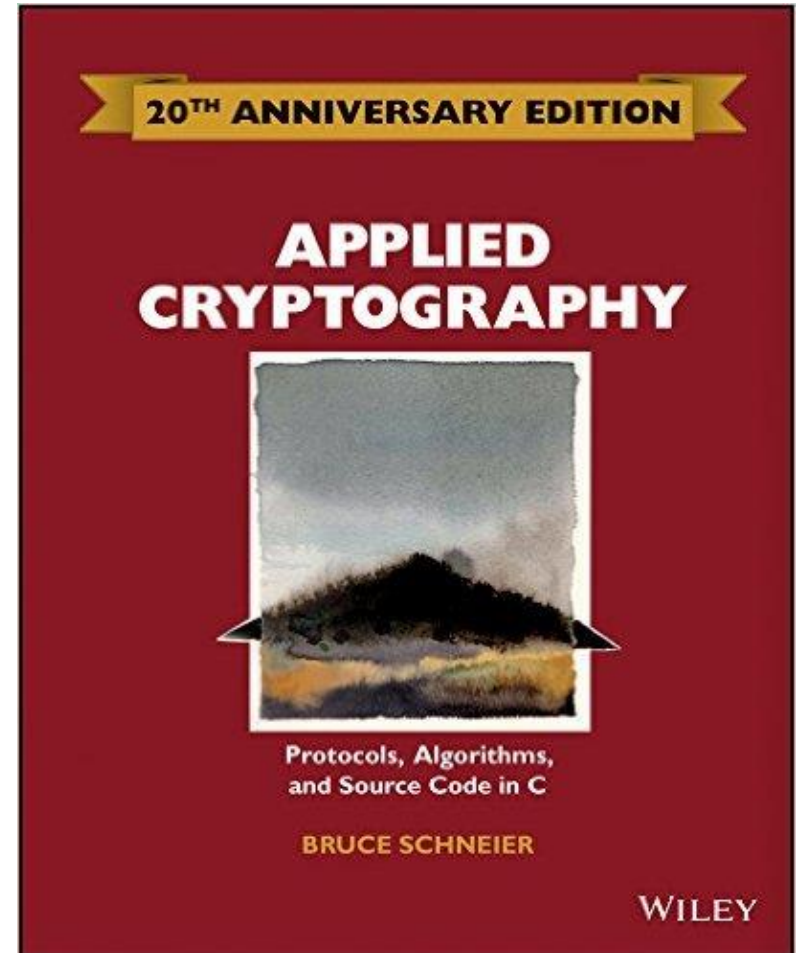
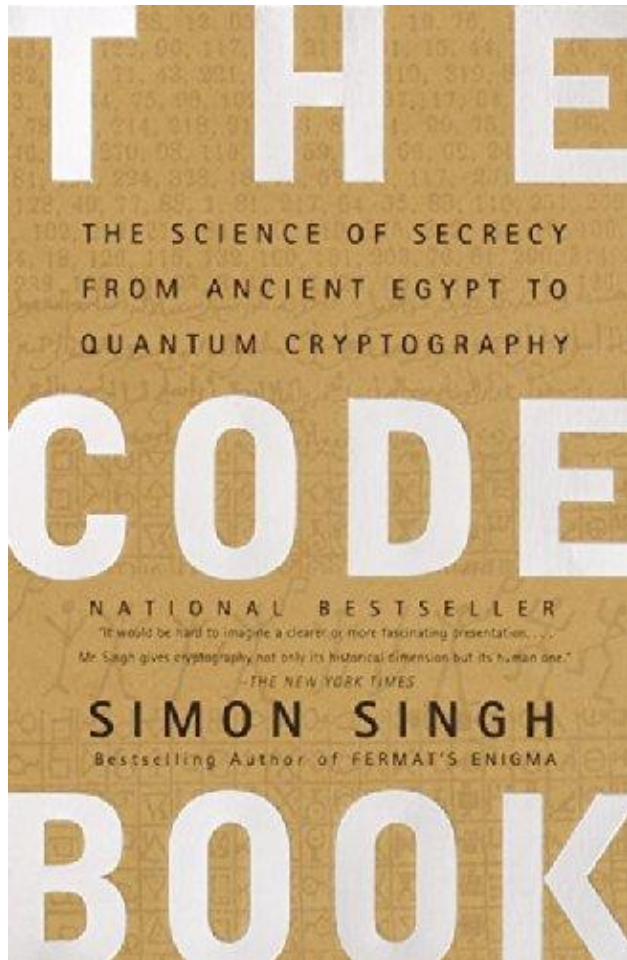


# Data Security and Privacy



## Topic 12: Overview of Symmetric Cryptography

# Books That Might Be Of Interest



# Goals of Cryptography

- The most fundamental problem cryptography addresses: **ensure security of communication over insecure medium**
- What does secure communication mean?
  - confidentiality (secrecy)
    - only the intended recipient can see the communication
  - integrity (authenticity)
    - the communication is generated by the alleged sender
- What does insecure medium mean?
  - Two basic possibilities:
    - Passive attacker: the adversary can eavesdrop
    - Active attacker: the adversary has full control over the communication channel

# Basic Terminology for Encryption

- Plaintext            original message
- Ciphertext        transformed message
- Key                secret used in transformation
- Encryption
- Decryption
- Cipher            algorithm for encryption/decryption

# Mono-alphabetic Substitution Cipher

- The key space: all permutations of  $\Sigma = \{A, B, C, \dots, Z\}$
- Encryption given a key  $\pi$ :
  - each letter  $X$  in the plaintext  $P$  is replaced with  $\pi(X)$
- Decryption given a key  $\pi$ :
  - each letter  $Y$  in the ciphertext  $P$  is replaced with  $\pi^{-1}(Y)$

## Example:

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z
$\pi =$	B	A	D	C	Z	H	W	Y	G	O	Q	X	S	V	T	R	N	M	L	K	J	I	P	F	E	U

**BECAUSE**  $\rightarrow$  **AZDBJSZ**

# Cryptanalysis of Substitution Ciphers: Frequency Analysis

- Basic ideas:
  - Each language has certain features: frequency of letters, or of groups of two or more letters.
  - Substitution ciphers preserve the language features.
  - Substitution ciphers are vulnerable to frequency analysis attacks.

# How to Defeat Frequency Analysis?

- Use different substitutions to get rid of frequency features.
  - Leads to polyalphabetical substitution ciphers, one-time pad, and to stream ciphers such as RC4
- Use larger blocks as the basis of substitution. Rather than substituting one letter at a time, substitute 64 bits at a time, or 128 bits.
  - Leads to block ciphers such as DES & AES.

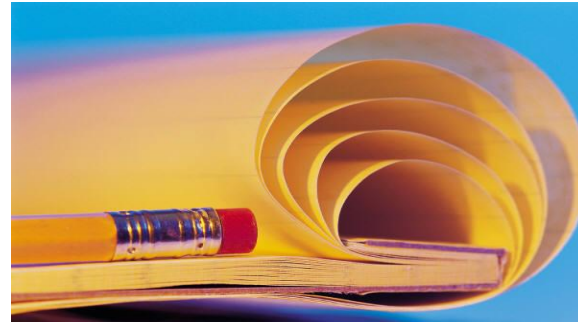
# One-Time Pad

- Key is a random string that is at least as long as the plaintext
- Encryption is similar to shift cipher
- Invented by Vernam in the 1920s



# One-Time Pad

Let  $Z_m = \{0, 1, \dots, m-1\}$  be  
the alphabet.



Plaintext space = Ciphertext space = Key space =  
 $(Z_m)^n$

The key is chosen uniformly randomly

Plaintext  $X = (x_1 \ x_2 \ \dots \ x_n)$

Key  $K = (k_1 \ k_2 \ \dots \ k_n)$

Ciphertext  $Y = (y_1 \ y_2 \ \dots \ y_n)$

$e_k(X) = (x_1+k_1 \ x_2+k_2 \ \dots \ x_n+k_n) \bmod m$

$d_k(Y) = (y_1-k_1 \ y_2-k_2 \ \dots \ y_n-k_n) \bmod m$

# Shannon (Information-Theoretic) Security = Perfect Secrecy

Basic Idea: Ciphertext should reveal no “information” about Plaintext

Definition. An encryption over a message space  $\mathcal{M}$  is perfectly secure if

$\forall$  probability distribution over  $\mathcal{M}$

$\forall$  message  $m \in \mathcal{M}$

$\forall$  ciphertext  $c \in \mathcal{C}$  for which  $\Pr[C=c] > 0$

We have

$$\Pr [\mathbf{PT}=m \mid \mathbf{CT}=c] = \Pr [\mathbf{PT} = m].$$

# Explanation of the Definition

- $\Pr [\mathbf{PT} = m]$  is what the adversary believes the probability that the plaintext is  $m$ , before seeing the ciphertext
- $\Pr [\mathbf{PT} = m \mid \mathbf{CT}=c]$  is what the adversary believes after seeing that the ciphertext is  $c$
- $\Pr [\mathbf{PT}=m \mid \mathbf{CT}=c] = \Pr [\mathbf{PT} = m]$  means that after knowing that the ciphertext is  $C_0$ , the adversary's belief does not change.

# An Equivalent Definition of Perfect Secrecy

Definition. An encryption scheme is perfectly secure if and only if **for any ciphertext  $c$ , and any two plaintext  $m_1$  and  $m_2$ , the probability that  $m_1$  is encrypted to  $c$  is the same as the probability that  $m_2$  is encrypted to  $c$ .**

$\forall$  message  $m_1, m_2$

$\forall$  ciphertext  $c$

$$\Pr [\mathbf{CT}=c \mid \mathbf{PT} = m_1] = \Pr [\mathbf{CT} = c \mid \mathbf{PT} = m_2]$$

# Perfect Secrecy

- Fact: When keys are uniformly chosen in a cipher, the cipher has perfect secrecy iff. the number of keys encrypting  $M$  to  $C$  is the same for any  $(M,C)$ 
  - This implies that
$$\forall c \forall m_1 \forall m_2 \Pr[\mathbf{CT}=c \mid \mathbf{PT}=m_1] = \Pr[\mathbf{CT}=c \mid \mathbf{PT}=m_2]$$
- One-time pad has perfect secrecy when limited to messages over the same length (**Proof?**)

# Key Randomness in One-Time Pad

- One-Time Pad uses a very long key, what if the key is not chosen randomly, instead, texts from, e.g., a book are used as keys.
  - this is not One-Time Pad anymore
  - this does not have perfect secrecy
  - this can be broken
  - How?
- The key in One-Time Pad should never be reused.
  - If it is reused, it is Two-Time Pad, and is insecure!
  - Why?

# Usage of One-Time Pad

- To use one-time pad, one must have keys as long as the messages.
- To send messages totaling certain size, sender and receiver must agree on a shared secret key of that size.
  - typically by sending the key over a secure channel
- Key agreement is difficult to do in practice.
- Can't one use the channel for sending the key to send the messages instead?
- Why is OTP still useful, even though difficult to use?

# Usage of One-Time Pad

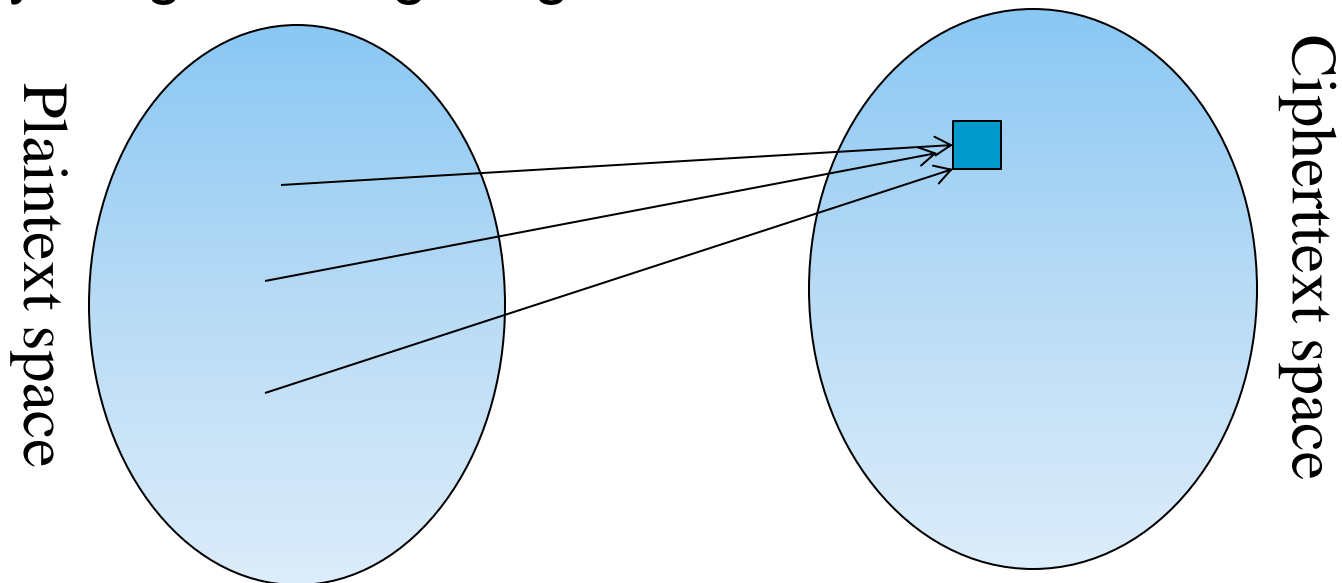
- The channel for distributing keys may exist at a different time from when one has messages to send.
- The channel for distributing keys may have the property that keys can be leaked, but such leakage will be detected
  - Such as in Quantum cryptography



# The “Bad News” Theorem for Perfect Secrecy

- Question: OTP requires key as long as messages, is this an inherent requirement for achieving perfect secrecy?
- Answer. Yes. Perfect secrecy implies that  $\text{key-length} \geq \text{msg-length}$

Proof:



- Implication: Perfect secrecy difficult to achieve in practice

# Stream Ciphers

- In One-Time Pad, a key is a random string of length at least the same as the message
- Stream ciphers:
  - Idea: replace “rand” by “pseudo rand”
  - Use Pseudo Random Number Generator
  - PRNG:  $\{0,1\}^s \rightarrow \{0,1\}^n$ 
    - expand a short (e.g., 128-bit) random seed into a long (e.g.,  $10^6$  bit) string that “looks random”
  - Secret key is the seed
  - Basic encryption method:  $E_{\text{key}}[M] = M \oplus \text{PRNG}(\text{key})$

# Pseudo Random Number Generator

- Useful for cryptography, simulation, randomized algorithm, etc.
  - Stream ciphers, generating session keys
- The same seed always gives the same output stream
  - Why is this necessary for stream ciphers?
- Simulation requires uniform distributed sequences
  - E.g., having a number of statistical properties
- **Cryptographically secure pseudo-random number generator** requires unpredictable sequences
  - satisfies the "next-bit test": given consecutive sequence of bits output (but not seed), next bit must be hard to predict
- Some PRNG's are weak: knowing output sequence of sufficient length, can recover key.
  - Do not use these for cryptographic purposes

# Security Properties of Stream Ciphers

- Under known plaintext, chosen plaintext, or chosen ciphertext, the adversary knows the key stream (i.e.,  $\text{PRNG}(\text{key})$ )
  - Security depends on PRNG
  - PRNG must be “unpredictable”
- Do stream ciphers have perfect secrecy?
- **How to break a stream cipher in a brute-force way?**
- If the same key stream is used twice, then easy to break.
  - This is a fundamental weakness of stream ciphers; it exists even if the PRNG used in the ciphers is strong

# Using Stream Ciphers in Practice

- If the same key stream is used twice, then easy to break.
  - This is a fundamental weakness of stream ciphers; it exists even if the PRNG used in the ciphers is strong
- In practice, one key is used to encrypt many messages
  - Example: Wireless communication
  - Solution: Use Initial vectors (IV).
  - $E_{\text{key}}[M] = [IV, M \oplus \text{PRNG}(\text{key} || IV)]$ 
    - IV is sent in clear to receiver;
    - IV needs integrity protection, but not confidentiality protection
    - IV ensures that key streams do not repeat, but does not increase cost of brute-force attacks
    - Without key, knowing IV still cannot decrypt
  - **Need to ensure that IV never repeats! How?**

# Towards Computational Security

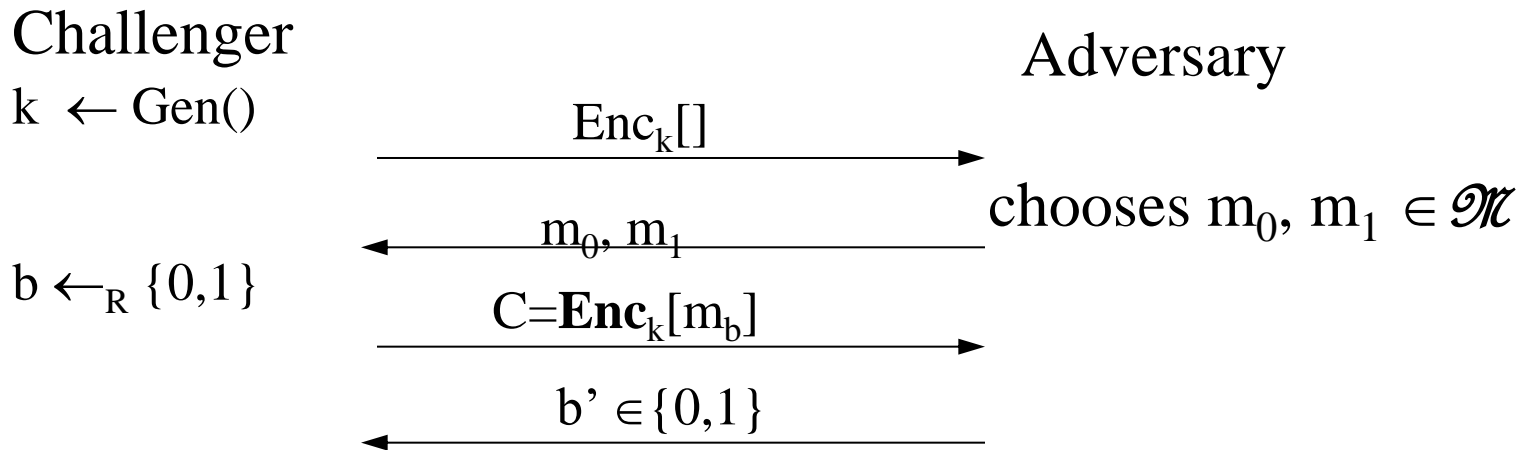
- Perfect secrecy is too difficult to achieve.
- Computational security uses two relaxations:
  - Security is preserved only against **efficient** (computationally bounded) adversaries
    - Adversary can only run in feasible amount of time
  - Adversaries can potentially succeed with some **very small probability** (that we can ignore the case it actually happens)

# Defining Security

- Desire “semantic security”, i.e., having access to the ciphertext does not help adversary to compute any function of the plaintext.
  - Difficult to use
- Equivalent notion: Adversary cannot distinguish between the ciphertexts of two plaintexts

# Towards IND-CPA Security:

- Ciphertext Indistinguishability under a Chosen-Plaintext Attack: Define the following IND-CPA experiment :
  - Involving an Adversary and a Challenger
  - Instantiated with an Adversary algorithm  $A$ , and an encryption scheme  $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$



**Adversary wins if  $b=b'$**



# Computational Security vs. Information Theoretic Security

- If a cipher has only computational security, then it can be broken by a brute force attack, e.g., enumerating all possible keys
  - Weak algorithms can be broken with much less time
- How to prove computational security?
  - Assume that some problems are hard (requires a lot of computational resources to solve), then show that breaking security means solving the problem
- Computational security is foundation of modern cryptography.

# Why Block Ciphers?

- One thread of defeating frequency analysis
  - Use different keys in different locations
  - Example: one-time pad, stream ciphers
- Another way to defeat frequency analysis
  - Make the unit of transformation larger, rather than encrypting letter by letter, encrypting block by block
  - Example: block cipher

# Block Ciphers

- An  $n$ -bit plaintext is encrypted to an  $n$ -bit ciphertext
  - $\mathcal{P}: \{0,1\}^n$
  - $\mathcal{C}: \{0,1\}^n$
  - $\mathcal{K}: \{0,1\}^s$
  - $\mathbf{E}: \mathcal{K} \times \mathcal{P} \rightarrow \mathcal{C}$ :  $E_k$ : a permutation on  $\{0,1\}^n$
  - $\mathbf{D}: \mathcal{K} \times \mathcal{C} \rightarrow \mathcal{P}$ :  $D_k$  is  $E_k^{-1}$
  - Block size:  $n$
  - Key size:  $s$

# Data Encryption Standard (DES)

- Designed by IBM, with modifications proposed by the National Security Agency
- US national standard from 1977 to 2001
- De facto standard
- Block size is 64 bits;
- Key size is 56 bits
- Has 16 rounds
- Designed mostly for hardware implementations
  - Software implementation is somewhat slow
- Considered insecure now
  - vulnerable to brute-force attacks
- Triple DES:  $E_{k_3} D_{k_2} E_{k_1}(M)$  has 112-bit strength, but slow

# Advanced Encryption Standard

- In 1997, NIST made a formal call for algorithms stipulating that the AES would specify an **unclassified, publicly disclosed encryption algorithm, available royalty-free, worldwide.**
- Goal: replace DES for both government and private-sector encryption.
- The algorithm must implement symmetric key cryptography as a block cipher and (at a minimum) support **block sizes of 128-bits and key sizes of 128-, 192-, and 256-bits.**
- In 1998, NIST selected 15 AES candidate algorithms.
- On October 2, 2000, NIST selected **Rijndael** (invented by Joan Daemen and Vincent Rijmen) to as the AES.

# Need for Encryption Modes

- A block cipher encrypts only one block
- Needs a way to extend it to encrypt an arbitrarily long message
- Want to ensure that if the block cipher is secure, then the encryption is secure
- Aims at providing Semantic Security (**IND-CPA**) assuming that the underlying block ciphers are strong

# Block Cipher Encryption Modes: ECB

- Message is broken into independent blocks;
- **Electronic Code Book (ECB)**: each block encrypted separately.
- **Encryption:  $c_i = E_k(x_i)$**
- **Decryption:  $x_i = D_k(c_i)$**

# Properties of ECB

- Deterministic:
  - the same data block gets encrypted the same way,
    - reveals patterns of data when a data block repeats
  - when the same key is used, the same message is encrypted the same way
- Usage: not recommended to encrypt more than one block of data
- How to break the semantic security (IND-CPA) of a block cipher with ECB?

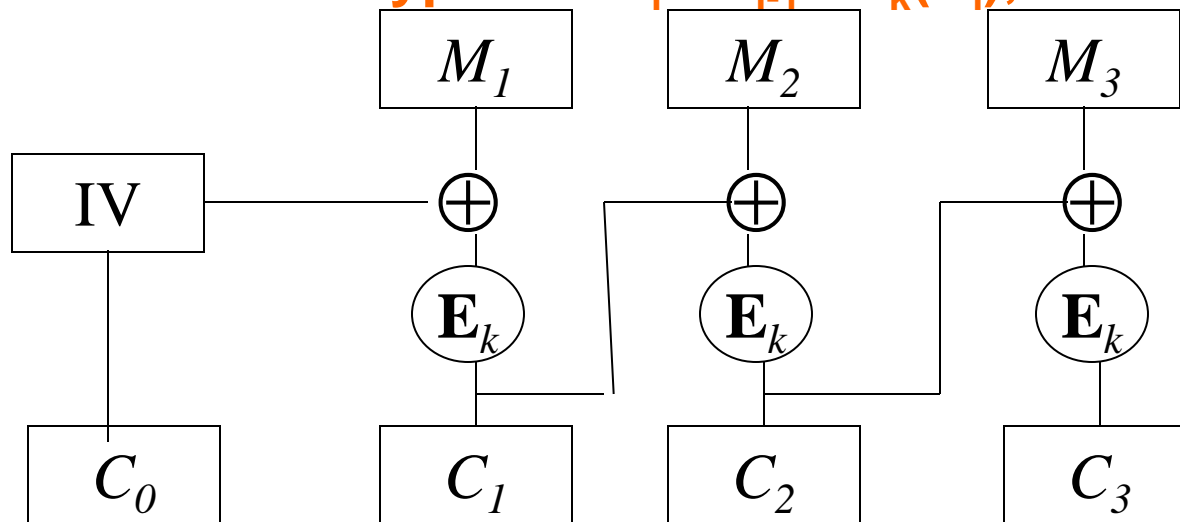


# DES Encryption Modes: CBC

- **Cipher Block Chaining (CBC):**
  - Uses a random Initial Vector (IV)
  - Next input depends upon previous output

**Encryption:**  $C_i = E_k(M_i \oplus C_{i-1})$ , with  $C_0 = IV$

**Decryption:**  $M_i = C_{i-1} \oplus D_k(C_i)$ , with  $C_0 = IV$

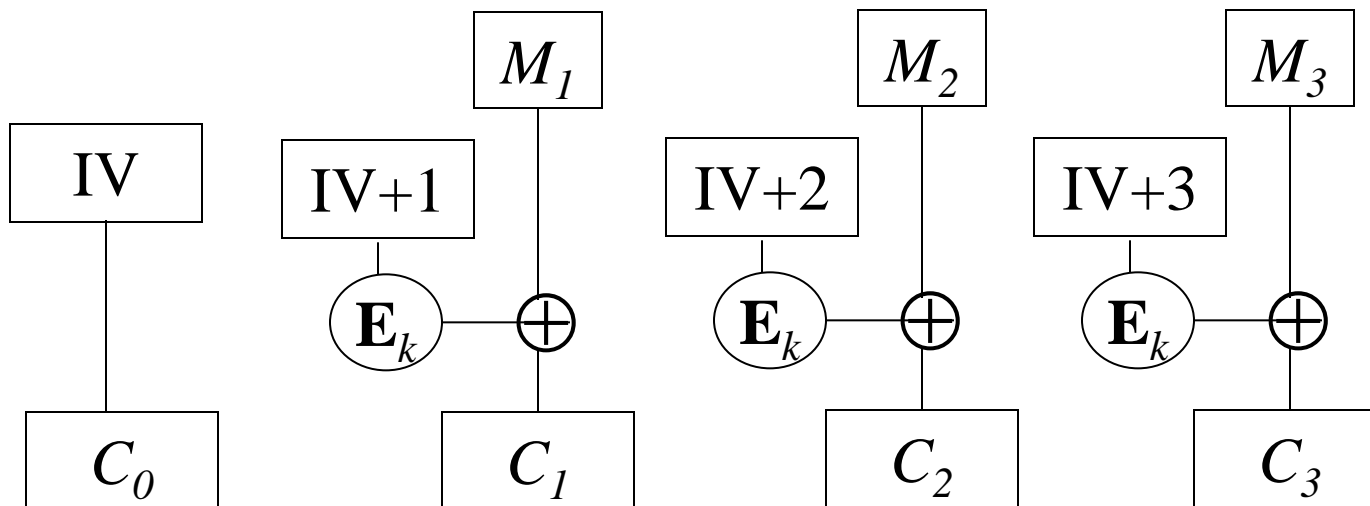


# Properties of CBC

- Randomized encryption: repeated text gets mapped to different encrypted data.
  - can be proven to provide IND-CPA assuming that the block cipher is secure (i.e., it is a Pseudo Random Permutation (PRP)) and that IV's are randomly chosen and the IV space is large enough (at least 64 bits)
- Each ciphertext block depends on all preceding plaintext blocks.
- Usage: chooses **random** IV and protects the **integrity** of IV
  - The IV is not secret (it is part of ciphertext)
  - The adversary cannot control the IV

# Encryption Modes: CTR

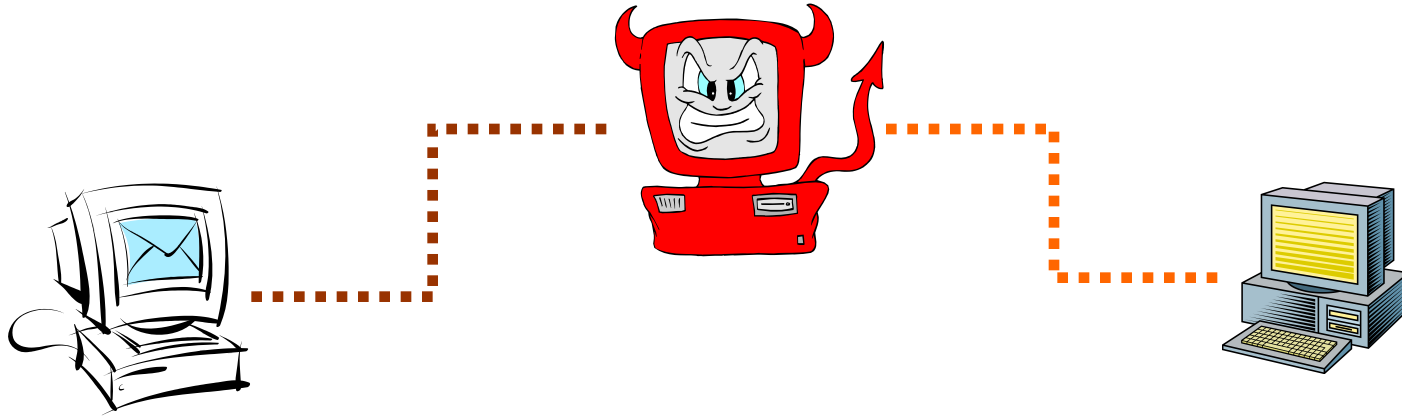
- **Counter Mode (CTR):** Defines a stream cipher using a block cipher
  - Uses a random IV, known as the counter
  - Encryption:  $C_0=IV$ ,  $C_i = M_i \oplus E_k[IV+i]$
  - Decryption:  $IV=C_0$ ,  $M_i = C_i \oplus E_k[IV+i]$



# Properties of CTR

- Gives a stream cipher from a block cipher
- Randomized encryption:
  - when starting counter is chosen randomly
- Random Access: encryption and decryption of a block can be done in random order, very useful for hard-disk encryption.
  - E.g., when one block changes, re-encryption only needs to encrypt that block. In CBC, all later blocks also need to change

# Data Integrity and Source Authentication



- Encryption does not protect data from modification by another party.
- Most encryption schemes are **malleable**:
  - Modifying ciphertext result in (somewhat) predictable change in plaintext
- Need a way to ensure that data arrives at destination in its original form as sent by the sender.

# Hash Functions

- A hash function maps a message of an arbitrary length to a  $m$ -bit output
  - output known as the **fingerprint** or the **message digest**
- What is an example of hash functions?
  - Give a hash function that maps Strings to integers in  $[0, 2^{\{32\}}-1]$
- Cryptographic hash functions are hash functions with additional security requirements

# Security Requirements for Cryptographic Hash Functions

Given a function  $h: X \rightarrow Y$ , then we say that  $h$  is:

- **preimage resistant (one-way):**  
if given  $y \in Y$  it is computationally infeasible to find a value  $x \in X$  s.t.  $h(x) = y$
- **2-nd preimage resistant (weak collision resistant):**  
if given  $x \in X$  it is computationally infeasible to find a value  $x' \in X$ , s.t.  $x' \neq x$  and  $h(x') = h(x)$
- **collision resistant (strong collision resistant):**  
if it is computationally infeasible to find two distinct values  $x', x \in X$ , s.t.  $h(x') = h(x)$

# Usages of Cryptographic Hash Functions

- Software integrity
  - E.g., tripwire
- Timestamping (cryptographic commitment)
  - How to prove that you have discovered a secret on an earlier date without disclosing the context of a secret?
- Other applications
  - Message authentication
  - One-time passwords
  - Digital signature



# Choosing Parameters

- The level of security (for collision resistance) of a hash function that outputs  $n$  bits, is about  $n/2$  bits
  - i.e., it takes  $2^{n/2}$  time to bruteforce it
  - Assuming that no better way of attacking the hash function is known
- Longer outputs often means more computation time and more communication overhead
- The level of security for encryption function using  $k$ -bit key is about  $k$  bits

# Choosing the length of Hash outputs

- **The Weakest Link Principle:**
  - A system is only as secure as its weakest link.
  - Hence all links in a system should have similar levels of security.
- Because of the birthday attack, the length of hash outputs in general should double the key length of block ciphers
  - SHA-224 matches the 112-bit strength of triple-DES (encryption 3 times using DES)
  - SHA-256, SHA-384, SHA-512 match the new key lengths (128,192,256) in AES
  - If small output size is highly important, and one is sure that collision-resistance is not needed (only one-wayness is needed), then same size should be okay.

# Well Known Hash Functions

- MD5
  - output 128 bits
  - collision resistance completely broken by researchers in China in 2004
- SHA1
  - output 160 bits
  - In Feb 2017, collision found with  $\approx 10^{19} \approx 2^{63}$  SHA-1 evaluations (costing around \$100K using cloud)
  - one-wayness still holds
- SHA2 (SHA-224, SHA-256, SHA-384, SHA-512)
  - outputs 224, 256, 384, and 512 bits, respectively
  - No practical security concerns yet
- SHA3 (224, 256, 384, 512)

# Using Hash Functions for Message Integrity

- Method 1: Uses a Hash Function  $h$ , assuming an authentic (adversary cannot modify) channel for short messages
  - Transmit a message  $M$  over the normal (insecure) channel
  - Transmit the message digest  $h(M)$  over the **authentic** channel
  - When receiver receives both  $M'$  and  $h$ , **how does the receiver check to make sure the message has not been modified?**
- **This is insecure. How to attack it?**
- A hash function is a many-to-one function, so **collisions can happen.**

# Hash Family

- A hash family is a four-tuple  $(X, Y, K, H)$ , where
  - $X$  is a set of possible messages
  - $Y$  is a finite set of possible message digests
  - $K$  is the keyspace
  - For each  $K \in K$ , there is a hash function  $h_K \in H$ . Each  $h_K: X \rightarrow Y$
- Alternatively, one can think of  $H$  as a function  $K \times X \rightarrow Y$

# Message Authentication Code (MAC)

- A MAC scheme is a hash family, used for message authentication
- $\text{MAC}(K, M) = H_K(M)$
- The sender and the receiver share secret  $K$
- The sender sends  $(M, H_K(M))$
- The receiver receives  $(X, Y)$  and verifies that  $H_K(X) = Y$ , if so, then accepts the message as from the sender
- To be secure, an adversary shouldn't be able to come up with  $(X', Y')$  such that  $H_K(X') = Y'$ .

MAC: Using a shared secret (or a limit-bandwidth confidential channel) to achieve authenticity/integrity.

# Security Requirements for MAC

- Secure against the “Existential Forgery under Chosen Plaintext Attack”
  - Challenger chooses a random key  $K$
  - Adversary chooses a number of messages  $M_1, M_2, \dots, M_n$ , and obtains  $t_j = \text{MAC}(K, M_j)$  for  $1 \leq j \leq n$
  - Adversary outputs  $M'$  and  $t'$
  - Adversary wins if  $\forall j M' \neq M_j$ , and  $t' = \text{MAC}(K, M')$
- Basically, adversary cannot create the MAC value for a message for which it hasn't seen an MAC

# Constructing MAC from Hash Functions

- Let  $h$  be a one-way hash function
- $\text{MAC}(K, M) = h(K \parallel M)$ , where  $\parallel$  denote concatenation
  - Insecure as MAC with a hash function that uses the Merkle-Damgard construction:
  - given  $M$  and  $t = h(K \parallel M)$ , adversary can compute  $M' = M \parallel \text{Pad}(M) \parallel X$  and  $t'$ , such that  $h(K \parallel M') = t'$



# HMAC: Constructing MAC from Cryptographic Hash Functions

$$\text{HMAC}_K[M] = \text{Hash}[(K^+ \oplus \text{opad}) \parallel \text{Hash}[(K^+ \oplus \text{ipad}) \parallel M]]$$

- $K^+$  is the key padded (with 0) to B bytes, the input block size of the hash function
- $\text{ipad}$  = the byte 0x36 repeated B times
- $\text{opad}$  = the byte 0x5C repeated B times.

At high level,  $\text{HMAC}_K[M] = H(K \parallel H(K \parallel M))$

Hash function is used twice, in nested fashion.

# HMAC Security

- If used with a secure hash functions (e.g., SHA-256) and according to the specification (key size, and use correct output), no known practical attacks against HMAC

# Next Lecture

- Overview of Public-Key Cryptography