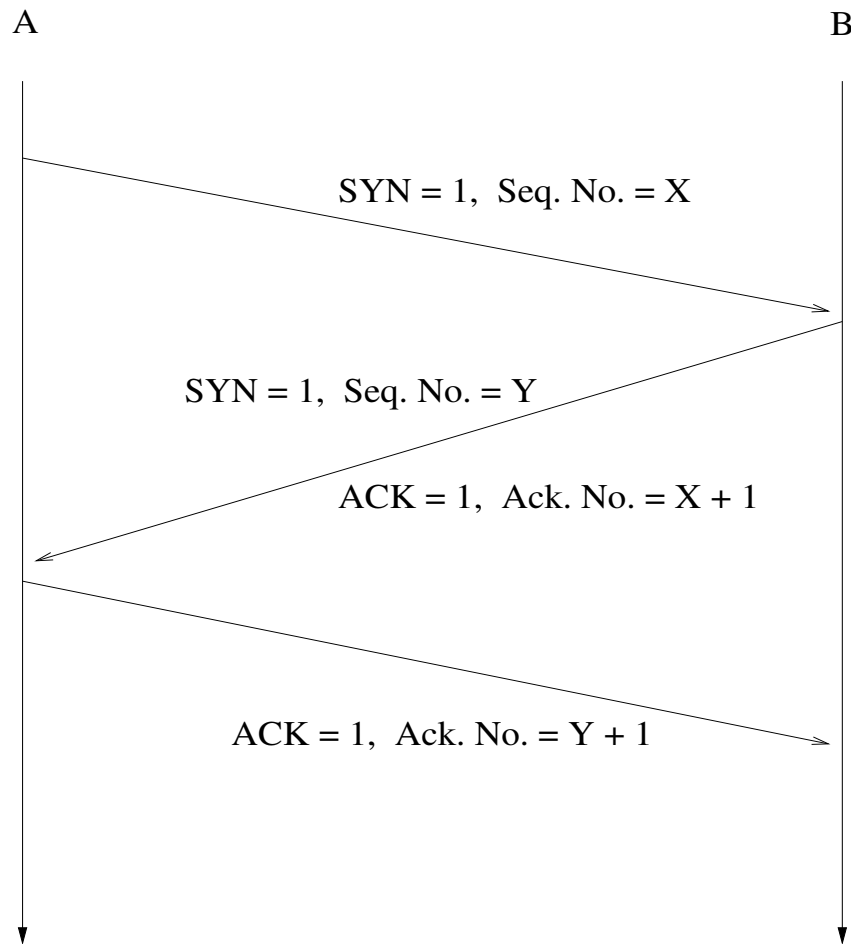
Checksum calculation: pseudo header

4

Source Address		
Destination Address		
00 ... 0	Protocol	TCP Segment Length

→ pseudo header, TCP header and payload

TCP connection establishment (3-way handshake):



- $X, Y$  are chosen randomly
  - mitigate sequence number prediction
- piggybacking

2-party consensus problem: are  $A$  and  $B$  in agreement about the state of affairs after 3-way handshake?

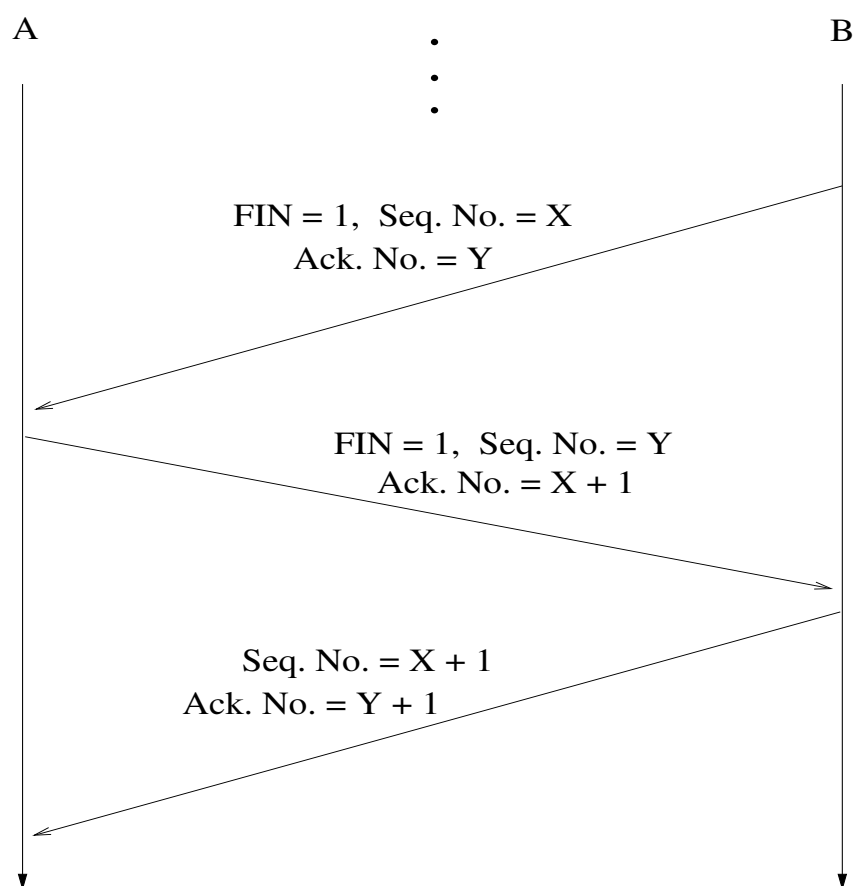
→ in general: in networks with unbounded delay, there is no solution

→ acknowledging the ACK ...

→ lunch date problem

→ also TCP session termination

TCP connection termination:



- full duplex
- half duplex