

# Cryptography

## CS 555

### **Week 4:**

- Message Authentication Codes
- CBC-MAC
- Authenticated Encryption + CCA Security

**Readings:** Katz and Lindell Chapter 4.1-4.4

**Homework 1 Solutions Released**

**Homework 2 Released:** Due Feb 18 @11:59PM on Gradescope

# Recap

- Chosen Plaintext Attacks/Chosen Ciphertext Attacks
  - CPA vs CCA-security
- Blockciphers and Modes of Operation
- Message Authentication Codes
  - ~~Confidentiality~~ vs Integrity
  - Canonical Verification and Timing Side Channel

## **Current Goal:**

- Build a Secure MAC
  - Key tool in Construction of CCA-Secure Encryption Schemes

# Week 4: Topic 1: Constructing Message Authentication Codes

# Message Authentication Code Syntax

**Definition 4.1:** A message authentication code (MAC) consists of three algorithms  $\Pi = (\text{Gen}, \text{Mac}, \text{Vrfy})$

- $\text{Gen}(1^n; R)$  (Key-generation algorithm)
  - Input: security parameter  $1^n$  (unary) and random bits  $R$
  - Output: Secret key  $k \in \mathcal{K}$
- $\text{Mac}_k(m; R)$  (Tag Generation algorithm)
  - Input: Secret key  $k \in \mathcal{K}$  and message  $m \in \mathcal{M}$  and random bits  $R$
  - Output: a tag  $t$
- $\text{Vrfy}_k(m, t)$  (Verification algorithm)
  - Input: Secret key  $k \in \mathcal{K}$ , a message  $m$  and a tag  $t$
  - Output: a bit  $b$  ( $b=1$  means “valid” and  $b=0$  means “invalid”)

$$\text{Vrfy}_k(m, \text{Mac}_k(m; R)) = 1$$

**Security Goal (Informal):** Attacker should not be able to forge a valid tag  $t'$  for new message  $m'$  that s/he wants to send.

# General vs Fixed Length MAC

$$\mathcal{M} = \{0,1\}^*$$

*versus*

$$\mathcal{M} = \{0,1\}^{\ell(n)}$$

# Strong MAC Construction (Fixed Length)

Simply uses a secure PRF  $F$

$$\text{Mac}_k(m) = F_K(m)$$

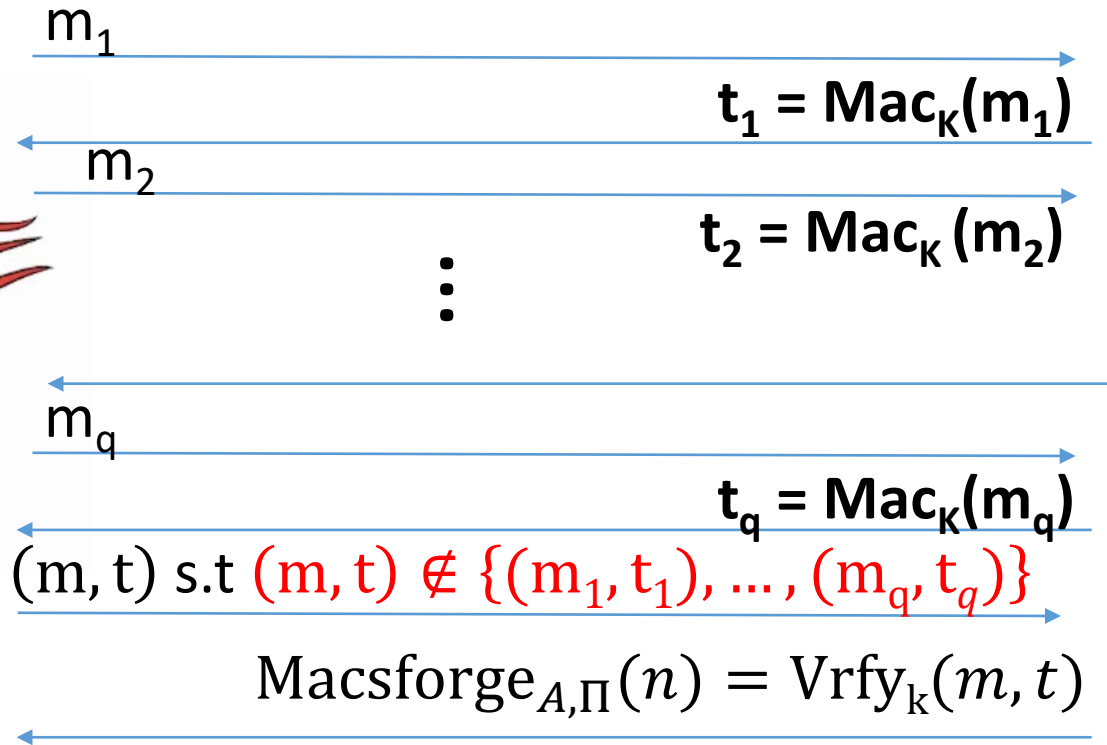
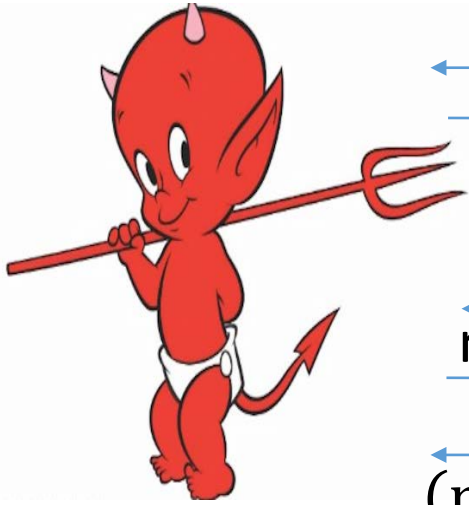
**Question:** How to verify the a MAC?

Canonical Verification Algorithm...

$$\text{Vrfy}_k(m, t) = \begin{cases} 1 & \text{if } t = F_K(m) \\ 0 & \text{otherwise} \end{cases}$$



# Concrete Version: $(t(n), q(n), \varepsilon(n))$ -secure MAC



**$K = \text{Gen}(\cdot)$**



$\forall A$  with  $(\text{time}(A) \leq t(n), \text{queries}(A) \leq q(n))$   
 $\Pr[\text{Macsforge}_{A, \Pi}(n) = 1] \leq \varepsilon(n)$



# Strong MAC Construction (Fixed Length)

$$\text{Mac}_k(m) = F_K(m)$$

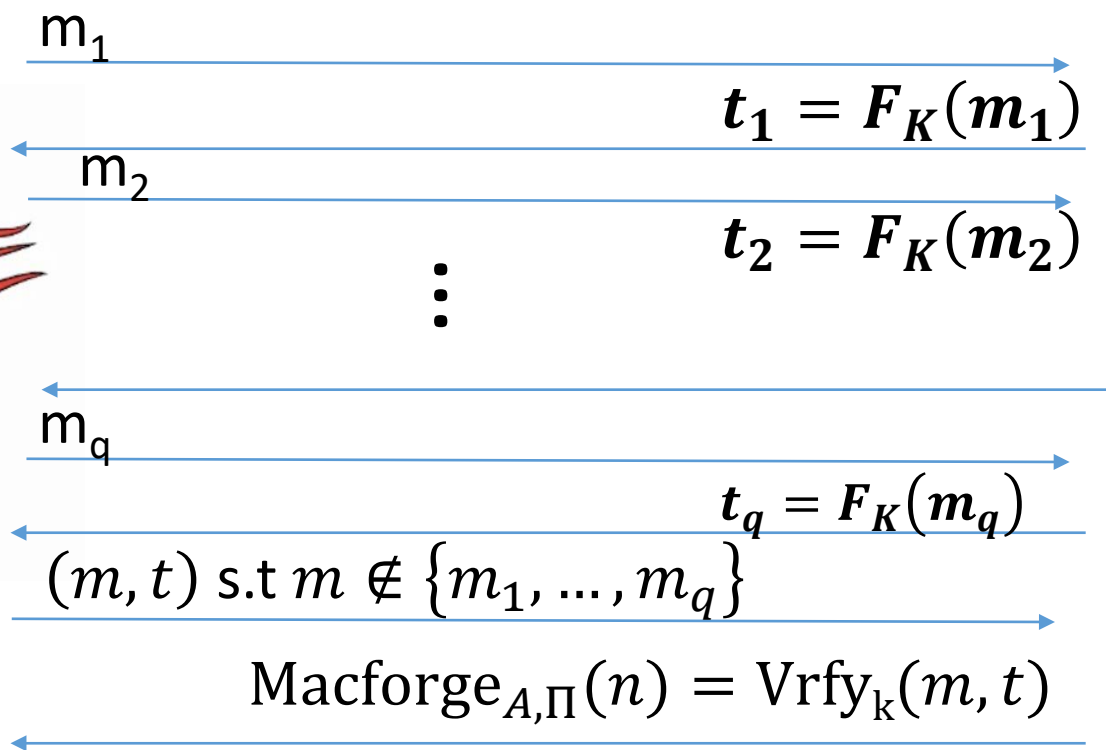
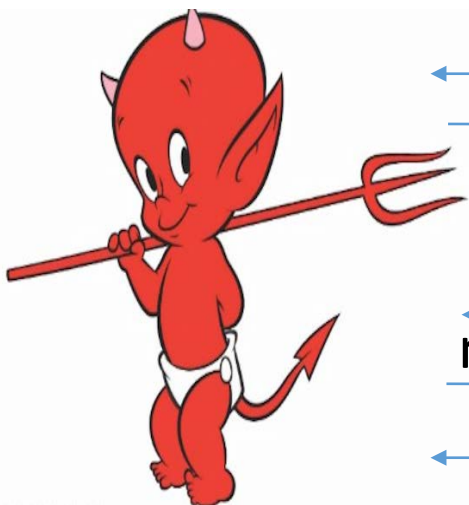
$$\text{Vrfy}_k(m, t) = \begin{cases} 1 & \text{if } t = F_K(m) \\ 0 & \text{otherwise} \end{cases}$$

**Theorem 4.6:** If  $F$  is a PRF then this is a secure (fixed-length) MAC for messages of length  $n$ .

**Proof:** Start with attacker who breaks MAC security and build an attacker who breaks PRF security (contradiction!)

Sufficient to start with attacker who breaks regular MAC security (why?)

# Breaking MAC Security ( $\text{Macforge}_{A,\Pi}(n)$ )

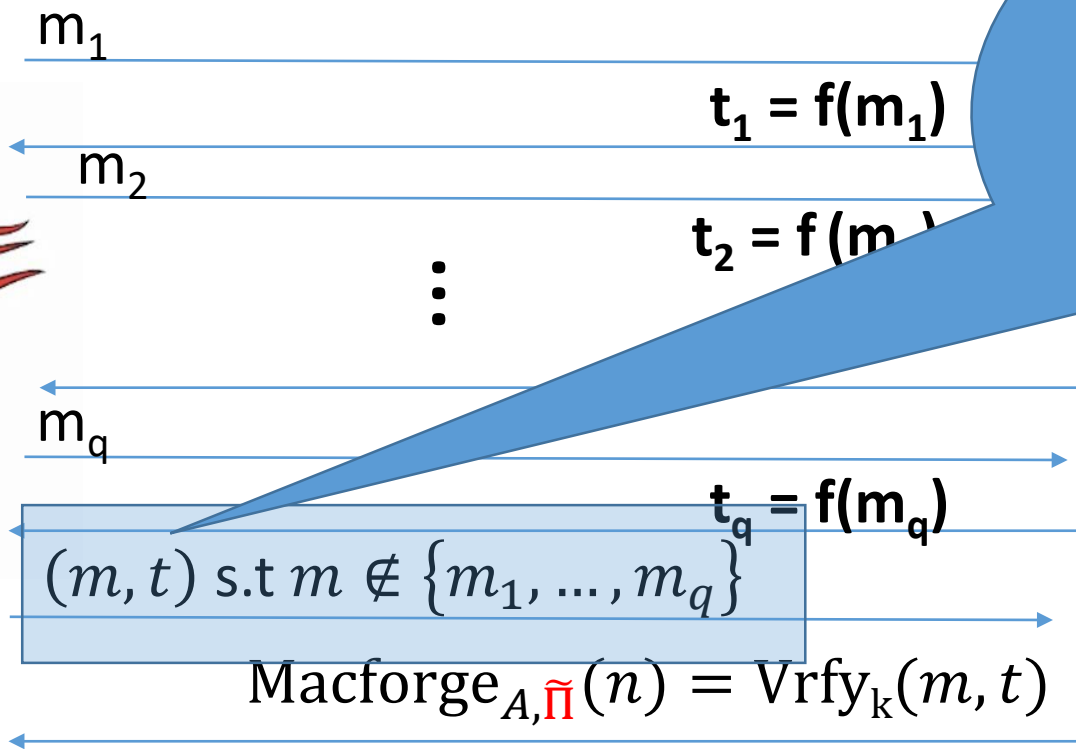
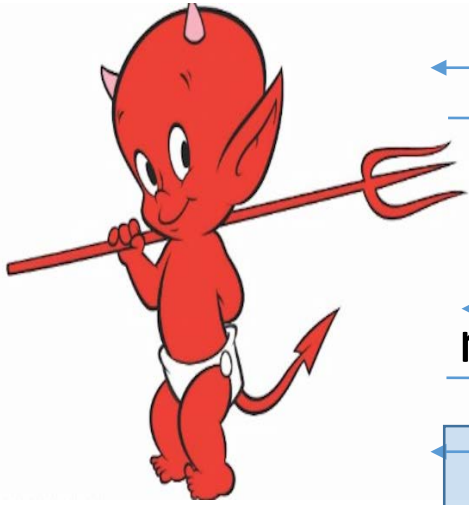


**$K = \text{Gen}(\cdot)$**



$\exists PPT A$  and  $g(\cdot)$  (positive/non negligible) s. t  
 $\Pr[\text{Macforge}_{A,\Pi}(n) = 1] > g(n)$

# A Similar Game (Macforge<sub>A, $\tilde{\Pi}$</sub> )



Why? Because  $f(m)$  is distributed uniformly in  $\{0,1\}^n$  so  $\Pr[f(m)=t]=2^{-n}$



**Truly Random Function**  
 $f \in \text{Func}_n$



**Claim:**  $\forall A$  (not just PPT)

$$\Pr[\text{Macforge}_{A, \tilde{\Pi}}(n) = 1] \leq 2^{-n}$$

# PRF Distinguisher D

- Given oracle  $O$  (either  $F_K$  or truly random  $f$ )
- Run PPT Macforge adversary  $A$
- When adversary queries with message  $m$ , respond with  $O(m)$
- Output 1 if attacker wins (otherwise 0)

- If  $O = f$  then

$$\Pr[D^O(1^n) = 1] = \Pr[\text{Macforge}_{A, \tilde{\Pi}}(n) = 1] \leq 2^{-n}$$

- If  $O = F_K$  then

$$\Pr[D^O(1^n) = 1] = \Pr[\text{Macforge}_{A, \Pi}(n) = 1] > g(n)$$

# PRF Distinguisher D

- If  $O = f$  then

$$\Pr[D^O(1^n) = 1] = \Pr[\text{Macforge}_{A, \tilde{\Pi}}(n) = 1] \leq 2^{-n}$$

- If  $O = F_K$  then

$$\Pr[D^O(1^n) = 1] = \Pr[\text{Macforge}_{A, \Pi}(n) = 1] > g(n)$$

**Advantage:**

$$|\Pr[D^{F_K}(1^n) = 1] - \Pr[D^f(1^n) = 1]| > g(n) - 2^{-n}$$

Note that  $g(n) - 2^{-n}$  is non-negligible and D runs in PPT if A does.

# Strong MAC Construction (Fixed Length)

$$\text{Mac}_k(m) = F_K(m)$$

$$\text{Vrfy}_k(m, t) = \begin{cases} 1 & \text{if } t = F_K(m) \\ 0 & \text{otherwise} \end{cases}$$

**Theorem 4.6:** If  $F$  is a PRF then this is a secure (fixed-length) MAC for messages of length  $n$ .

# Strong MAC Construction (Fixed Length)

$$\text{Mac}_k(m) = F_K(m)$$

$$\text{Vrfy}_k(m, t) = \begin{cases} 1 & \text{if } t = F_K(m) \\ 0 & \text{otherwise} \end{cases}$$

**Theorem (Concrete):** If  $F$  is a  $(t(n), q(n), \varepsilon(n))$ -secure PRF then the above construction is a  $(t(n) - O(n), q(n), \varepsilon(n) + 2^{-n})$ -secure MAC for  $\mathcal{M} = \{0,1\}^n$  (messages of length  $n$ ).

**Example:**  $F$  is a  $(2^n, 2^{n/2}, 2^{-n})$ -secure PRF  $\rightarrow$  the above MAC construction is  $(2^n - O(n), 2^{n/2}, 2^{-n+1})$ -secure

# Strong MAC Construction (Fixed Length)

$$\text{Mac}_k(m) = F_K(m)$$

$$\text{Vrfy}_k(m, t) = \begin{cases} 1 & \text{if } t = F_K(m) \\ 0 & \text{otherwise} \end{cases}$$

**Theorem (Concrete):** If  $F$  is a  $(t(n), q(n), \varepsilon(n))$ -secure PRF then the above construction is a  $(t(n) - O(n), q(n), \varepsilon(n) + 2^{-n})$ -secure MAC for  $\mathcal{M} = \{0,1\}^n$  (messages of length  $n$ ).

**Limitation:** What if we want to authenticate a longer message?  $\mathcal{M} = \{0,1\}^*$



# MACs for Arbitrary Length Messages

- Building Block  $\Pi'=(\text{Mac}',\text{Vrfy}')$ , a secure MAC for length  $n$  messages

## First: A few failed attempts

Let  $m = m_1, \dots, m_d$  where each  $m_i$  is  $n$  bits and let  $t_i = \text{Mac}'_K(m_i)$

$$\text{Mac}_K(m) = \langle t_1, \dots, t_d \rangle$$

What is wrong?

Block-reordering attack

$$\text{Mac}_K(m_d, \dots, m_1) = \langle t_d, \dots, t_1 \rangle$$

$m_1 = \textit{“I love you”}$

$m_2 = \textit{“I will never say that”}$

$m_3 = \textit{“you are stupid”}$

# MACs for Arbitrary Length Messages

- Building Block  $\Pi'=(\text{Mac}',\text{Vrfy}')$ , a secure MAC for length  $n$  messages

## Attempt 2

Let  $m = m_1, \dots, m_d$  where each  $m_i$  is  $n$  bits and let  $t_i = \text{Mac}'_K(i \parallel m_i)$   
 $\text{Mac}_K(m) = \langle t_1, \dots, t_d \rangle$

Addresses block-reordering attack.

Any other concerns?

Truncation attack!

$$\text{Mac}_K(m_1, \dots, m_{d-1}) = \langle t_1, \dots, t_{d-1} \rangle$$

Suppose  $m_1, \dots, m_{d-1}, m_d =$   
“I don't like you. I LOVE you!”

# MACs for Arbitrary Length Messages

- Building Block  $\Pi'=(\text{Mac}',\text{Vrfy}')$ , a secure MAC for length  $n$  messages

## Attempt 3

Let  $m = m_1, \dots, m_d$  where each  $m_i$  is  $n$  bits and  $m$  has length  $\ell = nd$

Let  $t_i = \text{Mac}'_K(i \parallel \ell \parallel m_i)$

$$\text{Mac}_K(m) = \langle t_1, \dots, t_d \rangle$$

Addresses truncation.

Any other concerns?

Mix and Match Attack!

# MACs for Arbitrary Length Messages

Let  $m = m_1, \dots, m_d$  where each  $m_i$  is  $n$  bits and  $m$  has length  $\ell = nd$

Let  $m' = m'_1, \dots, m'_d$  where each  $m'_i$  is  $n$  bits and  $m$  has length  $\ell = nd$

Let  $t_i = \text{Mac}'_K(i \parallel \ell \parallel m_i)$  and  $t'_i = \text{Mac}'_K(i \parallel \ell \parallel m'_i)$

$$\text{Mac}_K(m) = \langle t_1, \dots, t_d \rangle$$

$$\text{Mac}_K(m') = \langle t'_1, \dots, t'_d \rangle$$

Mix and Match Attack!

$$\text{Mac}_K(m_1, m'_2, m_3, \dots) = \langle t_1, t'_2, t_3, \dots \rangle$$

$m_1 = \text{"What will I say to Eve?"}$

$m_2 = \text{"You are evil and vile."}$

$m_3 = \text{"Please leave me alone!"}$

$m_4 = \text{"Your sworn enemy - BOB"}$

$t = \langle t_1, t_2, t_3, t_4 \rangle$

$m_1' = \text{"Dear Alice"}$

$m_2' = \text{"You are wonderful."}$

$m_3' = \text{"I can't wait to see you!"}$

$m_4' = \text{"XOXOXOXOXO - BOB"}$

$t' = \langle t_1', t_2', t_3', t_4' \rangle$



$m_1' = \text{"Dear Alice"}$

$m_2 = \text{"You are evil and vile."}$

$m_3 = \text{"Please leave me alone!"}$

$m_4 = \text{"Your sworn enemy - BOB"}$

$t'' = \langle t_1', t_2, t_3, t_4 \rangle$

# MACs for Arbitrary Length Messages

- A non-failed approach ☺
- Building Block  $\Pi'=(\text{Mac}',\text{Vrfy}')$ , a secure MAC for length  $n$  messages
- Let  $m = m_1, \dots, m_d$  where each  $m_i$  is  $n/4$  bits and  $m$  has length  $\ell < 2^{n/4}$

$\text{Mac}_K(m)=$

- Select random  $\frac{n}{4}$  bit nonce  $r$
- Let  $t_i = \text{Mac}'_K(r \parallel \ell \parallel i \parallel m_i)$  for  $i=1, \dots, d$ 
  - **(Note:** encode  $i$  and  $\ell$  as  $\frac{n}{4}$  bit strings)
- **Output**  $\langle r, t_1, \dots, t_d \rangle$

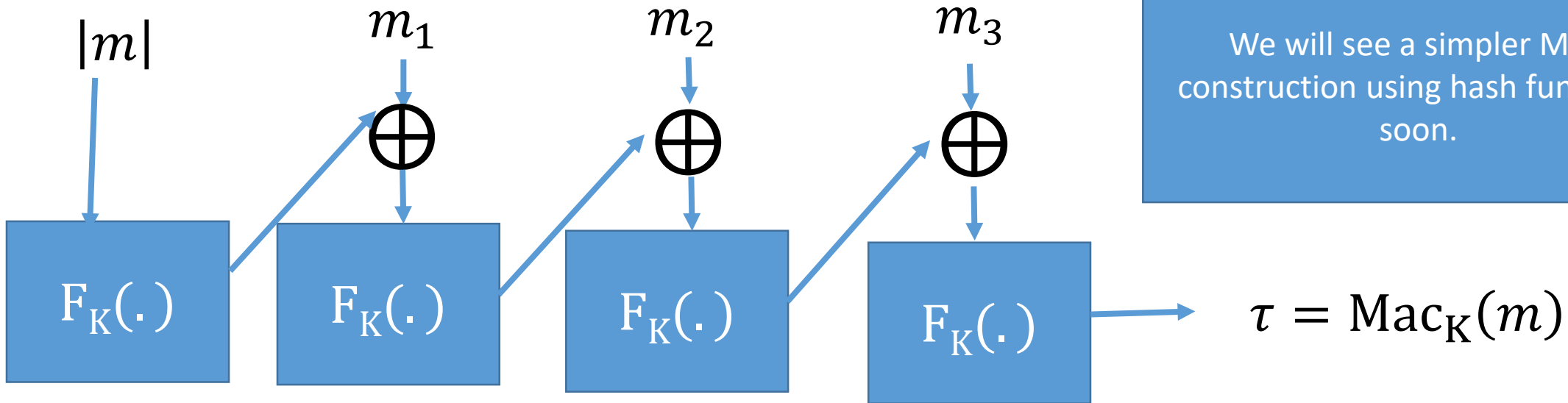
# MACs for Arbitrary Length Messages

$\text{Mac}_K(m)=$

- Select random  $n/4$  bit string  $r$
- Let  $t_i = \text{Mac}'_K(r \parallel \ell \parallel i \parallel m_i)$  for  $i=1, \dots, d$ 
  - (Note: encode  $i$  and  $\ell$  as  $n/4$  bit strings)
- **Output**  $\langle r, t_1, \dots, t_d \rangle$

**Theorem 4.8:** If  $\Pi'$  is a secure MAC for messages of fixed length  $n$ , above construction  $\Pi = (\text{Mac}, \text{Vrfy})$  is secure MAC for arbitrary length messages.

# CBC-MAC



Caveat: Tricky Padding Issues arise if  $|m|$  is not a multiple of the block-length. See textbook.

We will see a simpler MAC construction using hash functions soon.

## Advantages over Previous Solution

- Both MACs are secure
- Works for unbounded length messages
- Canonical Verification
- Short Authentication tag
- ~~Parallelizable~~

for  $i=1, \dots, d$

$$t_i = \text{Mac}'_K(r \parallel \ell \parallel i \parallel m_i)$$

(encode  $i$  and  $\ell$  as  $n/4$  bit strings)

**Output**  $\langle r, t_1, \dots, t_d \rangle$



# Coming Soon

- CBC-MAC and Authenticated Encryption
- Read Katz and Lindell 4.4-4.5

# Week 4

Topics 2&3: Authenticated Encryption + CCA-Security

# Recap

- Message Authentication Codes
- Secrecy vs Confidentiality

## **Today's Goals:**

- Authenticated Encryption
- Build Authenticated Encryption Scheme with CCA-Security

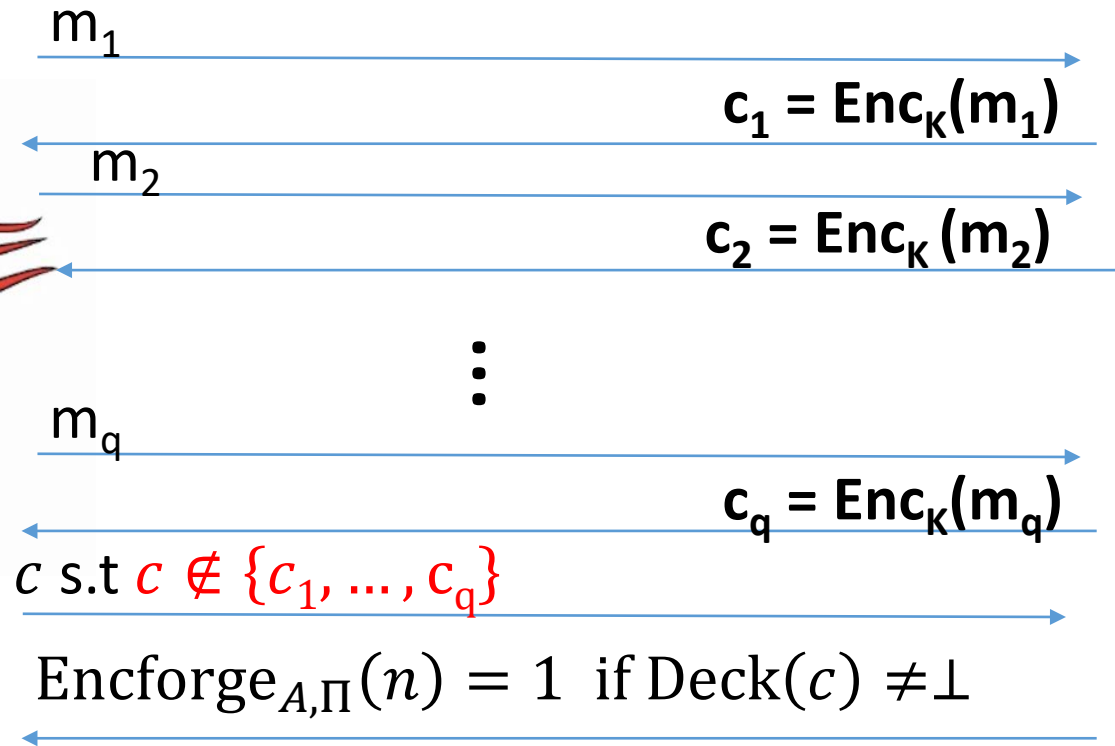
# Authenticated Encryption

**Encryption:** Hides a message from the attacker

**Message Authentication Codes:** Prevents attacker from tampering with message



# Unforgeable Encryption Experiment ( $\text{Encforge}_{A,\Pi}(n)$ )

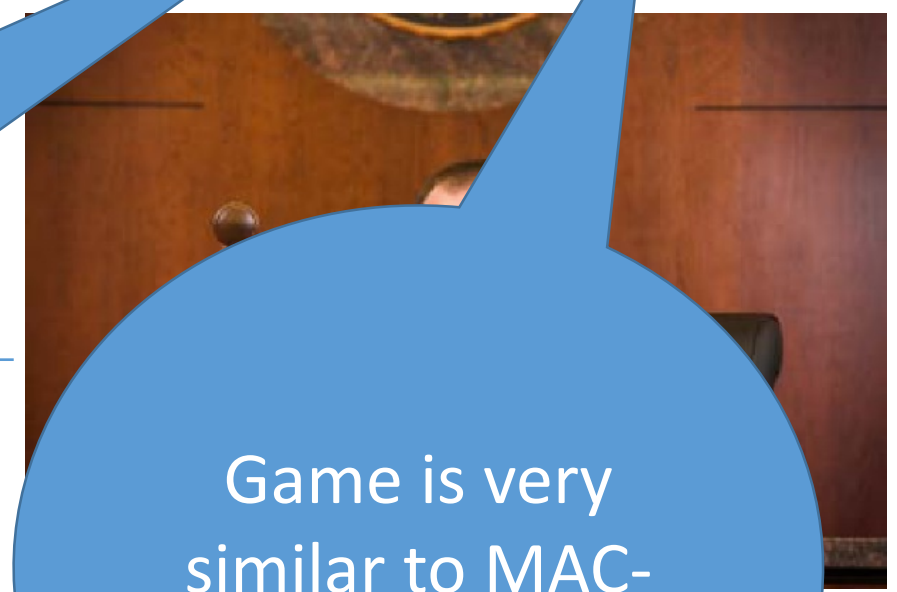
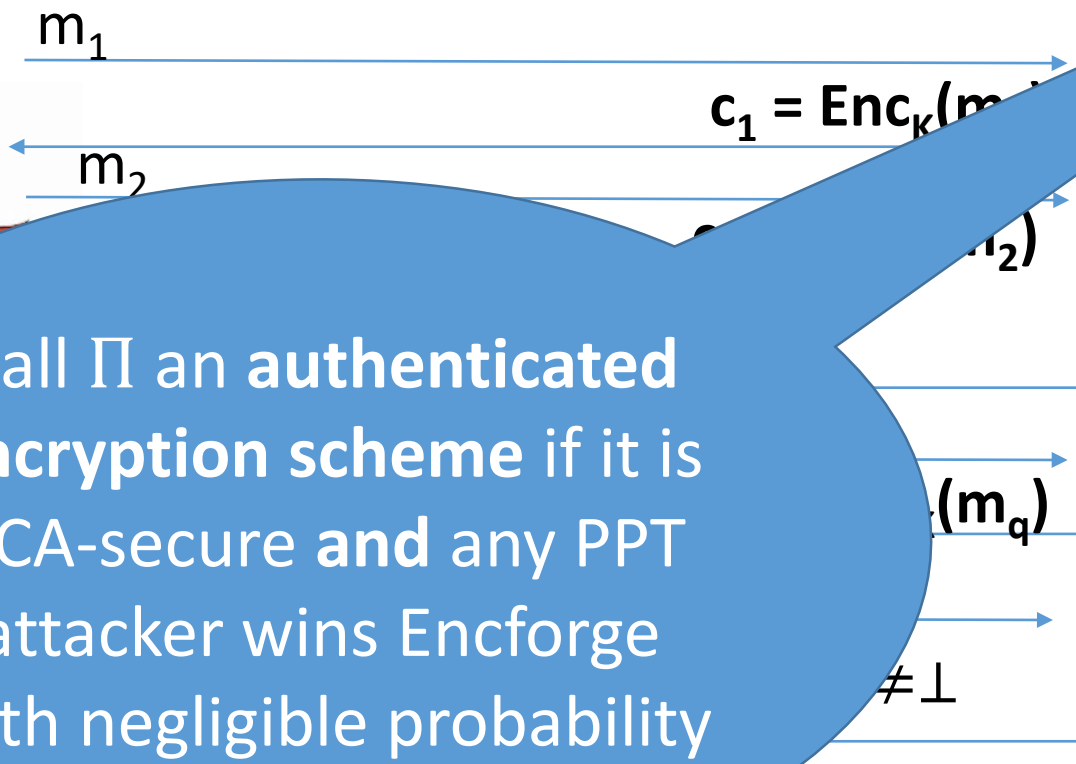


$K = \text{Gen}(\cdot)$



$\forall PPT A \exists \mu$  (negligible) s. t  
 $\Pr[\text{Encforge}_{A,\Pi}(n) = 1] \leq \mu(n)$

# Unforgeable Encryption Experiment ( $\text{Encforge}_{A,\Pi}(n)$ )



Call  $\Pi$  an **authenticated encryption scheme** if it is CCA-secure and any PPT attacker wins  $\text{Encforge}$  with negligible probability

Game is very similar to MAC-Forge game

$\forall \mu \in \text{negl}$  (negligible) s. t  
 $\Pr[\text{Encforge}_{A,\Pi}(n) = 1] \leq \mu(n)$



# Building Authenticated Encryption

**Attempt 1:** Let  $Enc'_K(m)$  be a CPA-Secure encryption scheme and let  $Mac'_K(m)$  be a secure MAC

$$Enc_K(m) = \langle Enc'_K(m), Mac'_K(m) \rangle$$

Any problems?

$$\begin{aligned} Enc'_K(m) &= \langle r, F_k(r) \oplus m \rangle \\ Mac'_K(m) &= F_k(m) \end{aligned}$$

# Building Authenticated Encryption

## Attempt 1:

$$Enc_K(m) = \langle r, F_k(r) \oplus m, F_k(m) \rangle$$

CPA-Attack:

- Intercept ciphertext  $c$

$$c = Enc_K(m) = \langle r, F_k(r) \oplus m, F_k(m) \rangle$$

- Ask to encrypt  $r$

$$c_r = Enc_K(r) = \langle r', F_k(r') \oplus r, F_k(r) \rangle$$

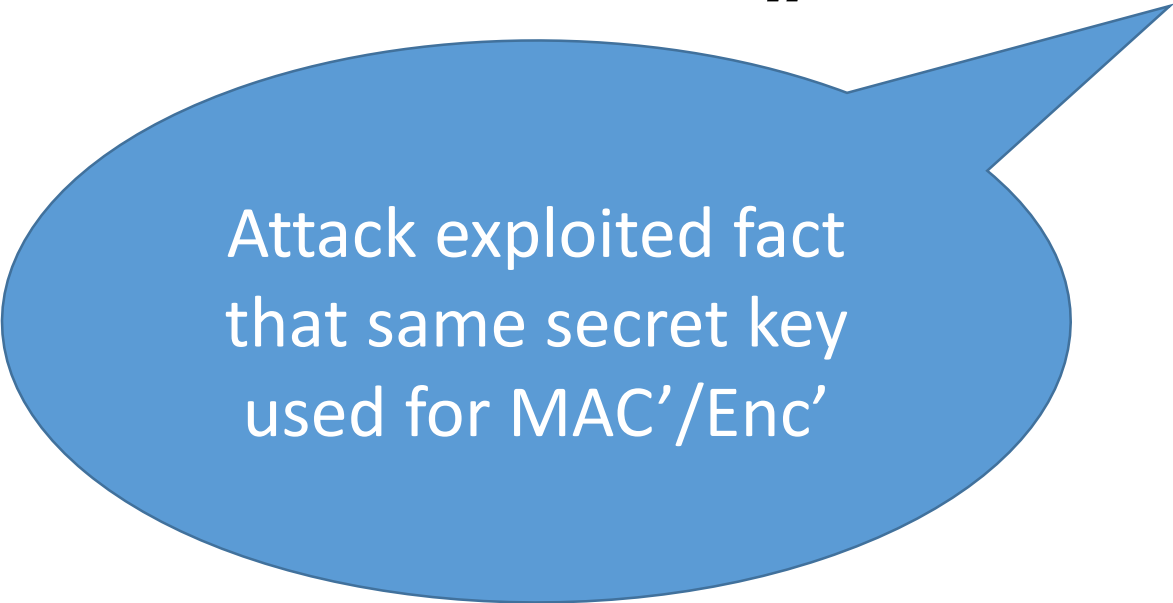
$$m = F_k(r) \oplus (F_k(r) \oplus m)$$



# Building Authenticated Encryption

**Attempt 1:** Let  $Enc'_K(m)$  be a CPA-Secure encryption scheme and let  $Mac'_K(m)$  be a secure MAC

$$Enc_K(m) = \langle Enc'_K(m), Mac'_K(m) \rangle$$



Attack exploited fact  
that same secret key  
used for MAC'/Enc'

# Independent Key Principle

“different instances of cryptographic primitives should always use independent keys”

# Building Authenticated Encryption

**Attempt 2: (Encrypt-and-Authenticate)** Let  $\text{Enc}'_{K_E}(m)$  be a CPA-Secure encryption scheme and let  $\text{Mac}'_{K_M}(m)$  be a secure MAC. Let  $K = (K_E, K_M)$  then

$$\text{Enc}_K(m) = \langle \text{Enc}'_{K_E}(m), \text{Mac}'_{K_M}(m) \rangle$$

Any problems?

$$\begin{aligned} \text{Enc}'_{K_E}(m) &= \langle r, F_{K_E}(r) \oplus m \rangle \\ \text{Mac}'_{K_M}(m) &= F_{K_M}(m) \end{aligned}$$

# Building Authenticated Encryption

**Attempt 2:** (Encrypt-and-Authenticate)

$$Enc_K(m) = \langle r, F_{K_E}(r) \oplus m, F_{K_M}(m) \rangle$$

CPA-Attack:

- Select  $m_0, m_1$
- Obtain ciphertext  $c$

$$c = \langle r, F_{K_E}(r) \oplus m_b, F_{K_M}(m_b) \rangle$$

- Ask to encrypt  $m_0$

$$c_r = \langle r', F_{K_E}(r') \oplus m_0, F_{K_M}(m_0) \rangle$$

$$F_{K_M}(m_0) \stackrel{?}{=} F_{K_M}(m_b)$$

# Building Authenticated Encryption

**Attempt 2:** (Encrypt-and-Authenticate)

$$Enc_K(m) = \langle r, F_{K_E}(r) \oplus m, F_{K_M}(m) \rangle$$

CPA-Attack:

- Select  $m_0, m_1$
- Obtain ciphertext  $c$

$$c = \langle r, F_{K_E}(r) \oplus m_b, F_{K_M}(m_b) \rangle$$

- Ask to encrypt  $m_0$

$$c_r = \langle r', F_{K_E}(r') \oplus m_0, F_{K_M}(m_0) \rangle$$

$$F_{K_M}(m_0) \stackrel{?}{=} F_{K_M}(m_b)$$

Encrypt and  
Authenticate  
Paradigm does  
not work in  
general

# Building Authenticated Encryption

**Attempt 2: (Encrypt-and-Authenticate)** Let  $Enc'_{K_E}(m)$  be a CPA-Secure encryption scheme and let  $Mac'_{K_M}(m)$  be a secure MAC. Let  $K = (K_E, K_M)$  then

$$Enc_K(m) = \langle Enc'_{K_E}(m), Mac'_{K_M}(m) \rangle$$

**Problem:** MAC security definition doesn't promise to hide  $m$ !

This is what SSL does 😞

# Building Authenticated Encryption

**Attempt 3:** (Authenticate-then-encrypt) Let  $\text{Enc}'_{K_E}(m)$  be a CPA-Secure encryption scheme and let  $\text{Mac}'_{K_M}(m)$  be a secure MAC. Let  $K = (K_E, K_M)$  then

$$\text{Enc}_K(m) = \langle \text{Enc}'_{K_E}(m \parallel t) \rangle \text{ where } t = \text{Mac}'_{K_M}(m)$$

- Used in SSL/TLS
- Not generically secure (Hugo Krawczyk)
- Easy to make mistakes when implementing (e.g., Lucky13 attack on TLS)

# Authenticate-then-Encrypt: A Bad Case

**Attempt 3:** (Authenticate-then-encrypt)

$$Enc_K(m) = \langle Enc'_{K_E}(m \parallel t) \rangle \text{ where } t = Mac'_{K_M}(m)$$

(Contrived? Plausible?) bad case:

$$Enc'_{K_E}(m) = ECC(\langle r, F_{K_E}(r) \oplus m \rangle)$$

$$Dec'_{K_E}(c)$$

$$\langle r, s \rangle := ECCD(c)$$

$$\text{Return } m = F_{K_E}(r) \oplus s$$



Error Correcting Code



# Authenticate-then-Encrypt: A Bad Case

**Attempt 3:** (Authenticate-then-encrypt)

$$Enc_K(m) = \langle Enc'_{K_E}(m \parallel t) \rangle \text{ where } t = Mac'_{K_M}(m)$$

(Contrived? Plausible?) bad case:

$$Enc'_{K_E}(m) = ECC(\langle r, F_{K_E}(r) \oplus m \rangle)$$

$$Dec'_{K_E}(c)$$

$$\langle r, s \rangle := ECCD(c)$$

$$\text{Return } m = F_{K_E}(r) \oplus s$$

Error Correcting Code

$$ECC(101) = 111100001111$$

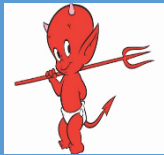
Ties?

$$ECCD(1100) = 1$$

$$ECCD(0011) = 1$$

# Authenticate-then-Encrypt: A Bad C

Can learn tag and message bit by bit by repeatedly querying decryption oracle!



Error Correcting Code

$$ECC(101) = 111100001111$$

$$ECCD(1100) = 1$$

$$ECCD(0011) = 1$$

$Dec'_{K_E}(c)$

$\langle r, s \rangle := ECCD(c)$

Return  $m = F_{K_E}(r) \oplus s$

1. Attacker obtains  $c = ECC(\langle r, s = F_{K_E}(r) \oplus (m \parallel t) \rangle)$
2. Attacker asks for decryption of  $c' = ECC(\langle r, s \rangle) \oplus (0 \dots 0 \parallel 0011)$ 
  - What happens if last bit of  $s$  was a zero?
  - Answer: decryption error since  $t' = t \oplus (0 \dots 0 \parallel 1)$ !
  - $ECCD(c') = \langle r, s' = s \oplus (0 \dots 0 \parallel 1) \rangle$
3. What happens if last bit of  $s$  is a one?
  - Answer: Valid!  $ECCD(c) = ECCD(c')$



# Building Authenticated Encryption

**Attempt 4:** (Encrypt-then-authenticate) Let  $\text{Enc}'_{K_E}(m)$  be a CPA-Secure encryption scheme and let  $\text{Mac}'_{K_M}(m)$  be a strongly secure MAC. Let  $K = (K_E, K_M)$  then

$$\text{Enc}_K(m) = \langle c, \text{Mac}'_{K_M}(c) \rangle \text{ where } c = \text{Enc}'_{K_E}(m)$$

Secure?

The word "Yes!" is rendered in a bold, 3D, orange font with a slight shadow underneath, indicating a positive answer to the question "Secure?".

# Recap

- MACs for Unbounded Length Messages
  - Reordering/Truncation/Block Swapping Attacks
  - Nonce Based Construction
  - CBC MAC
- Authenticated Encryption = CCA-Secure + Unforgeable Encryptions
  - Independent Key Principle
  - Encrypt and Authenticate
    - Not generically secure
  - Authenticate then Encrypt
    - Not generically secure
  - Encrypt then Authenticate
    - Always secure given CPA-Secure encryption + strongly secure MAC

**Announcement:** Quiz 2 Released today due by Saturday at 11:30PM on Brightspace

# Building Authenticated Encryption

**Theorem:** (Encrypt-then-authenticate) Let  $\text{Enc}'_{K_E}(m)$  be a CPA-Secure encryption scheme and let  $\text{Mac}'_{K_M}(m)$  be a **strongly** secure MAC. Then the following construction is an authenticated encryption scheme.

$$\text{Enc}_K(m) = \langle c, \text{Mac}'_{K_M}(c) \rangle \text{ where } c = \text{Enc}'_{K_E}(m)$$

Proof?

Two Tasks:

$\text{Encforge}_{A,\Pi}$   
CCA-Security

# Building Authenticated Encryption

**Theorem:** (Encrypt-then-authenticate) Let  $\text{Enc}'_{K_E}(m)$  be a CPA-Secure encryption scheme and let  $\text{Mac}'_{K_M}(m)$  be a **strongly** secure MAC. Then the following construction is an authenticated encryption scheme.

$$\text{Enc}_K(m) = \langle c, \text{Mac}'_{K_M}(c) \rangle \text{ where } c = \text{Enc}'_{K_E}(m)$$

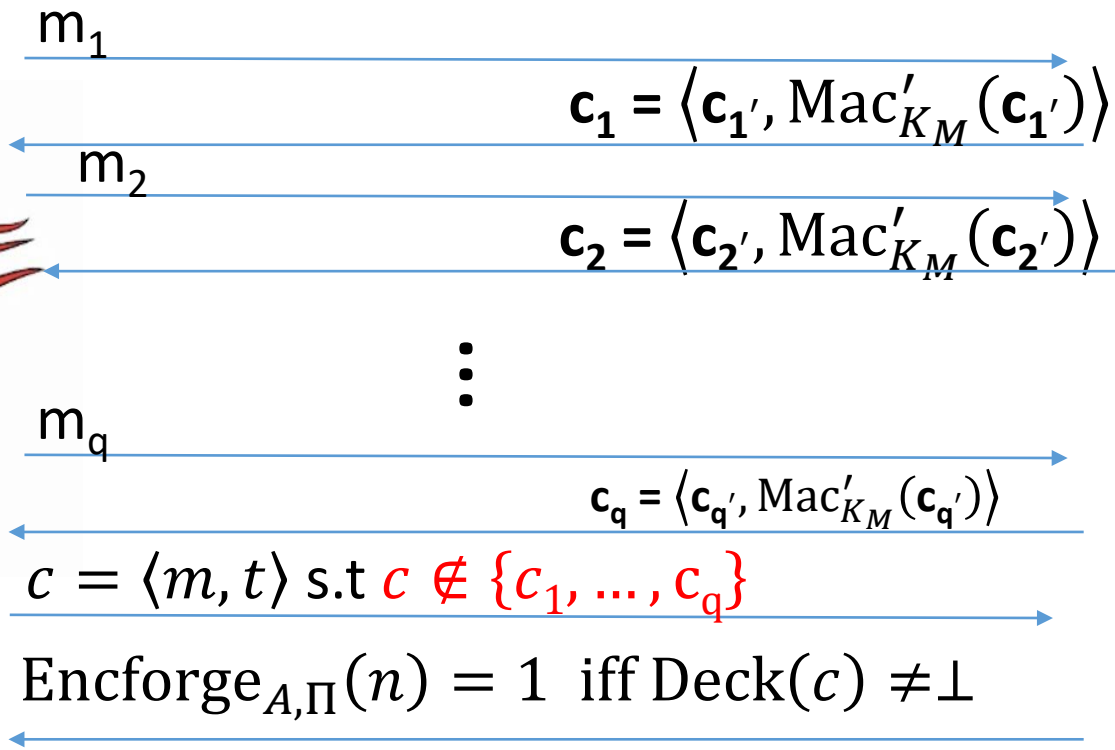
**Proof Intuition:** Suppose that we have already shown that any PPT attacker wins  $\text{Encforge}_{A,\Pi}$  with negligible probability.

Why does CCA-Security now follow from CPA-Security?

CCA-Attacker has decryption oracle, but cannot exploit it! Why?

Always sees  $\perp$  “invalid ciphertext” when he query with unseen ciphertext

# Encryption Forgery Attacker ( $\text{Encforge}_{A,\Pi}(n)$ )



iff  $\text{Verify}'_{K_M}(m, t) = 1$   
 $\langle m, t \rangle \notin \{ \langle c_{1'}, t_{1'} \rangle, \dots, \langle c_{q'}, t_{q'} \rangle \}$

↓  
 MAC forgery for the key  $K_M$



**K = Gen(.)**



# Unforgeable Encryptions

**Theorem:** (Encrypt-then-authenticate) Let  $\text{Mac}'_{K_M}(m)$  be a strongly secure MAC. Then the following construction has unforgeable encryptions.

$$\text{Enc}_K(m) = \langle c, \text{Mac}'_{K_M}(c) \rangle \text{ where } c = \text{Enc}'_{K_E}(m)$$

**Note:** unforgeable property holds even if the encryption scheme is not CPA-Secure.

**Reduction:** MAC Attacker  $A'$  picks key  $K_E$  and simulates encryption forgery attacker  $A$  (Note:  $A'$  plays the role of the challenger in the encryption forgery game).

Whenever  $A$  submits query  $m_i$  to encryption oracle  $A'$  responds by

1. computes  $c_{i'} = \text{Enc}'_{K_E}(m)$  and
2. sends  $c_{i'}$  to MAC challenger to get  $\text{Mac}'_{K_M}(c_{i'})$  and
3. sends  $c_i = \langle c_{i'}, \text{Mac}'_{K_M}(c_{i'}) \rangle$  back to  $A$ .

Whenever  $A$  outputs a forged ciphertext  $c = \langle c', t' \rangle$  we output the pair  $(m=c', t=t')$  as our MAC forgery



# Unforgeable Encryptions

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**Fact:**  $A'$  wins the MAC forgery game if and only if  $A$  wins the encryption forgery game.

# Proof Sketch (CCA-Security)

1. Let ValidDecQuery be event that attacker submits new/valid ciphertext to decryption oracle at any point in time
2. Show  $\Pr[\text{ValidDecQuery}]$  is  $\text{negl}(n)$  for any PPT CCA attacker A
  - If not then we could win encryption forgery game with probability at least  $\Pr[\text{ValidDecQuery}]/q$  where  $q$  is the number of queries to the decryption oracle
  - **Reduction Challenge:** *a priori* don't know which query  $i^*$  to decryption oracle yields encryption forgery
  - **Solution:** Guess index  $i$  of query  $\Pr[i = i^*] \geq \frac{1}{q}$
  - We win the encryption forgery game if the event ValidDecQuery occurs and we guessed correctly  $i = i^*$ 
    - $\Pr[\text{Win Enc Forgery}] \geq \Pr[\text{ValidDecQuery} \wedge i = i^*] \geq \frac{\Pr[\text{ValidDecQuery}]}{q}$
    - If  $\Pr[\text{ValidDecQuery}]$  is non-negligible so is  $\Pr[\text{Win Enc Forgery}]$

# Proof Sketch

1. Let ValidDecQuery be event that attacker submits new/valid ciphertext to decryption oracle
2. Show  $\Pr[\text{ValidDecQuery}]$  is  $\text{negl}(n)$  for any PPT attacker
  - This also implies unforgeability (even if we gave the attacker  $K_E$ !).
3. Show that attacker who does not issue valid decryption query wins CCA-security game with probability  $\frac{1}{2} + \text{negl}(n)$ 
  - **Key Idea:** Given attacker  $A$  breaking CCA-Security we can build  $A'$  which breaks CPA-security of  $\text{Enc}'_{K_E}$

# Proof Sketch

3. Show that attacker who does not issue valid decryption query wins CCA-security game with probability  $\frac{1}{2} + \text{negl}(n)$

- **Key Idea:** Given attacker A breaking CCA-Security we can build A' which breaks CPA-security of  $\text{Enc}'_{K_E}$

**Reduction:** CPA attacker A' picks MAC key  $K_M$  and simulates CCA-Attacker A (A' plays role of CCA challenger)

Whenever A queries encryption oracle on message m

A' forwards encryption oracle to CPA challenger to get  $c' = \text{Enc}'_{K_E}(m)$

A' computes  $t = \text{MAC}_{K_M}(c')$  and responds with  $c = (c, t)$

Whenever A queries the decryption oracle on a ciphertext c

If c is the fresh ciphertext then respond with  $\perp$  (failure)

(If c was produced in response to a query then simply respond with the original message m)

Finally, A' outputs the same guess  $b'$  as A.

**Claim:**  $\Pr[\text{PrivK}_{A', \Pi'}^{cpa}(n)] \geq \Pr[\text{PrivK}_{A, \Pi}^{cca}(n) | \overline{\text{ValidDecQuery}}] \Pr[\overline{\text{ValidDecQuery}}]$

→ If  $\Pr[\text{PrivK}_{A, \Pi}^{cca}(n)]$  is non-negligible then so is  $\Pr[\text{PrivK}_{A', \Pi'}^{cpa}(n)]$

↑  
If A breaks CCA-security of our construction  $\Pi$  then A' breaks CPA-security of  $\Pi'$   
(Contradiction!  $\text{Enc}'_{K_E}$  is assumed to be CPA-secure)

# Secure Communication Session

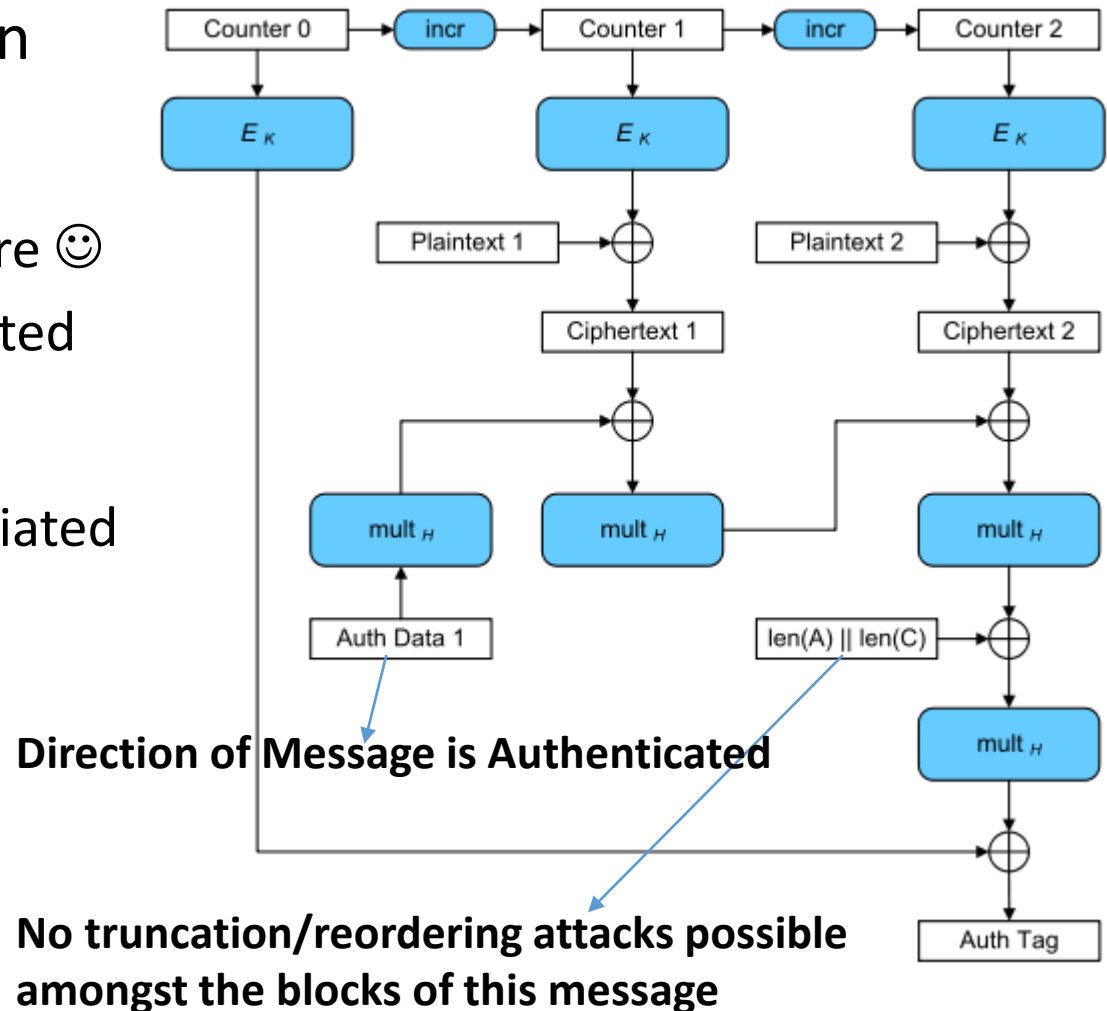
- Solution Protocol? Alice transmits  $c_1 = \text{Enc}_K(m_1)$  to Bob, who decrypts and sends Alice  $c_2 = \text{Enc}_K(m_2)$  etc...
- Authenticated Encryption scheme is
  - Stateless
  - For fixed length-messages
- We still need to worry about
  - Re-ordering attacks (or Truncation)
    - Alice sends three n-bit message to Bob as  $c_1 = \text{Enc}_K(m_1)$ ,  $c_2 = \text{Enc}_K(m_2)$ ,  $c_3 = \text{Enc}_K(m_3)$ . Mallory can reorder
    - $m_1 = \textit{"I love you"}$ ,  $m_2 = \textit{"I will never say that"}$ ,  $m_3 = \textit{"you are stupid"}$
  - Replay Attacks
    - Mallory intercepts ciphertext  $c_3 = \text{Enc}_K(m_3)$  and can now replay the message  $m_3$  later in the conversation
  - Reflection Attack
    - Attacker intercepts message  $c_1 = \text{Enc}_K(m_1)$  sent from Alice to Bob and Mallory reply's to Alice with  $c_1$

# Secure Communication Session

- Defense
  - Counters ( $CTR_{A,B}, CTR_{B,A}$ )
    - Number of messages sent from Alice to Bob ( $CTR_{A,B}$ ) --- initially 0
    - Number of messages sent from Bob to Alice ( $CTR_{B,A}$ ) --- initially 0
    - Protects against Re-ordering and Replay attacks
  - Directionality Bit
    - $b_{A,B} = 0$  and  $b_{B,A} = 1$  (e.g., since  $A < B$ )
- Alice: To send  $m$  to Bob, set  $c = \text{Enc}_K(b_{A,B} \parallel CTR_{A,B} \parallel m)$ , send  $c$  and increment  $CTR_{A,B}$
- Bob: Decrypts  $c$ , (if  $\perp$  then reject), obtain  $b \parallel CTR \parallel m$ 
  - If  $CTR \neq CTR_{A,B}$  or  $b \neq b_{A,B}$  then reject
  - Otherwise, output  $m$  and increment  $CTR_{A,B}$

# Galois Counter Mode (GCM)

- AES-GCM is an Authenticated Encryption Scheme
  - Encrypt then Authenticate
  - Only uses one symmetric key, but still secure 😊
- **Bonus:** Authentication Encryption with Associated Data
  - Associated Data incorporated into MAC
  - Ensures attacker cannot tamper with associated packet data
    - Source IP
    - Destination IP
    - Why can't these values be encrypted?
- Encryption is largely parallelizable!



# Authenticated Security vs CCA-Security

- Authenticated Encryption  $\rightarrow$  CCA-Security (by definition)
- CCA-Security does not necessarily imply Authenticate Encryption
  - But most natural CCA-Secure constructions are also Authenticated Encryption Schemes
  - Some constructions are CCA-Secure, but do not provide Authenticated Encryptions, but they are less efficient.
- Conceptual Distinction
  - CCA-Security the goal is secrecy (hide message from active adversary)
  - Authenticated Encryption: the goal is integrity + secrecy



# Week 4: Topic 4: Cryptographic Hash Functions

# Hash Functions

$$H(x) = y$$

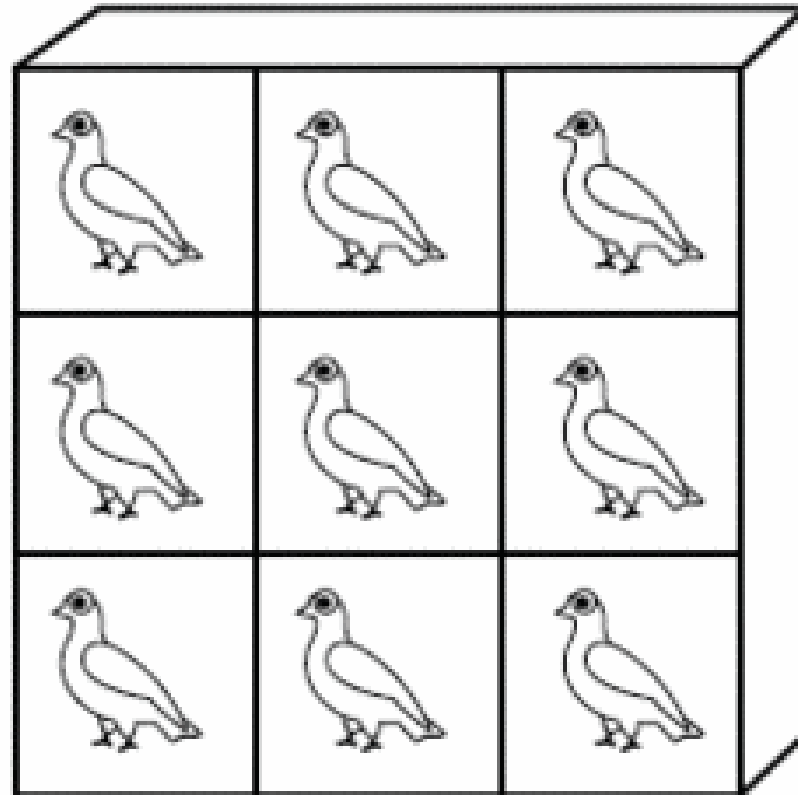
Long Input:  $x$

Short Output:  $y$  s.t.

$$|y| \ll |x|$$

# Pigeonhole Principle

**“You cannot fit 10 pigeons into 9 pigeonholes”**



# Hash Collisions

By Pigeonhole Principle there must exist  $x$  and  $y$  s.t.

$$H(x) = H(y)$$

# Classical Hash Function Applications

- Hash Tables
  - $O(1)$  lookup\*
- “Good hash function” should yield “few collisions”

\* Certain terms and conditions apply

# Collision-Resistant Hash Function

**Intuition:** Hard for computationally bounded attacker to find *any pair*  $x, x'$  s.t.

$$H(x) = H(x')$$

How to formalize this intuition?

- **Attempt 1:** For all PPT  $A$ ,

$$\Pr[A(1^n) = (x, x') \text{ s.t. } H(x) = H(x')] \leq \text{negl}(n)$$

- **The Problem:** Let  $x, x'$  be given s.t.  $H(x) = H(x')$

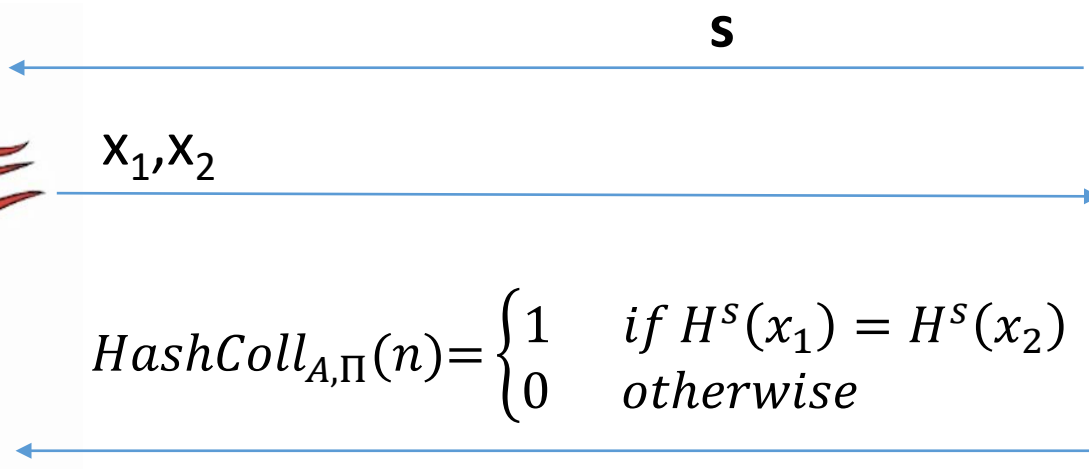
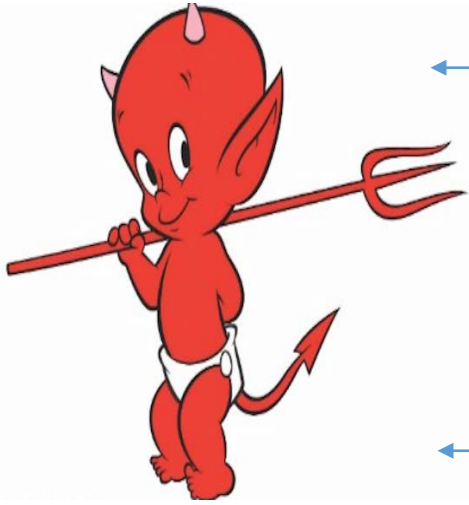
$$A_{x,x'}(1^n) = (x, x')$$

- We are assuming that  $|x| > |H(x)|$ . Why?
  - $H(x)=x$  is perfectly collision resistant! (but with no compression)

# Keyed Hash Function Syntax

- Two Algorithms
  - $\text{Gen}(1^n; R)$  (Key-generation algorithm)
    - **Input:** Random Bits  $R$
    - **Output:** Secret key  $s$
  - $H^s(m)$  (Hashing Algorithm)
    - **Input:** key  $s$  and message  $m \in \{0,1\}^*$  (unbounded length)
    - **Output:** hash value  $H^s(m) \in \{0,1\}^{\ell(n)}$
- Fixed length hash function
  - $m \in \{0,1\}^{\ell'(n)}$  with  $\ell'(n) > \ell(n)$

# Collision Experiment ( $HashColl_{A,\Pi}(n)$ )



$$s = \text{Gen}(1^n; R)$$

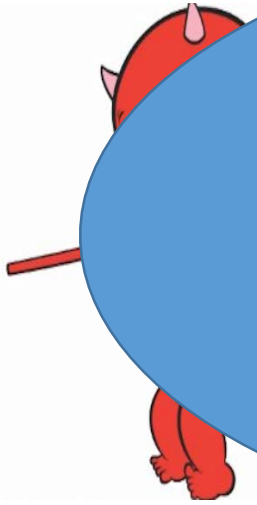


**Definition:**  $(\text{Gen}, H)$  is a collision resistant hash function if

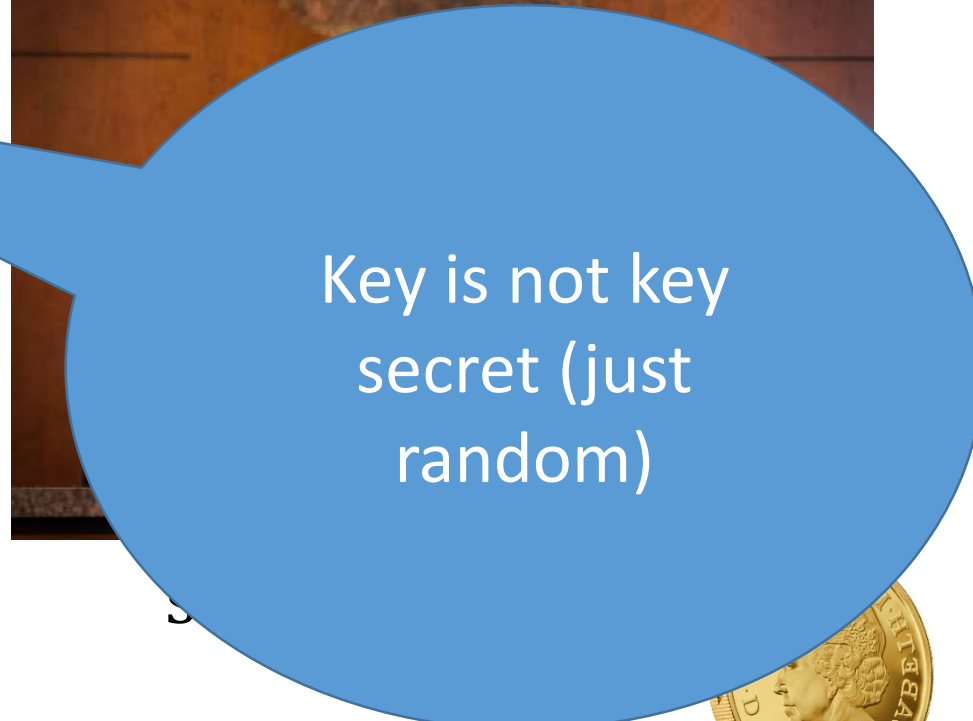
$$\forall PPT A \exists \mu \text{ (negligible) s.t.} \\ \Pr[HashColl_{A,\Pi}(n)=1] \leq \mu(n)$$



# Collision Experiment ( $HashColl_{A,\Pi}(n)$ )



For simplicity we will sometimes just say that  $H$  (or  $H^s$ ) is a collision resistant hash function



Key is not key secret (just random)

**Definition:**  $(Gen, H)$  is a collision resistant hash function if

$$\forall PPT A \exists \mu \text{ (negligible) s. t. } \Pr[HashColl_{A,\Pi}(n)=1] \leq \mu(n)$$

# Theory vs Practice

- Most cryptographic hash functions used in practice are un-keyed
  - Examples: MD5, SHA1, SHA2, SHA3
- Tricky to formally define collision resistance for keyless hash function
  - There is a PPT algorithm to find collisions
  - We just usually can't find this algorithm 😊

## Formalizing Human Ignorance: Collision-Resistant Hashing without the Keys

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