

CS 580: Algorithm Design and Analysis

Jeremiah Blocki
Purdue University
Spring 2018

Homework 5 due on March 29th at 11:59 PM (on Blackboard)

Circuit Satisfiability

CIRCUIT-SAT. Given a combinational circuit built out of AND, OR, and NOT gates, is there a way to set the circuit inputs so that the output is 1?

yes: 1 0 1

hard-coded inputs: 1, 0

inputs: ?, ?, ?

Recap

Polynomial Time Reductions ($X \leq_p Y$)

P

- Decision problems which have a polynomial time algorithm.

NP

- Decision problems which have a polynomial time *proof certification* algorithm.
- All YES instances have a short proof

NP-Hard

- For all $X \in \text{NP}$ we have a reduction $X \leq_p Y$
- A decision problem $Y \in \text{NP}$ that is at least as hard any other problem $X \in \text{NP}$

NP-Complete

- A decision problem $Y \in \text{NP}$ that is also NP-Hard.

We know that $P \subseteq \text{NP}$ and $\text{NP} \subseteq \text{EXP}$, but not if $P = \text{NP}$

The "First" NP-Complete Problem

Theorem. CIRCUIT-SAT is NP-complete. [Cook 1971, Levin 1973]

Pf. (sketch)

- Any algorithm that takes a fixed number of bits n as input and produces a yes/no answer can be represented by such a circuit. Moreover, if algorithm takes poly-time, then circuit is of poly-size.

sketchy part of proof; fixing the number of bits is important, and reflects basic distinction between algorithms and circuits

- Consider some problem X in NP. It has a poly-time certifier $C(s, t)$. To determine whether s is in X , need to know if there exists a certificate t of length $p(|s|)$ such that $C(s, t) = \text{yes}$.
- View $C(s, t)$ as an algorithm on $|s| + p(|s|)$ bits (input s , certificate t) and convert it into a poly-size circuit K .
 - first $|s|$ bits are hard-coded with s
 - remaining $p(|s|)$ bits represent bits of t
- Circuit K is satisfiable iff $C(s, t) = \text{yes}$.

NP-Complete

NP-complete. A problem Y in NP with the property that for every problem X in NP, $X \leq_p Y$.

Theorem. Suppose Y is an NP-complete problem. Then Y is solvable in poly-time iff $P = \text{NP}$.

Pf. \Leftarrow If $P = \text{NP}$ then Y can be solved in poly-time since Y is in NP.

Pf. \Rightarrow Suppose Y can be solved in poly-time.

- Let X be any problem in NP. Since $X \leq_p Y$, we can solve X in poly-time. This implies $\text{NP} \subseteq P$.
- We already know $P \subseteq \text{NP}$. Thus $P = \text{NP}$.

Fundamental question. Do there exist "natural" NP-complete problems?

Example

Ex. Construction below creates a circuit K whose inputs can be set so that K outputs true iff graph G has an independent set of size 2.

independent set? independent set of size 2?

set of size 2?

both endpoints of some edge have been chosen?

hard-coded inputs (graph description): 1, 0, 1

n inputs (nodes in independent set): ?, ?, ?

$G = (V, E), n = 3$

Establishing NP-Completeness

Remark. Once we establish first "natural" NP-complete problem, others fall like dominoes.

Recipe to establish NP-completeness of problem Y.

- Step 1. Show that Y is in NP.
- Step 2. Choose an NP-complete problem X.
- Step 3. Prove that $X \leq_p Y$.

Justification. If X is an NP-complete problem, and Y is a problem in NP with the property that $X \leq_p Y$ then Y is NP-complete.

Pf. Let W be any problem in NP. Then $W \leq_p X \leq_p Y$.

- By transitivity, $W \leq_p Y$.
- Hence Y is NP-complete.

$$\begin{array}{c} \uparrow \\ \text{by definition of} \\ \text{NP-complete} \end{array} \quad \begin{array}{c} \uparrow \\ \text{by assumption} \end{array}$$

7

Some NP-Complete Problems

Six basic genres of NP-complete problems and paradigmatic examples.

- Packing problems: SET-PACKING, INDEPENDENT SET.
- Covering problems: SET-COVER, VERTEX-COVER.
- Constraint satisfaction problems: SAT, 3-SAT.
- Sequencing problems: HAMILTONIAN-CYCLE, TSP.
- Partitioning problems: 3D-MATCHING, 3-COLOR.
- Numerical problems: SUBSET-SUM, KNAPSACK.

Practice. Most NP problems are either known to be in P or NP-complete.

Notable exceptions. Factoring, graph isomorphism, Nash equilibrium.

10

3-SAT is NP-Complete

Theorem. 3-SAT is NP-complete.

Pf. Suffices to show that CIRCUIT-SAT \leq_p 3-SAT since 3-SAT is in NP.

- Let K be any circuit.
- Create a 3-SAT variable x_i for each circuit element i .
- Make circuit compute correct values at each node:
 - $x_2 = \neg x_3 \Rightarrow$ add 2 clauses: $x_2 \vee x_3, \neg x_2 \vee \neg x_3$
 - $x_1 = x_4 \vee x_5 \Rightarrow$ add 3 clauses: $x_1 \vee \neg x_4, x_1 \vee \neg x_5, \neg x_1 \vee x_4 \vee x_5$
 - $x_0 = x_1 \wedge x_2 \Rightarrow$ add 3 clauses: $\neg x_0 \vee x_1, \neg x_0 \vee x_2, x_0 \vee \neg x_1 \vee \neg x_2$
- Hard-coded input values and output value.
 - $x_5 = 0 \Rightarrow$ add 1 clause: $\neg x_5$
 - $x_0 = 1 \Rightarrow$ add 1 clause: x_0
- Final step: turn clauses of length < 3 into clauses of length exactly 3.

8

Extent and Impact of NP-Completeness

Extent of NP-completeness. [Papadimitriou 1995]

- Prime intellectual export of CS to other disciplines.
- 6,000 citations per year (title, abstract, keywords).
 - more than "compiler", "operating system", "database"
- Broad applicability and classification power.
- "Captures vast domains of computational, scientific, mathematical endeavors, and seems to roughly delimit what mathematicians and scientists had been aspiring to compute feasibly."

NP-completeness can guide scientific inquiry.

- 1926: Ising introduces simple model for phase transitions.
- 1944: Onsager solves 2D case in tour de force.
- 19xx: Feynman and other top minds seek 3D solution.
- 2000: Istrail proves 3D problem NP-complete.

11

NP-Completeness

Observation. All problems below are NP-complete and polynomial reduce to one another!

9

More Hard Computational Problems

- Aerospace engineering:** optimal mesh partitioning for finite elements.
- Biology:** protein folding.
- Chemical engineering:** heat exchanger network synthesis.
- Civil engineering:** equilibrium of urban traffic flow.
- Economics:** computation of arbitrage in financial markets with friction.
- Electrical engineering:** VLSI layout.
- Environmental engineering:** optimal placement of contaminant sensors.
- Financial engineering:** find minimum risk portfolio of given return.
- Game theory:** find Nash equilibrium that maximizes social welfare.
- Genomics:** phylogeny reconstruction.
- Mechanical engineering:** structure of turbulence in sheared flows.
- Medicine:** reconstructing 3-D shape from biplane angiocardigram.
- Operations research:** optimal resource allocation.
- Physics:** partition function of 3-D Ising model in statistical mechanics.
- Politics:** Shapley-Shubik voting power.
- Pop culture:** Minesweeper consistency.
- Statistics:** optimal experimental design.

12

8.9 co-NP and the Asymmetry of NP

NP = co-NP ?

Fundamental question. Does NP = co-NP?

- Do **yes** instances have succinct certificates iff **no** instances do?
- Consensus opinion: no.

Theorem. If NP ≠ co-NP, then P ≠ NP.

Pf idea.

- P is closed under complementation.
- If P = NP, then NP is closed under complementation.
- In other words, NP = co-NP.
- This is the contrapositive of the theorem.

16

Asymmetry of NP

Asymmetry of NP. We only need to have short proofs of **yes** instances.

Ex 1. SAT vs. TAUTOLOGY.

- Can prove a CNF formula is satisfiable by giving such an assignment.
- How could we prove that a formula is **not** satisfiable?

Ex 2. HAM-CYCLE vs. NO-HAM-CYCLE.

- Can prove a graph is Hamiltonian by giving such a Hamiltonian cycle.
- How could we prove that a graph is **not** Hamiltonian?

Remark. SAT is NP-complete and $SAT \equiv_P TAUTOLOGY$, but how do we classify TAUTOLOGY?

↑
not even known to be in NP

14

Good Characterizations

Good characterization. [Edmonds 1965] $NP \cap co-NP$.

- If problem X is in both NP and co-NP, then:
 - for **yes** instance, there is a succinct certificate
 - for **no** instance, there is a succinct disqualifier
- Provides conceptual leverage for reasoning about a problem.

Ex. Given a bipartite graph, is there a perfect matching.

- If yes, can exhibit a perfect matching.
- If no, can exhibit a set of nodes S such that $|N(S)| < |S|$.

17

NP and co-NP

NP. Decision problems for which there is a poly-time certifier.

Ex. SAT, HAM-CYCLE, COMPOSITES.

Def. Given a decision problem X, its **complement** \bar{X} is the same problem with the **yes** and **no** answers reverse.

Ex. $\bar{X} = \{ 0, 1, 4, 6, 8, 9, 10, 12, 14, 15, \dots \}$
 $X = \{ 2, 3, 5, 7, 11, 13, 17, 23, 29, \dots \}$

co-NP. Complements of decision problems in NP.

Ex. TAUTOLOGY, NO-HAM-CYCLE, PRIMES.

15

Good Characterizations

Observation. $P \subseteq NP \cap co-NP$.

- Proof of max-flow min-cut theorem led to stronger result that max-flow and min-cut are in P.
- Sometimes finding a good characterization seems easier than finding an efficient algorithm.

Fundamental open question. Does $P = NP \cap co-NP$?

- Mixed opinions.
- Many examples where problem found to have a non-trivial good characterization, but only years later discovered to be in P.
 - linear programming [Khachiyan, 1979]
 - primality testing [Agrawal-Kayal-Saxena, 2002]

Fact. Factoring is in $NP \cap co-NP$, but not known to be in P.

↑
if poly-time algorithm for factoring,
can break RSA cryptosystem

18

FACTOR is in $NP \cap co-NP$

FACTORIZE. Given an integer x , find its prime factorization.
FACTOR. Given two integers x and y , does x have a nontrivial factor less than y ?

Theorem. $FACTOR \equiv_p FACTORIZE$.

Theorem. $FACTOR$ is in $NP \cap co-NP$.

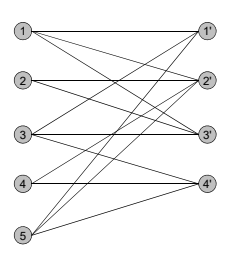
Pf.

- **Certificate:** a factor p of x that is less than y .
- **Disqualifier:** the prime factorization of x (where each prime factor is less than y), along with a certificate that each factor is prime.

19

Hamiltonian Cycle

HAM-CYCLE: given an undirected graph $G = (V, E)$, does there exist a simple cycle Γ that contains every node in V .



NO: bipartite graph with odd number of nodes.

22

8.5 Sequencing Problems

Basic genres.

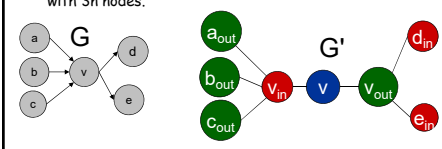
- Packing problems: SET-PACKING, INDEPENDENT SET.
- Covering problems: SET-COVER, VERTEX-COVER.
- Constraint satisfaction problems: SAT, 3-SAT.
- **Sequencing problems:** HAMILTONIAN-CYCLE, TSP.
- Partitioning problems: 3D-MATCHING, 3-COLOR.
- Numerical problems: SUBSET-SUM, KNAPSACK.

Directed Hamiltonian Cycle

DIR-HAM-CYCLE: given a digraph $G = (V, E)$, does there exist a simple directed cycle Γ that contains every node in V ?

Claim. $DIR-HAM-CYCLE \leq_p HAM-CYCLE$.

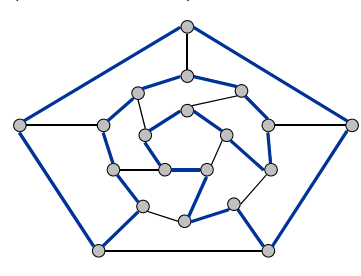
Pf. Given a directed graph $G = (V, E)$, construct an undirected graph G' with $3n$ nodes.



23

Hamiltonian Cycle

HAM-CYCLE: given an undirected graph $G = (V, E)$, does there exist a simple cycle Γ that contains every node in V .



YES: vertices and faces of a dodecahedron.

21

Directed Hamiltonian Cycle

Claim. G has a Hamiltonian cycle iff G' does.

Pf. \Rightarrow

- Suppose G has a directed Hamiltonian cycle Γ .
- Then G' has an undirected Hamiltonian cycle (same order).

Pf. \Leftarrow

- Suppose G' has an undirected Hamiltonian cycle Γ' .
- Γ' must visit nodes in G' using one of following two orders:
 ..., $B, G, R, B, G, R, B, G, R, B, \dots$
 ..., $B, R, G, B, R, G, B, R, G, B, \dots$
- Blue nodes in Γ' make up directed Hamiltonian cycle Γ in G , or reverse of one. •

24

3-SAT Reduces to Directed Hamiltonian Cycle

Claim. $3\text{-SAT} \leq_p \text{DIR-HAM-CYCLE}$.

Pf. Given an instance Φ of 3-SAT, we construct an instance of DIR-HAM-CYCLE that has a Hamiltonian cycle iff Φ is satisfiable.

Construction. First, create graph that has 2^n Hamiltonian cycles which correspond in a natural way to 2^n possible truth assignments.

25

3-SAT Reduces to Directed Hamiltonian Cycle

Construction. Given 3-SAT instance Φ with n variables x_i and k clauses.

- For each clause: add a node and 6 edges.

26

3-SAT Reduces to Directed Hamiltonian Cycle

Construction. Given 3-SAT instance Φ with n variables x_i and k clauses.

- Construct G to have 2^n Hamiltonian cycles.
- Intuition: traverse path i from left to right \Leftrightarrow set variable $x_i = 1$.

26

3-SAT Reduces to Directed Hamiltonian Cycle

Claim. Φ is satisfiable iff G has a Hamiltonian cycle.

Pf. \Rightarrow

- Suppose 3-SAT instance has satisfying assignment x^* .
- Then, define Hamiltonian cycle in G as follows:
 - if $x^*_i = 1$, traverse row i from left to right
 - if $x^*_i = 0$, traverse row i from right to left
 - for each clause C_j , there will be at least one row i in which we are going in "correct" direction to splice node C_j into tour

29

3-SAT Reduces to Directed Hamiltonian Cycle

Construction. Given 3-SAT instance Φ with n variables x_i and k clauses.

- Construct G to have 2^n Hamiltonian cycles.

27

3-SAT Reduces to Directed Hamiltonian Cycle

Claim. Φ is satisfiable iff G has a Hamiltonian cycle.

Pf. \Leftarrow

- Suppose G has a Hamiltonian cycle Γ .
- If Γ enters clause node C_j , it must depart on mate edge.
 - thus, nodes immediately before and after C_j are connected by an edge e in G
 - removing C_j from cycle, and replacing it with edge e yields Hamiltonian cycle on $G - \{C_j\}$
- Continuing in this way, we are left with Hamiltonian cycle Γ' in $G - \{C_1, C_2, \dots, C_k\}$.
- Set $x^*_i = 1$ iff Γ' traverses row i left to right.
- Since Γ visits each clause node C_j , at least one of the paths is traversed in "correct" direction, and each clause is satisfied.

30

Longest Path

SHORTEST-PATH. Given a digraph $G = (V, E)$, does there exist a simple path of length at most k edges?

LONGEST-PATH. Given a digraph $G = (V, E)$, does there exist a simple path of length at least k edges?

Claim. $3\text{-SAT} \leq_p \text{LONGEST-PATH}$.


Pf 1. Redo proof for DIR-HAM-CYCLE , ignoring back-edge from t to s .

Pf 2. Show $\text{HAM-CYCLE} \leq_p \text{LONGEST-PATH}$.

31

Traveling Salesperson Problem

TSP. Given a set of n cities and a pairwise distance function $d(u, v)$, is there a tour of length $\leq D$?




11,849 holes to drill in a programmed logic array
Reference: <http://www.tsp.gatech.edu>

34

Traveling Salesperson Problem

TSP. Given a set of n cities and a pairwise distance function $d(u, v)$, is there a tour of length $\leq D$?

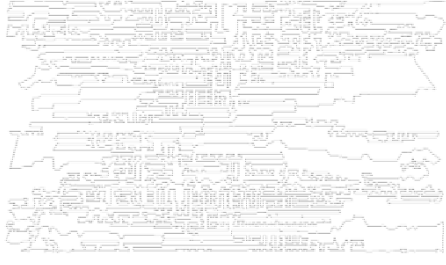


All 13,509 cities in US with a population of at least 500
Reference: <http://www.tsp.gatech.edu>

32

Traveling Salesperson Problem

TSP. Given a set of n cities and a pairwise distance function $d(u, v)$, is there a tour of length $\leq D$?




Optimal TSP tour
Reference: <http://www.tsp.gatech.edu>

35

Traveling Salesperson Problem

TSP. Given a set of n cities and a pairwise distance function $d(u, v)$, is there a tour of length $\leq D$?



Optimal TSP tour
Reference: <http://www.tsp.gatech.edu>

33

Traveling Salesperson Problem

TSP. Given a set of n cities and a pairwise distance function $d(u, v)$, is there a tour of length $\leq D$?

HAM-CYCLE: given a graph $G = (V, E)$, does there exist a simple cycle that contains every node in V ?

Claim. $\text{HAM-CYCLE} \leq_p \text{TSP}$.

Pf.

- Given instance $G = (V, E)$ of HAM-CYCLE , create n cities with distance function

$$d(u, v) = \begin{cases} 1 & \text{if } (u, v) \in E \\ 2 & \text{if } (u, v) \notin E \end{cases}$$
- TSP instance has tour of length $\leq n$ iff G is Hamiltonian. •

Remark. TSP instance in reduction satisfies Δ -inequality.

36

MY HOBBY:
EMBEDDING NP-COMPLETE PROBLEMS IN RESTAURANT ORDERS

CHOTCHNIES RESTAURANT

APPETIZERS

MIXED FRUIT	2.15
FRENCH FRIES	2.75
SIDE SALAD	3.35
HOT WINGS	3.55
MOZZARELLA STICKS	4.20
SAMPLER PLATE	5.80

SANDWICHES

PARMIGIANI	6.55
------------	------

WE'D LIKE EXACTLY \$15.05 WORTH OF APPETIZERS, PLEASE.

...EXACTLY? UHM...

HERE, THESE PAPERS ON THE KITCHENBACK PROBLEM MIGHT HELP YOU OUT.

LISTEN, I HAVE SIX OTHER TABLES TO GET TO—

—AS FAST AS POSSIBLE, OF COURSE. WANT SOMETHING ON TRAVELING SALESMAN?

Randall Munro
<http://xkcd.com/cas9.html>

Register Allocation

Register allocation. Assign program variables to machine register so that no more than k registers are used and no two program variables that are needed at the same time are assigned to the same register.

Interference graph. Nodes are program variables names, edge between u and v if there exists an operation where both u and v are "live" at the same time.

Observation. [Chaitin 1982] Can solve register allocation problem iff interference graph is k -colorable.

Fact. $3\text{-COLOR} \leq_p k\text{-REGISTER-ALLOCATION}$ for any constant $k \geq 3$.

8.7 Graph Coloring

Basic genres.

- Packing problems: SET-PACKING, INDEPENDENT SET.
- Covering problems: SET-COVER, VERTEX-COVER.
- Constraint satisfaction problems: SAT, 3-SAT.
- Sequencing problems: HAMILTONIAN-CYCLE, TSP.
- **Partitioning problems:** 3D-MATCHING, 3-COLOR.
- Numerical problems: SUBSET-SUM, KNAPSACK.

3-Colorability

Claim. $3\text{-SAT} \leq_p 3\text{-COLOR}$.

Pf. Given 3-SAT instance Φ , we construct an instance of 3-COLOR that is 3-colorable iff Φ is satisfiable.

Construction.

- i. For each literal, create a node.
- ii. Create 3 new nodes T, F, B; connect them in a triangle, and connect each literal to B.
- iii. Connect each literal to its negation.
- iv. For each clause, add gadget of 6 nodes and 13 edges.

↓
to be described next

3-Colorability

3-COLOR: Given an undirected graph G does there exists a way to color the nodes red, green, and blue so that no adjacent nodes have the same color?

yes instance

3-Colorability

Claim. Graph is 3-colorable iff Φ is satisfiable.

Pf. \Rightarrow Suppose graph is 3-colorable.

- Consider assignment that sets all T literals to true.
- (ii) ensures each literal is T or F.
- (iii) ensures a literal and its negation are opposites.

3-Colorability

Claim. Graph is 3-colorable iff Φ is satisfiable.

Pf. \Rightarrow Suppose graph is 3-colorable.

- Consider assignment that sets all T literals to true.
- (ii) ensures each literal is T or F.
- (iii) ensures a literal and its negation are opposites.
- (iv) ensures at least one literal in each clause is T.

$$C_i = x_1 \vee \bar{x}_2 \vee x_3$$

43

Extra Slides

3-Colorability

Claim. Graph is 3-colorable iff Φ is satisfiable.

Pf. \Rightarrow Suppose graph is 3-colorable.

- Consider assignment that sets all T literals to true.
- (ii) ensures each literal is T or F.
- (iii) ensures a literal and its negation are opposites.
- (iv) ensures at least one literal in each clause is T.

$$C_i = x_1 \vee \bar{x}_2 \vee x_3$$

44

8.10 A Partial Taxonomy of Hard Problems

3-Colorability

Claim. Graph is 3-colorable iff Φ is satisfiable.

Pf. \Leftarrow Suppose 3-SAT formula Φ is satisfiable.

- Color all true literals T.
- Color node below green node F, and node below that B.
- Color remaining middle row nodes B.
- Color remaining bottom nodes T or F as forced.

$$C_i = x_1 \vee \bar{x}_2 \vee x_3$$

45

Polynomial-Time Reductions

Dick Karp
(1972)
1985
Award

46

Subset Sum (proof from book)

Construction. Let $X \cup Y \cup Z$ be an instance of 3D-MATCHING with triplet set T . Let $n = |X| = |Y| = |Z|$ and $m = |T|$.

- Let $X = \{x_1, x_2, x_3, x_4\}$, $Y = \{y_1, y_2, y_3, y_4\}$, $Z = \{z_1, z_2, z_3, z_4\}$
- For each triplet $t = (x_i, y_j, z_k) \in T$, create an integer w_t with $3n$ digits that has a 1 in positions $i, n+j$, and $2n+k$.

use base $m+1$

Claim. 3D-matching iff some subset sums to $W = 111, \dots, 111$.

Triplet t	x_1	x_2	x_3	x_4	y_1	y_2	y_3	y_4	z_1	z_2	z_3	z_4	w_t
x_1, y_2, z_3	1	0	0	0	0	1	0	0	0	0	1	0	100,001,000,010
x_2, y_4, z_2	0	1	0	0	0	0	0	1	0	1	0	0	10,000,010,100
x_1, y_1, z_4	1	0	0	0	1	0	0	0	1	0	0	0	100,010,001,000
x_2, y_2, z_4	0	1	0	0	0	1	0	0	0	0	1	0	10,001,000,001
x_4, y_3, z_4	0	0	0	1	0	0	1	0	0	0	0	1	100,100,001
x_3, y_1, z_2	0	0	1	0	1	0	0	0	0	1	0	0	1,010,000,100
x_3, y_1, z_3	0	0	1	0	1	0	0	0	0	1	0	0	1,010,000,010
x_3, y_1, z_4	0	0	1	0	1	0	0	0	1	0	0	0	1,010,001,000
x_3, y_1, z_4	0	0	1	0	1	0	0	0	1	0	0	0	100,010,001
x_4, y_4, z_4	0	0	0	1	0	0	0	1	0	0	0	1	111,111,111,111

Planar 3-Colorability

PLANAR-3-COLOR. Given a planar map, can it be colored using 3 colors so that no adjacent regions have the same color?

YES instance.

Partition

SUBSET-SUM. Given natural numbers w_1, \dots, w_n and an integer W , is there a subset that adds up to exactly W ?

PARTITION. Given natural numbers v_1, \dots, v_m , can they be partitioned into two subsets that add up to the same value?

$\frac{1}{2} \sum v_i$

Claim. SUBSET-SUM \leq_p PARTITION.

Pf. Let W, w_1, \dots, w_n be an instance of SUBSET-SUM.

- Create instance of PARTITION with $m = n+2$ elements.
 - $v_1 = w_1, v_2 = w_2, \dots, v_n = w_n, v_{n+1} = 2 \sum w_i - W, v_{n+2} = \sum w_i + W$
- There exists a subset that sums to W iff there exists a partition since two new elements cannot be in the same partition. *

$v_{n+1} = 2 \sum w_i - W$	W	subset A
$v_{n+2} = \sum w_i + W$	$\sum w_i - W$	subset B

Planar 3-Colorability

PLANAR-3-COLOR. Given a planar map, can it be colored using 3 colors so that no adjacent regions have the same color?

NO instance.

4 Color Theorem

Planarity

Def. A graph is **planar** if it can be embedded in the plane in such a way that no two edges cross.

Applications: VLSI circuit design, computer graphics.

planar K_5 : non-planar $K_{3,3}$: non-planar

Kuratowski's Theorem. An undirected graph G is non-planar iff it contains a subgraph homeomorphic to K_5 or $K_{3,3}$.

homeomorphic to $K_{3,3}$

Planarity Testing

Planarity testing. [Hopcroft-Tarjan 1974] $O(n)$.

simple planar graph can have at most $3n - 6$ edges.

Remark. Many intractable graph problems can be solved in poly-time if the graph is planar; many tractable graph problems can be solved faster if the graph is planar.

55

Planar Graph 3-Colorability

Claim. W is a planar graph such that:

- In any 3-coloring of W , opposite corners have the same color.
- Any assignment of colors to the corners in which opposite corners have the same color extends to a 3-coloring of W .

Pf. Only 3-colorings of W are shown below (or by permuting colors).

56

Planar Graph 3-Colorability

Q. Is this planar graph 3-colorable?

56

Planar Graph 3-Colorability

Claim. $3\text{-COLOR} \leq_p \text{PLANAR-GRAPH-3-COLOR}$.

Pf. Given instance of 3-COLOR , draw graph in plane, letting edges cross.

- Replace each edge crossing with planar gadget W .
- In any 3-coloring of W , $a \neq a'$ and $b \neq b'$.
- If $a \neq a'$ and $b \neq b'$ then can extend to a 3-coloring of W .

57

Planar 3-Colorability and Graph 3-Colorability

Claim. $\text{PLANAR-3-COLOR} \leq_p \text{PLANAR-GRAPH-3-COLOR}$.

Pf sketch. Create a vertex for each region, and an edge between regions that share a nontrivial border.

57

Planar Graph 3-Colorability

Claim. $3\text{-COLOR} \leq_p \text{PLANAR-GRAPH-3-COLOR}$.

Pf. Given instance of 3-COLOR , draw graph in plane, letting edges cross.

- Replace each edge crossing with planar gadget W .
- In any 3-coloring of W , $a \neq a'$ and $b \neq b'$.
- If $a \neq a'$ and $b \neq b'$ then can extend to a 3-coloring of W .

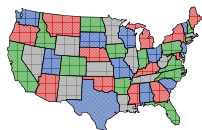
58

Planar k-Colorability

PLANAR-2-COLOR. Solvable in linear time.

PLANAR-3-COLOR. NP-complete.

PLANAR-4-COLOR. Solvable in $O(1)$ time.



Theorem. [Appel-Haken, 1976] Every planar map is 4-colorable.

- Resolved century-old open problem.
- Used 50 days of computer time to deal with many special cases.
- First major theorem to be proved using computer.

False intuition. If PLANAR-3-COLOR is hard, then so is PLANAR-4-COLOR and PLANAR-5-COLOR.

81

Polynomial-Time Detour

Graph minor theorem. [Robertson-Seymour 1980s]

Corollary. There exist an $O(n^3)$ algorithm to determine if a graph can be embedded in the torus in such a way that no two edges cross.

Pf of theorem. Tour de force.

82

Polynomial-Time Detour

Graph minor theorem. [Robertson-Seymour 1980s]

Corollary. There exist an $O(n^3)$ algorithm to determine if a graph can be embedded in the torus in such a way that no two edges cross.

Mind boggling fact 1. The proof is highly non-constructive!

Mind boggling fact 2. The constant of proportionality is enormous!

Unfortunately, for any instance $G = (V, E)$ that one could fit into the known universe, one would easily prefer n^{70} to even *constant* time, if that constant had to be one of Robertson and Seymour's. - David Johnson

Theorem. There exists an explicit $O(n)$ algorithm.

Practice. LEDA implementation guarantees $O(n^3)$.

83