

# Homework 2 Released

- Due: Thursday, September 28<sup>th</sup> at 9 AM (beginning of class)
- Please Typeset Your Solutions (LaTeX, Word etc...)
- You may collaborate, but must write up your own solutions in your own words

# Cryptography

## CS 555

### **Week 5:**

- Loose Ends
- Cryptographic Hash Functions
- HMACs
- Generic Attacks
- Random Oracle Model
- Applications of Hashing

**Readings:** Katz and Lindell Chapter 5, Appendix A.4

# Recap

- Message Authentication Codes

- Integrity vs Confidentiality

$$\text{Mac}_k(m) = F_K(m)$$

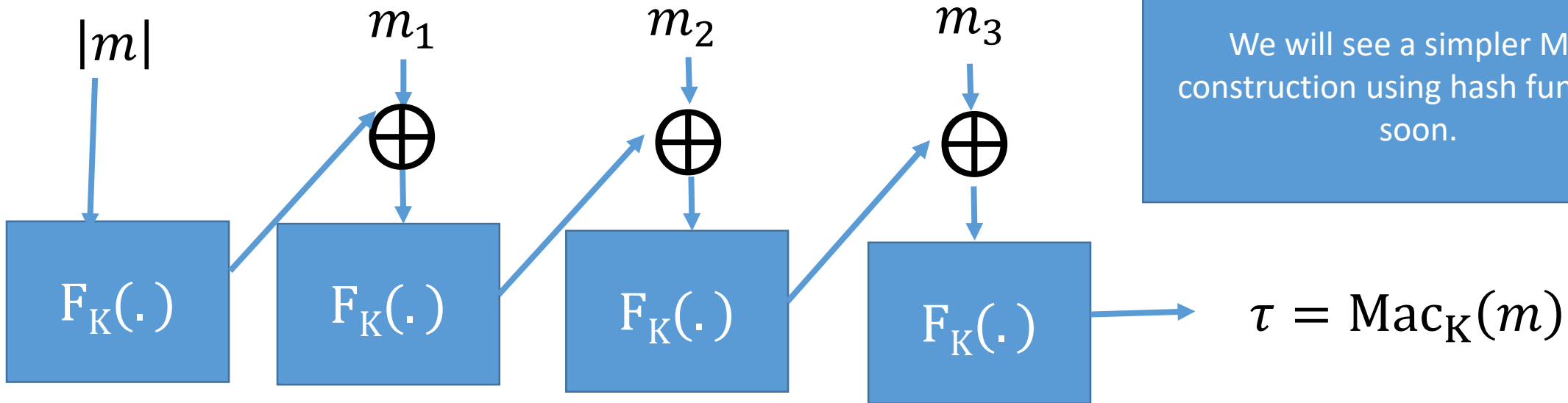
- Extension to unbounded messages and pitfalls (block re-ordering, truncation)
- ~~CBC-MAC~~

- Authenticated Encryption + CCA-Security

- ~~Encrypt and Authenticate [SSH]~~
- Authenticate then Encrypt [TLS] (Caution Required)
- Encrypt **then** Authenticate!

$$\text{Enc}_K(m) = \langle c, \text{Mac}'_{K_M}(c) \rangle \text{ where } c = \text{Enc}'_{K_E}(m)$$

# CBC-MAC



Caveat: Tricky Padding Issues arise if  $m$  is not a multiple of the block-length. See textbook.

We will see a simpler MAC construction using hash functions soon.

## Advantages over Previous Solution

- Both MACs are secure
- Works for unbounded length messages
- Canonical Verification
- Short Authentication tag
- ~~Parallelizable~~

Let  $t_i = \text{Mac}'_K(r \parallel \ell \parallel i \parallel m_i)$   
for  $i=1, \dots, d$   
(Note: encode  $i$  and  $\ell$  as  $n/4$  bit strings)

**Output**  $\langle r, t_1, \dots, t_d \rangle$

# Building Authenticated Encryption

**Theorem:** (Encrypt-then-authenticate) Let  $\text{Enc}'_{K_E}(m)$  be a CPA-Secure encryption scheme and let  $\text{Mac}'_{K_M}(m)$  be a secure MAC. Then the following construction is an authenticated encryption scheme.

$$\text{Enc}_K(m) = \langle c, \text{Mac}'_{K_M}(c) \rangle \text{ where } c = \text{Enc}'_{K_E}(m)$$

**Proof Intuition:** Suppose that we have already shown that any PPT attacker wins  $\text{Encforge}_{A,\Pi}$  with negligible probability.

Why does CCA-Security now follow from CPA-Security?

CCA-Attacker has decryption oracle, but cannot exploit it! Why?

Always sees  $\perp$  “invalid ciphertext” when he query with unseen ciphertext

# Proof Sketch

1. Let **ValidDecQuery** be event that attacker submits new/valid ciphertext to decryption oracle
2. Show  $\Pr[\mathbf{ValidDecQuery}] = \text{negl}(n)$  for any PPT attacker
  - **Hint:** Follows from strong security of MAC since
$$Enc_K(m) = \langle c, \text{Mac}'_{K_M}(c) \rangle$$
  - This also implies unforgeability.
3. Show that attacker who does not issue valid decryption query wins CCA-security game with probability  $\frac{1}{2} + \text{negl}(n)$ 
  - Hint: otherwise we can use A to break CPA-security
  - Hint 2: simulate decryption oracle by always returning  $\perp$  when given new ciphertext

# Secure Communication Session

- Solution? Alice transmits  $c_1 = \text{Enc}_K(m_1)$  to Bob, who decrypts and sends Alice  $c_2 = \text{Enc}_K(m_2)$  etc...
- Authenticated Encryption scheme is
  - Stateless
  - For fixed length-messages
- We still need to worry about
  - Re-ordering attacks
    - Alice sends  $2n$ -bit message to Bob as  $c_1 = \text{Enc}_K(m_1), c_2 = \text{Enc}_K(m_2)$
  - Replay Attacks
    - Attacker who intercepts message  $c_1 = \text{Enc}_K(m_1)$  can replay this message later in the conversation
  - Reflection Attack
    - Attacker intercepts message  $c_1 = \text{Enc}_K(m_1)$  sent from Alice to Bob and replays to  $c_1$  Alice only

# Secure Communication Session

- Defense
  - Counters ( $CTR_{A,B}, CTR_{B,A}$ )
    - Number of messages sent from Alice to Bob ( $CTR_{A,B}$ ) --- initially 0
    - Number of messages sent from Bob to Alice ( $CTR_{B,A}$ ) --- initially 0
    - Protects against Re-ordering and Replay attacks
  - Directionality Bit
    - $b_{A,B} = 0$  and  $b_{B,A} = 1$  (e.g., since  $A < B$ )
- Alice: To send  $m$  to Bob, set  $c = \text{Enc}_K(b_{A,B} \parallel CTR_{A,B} \parallel m)$ , send  $c$  and increment  $CTR_{A,B}$
- Bob: Decrypts  $c$ , (if  $\perp$  then reject), obtain  $b \parallel CTR \parallel m$ 
  - If  $CTR \neq CTR_{A,B}$  or  $b \neq b_{A,B}$  then reject
  - Otherwise, output  $m$  and increment  $CTR_{A,B}$



# Authenticated Security vs CCA-Security

- Authenticated Encryption  $\rightarrow$  CCA-Security (by definition)
- CCA-Security does not necessarily imply Authenticate Encryption
  - But most natural CCA-Secure constructions are also Authenticated Encryption Schemes
  - Some constructions are CCA-Secure, but do not provide Authenticated Encryptions, but they are less efficient.
- Conceptual Distinction
  - CCA-Security the goal is secrecy (hide message from active adversary)
  - Authenticated Encryption: the goal is integrity + secrecy

# Week 5: Topic 1: Cryptographic Hash Functions

# Hash Functions

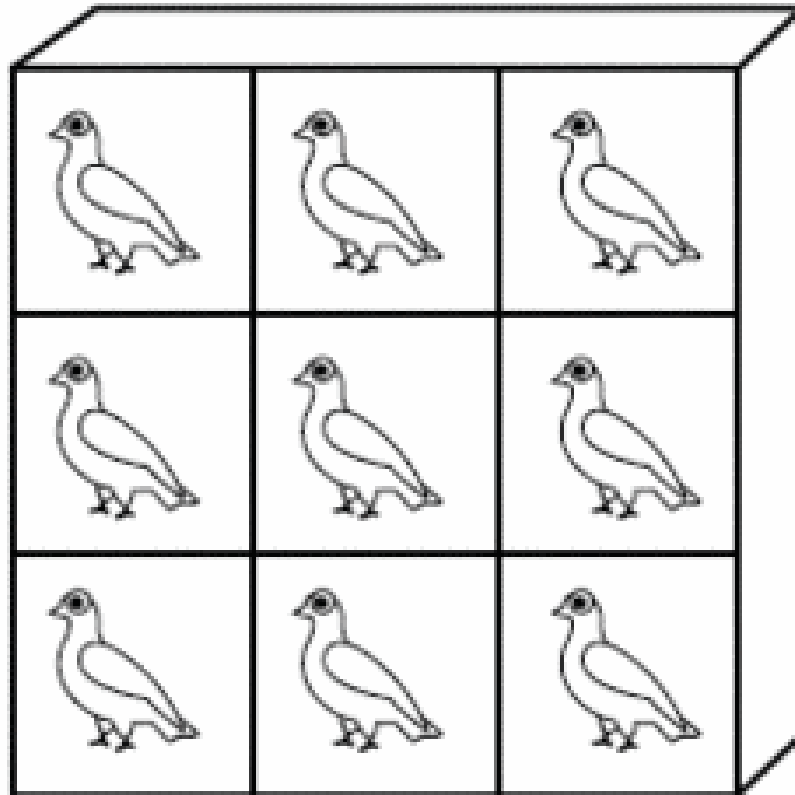
$$H(x) = y$$

Long Input:  $x$

Short Output:  $y$

# Pigeonhole Principle

**“You cannot fit 10 pigeons into 9 pigeonholes”**



# Hash Collisions

By Pigeonhole Principle there must exist  $x$  and  $y$  s.t.

$$H(x) = H(y)$$

# Classical Hash Function Applications

- Hash Tables
  - $O(1)$  lookup\*
- “Good hash function” should yield “few collisions”

\* Certain terms and conditions apply

# Collision-Resistant Hash Function

**Intuition:** Hard for computationally bounded attacker to find  $x, y$  s.t.  $H(x) = H(y)$

How to formalize this intuition?

- **Attempt 1:** For all PPT  $A$ ,

$$\Pr[A_{x,y}(1^n) = (x, y) \text{ s.t. } H(x) = H(y)] \leq \text{negl}(n)$$

- **The Problem:** Let  $x, y$  be given s.t.  $H(x) = H(y)$

$$A_{x,y}(1^n) = (x, y)$$

- We are assuming that  $|x| > |H(x)|$ . Why?

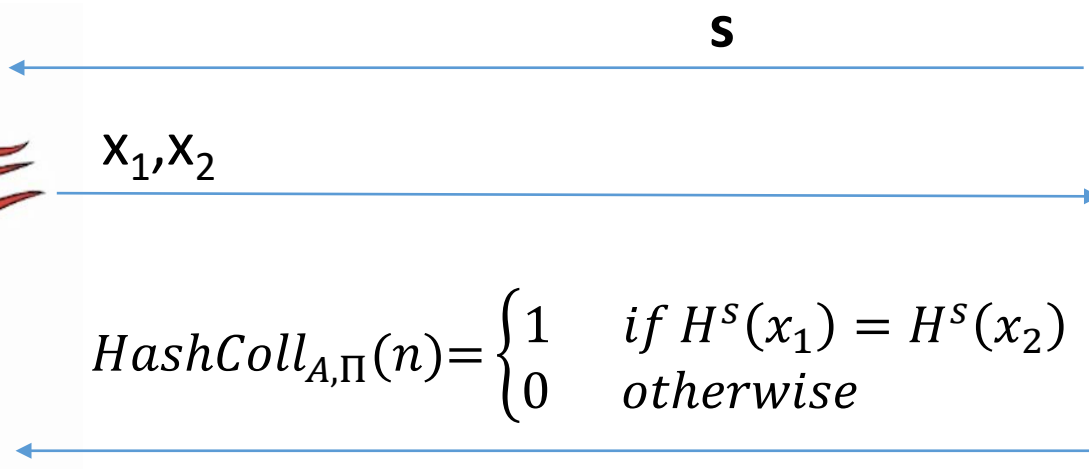
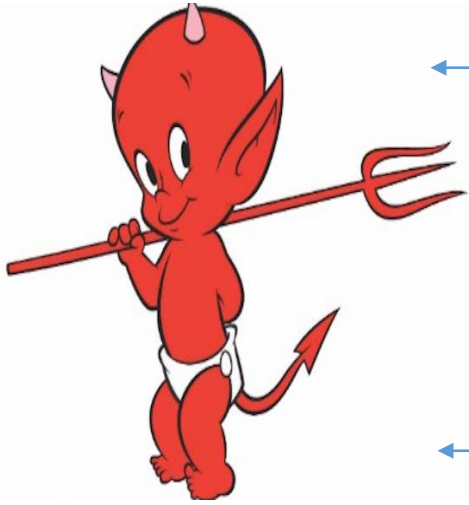
- $H(x) = x$  is perfectly collision resistant! (but with no compression)

# Keyed Hash Function Syntax

- Two Algorithms
  - $\text{Gen}(1^n; R)$  (Key-generation algorithm)
    - Input: Random Bits  $R$
    - Output: Secret key  $s$
  - $H^s(m)$  (Hashing Algorithm)
    - Input: key  $s$  and message  $m \in \{0,1\}^*$  (unbounded length)
    - Output: hash value  $H^s(m) \in \{0,1\}^{\ell(n)}$
- Fixed length hash function
  - $m \in \{0,1\}^{\ell'(n)}$  with  $\ell'(n) > \ell(n)$



# Collision Experiment ( $HashColl_{A,\Pi}(n)$ )



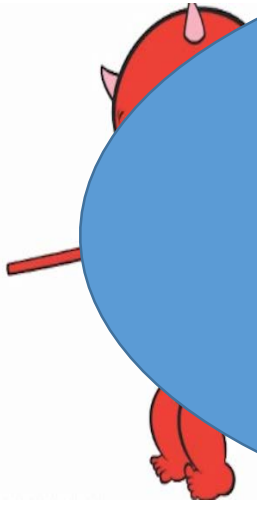
$$s = \text{Gen}(1^n; R)$$



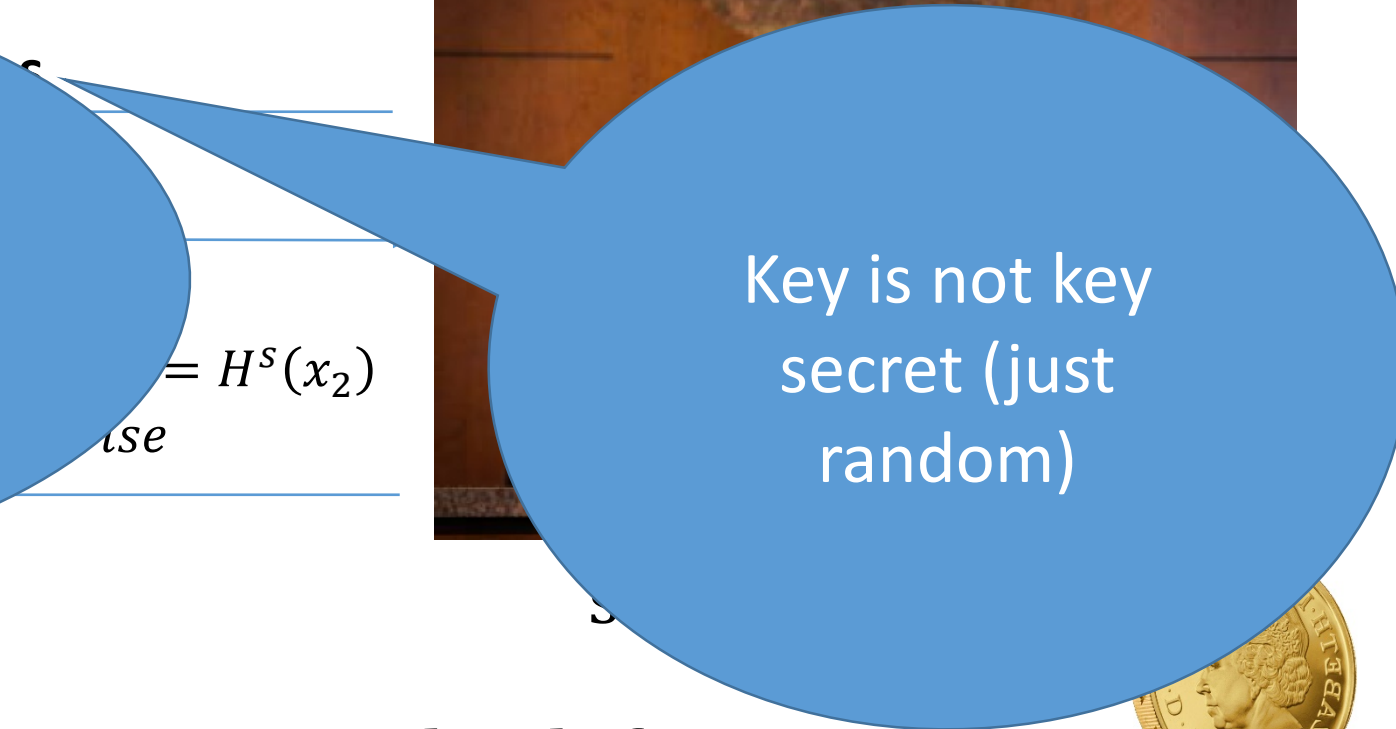
**Definition:**  $(\text{Gen}, H)$  is a collision resistant hash function if

$$\forall PPT A \exists \mu \text{ (negligible) s.t.} \\ \Pr[HashColl_{A,\Pi}(n)=1] \leq \mu(n)$$

# Collision Experiment ( $HashColl_{A,\Pi}(n)$ )



For simplicity we will sometimes just say that  $H$  (or  $H^s$ ) is a collision resistant hash function



Key is not key secret (just random)

**Definition:**  $(Gen, H)$  is a collision resistant hash function if

$$\forall PPT A \exists \mu \text{ (negligible) s. t. } \Pr[HashColl_{A,\Pi}(n)=1] \leq \mu(n)$$

# Theory vs Practice

- Most cryptographic hash functions used in practice are un-keyed
  - Examples: MD5, SHA1, SHA2, SHA3
- Tricky to formally define collision resistance for keyless hash function
  - There is a PPT algorithm to find collisions
  - We just usually can't find this algorithm 😊

## Formalizing Human Ignorance: Collision-Resistant Hashing without the Keys

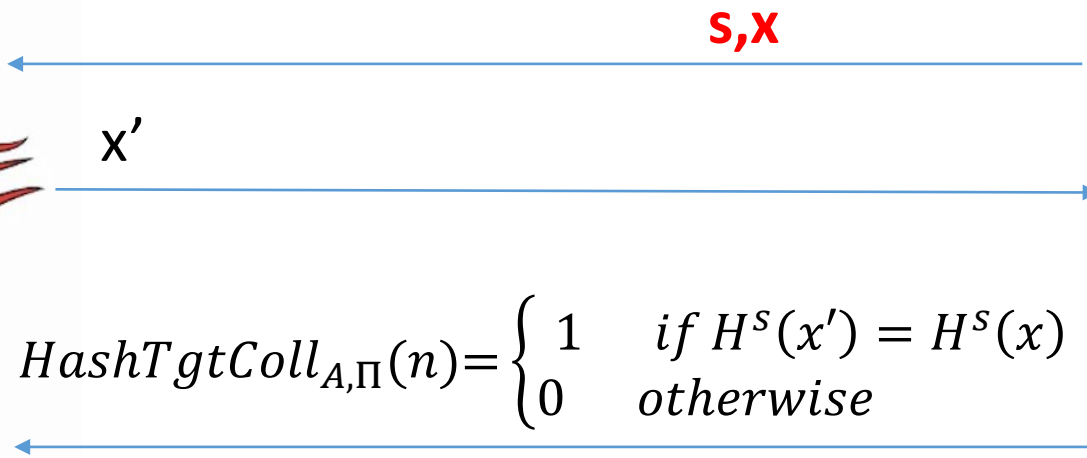
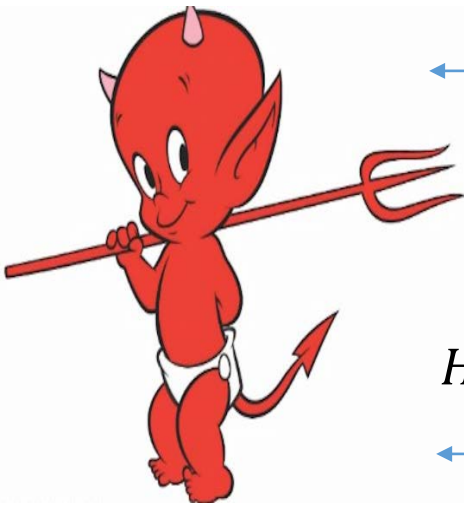
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# Weaker Requirements for Cryptographic Hash

- Target-Collision Resistance



$$s = \text{Gen}(1^n; R)$$

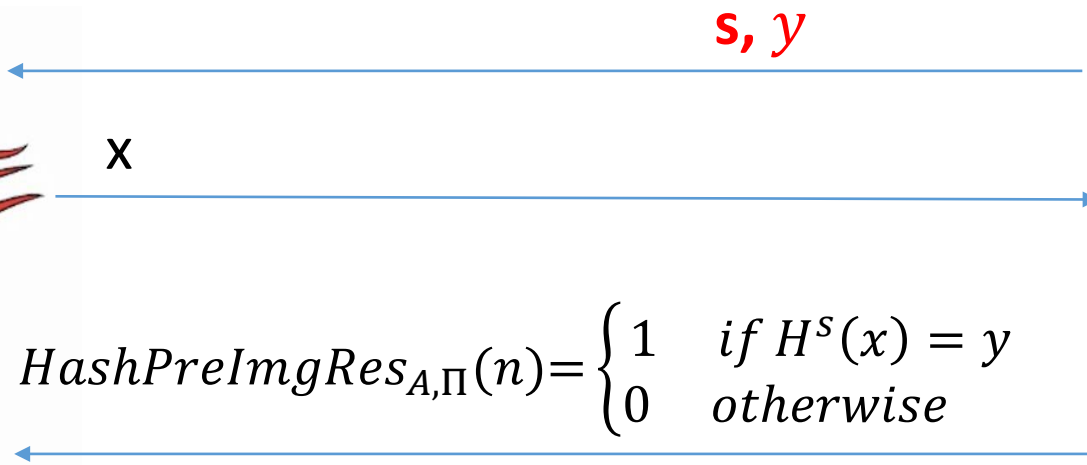
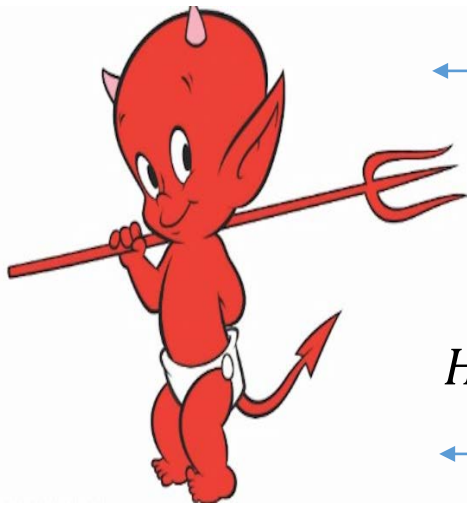
$$x \in \{0, 1\}^n$$



**Question:** Why is collision resistance stronger?

# Weaker Requirements for Cryptographic Hash

- Preimage Resistance (One-Wayness)



$$s = \text{Gen}(1^n; R)$$

$$y \in \{0,1\}^{\ell(n)}$$



**Question:** Why is collision resistance stronger?

# Merkle-Damgård Transform

- Most cryptographic hash functions accept fixed length inputs
- What if we want to hash arbitrary length strings?

**Construction:** (Gen,h) fixed length hash function from  $2n$  bits to  $n$  bits

$$H^s(x_1, \dots, x_d) = h^s(h^s(h^s(\dots h^s(0^n \parallel x_1)) \parallel x_{d-1}) \parallel x_d)$$

# Merkle-Damgård Transform

**Construction:** (Gen,h) fixed length hash function from  $2n$  bits to  $n$  bits

$H^S(x) =$

1. Break  $x$  into  $n$  bit segments  $x_1, \dots, x_d$  (pad last block by zeros if needed)
2.  $z_0 = 0^n$  (initialization)
3. For  $i = 1$  to  $d+1$ 
  1.  $z_i = h^S(z_{i-1} \parallel x_i)$
4. Output  $z_{d+1}$

# Merkle-Damgård Transform

**Theorem:** If  $(\text{Gen}, h)$  is collision resistant then so is  $(\text{Gen}, H)$

**Proof:** Show that any collision in  $H^s$  yields a collision in  $h^s$ . Thus a PPT attacker for  $(\text{Gen}, H)$  can be transformed into PPT attacker for  $(\text{Gen}, h)$ .

Suppose that

$$H^s(x) = H^s(x')$$

(note  $x$  and  $x'$  may have different lengths)



# Merkle-Damgård Transform

**Theorem:** If  $(\text{Gen}, h)$  is collision resistant then so is  $(\text{Gen}, H)$

**Proof:** Suppose that

$$H^s(x) = H^s(x')$$

Case 1:  $|x| = |x'|$  (proof for case two is similar)

$$H^s(x) = z_{d+1} = h^s(z_d \parallel x_d) = H^s(x') = z'_{d+1} = h^s(z'_d \parallel x'_d)$$

$z_d \parallel x_d = ? z'_d \parallel x'_d$

No → Found collision      Yes?

$$h^s(z_{d-1} \parallel x_{d-1}) = h^s(z'_{d-1} \parallel x'_{d-1})$$

# Merkle-Damgård Transform

**Theorem:** If  $(\text{Gen}, h)$  is collision resistant then so is  $(\text{Gen}, H)$

**Proof:** Suppose that

$$H^s(x) = H^s(x')$$

Case 1:  $|x| = |x'|$  (proof for case two is similar)

If for some  $i$  we have  $z_i \parallel x_i \neq z'_i \parallel x'_i$  then we will find a collision

But  $x$  and  $x'$  are different!

# Week 5: Topic 2: HMACs and Generic Attacks

# MACs for Arbitrary Length Messages

$\text{Mac}_K(m)=$

- Select random  $n/4$  bit string  $r$
- Let  $t_i = \text{Mac}'_K(r \parallel \ell \parallel i \parallel m_i)$  for  $i=1,\dots,d$ 
  - (Note: encode  $i$  and  $\ell$  as  $n/4$  bit strings)
- **Output**  $\langle r, t_1, \dots, t_d \rangle$

**Theorem 4.8:** If  $\Pi'$  is a secure MAC for messages of fixed length  $n$ , above construction  $\Pi = (\text{Mac}, \text{Vrfy})$  is secure MAC for arbitrary length messages.

# MACs for Arbitrary Length

Disadvantage 1: Long output

- Two Disadvantages:
1. Lose Strong-MAC Guarantee
  2. Security game arguably should give attacker  $\text{Vrfy}(\cdot)$  oracle (CPA vs CCA security)

• **Output**  $\langle r, t_1, \dots, t_d \rangle$

**Theorem 4.8:** If  $\Pi'$  is a secure MAC construction for arbitrary length messages.

Randomized Construction (no canonical verification). Disadvantage?

# Hash and MAC Construction

Start with  $(\text{Mac}, \text{Vrfy})$  a MAC for messages of fixed length and  $(\text{Gen}_H, H)$  a collision resistant hash function

$$\text{Mac}'_{\langle K_M, S \rangle}(m) = \text{Mac}_{K_M}(H^S(m))$$

**Theorem 5.6:** Above construction is a secure MAC.

**Note:** If  $\text{Vrfy}_{K_M}(m, t)$  is canonical then  $\text{Vrfy}'_{\langle K_M, S \rangle}(m, t)$  can be canonical.

# Hash and MAC Construction

Start with  $(\text{Mac}, \text{Vrfy})$  a MAC for messages of fixed length and  $(\text{Gen}_H, H)$  a collision resistant hash function

$$\text{Mac}'_{\langle K_M, S \rangle}(m) = \text{Mac}_{K_M}(H^S(m))$$

**Theorem 5.6:** Above construction is a secure MAC.

**Proof Intuition:** If attacker successfully forges a valid MAC tag  $t'$  for unseen message  $m'$  then either

- **Case 1:**  $H^S(m') = H^S(m_i)$  for some previously requested message  $m_i$
- **Case 2:**  $H^S(m') \neq H^S(m_i)$  for every previously requested message  $m_i$

# Hash and MAC Construction

**Theorem 5.6:** Above construction is a secure MAC.

**Proof Intuition:** If attacker successfully forges a valid MAC tag  $t'$  for unseen message  $m'$  then either

- **Case 1:**  $H^S(m') = H^S(m_i)$  for some previously requested message  $m_i$ 
  - **Attacker can find hash collisions!**
- **Case 2:**  $H^S(m') \neq H^S(m_i)$  for every previously requested message  $m_i$ 
  - **Attacker forged a valid new tag on the “new message”  $H^S(m')$**
  - **Violates security of the original fixed length MAC**



# MAC from Collision Resistant Hash

- Failed Attempt:

$$Mac_{\langle k, S \rangle}(m) = H^S(k \parallel m)$$

Broken if  $H^S$  uses Merkle-Damgård Transform

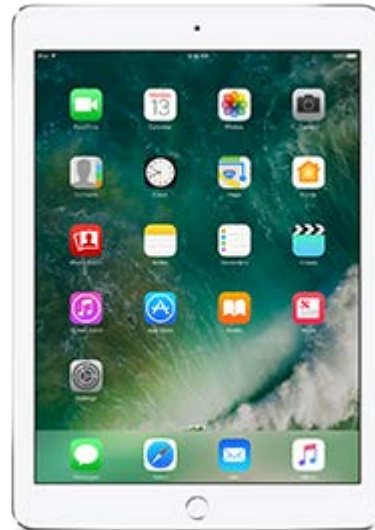
$$\begin{aligned} Mac_{\langle k, S \rangle}(m_1 \parallel m_2 \parallel m_3) &= h^S(h^S(h^S(h^S(0^n \parallel k) \parallel m_1) \parallel m_2) \parallel m_3) \\ &= h^S(Mac_{\langle k, S \rangle}(m_1 \parallel m_2) \parallel m_3) \end{aligned}$$

**Why does this mean  $Mac_{\langle k, S \rangle}$  is broken?**

# HMAC

$$\text{Mac}_{\langle k, s \rangle}(m) = H^s \left( (k \oplus \text{opad}) \parallel H^s((k \oplus \text{ipad}) \parallel m) \right)$$

ipad?



# HMAC

$$\text{Mac}_{\langle k, S \rangle}(m) = H^s \left( (k \oplus \text{opad}) \parallel H^s((k \oplus \text{ipad}) \parallel m) \right)$$

ipad = inner pad

opad = outer pad

Both ipad and opad are fixed constants.

Why use key twice?

Allows us to prove security from *weak collision resistance* of  $H^s$

# HMAC Security

$$\text{Mac}_{\langle k, S \rangle}(m) = H^s \left( (k \oplus \text{opad}) \parallel H^s((k \oplus \text{ipad}) \parallel m) \right)$$

**Theorem (Informal):** Assuming that  $H^s$  is weakly collision resistant and that (certain other plausible assumptions hold) this is a secure MAC.

**Weak Collision Resistance:** Give attacker oracle access to  $f(m) = H^s(k \parallel m)$  (secret key  $k$  remains hidden).

**Attacker Goal:** Find distinct  $m, m'$  such that  $f(m) = f(m')$

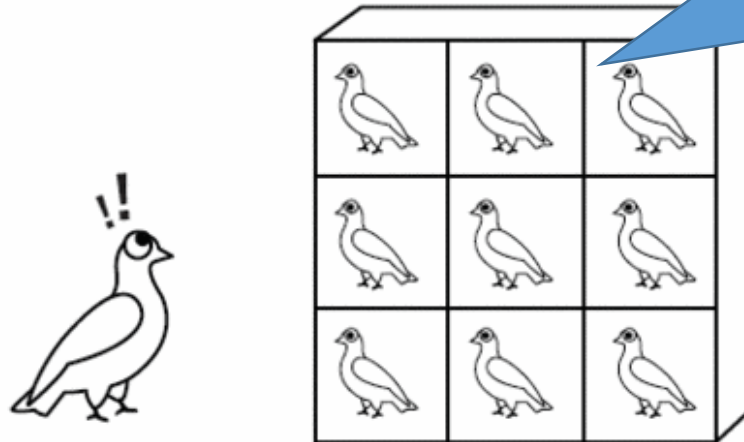
# HMAC in Practice

- MD5 can no longer be viewed as collision resistant
- However, HMAC-MD5 remained unbroken after MD5 was broken
  - Gave developers time to replace HMAC-MD5
  - Nevertheless, don't use HMAC-MD5!
- HMAC is efficient and unbroken
  - CBC-MAC was not widely deployed because it is "too slow"
  - Instead practitioners often used heuristic constructions (which were breakable)

# Finding Collisions

- Ideal Hashing Algorithm
  - Random function  $H$  from  $\{0,1\}^*$  to  $\{0,1\}^\ell$
  - Suppose attacker has oracle access to  $H(\cdot)$
- **Attack 1:** Evaluate  $H(\cdot)$  on  $2^\ell + 1$  distinct inputs.

THE PIGEONHOLE PRINCIPLE



Can we do better?

# Birthday Attack for Finding Collisions



- Ideal Hashing Algorithm
  - Random function  $H$  from  $\{0,1\}^*$  to  $\{0,1\}^\ell$
  - Suppose attacker has oracle access to  $H(\cdot)$
- **Attack 2:** Evaluate  $H(\cdot)$  on  $q = 2^{(\ell/2)+1} + 1$  distinct inputs  $x_1, \dots, x_q$ .

$$\Pr[\forall i < j. H(x_i) \neq H(x_j)] = 1 \left(1 - \frac{1}{2^\ell}\right) \left(1 - \frac{2}{2^\ell}\right) \left(1 - \frac{3}{2^\ell}\right) \dots \left(1 - \frac{2^{(\ell/2)+1}}{2^\ell}\right) < \frac{1}{2}$$

# Birthday Attack for Finding Collisions



- Ideal Hashing Algorithm
  - Random function  $H$  from  $\{0,1\}^*$  to  $\{0,1\}^\ell$
  - Suppose attacker has oracle access to  $H(\cdot)$
- **Attack 2:** Evaluate  $H(\cdot)$  on  $q = 2^{(\ell/2)+1} + 1$  distinct inputs  $x_1, \dots, x_q$ .
- Store values  $(x_i, H(x_i))$  in a hash table of size  $q$ 
  - Requires time/space  $O(q) = O(\sqrt{2^\ell})$
  - Can we do better?



# Small Space Birthday Attack

- **Attack 2:** Select random  $x_0$ , define  $x_i = H(x_{i-1})$

- Initialize:  $x=x_0$  and  $x'=x_0$
- Repeat for  $i=1,2,\dots$ 
  - $x:=H(x)$       now  $x = x_i$
  - $x':=H(H(x'))$       now  $x' = x_{2i}$
  - If  $x=x'$  then break
- Reset  $x=x_0$  and set  $x'=x$
- Repeat for  $j=1$  to  $i$ 
  - If  $H(x) = H(x')$  then output  $x,x'$
  - Else  $x:= H(x), x' = H(x)$

Now  $x=x_j$  AND  $x' = x_{i+j}$



# Small Space Birthday Attack



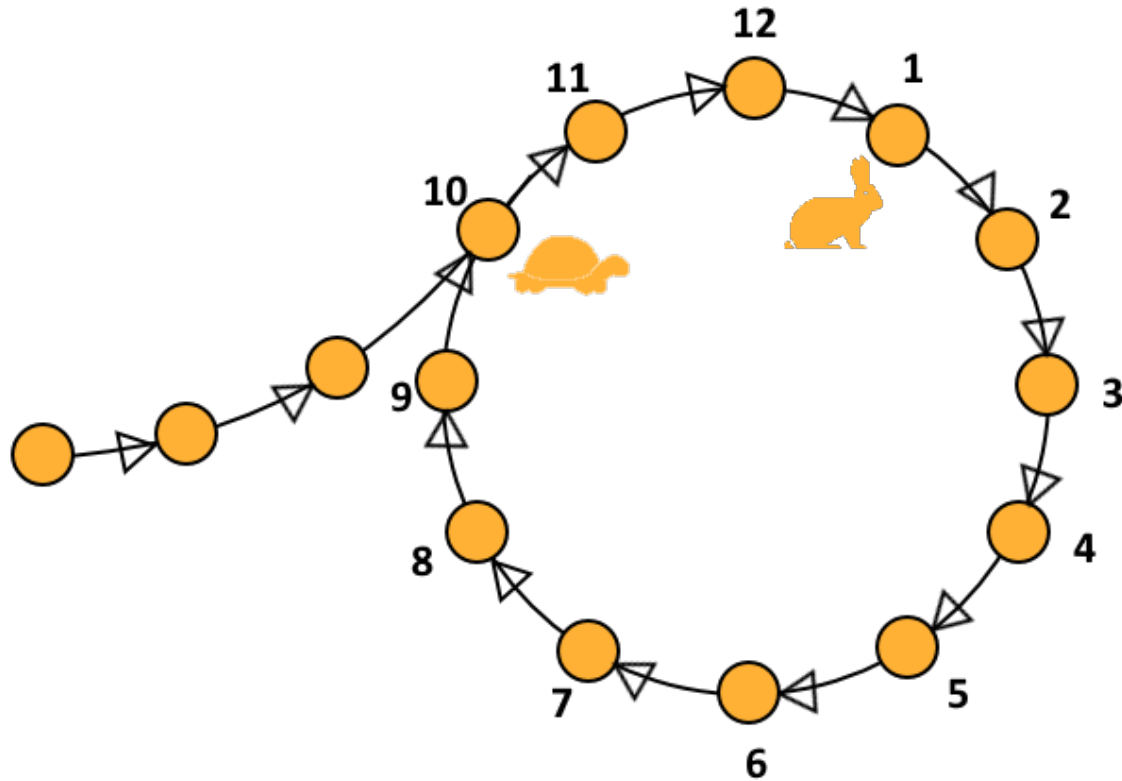
- **Attack 2:** Select random  $x_0$ , define  $x_i = H(x_{i-1})$

- Initialize:  $x=x_0$  and  $x'=x_0$
- Repeat for  $i=1,2,\dots$ 
  - $x:=H(x)$       now  $x = x_i$
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- Repeat for  $j=1$  to  $i$ 
  - If  $H(x) = H(x')$  then output  $x,x'$
  - Else  $x:= H(x), x' = H(x)$

Now  $x=x_j$  AND  $x' = x_{i+j}$

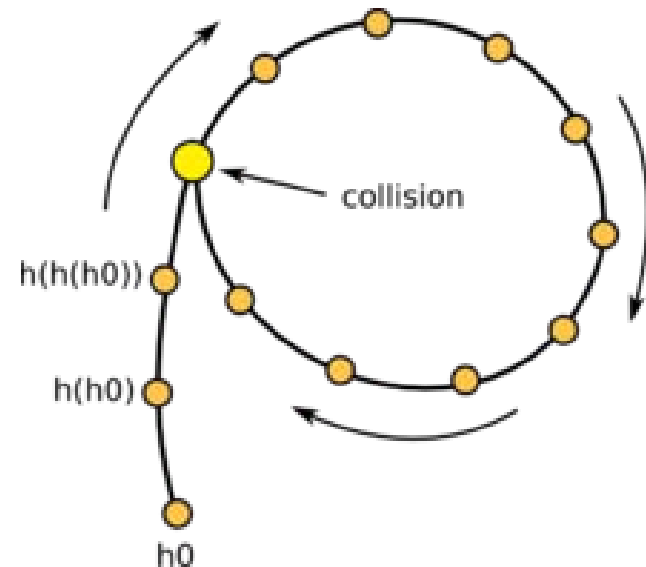
Finds collision after  
 $O(2^{\ell/2})$  steps in  
expectation

# Floyd's Cycle Finding Algorithm



- A cycle denotes a hash collision
- Occurs after  $O(2^{\ell/2})$  steps by birthday paradox
- First attack phase detects cycle
- Second phase identifies collision

- Analogy: Cycle detection in linked list
- Can traverse "linked list" by computing H



# Small Space Birthday Attack

- Can be adapted to find “meaningful collisions” if we have a large message space  $O(2^\ell)$
- **Example:**  $S = S_1 \cup S_2$  with  $|S_1| = |S_2| = 2^{\ell-1}$ 
  - $S_1$  = Set of positive recommendation letters
  - $S_2$  = Set of negative recommendation letters
- **Goal:** find  $z_1 \in S_1, z_2 \in S_2$ , such that  $H(z_1) = H(z_2)$
- Can adapt previous attack by assigning unique binary string  $b(x) \in \{0,1\}^\ell$  of length  $\ell$  to each  $x \in S$

$$x_i = H(b(x_{i-1}))$$

# Targeted Collision (e.g., Password Cracking)

- Attacker is given  $y=H(\text{pwd})$
- Goal find  $x'$  s.t.  $H(x') = y$
  
- There is an attack which requires
  - Precomputation Time:  $O(|PASSWORDS|)$
  - Space:  $|PASSWORDS|^{2/3}$
  - On input  $y$  finds  $\text{pwd}$  in Time:  $|PASSWORDS|^{2/3}$
- Cracking costs amortize over many users...
- Other time-memory tradeoffs are possible...
- **Defense 1:**  $y=H(\text{pwd}|\text{salt})$  [password salting]
- **Defense 2:** Make sure that  $H$  is moderately expensive to compute (MHFs)

# Targeted Collision (e.g., Password Cracking)

- Attacker is given  $y=H(x)$

- Goal find  $x'$  s.t.  $H(x') = y$

Space:  $2^{\ell/3}$   
Precomputation Time:  $2^{2\ell/3}$

- Precomputation (sketch)

- Store  $s = 2^{\ell/3}$  pairs  $(SP_i, EP_i)$  where  $EP_i = Ht(SP_i)$  and  $t = 2^{\ell/3}$

- Let  $y=y_0$

- For  $i=1,2,\dots, 2^{\ell/3}$

- $y_i = H(y_{i-1})$

- For each  $j$  s.t.  $EP_j=y_i$

- Check if  $y$  is in the hash chain  $(SP_i, EP_i)$
- Yes  $\rightarrow$  Found desired  $x'$

Total #j's =  $\frac{st^2}{2^\ell} < O(1)$

Total Runtime =  $O(t) = O(2^{\ell/3})$

Success Rate  $\approx \frac{1}{4t}$

# Targeted Collision (e.g., Password Cracking)

- Attacker is given  $y=H(x)$

- Goal find  $x'$  s.t.  $H(x') = y$

Space:  $2^{2\ell/3}$   
Precomputation Time:  $2^\ell = 2^{2\ell/3} 2^{\ell/3}$

- Precomputation (sketch)

- Store  $4st = 4 \times 2^{2\ell/3}$  pairs  $(SP_i^j, EP_i^j)$  where  $EP_i^j = Ht(c_j \oplus SP_i)$  and  $t = 2^{\ell/3}$

- Let  $y=y_0$

- For  $i=1,2,\dots, 2^{\ell/3}$

- $y_i^j = H(c_j \oplus y_{i-1})$
- Foreach  $j$  s.t.  $EP_i^j = y_i^j$
- Check if  $y$  is in the hash chain  $(SP_i, EP_i)$ 
  - Yes  $\rightarrow$  Found desired  $x'$

Repeat for each  $j < t$

Total Runtime =  $O(t \times t) = O(2^{2\ell/3})$

Success Rate  $> 0.63$

# Targeted Collisions (Other Applications)

- Define  $H(K) = F_k(x)$
- Suppose attacker obtains a pair  $x, F_k(x)$  (chosen plaintext attack)
- There is a key recovery attack with
  - Precomputation Time:  $|\mathcal{K}|$
  - Space:  $|\mathcal{K}|^{2/3}$
  - Cracking Time:  $|\mathcal{K}|^{2/3}$
- Precomputation costs amortize if we are attacking multiple different keys
  - As long as we have  $x, F_{k'}(x)$  we don't need to repeat precomputation phase



# Week 5: Topic 3: Random Oracle Model + Hashing Applications

# (Recap) Collision-Resistant Hash Function

**Intuition:** Hard for computationally bounded attacker to find  $x, y$  s.t.  
 $H(x) = H(y)$

How to formalize this intuition?

- **Attempt 1:** For all PPT  $A$ ,

$$\Pr[A_{x,y}(1^n) = (x, y) \text{ s.t. } H(x) = H(y)] \leq \text{negl}(n)$$

- **The Problem:** Let  $x, y$  be given s.t.  $H(x) = H(y)$

$$A_{x,y}(1^n) = (x, y)$$

- We are assuming that  $|x| > |H(x)|$ . Why?

- $H(x) = x$  is perfectly collision resistant! (but with no compression)

# (Recap) Keyed Hash Function Syntax

- Two Algorithms
  - $\text{Gen}(1^n; R)$  (Key-generation algorithm)
    - Input: Random Bits  $R$
    - Output: Secret key  $s$
  - $H^s(m)$  (Hashing Algorithm)
    - Input: key  $s$  and message  $m \in \{0,1\}^*$  (unbounded length)
    - Output: hash value  $H^s(m) \in \{0,1\}^{\ell(n)}$
- Fixed length hash function
  - $m \in \{0,1\}^{\ell'(n)}$  with  $\ell'(n) > \ell(n)$

# When Collision Resistance Isn't Enough

- **Example:** Message Commitment

- Alice sends Bob:  $H^s(r \parallel m)$  (e.g., predicted winner of NCAA Tournament)
- Alice can later reveal message (e.g., after the tournament is over)
  - Just send  $r$  and  $m$  (note:  $r$  has fixed length)
  - Why can Alice not change her message?
- In the meantime Bob shouldn't learn *anything* about  $m$



- **Problem:** Let  $(\text{Gen}, H')$  be collision resistant then so is  $(\text{Gen}, H)$

$$H^s(x_1, \dots, x_d) = H'^s(x_1, \dots, x_d) \parallel x_d$$

# When Collision Resistance Isn't Enough

- **Problem:** Let  $(\text{Gen}, H')$  be collision resistant then so is  $(\text{Gen}, H)$

$$H^s(x_1, \dots, x_d) = H'^s(x_1, \dots, x_d) \parallel x_d$$

- $(\text{Gen}, H)$  definitely does not hide all information about input  $(x_1, \dots, x_d)$
- **Conclusion:** Collision resistance is not sufficient for message commitment

# The Tension

- **Example:** Message Commitment

- Alice sends Bob:  $H^s(r \parallel m)$  (e.g., predicted winners of NCAA Final Four)
- Alice can later reveal message (e.g., after the Final Four is decided)
- In the meantime Bob shouldn't learn anything about  $m$

This is still a reasonable approach in practice!

- No attacks when instantiated with any reasonable candidate (e.g., SHA3)
- Cryptographic hash functions seem to provide “something” beyond collision resistance, but how do we model this capability?

# Random Oracle Model

- Model hash function  $H$  as a truly random function
- Algorithms can only interact with  $H$  as an oracle
  - **Query:**  $x$
  - **Response:**  $H(x)$
- If we submit the same query you see the same response
- If  $x$  has not been queried, then the value of  $H(x)$  is uniform
- **Real World:**  $H$  instantiated as cryptographic hash function (e.g., SHA3) of fixed length (no Merkle-Damgård)

# Back to Message Commitment

- **Example:** Message Commitment
  - Alice sends Bob:  $H(r \parallel m)$  (e.g., predicted winners of NCAA Final Four)
  - Alice can later reveal message (e.g., after the Final Four is decided)
    - Just send  $r$  and  $m$  (note:  $r$  has fixed length)
    - Why can Alice not change her message?
  - In the meantime Bob shouldn't learn *anything* about  $m$
- **Random Oracle Model:** Above message commitment scheme is secure (Alice cannot change  $m$  + Bob learns nothing about  $m$ )
- **Information Theoretic Guarantee** against any attacker with  $q$  queries to  $H$



# Random Oracle Model: Pros

- It is easier to prove security in Random Oracle Model
- Suppose we are simulating attacker  $A$  in a reduction
  - **Extractability**: When  $A$  queries  $H$  at  $x$  we **see this query** and learn  $x$  (and can easily find  $H(x)$ )
  - **Programmability**: We can set the value of  $H(x)$  to a value of our choice
    - As long as the value is correctly distribute i.e., close to uniform
- Both **Extractability** and **Programmability** are useful tools for a security reduction!

# Random Oracle Model: Pros

- It is easier to prove security in Random Oracle Model
- Provably secure constructions in random oracle model are often much more efficient (compared to provably secure construction is “standard model”)
- Sometimes we only know how to design provably secure protocol in random oracle model

# Random Oracle Model: Cons

- Lack of formal justification
- Why should security guarantees translate when we instantiate random oracle with a real cryptographic hash function?
- We can construct (contrived) examples of protocols which are
  - Secure in random oracle model...
  - But broken in the real world

# Random Oracle Model: Justification

“A proof of security in the random-oracle model is significantly better than no proof at all.”

- **Evidence of sound design** (any weakness involves the hash function used to instantiate the random oracle)
- **Empirical Evidence for Security**
  - “there have been no successful real-world attacks on schemes proven secure in the random oracle model”

# Hash Function Application: Fingerprinting

- The hash  $h(x)$  of a file  $x$  is a unique identifier for the file
  - Collision Resistance  $\rightarrow$  No need to worry about another file  $y$  with  $H(y)=H(x)$
- Application 1: Virus Fingerprinting
- Application 2: P2P File Sharing
- Application 3: Data deduplication

# Tamper Resistant Storage



$H(m_1)$



$m_1$



$m_1'$



# Tamper Resistant Storage

File Index	Hash
1	$H(m_1)$
2	$H(m_2)$
3	$H(m_3)$

Disadvantage: Too many hashes to store



$m_1, m_2, m_3$

Send file 1

$m_1'$



# Tamper Resistant Storage

Disadvantage: Need all files to compute hash  
 $m_1, m_2, m_3$

$H(m_1, m_2, m_3)$



$m_1, m_2, m_3$

Send file 1

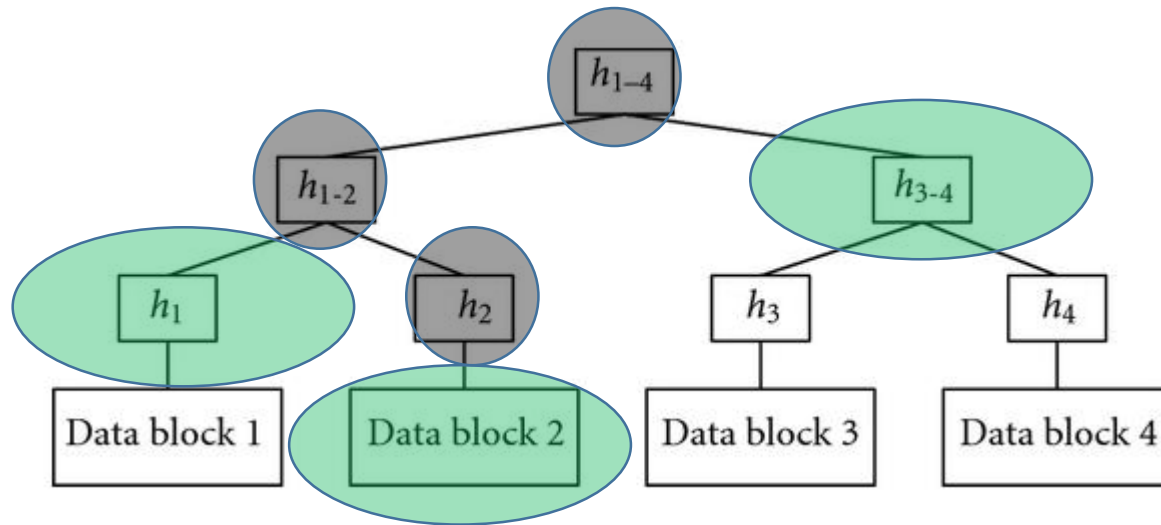
$m_1'$





# Merkle Trees

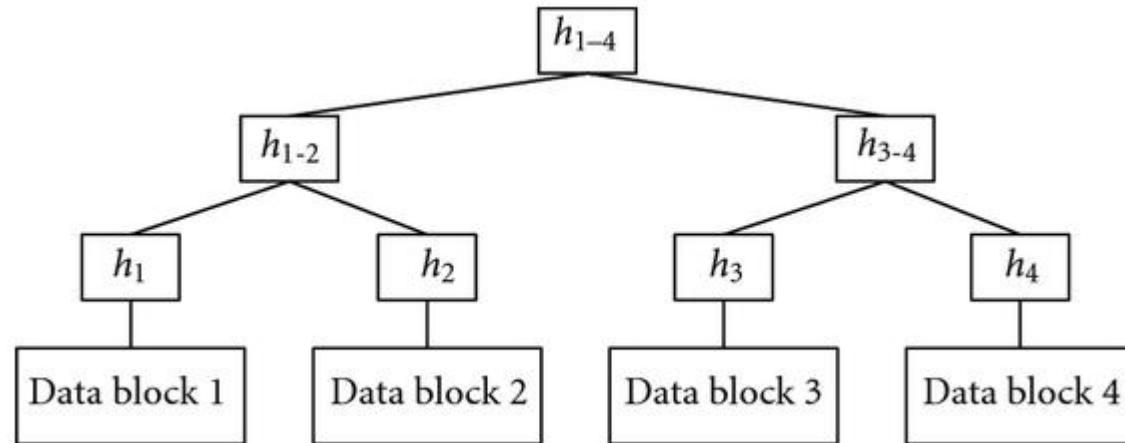
- **Proof of Correctness for data block 2**



- **Verify that root matches**
- **Proof consists of just  $\log(n)$  hashes**
  - **Verifier only needs to permanently store only one hash value**



# Merkle Trees



**Theorem:** Let  $(\text{Gen}, h^s)$  be a collision resistant hash function and let  $H^s(m)$  return the root hash in a Merkle Tree. Then  $H^s$  is collision resistant.

# Tamper Resistant Storage

Root:  $H_{1-4}$



$m_1, m_2, m_3, m_4$

Send file 2

$m_2', h_1, h_{3-4}$

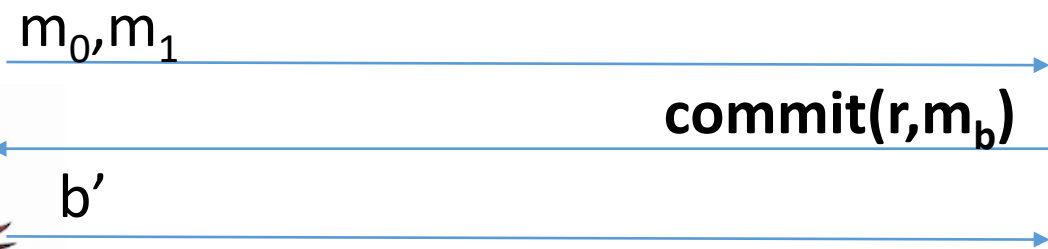


# Commitment Schemes

- Alice wants to commit a message  $m$  to Bob
  - And possibly reveal it later at a time of her choosing
- Properties
  - Hiding: commitment reveals nothing about  $m$  to Bob
  - Binding: it is infeasible for Alice to alter message



# Commitment Hiding ( $\text{Hiding}_{A,Com}(n)$ )



$$\text{Hiding}_{A,Com}(n) = \begin{cases} 1 & \text{if } b = b' \\ 0 & \text{otherwise} \end{cases}$$



$r = \text{Gen}(\cdot)$

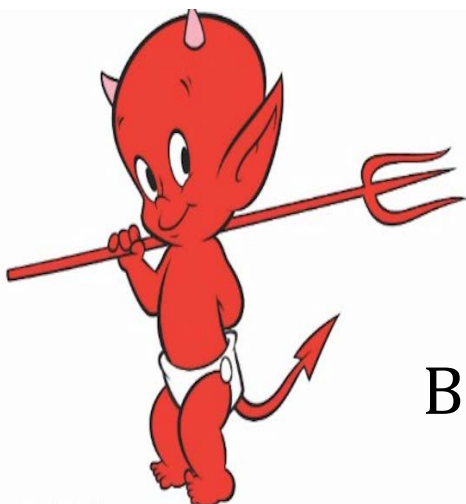
Bit  $b$



$$\forall PPT A \exists \mu \text{ (negligible) s. t.} \\ \Pr[\text{Hiding}_{A,Com}(n) = 1] \leq \frac{1}{2} + \mu(n)$$



# Commitment Binding ( $\text{Binding}_{A,Com}(n)$ )



$r_0, r_1, m_0, m_1$



$$\text{Binding}_{A,Com}(n) = \begin{cases} 1 & \text{if } \text{commit}(r_0, m_0) = \text{commit}(r_1, m_1) \\ 0 & \text{otherwise} \end{cases}$$

$\forall PPT A \exists \mu$  (negligible) s. t  
 $\Pr[\text{Binding}_{A,Com}(n) = 1] \leq \mu(n)$

# Secure Commitment Scheme

- **Definition:** A secure commitment scheme is **hiding** and **binding**

- **Hiding**

$$\forall PPT A \exists \mu \text{ (negligible) s. t.}$$
$$\Pr[\text{Hiding}_{A,Com}(n) = 1] \leq \frac{1}{2} + \mu(n)$$

- **Binding**

$$\forall PPT A \exists \mu \text{ (negligible) s. t.}$$
$$\Pr[\text{Binding}_{A,Com}(n) = 1] \leq \mu(n)$$

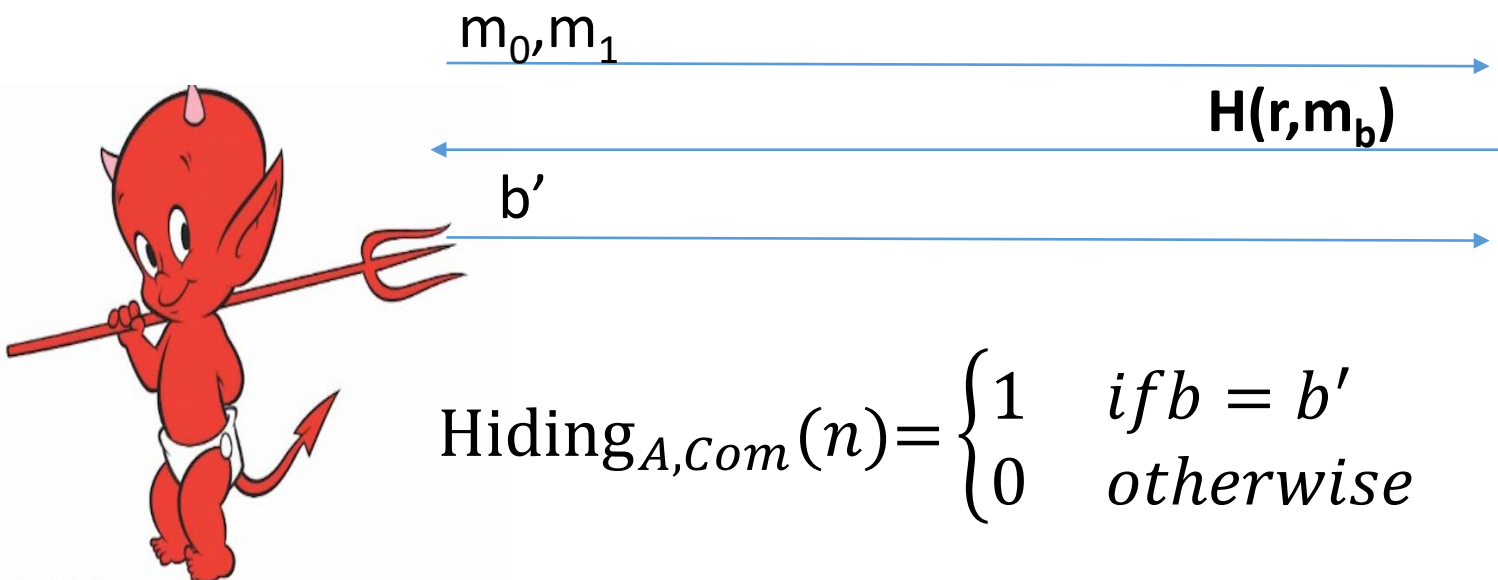
# Commitment Scheme in Random Oracle Model

- **Commit**( $r, m$ ):=  $H(m \parallel r)$
- **Reveal**( $c$ ):=  $(m, r)$

**Theorem:** In the random oracle model this is a secure commitment scheme.



# Commitment Hiding ( $\text{Hiding}_{A,Com}(n)$ )



$$\text{Hiding}_{A,Com}(n) = \begin{cases} 1 & \text{if } b = b' \\ 0 & \text{otherwise} \end{cases}$$



$r = \text{Gen}(\cdot)$

Bit  $b$



$\forall PPT A$  making  $q(n)$  queries s.t

$$\Pr[\text{Hiding}_{A,Com}(n) = 1] \leq \frac{1}{2} + \frac{q(n)}{2^{|r|}}$$

# Other Applications

- Password Hashing
- Key Derivation

# Next Week

- Stream Ciphers
- Block Ciphers
- Feistel Networks
- DES, 3DES
- Read Katz and Lindell 6.1-6.2

# Revisit: Building Authenticated Encryption

**Attempt 3:** (Authenticate-then-encrypt) Let  $\text{Enc}'_{K_E}(m)$  be a CPA-Secure encryption scheme and let  $\text{Mac}'_{K_M}(m)$  be a secure MAC. Let  $K = (K_E, K_M)$  then

$$\text{Enc}_K(m) = \langle \text{Enc}'_{K_E}(m \parallel t) \rangle \text{ where } t = \text{Mac}'_{K_M}(m)$$

Doesn't **necessarily** work

- Approach is still used in TLS
- Some practitioners still advocate for this methodology

# Building Authenticated Encryption

**Attempt 3:** (Authenticate-then-encrypt) Let  $\text{Enc}'_{K_E}(m)$  be a CPA-Secure encryption scheme and let  $\text{Mac}'_{K_M}(m)$  be a secure MAC. Let  $K = (K_E, K_M)$  then

$$\text{Enc}_K(m) = \langle \text{Enc}'_{K_E}(m \parallel t) \rangle \text{ where } t = \text{Mac}'_{K_M}(m)$$

**A Bad Example:**

$$\begin{aligned} \text{Enc}_K(m) &= \langle r, F_{K_E}(r) \oplus (\text{ECC}(m) \parallel t) \rangle \\ \text{ECC}(m) &= w \text{ (codeword for error correction)} \\ \text{Decode}(w') &= m \text{ if } w' \text{ and } w \text{ are 'mostly the same'} \end{aligned}$$

# Building Authenticated Encryption

$$\text{Enc}_K(m) = \langle r, F_{K_E}(r) \oplus (\text{ECC}(m) \parallel t) \rangle \text{ where } t = \text{Mac}'_{K_M}(m) \\ w = \text{ECC}(m)$$

$$\text{Dec}_K(\langle r, s \rangle)$$

- $w \parallel t \leftarrow F_{K_E}(r) \oplus s$
- $m \leftarrow \text{Decode}(w)$
- $\text{output} = \begin{cases} m & \text{if } t = \text{Mac}'_{K_M}(m) \\ \perp & \text{otherwise} \end{cases}$

# Building Authenticated Encryption

$$\text{Enc}_K(m) = \langle r, F_{K_E}(r) \oplus (\text{ECC}(m) \parallel t) \rangle \text{ where } t = \text{Mac}'_{K_M}(m) \\ w = \text{ECC}(m)$$

$Dec_K(\langle r, s \rangle)$

- $w \parallel t \leftarrow F_{K_E}(r) \oplus s$
- $m \leftarrow Decode(w)$
- $output = \begin{cases} m & \text{if } t = \text{Mac}'_{K_M}(m) \\ \perp & \text{otherwise} \end{cases}$

**Key Point:** Error Correcting Code allows attacker to flip a few bits of  $s$  without altering message  $m$ .

$$\langle r, s \oplus 10^{n-1} \rangle = \langle r, F_{K_E}(r) \oplus (w' \parallel t) \rangle$$

# Building Authenticated Encryption

$$\text{Enc}_K(m) = \langle r, F_{K_E}(r) \oplus (\text{ECC}(m) \parallel t) \rangle \text{ where } t = \text{Mac}'_{K_M}(m) \\ w = \text{ECC}(m)$$

**Worst Case:** Chosen ciphertext attack allows attacker to completely recover plaintext.

**Key Point:** Error Correcting Code allows attacker to flip a few bits of  $s$  without altering message  $m$ .

$$\langle r, s \oplus 10^{n-1} \rangle = \langle r, F_{K_E}(r) \oplus (w' \parallel t) \rangle$$