

# CS 381 – FALL 2019

Week 7.2, Wednesday, Oct 2

No PSOs next week (October Break)  
Thursday/Friday PSOs are now ``office hours’’  
Look for Homework 4 tonight

# Announcements

- ▣ Midterm Graded
  - Possible Points: 110 (+5 point bonus)
  - Max: 113    Mean: 89.23    Median: 92.5
  - Std Dev: 14.44
- ▣ No Curve Until the End of the Year
- ▣ Gradescope vs. Blackboard Scores
- ▣ Proofs: most challenging part of exam
  - Important for CS381!
  - How to judge whether a proof is rigorous/clear
    - ▣ Each claim should be easily verifiable (or falsifiable)
    - ▣ Consider changing the original problem statement to something false, but keeping the proof unchanged
      - E.g., set  $T(2)=50$  so that we don't always have  $T(n) \leq 10n^2$
      - It should be clear what part of the proof breaks down
        - If this is not clear it is a good sign your proof is not rigorous/clear

# Grade distribution

- ▣ To determine the final grades, we ask questions like “How well did this student master the material?”
- ▣ Grading is *not* curved in the sense that the average is set or a fraction of the class must receive a certain grade.
  - We do not have a pre-defined mapping from completed work scores to a final grade.
- ▣ We use the standards given on next two slides as guidelines.
  - Adapted from U of Washington grading guidelines

- ▣ A+, A: Superior performance in all aspects with work exemplifying the highest quality. Unquestionably prepared for courses building on 381 and for graduate work.
- ▣ A-: Superior performance in most aspects; high quality work in the remainder. Prepared for courses building on 381 and graduate work.
- ▣ B+: High quality performance in all or most aspects. Considered prepared for courses building on 381.
- ▣ B: High quality performance in some; satisfactory performance in the remainder. Good chance of success in courses building on 381.

- ▣ B-: Satisfactory performance. Evidence of sufficient learning to succeed in courses building on 381.
- ▣ C+: Satisfactory performance in most of the course. Evidence of sufficient learning to succeed in courses building on 381 with effort.
  
- ▣ C: Evidence of learning but generally marginal performance. Not considered prepared for courses building on 381.
  
- ▣ D+, D: Demonstrated minimal learning and low quality performance in all aspects.
- ▣ D-: Little evidence of learning. Poor performance in all aspects.
- ▣ F: Complete absence of evidence of learning.

## 6.4 Knapsack Problem

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# Knapsack Problem (Greedy)

## Knapsack problem.

- Given  $n$  objects and a "knapsack."
- Item  $i$  weighs  $w_i > 0$  kilograms and has value  $v_i > 0$ .
- Knapsack has capacity of  $W$  kilograms.
- Goal: fill knapsack so as to maximize total value.

Ex: { 3, 4 } has value 40.

$$\begin{aligned} W &= 4-2 \\ &= 2 \end{aligned}$$

#	value	weight	ratio
1	1	1	1
2	6	2	3
3	18	5	3.6
4	22	6	3.66..
5	28	7	4

**Greedy:** repeatedly add item with maximum ratio  $v_i / w_i$ .

Ex: { 5, 2, 1 } achieves only value = 35  $\Rightarrow$  greedy not optimal.

# Dynamic Programming: False Start

**Def.**  $OPT(i)$  = max profit subset of items  $1, \dots, i$ .

- Case 1:  $OPT$  does not select item  $i$ .
  - $OPT$  selects best of  $\{ 1, 2, \dots, i-1 \}$
  - In this case we have  $OPT(i) = OPT(i-1)$  😊
- Case 2:  $OPT$  selects item  $i$ .
  - accepting item  $i$  does not immediately imply that we will have to reject other items
    - $OPT(i) = v_i$  → underestimate, could pick other items
    - $OPT(i) = v_i + OPT(i-1)$ ? → overestimate, capacity reduced!
  - without knowing what other items were selected before  $i$ , we don't even know if we have enough room for  $i$

**Conclusion.** Need more sub-problems!



## Dynamic Programming: Adding a New Variable

**Def.**  $OPT(i, w)$  = max profit subset of items 1, ..., i **with weight limit w.**

- Case 1: OPT does not select item i.
  - OPT selects best of { 1, 2, ..., i-1 } using weight limit w
- Case 2: OPT selects item i.
  - new weight limit =  $w - w_i$
  - OPT selects best of { 1, 2, ..., i-1 } using this new weight limit

$$OPT(i, w) = \begin{cases} 0 & \text{if } i = 0 \\ OPT(i-1, w) & \text{if } w_i > w \\ \max \{ OPT(i-1, w), v_i + OPT(i-1, w - w_i) \} & \text{otherwise} \end{cases}$$

# Clicker Question

←  $W + 1$  →

	0	1	2	3
$\phi$	0	0	0	0
{ 1 }	0	1	1	1
{ 1, 2 }	0	1	x1	x2

$n + 1$  ↓

$W = 3$

Item	Value	Weight
1	1	1
2	6	2

What are the missing values in the DP table?

- A. (x1=1, x2=6)
- B. (x1=5, x2=7)
- C. (x1=6, x2=9)
- D. (x1=1, x2=1)
- E. (x1=6, x2=7)



# Clicker Question

←  $W + 1$  →

		0	1	2	3
$n + 1$	$\phi$	0	0	0	0
	{ 1 }	0	1	1	1
	{ 1, 2 }	0	1	x1	x2

$W = 3$

Item	Value	Weight
1	1	1
2	6	2

What are the missing values in the DP table?

- A. (x1=1, x2=6)
- B. (x1=5, x2=7)
- C. (x1=6, x2=9)
- D. (x1=1, x2=1)
- E. (x1=6, x2=7)

$$OPT(i, w) = \begin{cases} 0 & \text{if } i = 0 \\ OPT(i-1, w) & \text{if } w_i > w \\ \max \{ OPT(i-1, w), v_i + OPT(i-1, w - w_i) \} & \text{otherwise} \end{cases}$$

# Knapsack Problem: Bottom-Up

Knapsack. Fill up an  $n$ -by- $W$  array.

```
Input:  $n, W, w_1, \dots, w_N, v_1, \dots, v_N$ 
```

```
for  $w = 0$  to  $W$ 
```

```
     $M[0, w] = 0$ 
```

```
for  $i = 1$  to  $n$ 
```

```
    for  $w = 1$  to  $W$ 
```

```
        if ( $w_i > w$ )
```

```
             $M[i, w] = M[i-1, w]$ 
```

```
        else
```

```
             $M[i, w] = \max \{M[i-1, w], v_i + M[i-1, w-w_i ]\}$ 
```

```
return  $M[n, W]$ 
```

# Knapsack Algorithm

		$\xrightarrow{\hspace{10em} W + 1 \hspace{10em} \rightarrow}$											
		0	1	2	3	4	5	6	7	8	9	10	11
$n + 1$ $\downarrow$	$\phi$	0	0	0	0	0	0	0	0	0	0	0	0
	$\{1\}$	0	1	1	1	1	1	1	1	1	1	1	1
	$\{1, 2\}$	0	1	6	7	7	7	7	7	7	7	7	7
	$\{1, 2, 3\}$	0	1	6	7	7	18	19	24	25	25	25	25
	$\{1, 2, 3, 4\}$	0	1	6	7	7	18	22	24	28	29	29	40
	$\{1, 2, 3, 4, 5\}$	0	1	6	7	7	18	22	28	29	34	35	40

Item	Value	Weight
1	1	1
2	6	2
3	18	5
4	22	6
5	28	7

$W = 11$

OPT:  $\{4, 3\}$   
 value =  $22 + 18 = 40$

$OPT(5,11) = \max\{OPT(4,11), 28 + OPT(4,4)\}$   
 $= OPT(4,11) \rightarrow$  item 5 not selected

$OPT(4,11) = 22 + OPT(3,5) \rightarrow$  item 4 selected

# Knapsack Problem: Running Time

Running time.  $\Theta(nW)$ .

- Not polynomial in input size!
  - Only need  $\log_2 W$  bits to encode each weight
  - Problem can be encoded with  $O(n \log_2 W)$  bits
- "Pseudo-polynomial."
- Decision version of Knapsack is NP-complete. [Chapter 8]

Knapsack approximation algorithm. There exists a poly-time algorithm that produces a feasible solution that has value within 0.01% of optimum. [Section 11.8]

# Basic Matrix Multiplication

- **Inputs:** matrices  $A$  ( $m \times n$ ),  $B$  ( $n \times r$ )
  - Assume  $A[i,j]$  and  $B[i,j]$  are integers
- **Output:**  $D = AB$  ( $m \times r$ )
  - Standard algorithm uses  **$mnr$**  integer multiplications
  - (Faster with Strassen's Algorithm --- Divide & Conquer)
  - For this problem suppose we use standard algorithm
- **Observation 1:** Matrix Multiplication is not commutative
  - i.e.  $BA \neq AB$  (in fact  $BA$  might not even be well defined)
- **Observation 2:** Matrix Multiplication is associative
  - i.e.  $ABC = (AB)C = A(BC)$



# Basic Matrix Multiplication

- **Inputs:** matrices  $A$  ( $m \times n$ ),  $B$  ( $n \times r$ ),  $C$  ( $r \times k$ )
- **Observation 2:** Matrix Multiplication is associative
  - i.e.  $ABC = (AB)C = A(BC)$
  - Option 1: Compute  $D = (AB)$  ( $m \times r$ ) then  $DC$ 
    - Total Multiplications:  $mnr + mrk$
  - Option 2: Compute  $D = (BC)$  ( $n \times k$ ) then  $AD$ 
    - Total Multiplications:  $nrk + mnk$
- Suppose  $m=100$ ,  $n=100$ ,  $r=500$  and  $k=5$ 
  - Option 1  $\rightarrow$  5.25 million integer multiplications
  - Option 2  $\rightarrow$  0.3 million integer multiplications

Given matrices  $A_1, A_2, \dots, A_n$ , place parenthesis minimizing the total number of multiplications.

$$((A_1 A_2) A_3) (A_4 A_5)$$

**Notation:** Matrix  $A_i$  is a  $p_{i-1}$  by  $p_i$  matrix

→ The product  $A_i A_{i+1}$  is well defined  $p_{i-1}$  by  $p_{i+1}$  matrix

→ The product  $A_{i+1} \dots A_n$  is a  $p_i$  by  $p_n$  matrix

Thus,  $A_i (A_{i+1} \dots A_n)$  is well defined  $p_{i-1}$  by  $p_n$  matrix

→ The product  $A_1 A_2 \dots A_{i-1}$  is a  $p_0$  by  $p_{i-1}$  matrix

Thus,  $(A_1 A_2 \dots A_{i-1}) A_i$  is well defined  $p_0$  by  $p_i$  matrix

Given matrices  $A_1, A_2, \dots, A_n$ , place parenthesis minimizing the total number of multiplications.

$$((A_1 A_2) A_3) (A_4 A_5)$$

**Observation:** Exponentially many ways to place parenthesis!

→ Brute force solutions will not work!

→ Dynamic Programming?

Given matrices  $A_1, A_2, \dots, A_n$ , place parenthesis minimizing the total number of multiplications.

$$((A_1 A_2) A_3) (A_4 A_5)$$

1. An optimal solution to matrix chain contains optimal subsolutions.
2. Fill an  $n \times n$  table  $\mathbf{m}$  where  $m[i,j] =$  minimum number of multiplications for generating  $A_i \times A_{i+1} \times \dots \times A_j$

$$m[i,j] = \min \{m[i,k] + m[k+1,j] + p_{i-1} \times p_k \times p_j\}$$

with  $i < j$  and  $i \leq k < j$

$$(A_i \times A_{i+1} \times \dots \times A_k) \times (A_{k+1} \times \dots \times A_{j-1} \times A_j)$$