# CS590U Access Control: Theory and Practice

Lecture 1 (Jan 10) Introduction to the Course Instructor Info

Ninghui Li

- Email:
- Office phone:
- Office:
- Office hour
  - Tuesday
  - Thursday
  - By appointment

ninghui@cs.purdue.edu 765-496-6756 REC 217C

4:20pm to 4:50pm 4:20pm to 4:50pm Coursework

Readings

- before each lecture
- Assignments (30%)
  - problems
  - review of assigned papers
- Mid-term exam (30%)
- A project (40%)

#### Check the course homepage

# Why a Course on Access Control?

#### What is Access Control?

- Quote from Security Engineering by Ross Anderson
  - Its function is to control which principals (persons, processes, machines, ...) have access to which resources in the system ---which files they can read, which programs they can execute, and how they share data with other principals, and so on.

### Access Control is Pervasive

- Application
  - business applications
- Middleware
  - DBMS
- Operating System
  - controlling access to files, ports
- Hardware
  - memory protection, privilege levels

### Access Control is Important

- Quote from Security Engineering
  - Access control is the traditional center of gravity of computer security. It is where security engineering meets computer science.
- TCSEC evaluates security of computer systems based on access control features + assurance

# Access Control is Interesting

- Has (relatively) well-developed theories
  - 30+ years history
  - some (quite involved) theory (apparently) not useful for other fields
- Many interesting and deep results
- Many misconceptions and debates
- A large percentage of published works contain serious errors
  - Corollary: Be skeptical, don't believe too much what others have said, try form your own opinions

Principles of Access Control (Saltzer and Schroeder 75)

- 1. Economy of mechanism
  - keep the design as simple and small as possible
- 2. Fail-safe defaults
  - default is no-access

## **Principles of Access Control**

- 3. Complete mediation
  - every access must be checked
- 4. Open design
  - security does not depend on the secrecy of mechanism

#### **Principles of Access Control**

- 5. Separation of privilege
  - a system that requires two keys is more robust than one that requires one
- 6. Least privilege
  - every program and every user should operate using the least privilege necessary to complete the job

## **Principles of Access Control**

#### 7. Least common mechanism

- "minimize the amount of mechanism common to more than one user and depended on by all users"
- 8. Psychological acceptability
  - "human interface should be designed for ease of use"
  - the user's mental image of his protection goals should match the mechanism

An Incomplete History of Access Control Research Earlier Years: Time-Sharing Operating Systems

- Reference monitors (1972)
- Access matrix (1971)
- Discretionary access control
  - trojan horse can leak information

# Confidentiality

- Bell-LaPadula Model
- Noninterference (1982)
- Nondeducibility (1986)
- Covert channel
- Proving information flow properties of systems and programs



- Biba model
- Clark-Wilson
- Chinese Wall

#### Database Access Control

- System R approach: grant/revoke, view
- Ingres approach (query rewriting)
- Multilevel databases
- Object/relational databases
- Real systems
  - SQL grant/revoke, view, stored procedures, fine-grained access control
- Privacy centric

#### **Role-Based Access Control**

- First in database context
- Then a generic access control approach
- Constraints
- Administration
- Extensions

Access Control in Distributed Systems

- ABLP Logic
- Trust management
  - PolicyMaker, KeyNote, QCM/SD3, Delegation Logic, Binder, RT
- Automated trust negotiation



- Workflow systems
- Firewall
- Cryptographic approach

End of Lecture 1

Next lecture:

- Access matrix
- Partial order and lattices
- State transition systems