

# Cryptography

## CS 555

### Topic 12: Number Theory Basics (2)

# Outline and Readings

- Outline
  - Groups
  - Residue classes
  - Euler Phi function
  - Chinese remainder theorem
- Readings:
  - Katz and Lindell: : 7.1.3, 7.1.4, 7.1.5, 7.2



# Group

- A **group** is a set  $G$  along with a binary operation
  - such that the following conditions hold
    - (Closure):  $\forall g, h \in G, g \bullet h \in G$
    - (Existence of an Identity):  
 $\exists e \in G$  s.t.  $\forall g \in G \quad g \bullet e = e \bullet g = g$
    - (Existence of inverse):  
 $\forall g \in G \exists h \in G$  s.t.  $g \bullet h = h \bullet g = e$
    - (Associativity)  
 $\forall g_1, g_2, g_3 \in G \quad (g_1 \bullet g_2) \bullet g_3 = g_1 \bullet (g_2 \bullet g_3)$
- Example:  $(\mathbb{Z}, +)$ ,  $(\mathbb{R}, +)$ ,  $(\mathbb{Q}^+, \times)$ ,  
(Permutations, Composition)

# Group Concepts

- When a group  $G$  has a finite number of elements, we say that  $G$  is a **finite group**, and  $|G|$  the **order of the group**.
- We say a group is **abelian** if the following holds
  - (Commutativity:)  $\forall g, h \in G, \quad g \bullet h = h \bullet g$
- We say that  $(H, \bullet)$  is a **subgroup** of  $(G, \bullet)$  if  $H$  is a subset of  $G$ , and  $(H, \bullet)$  is a group
  - Find a subgroup of  $(\mathbb{Z}, +)$

# Additive and Multiplicative Groups

- Additive group
  - Use  $+$  to denote the operation
  - Use  $0$  to denote the identity element
  - Use  $-g$  to denote the inverse of  $g$
  - Use  $mg = m \cdot g = g + g + \dots + g$  ( $g$  occurs  $m$  times)
- Multiplicative group
  - Use  $g \cdot h$  or simply  $gh$  to denote applying the operation
  - Use  $1$  to denote the identity element
  - Use  $g^{-1}$  to denote the inverse of  $g$
  - Use  $g^m$  to denote  $g \cdot g \cdot \dots \cdot g$

# Theorem 7.14

- Theorem: Let  $G$  be a finite abelian group, and  $m=|G|$  be its order, then  $\forall g \in G \quad g^m=1$
- Proof.
  - Lemma: If  $ab=ac$ , then  $b=c$ .
  - Let  $g_1, g_2, \dots, g_m$  be all elements in  $G$
  - Then  $gg_1, gg_2, \dots, gg_m$  must also be all elements in  $G$
  - $g_1 \cdot g_2 \cdots g_m = (gg_1) \cdot (gg_2) \cdots (gg_m) = g^m g_1 \cdot g_2 \cdots g_m$
  - Thus  $g^m=1$

# Residue Classes

- Given positive integer  $n$ , congruence modulo  $n$  is an equivalence relation.
- This relation partition all integers into equivalent classes; we denote the equivalence class containing the number  $x$  to be  $[x]_n$ , or  $[x]$  when  $n$  is clear from the context
- These classes are called residue classes modulo  $n$
- E.g.,  $[1]_7 = [8]_7 = \{\dots, -13, -6, 1, 8, 15, 22, \dots\}$

# Modular Arithmetic in $\mathbf{Z}_n$

- Define  $\mathbf{Z}_n$  as the set of residue classes modulo  $n$ 
  - $\mathbf{Z}_7 = \{[0], [1], [2], \dots, [6]\}$
- Define two binary operators  $+$  and  $\times$  on  $\mathbf{Z}_n$
- Given  $[x], [y]$  in  $\mathbf{Z}_n$ ,
$$\begin{aligned}[x] + [y] &= [x+y], \\ [x] \times [y] &= [xy]\end{aligned}$$
- E.g., in  $\mathbf{Z}_7$ :  $[3]+[4] = [0]$ ,  $[0]+[2] = [2]+[0] = [2]$ ,  
 $[5]+[6] = [4]$
- $(\mathbf{Z}_n, +)$  is a group of size  $n$ ;  $(\mathbf{Z}_n, \times)$  is not a group
- Compute the table for  $\mathbf{Z}_4$



# Properties of Modular Addition and Multiplication

Let  $n$  be a positive integer and  $\mathbf{Z}_n$  be the set of residue classes modulo  $n$ . For all  $a, b, c \in \mathbf{Z}_n$

1.  $a + b = b + a$  addition is commutative
2.  $(a+b)+c = a+(b+c)$  addition is associative
3.  $a + [0] = a$  exists addition identity
4.  $[x] + [-x] = [0]$  exists additive inverse
5.  $a \times b = b \times a$  multiplication is commutative
6.  $(a \times b) \times c = a \times (b \times c)$  multiplication is associative
7.  $a \times (b+c) = a \times b + a \times c$  mult. distributive over add.
8.  $a \times [1] = a$  exists multiplicative identity

# Multiplicative Inverse

- Theorem:  $[x]_n$  has a multiplicative inverse if and only if  $\gcd(x,n) = 1$
- We use  $\mathbf{Z}_n^*$  to denote the set of all residue classes that have a multiplicative inverse.
- What is  $\mathbf{Z}_{15}^*$ ?
- $(\mathbf{Z}_n^*, \times)$  is a group of size  $\Phi(n)$  .

# The Euler Phi Function

## Definition

Given an integer  $n$ ,  $\Phi(n) = |Z_n^*|$  is the number of all numbers  $a$  such that  $0 < a < n$  and  $a$  is relatively prime to  $n$  (i.e.,  $\gcd(a, n) = 1$ ).

## Theorem:

If

$$\gcd(m, n) = 1, \Phi(mn) = \Phi(m) \Phi(n)$$

Proof. There is a one-to-one mapping between  $Z_{mn}^*$  and  $Z_m^* \times Z_n^*$

$$x \rightarrow (x \bmod m, x \bmod n)$$

$$yn(n-1 \bmod m) + zm \quad (y, z)$$

# The Euler Phi Function

## Theorem: Formula for $\Phi(n)$

Let  $p$  be prime,  $e, m, n$  be positive integers

1)  $\Phi(p) = p-1$

2)  $\Phi(p^e) = p^e - p^{e-1}$

3) If  $n = p_1^{e_1} p_2^{e_2} \dots p_k^{e_k}$  then

$$\Phi(n) = n \left(1 - \frac{1}{p_1}\right) \left(1 - \frac{1}{p_2}\right) \dots \left(1 - \frac{1}{p_k}\right)$$

# Fermat's Little Theorem

## Fermat's Little Theorem

If  $p$  is a prime number and  $a$  is a natural number that is not a multiple of  $p$ , then

$$a^{p-1} \equiv 1 \pmod{p}$$

*Proof idea: Corollary of Theorem 7.14*

- $\gcd(a, p) = 1$ , then the set  $\{i \cdot a \pmod{p} \mid 0 < i < p\}$  is a permutation of the set  $\{1, \dots, p-1\}$ .
  - otherwise we have  $0 < n < m < p$  s.t.  $ma \pmod{p} = na \pmod{p}$ , and thus  $p \mid (ma - na) \Rightarrow p \mid (m-n)$ , where  $0 < m-n < p$
- $a \times 2a \times \dots \times (p-1)a = (p-1)! a^{p-1} \equiv (p-1)! \pmod{p}$

Since  $\gcd((p-1)!, p) = 1$ , we obtain  $a^{p-1} \equiv 1 \pmod{p}$

# Euler's Theorem

## Euler's Theorem

Given integer  $n > 1$ , such that  $\gcd(a, n) = 1$  then

$$a^{\Phi(n)} \equiv 1 \pmod{n}$$

## *Corollary of Theorem 7.14*

### Corollary

Given integer  $n > 1$ , such that  $\gcd(a, n) = 1$  then

$a^{\Phi(n)-1} \pmod{n}$  is a multiplicative inverse of  $a \pmod{n}$ .

### Corollary

Given integer  $n > 1$ ,  $x$ ,  $y$ , and a positive integers with  $\gcd(a, n) = 1$ . If  $x \equiv y \pmod{\Phi(n)}$ , then

$$a^x \equiv a^y \pmod{n}.$$

# Consequence of Euler's Theorem

## Principle of Modular Exponentiation

Given  $a$ ,  $n$ ,  $x$ ,  $y$  with  $n \geq 1$  and  $\gcd(a,n)=1$ ,  
if  $x \equiv y \pmod{\phi(n)}$ , then

$$a^x \equiv a^y \pmod{n}$$

*Proof idea:*

$$a^x = a^{k\phi(n) + y} = a^y (a^{\phi(n)})^k$$

by applying Euler's theorem we obtain

$$a^x \equiv a^y \pmod{n}$$

# Chinese Remainder Theorem (CRT)

## Theorem

Let  $n_1, n_2, \dots, n_k$  be integers s.t.  $\gcd(n_i, n_j) = 1$   
for any  $i \neq j$ .

$$x \equiv a_1 \pmod{n_1}$$

$$x \equiv a_2 \pmod{n_2}$$

...

$$x \equiv a_k \pmod{n_k}$$

There exists a unique solution modulo

$$n = n_1 n_2 \dots n_k$$



# Proof of CRT

- Consider the function  $\chi: \mathbb{Z}_n \rightarrow \mathbb{Z}_{n_1} \times \mathbb{Z}_{n_2} \times \dots \times \mathbb{Z}_{n_k}$   
 $\chi(x) = (x \bmod n_1, \dots, x \bmod n_k)$
- We need to prove that  $\chi$  is a bijection.
- For  $1 \leq i \leq k$ , define  $m_i = n / n_i$ , then  $\gcd(m_i, n_i) = 1$
- For  $1 \leq i \leq k$ , define  $y_i = m_i^{-1} \bmod n_i$
- Define function  $\rho(a_1, a_2, \dots, a_k) = \sum a_i m_i y_i \bmod n$ ,  
this function inverts  $\chi$ 
  - $a_i m_i y_i \equiv a_i \pmod{n_i}$
  - $a_i m_i y_i \equiv 0 \pmod{n_j}$  where  $i \neq j$

# An Example Illustrating Proof of CRT

- Example of the mappings:
  - $n_1=3, n_2=5, n=15$
  - $m_1=5, y_1=m_1^{-1} \bmod n_1=2, \quad 5 \cdot 2 \bmod 3 = 1$
  - $m_2=3, y_2=m_2^{-1} \bmod n_2=2, \quad 3 \cdot 2 \bmod 5 = 1$
  
  - $\rho(2,4) = (2 \cdot 5 \cdot 2 + 4 \cdot 3 \cdot 2) \bmod 15$   
 $\quad = 44 \bmod 15 = 14$
  - $14 \bmod 3 = 2, 14 \bmod 5 = 4$

# Example of CRT:

$$\begin{aligned}x &\equiv 5 \pmod{7} \\x &\equiv 3 \pmod{11} \\x &\equiv 10 \pmod{13}\end{aligned}$$

- $n_1=7, n_2=11, n_3=13, n=1001$
- $m_1=143, m_2=91, m_3=77$
- $y_1=143^{-1} \pmod{7} = 3^{-1} \pmod{7} = 5$
- $y_2=91^{-1} \pmod{11} = 3^{-1} \pmod{11} = 4$
- $y_3=77^{-1} \pmod{13} = 12^{-1} \pmod{13} = 12$
  
- $x = (5 \times 143 \times 5 + 3 \times 91 \times 4 + 10 \times 77 \times 12) \pmod{1001}$   
 $= 13907 \pmod{1001} = 894$

# Coming Attractions ...

- Message Authentication Code
- Reading: Katz & Lindell: 4.1~4.4

