

CS 381 – FALL 2019

Week 5.2, Wed, Sept 18

Homework 3 Due: September 23rd, 2019 @ 11:59PM on Gradescope
Midterm 1: September 25 (evening)

Homework 3 Released

- ▣ Due: September 23 (11:59PM) on Gradescope
- ▣ Shorter than Homework 2
- ▣ **Goal:** Practice Greedy Algorithms before Midterm

Midterm 1

- ▣ Practice Midterm Released Soon

- ▣ Topics:
 - Induction
 - Big-O
 - Divide and Conquer
 - ▣ Sorting, Counting Inversions, Maximum Subarray, Skyline Problem, Karatsuba Multiplication
 - Recurrences
 - ▣ Deriving a Recurrence
 - ▣ Unrolling
 - ▣ Recursion Trees
 - ▣ Master Theorem
 - Greedy Algorithms

- ▣ No Dynamic Programming (until Midterm 2)

Midterm 1: Logistics

- ▣ 90 minutes (8:00-9:30PM)
 - Tuesday/Thursday PSOs (SMTH 108)
 - Friday PSO (MTHW 210)
- ▣ 1 Page of Notes (Single-Sided)
- ▣ Standard paper (or A4) is acceptable
- ▣ Bring number 2 pencil (for scanned exam)
- ▣ Closed book, no calculators, no smartphones, no smartwatches, no laptops etc...

4.2 Scheduling to Minimize Lateness

Scheduling to Minimizing Lateness

Minimizing lateness problem.

- Single resource processes one job at a time.
- Job j requires t_j units of processing time and is due at time d_j .
- If j starts at time s_j , it finishes at time $f_j = s_j + t_j$.
- Lateness: $l_j = \max \{ 0, f_j - d_j \}$.
- Goal: schedule all jobs to minimize **maximum** lateness $L = \max l_j$.

Ex:

	1	2	3	4	5	6
t_j	3	2	1	4	3	2
d_j	6	8	9	9	14	15



Minimizing Lateness: Greedy Algorithms

Greedy template. Consider jobs in some order.

- [Shortest processing time first] Consider jobs in ascending order of processing time t_j .
- [Earliest deadline first] Consider jobs in ascending order of deadline d_j .
- [Smallest slack] Consider jobs in ascending order of slack $d_j - t_j$.

Minimizing Lateness: Greedy Algorithms

Greedy template. Consider jobs in some order.

- [Shortest processing time first] Consider jobs in ascending order of processing time t_j .

	1	2
t_j	1	10
d_j	100	10

counterexample

- [Smallest slack] Consider jobs in ascending order of slack $d_j - t_j$.

	1	2
t_j	1	10
d_j	2	10

counterexample

Clicker Question

Greedy template. Consider jobs in some order.

- [Shortest processing time first] Consider jobs in ascending order of processing time t_j .
- [Smallest slack] Consider jobs in ascending order of slack $d_j - t_j$.

	1	2
t_j	9	5
d_j	2	10

Which greedy algorithms outputs the optimal schedule (minimizes the maximum lateness)?

A. Smallest Slack

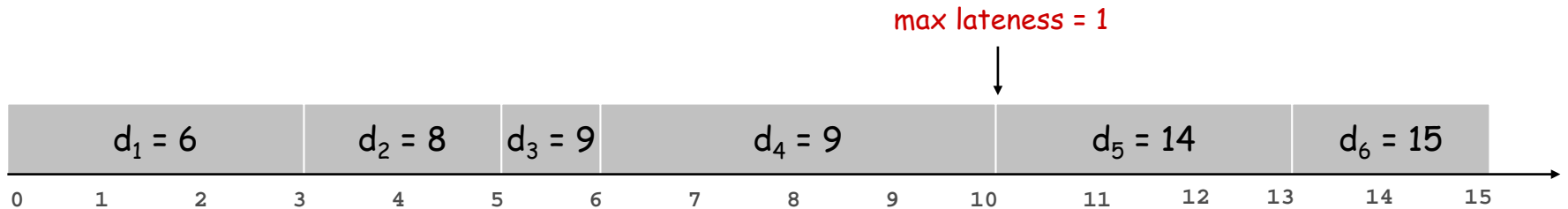
B. Shortest Processing Time First

C. Both

D. Neither

Minimizing Lateness: Greedy Algorithm

Greedy algorithm. Earliest deadline first.



Sort n jobs by deadline so that $d_1 \leq d_2 \leq \dots \leq d_n$

$t \leftarrow 0$

for $j = 1$ to n

Assign job j to interval $[t, t + t_j]$

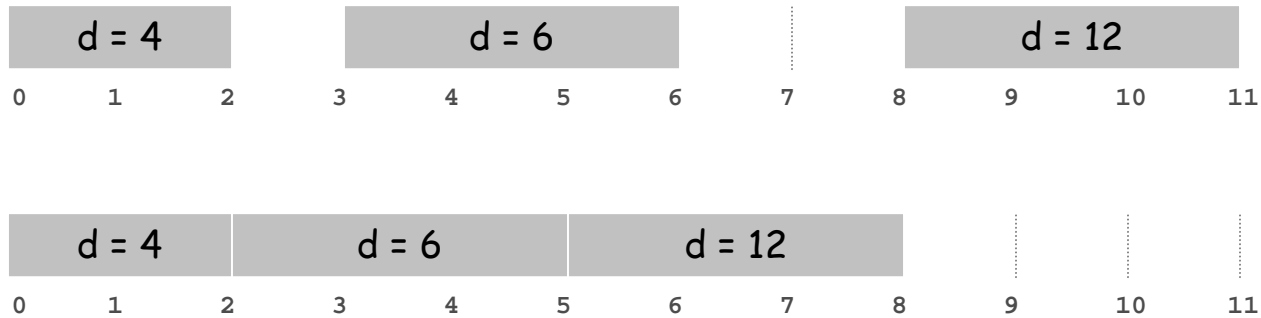
$s_j \leftarrow t, f_j \leftarrow t + t_j$

$t \leftarrow t + t_j$

output intervals $[s_j, f_j]$

Minimizing Lateness: No Idle Time

Observation. There exists an optimal schedule with no **idle time**.



Observation. The greedy schedule has no idle time.



Minimizing Lateness: Inversions

Def. Given a schedule S , an **inversion** is a pair of jobs i and j such that: $i < j$ (i.e., $d_i < d_j$) but j scheduled before i .



[as before, we assume jobs are numbered so that $d_1 \leq d_2 \leq \dots \leq d_n$]

Observation. Greedy schedule has no inversions.

Observation. If a schedule (with no idle time) has an inversion, it has one with a pair of inverted jobs scheduled consecutively.

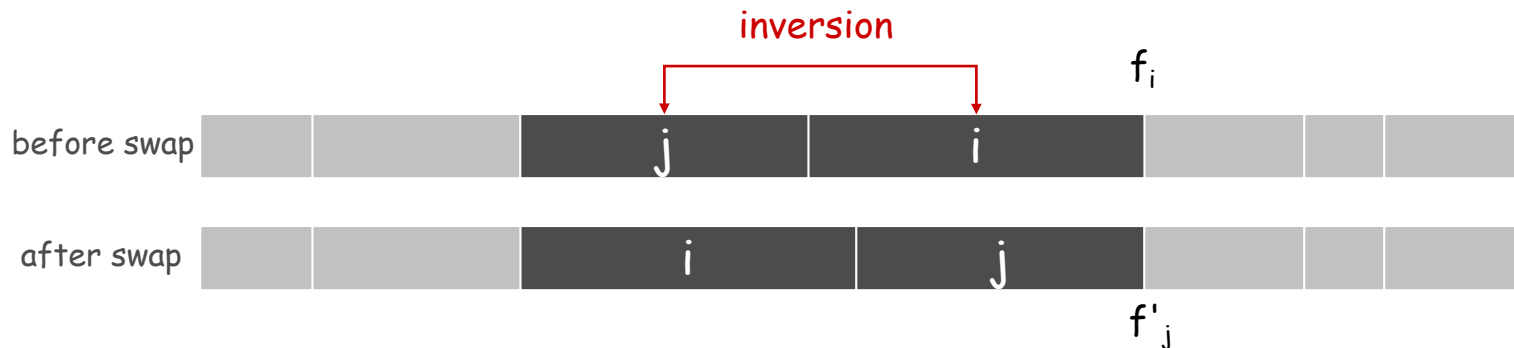
Proof: If (i,j) be inversion *minimizing number of intermediate jobs*. Suppose for contradiction that some job k was scheduled between jobs i and j .

Case 1: $d_k \leq d_i \rightarrow (j,k)$ is inversion
Case 2: $d_k > d_i \rightarrow (i,k)$ is an inversion

Contradicts minimality of (i,j)

Minimizing Lateness: Inversions

Def. Given a schedule S , an **inversion** is a pair of jobs i and j such that: $i < j$ but j scheduled before i .



Claim. Swapping two consecutive, inverted jobs reduces the number of inversions by one and does not increase the max lateness.

Pf. Let ℓ be the lateness before the swap, and let ℓ' be it afterwards.

- $\ell'_k = \ell_k$ for all $k \neq i, j$
- $\ell'_i \leq \ell_i$
- If job j is late:

$$\begin{aligned}
 \ell'_j &= f'_j - d_j && \text{(definition)} \\
 &= f_i - d_j && \text{(} j \text{ finishes at time } f_i \text{)} \\
 &\leq f_i - d_i && \text{(} i < j \text{)} \\
 &\leq \ell_i && \text{(definition)}
 \end{aligned}$$

Minimizing Lateness: Analysis of Greedy Algorithm

Theorem. Greedy schedule S is optimal.

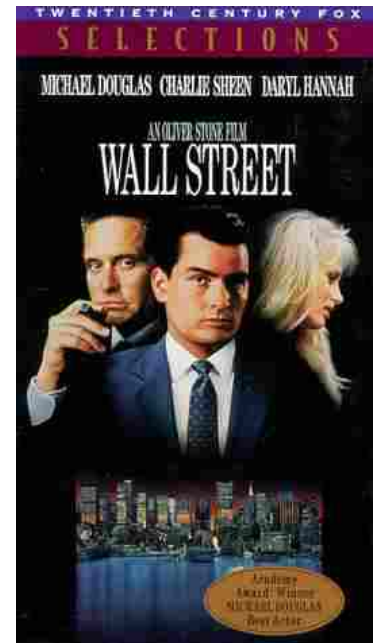
Pf. Define S^* to be an optimal schedule that has the fewest number of inversions, and let's see what happens.

- Can assume S^* has no idle time.
- If S^* has no inversions, then $S = S^*$.
- If S^* has an inversion, let i - j be an adjacent inversion.
 - swapping i and j does not increase the maximum lateness and strictly decreases the number of inversions
 - this contradicts definition of S^* ▪

Coin Changing

*Greed is good. Greed is right. Greed works.
Greed clarifies, cuts through, and captures the
essence of the evolutionary spirit.*

- Gordon Gecko (Michael Douglas)



Coin Changing

Goal. Given currency denominations: 1, 5, 10, 25, 100, devise a method to pay amount to customer using fewest number of coins.

Ex: 34¢.



Cashier's algorithm. At each iteration, add coin of the largest value that does not take us past the amount to be paid.

Ex: \$2.89.



Clicker Question

Goal. Given currency denominations: 1, 5, 10, 25, 100, devise a method to pay amount to customer using fewest number of coins.

Which (if any) of the following claims is not true?

- A. The optimal solution uses at most 2 dimes
- B. The optimal solution uses at most 1 nickel
- C. The optimal solution uses at most 3 quarters
- D. The optimal solution uses at most 4 pennies
- E. All of the claims above are true

Coin-Changing: Greedy Algorithm

Cashier's algorithm. At each iteration, add coin of the largest value that does not take us past the amount to be paid.

```
Sort coins denominations by value:  $c_1 < c_2 < \dots < c_n$ .
```

```
coins selected  
↙  
S ←  $\phi$   
while (x ≠ 0) {  
    let k be largest integer such that  $c_k \leq x$   
    if (k = 0)  
        return "no solution found"  
    x ← x -  $c_k$   
    S ← S ∪ {k}  
}  
return S
```

Q. Is cashier's algorithm optimal?

Coin-Changing: Analysis of Greedy Algorithm

Theorem. Greedy is optimal for U.S. coinage: 1, 5, 10, 25, 100.

Pf. (by induction on x)

- Consider optimal way to change $c_k \leq x < c_{k+1}$: greedy takes coin k .
- We claim that any optimal solution must also take coin k .
 - if not, it needs enough coins of type c_1, \dots, c_{k-1} to add up to x
 - table below indicates no optimal solution can do this
- Problem reduces to coin-changing $x - c_k$ cents, which, by induction, is optimally solved by greedy algorithm. ▪

k	c_k	All optimal solutions must satisfy	Max value of coins 1, 2, ..., $k-1$ in any OPT
1	1	$P \leq 4$	-
2	5	$N \leq 1$	4
3	10	$N + D \leq 2$	$4 + 5 = 9$
4	25	$Q \leq 3$	$20 + 4 = 24$
5	100	no limit	$75 + 24 = 99$

Coin-Changing: Analysis of Greedy Algorithm

Observation. Greedy algorithm is sub-optimal for US postal denominations: 1, 10, 21, 34, 70, 100, 350, 1225, 1500.

Counterexample. 140¢.

- Greedy: 100, 34, 1, 1, 1, 1, 1, 1.
- Optimal: 70, 70.

