

# CS47300: Web Information Search and Management

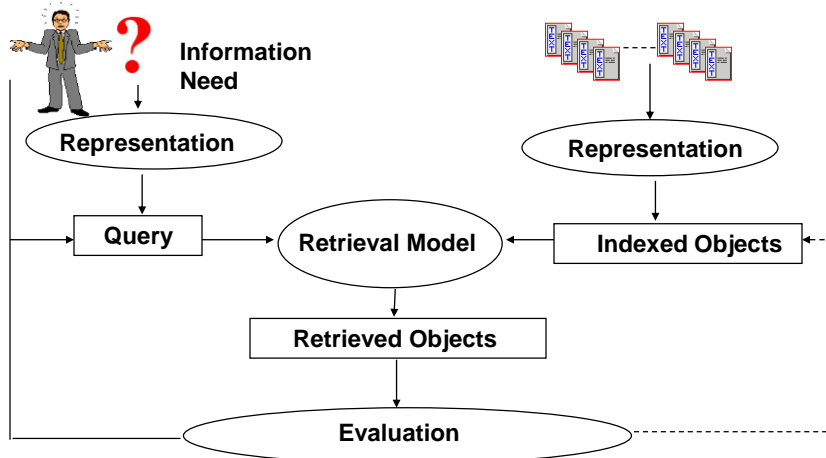
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*Material adapted from course created by Dr. Luo Si, now leading Alibaba research group*



## AD-hoc IR: Basic Process



## Evaluation: What do we Evaluate?

- Effectiveness
  - How do we define *effective*?
  - Where can we find the correct answers?
- Efficiency
  - Retrieval speed?
  - Storage space?

*Particularly important for large-scale real-world system*
- Usability
  - What do real users really want?
  - Is user interface important to IR evaluation?

4

## Evaluation Criteria

- Effectiveness
  - Favor returned document ranked lists with more relevant documents at the top
  - Objective measures
    - Recall and Precision
    - Mean-average precision
    - Rank based precision

For documents in a subset of a ranked lists, if we know the truth

	Retrieved	Not retrieved
Relevant	Relevant docs retrieved	Relevant docs not retrieved
Irrelevant	Irrelevant docs retrieved	Irrelevant docs not retrieved

$$\text{Precision} = \frac{\text{Relevant docs retrieved}}{\text{Retrieved docs}}$$

$$\text{Recall} = \frac{\text{Relevant docs retrieved}}{\text{Relevant docs}}$$

5

## Evaluation: “Ground Truth”

	Retrieved	Not retrieved
Relevant	Relevant docs retrieved	Relevant docs not retrieved
Irrelevant	Irrelevant docs retrieved	Irrelevant docs not retrieved

**Question: How to find all relevant documents?**

Difficult for Web, but possible on controllable corpus

- How to find all relevant documents? (difficult to check one by one)
- Judges may have inconsistent decisions (subjective judgment)

**The Pooling process**

## Evaluation: Inconsistent Judgement

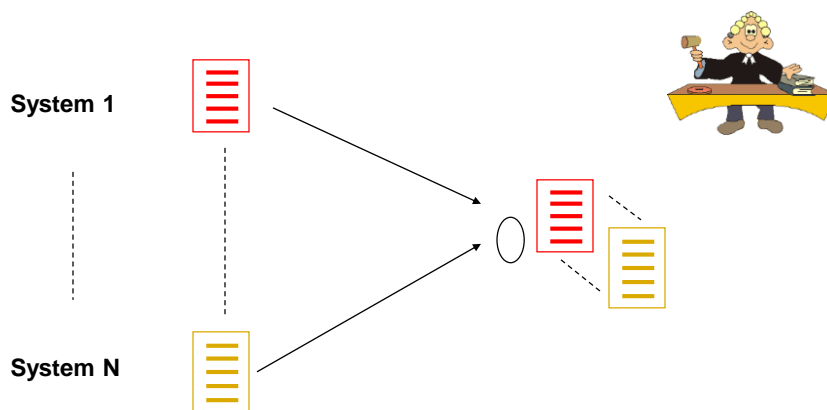
- People may not agree on the “right” answer
  - Some think document is relevant to query, others don’t
- Discussion among multiple judges to reduce bias
- Combine judgments from multiple judges
  - Majority vote
- *If it is hard to decide for human judges, it is likely to be hard for an automatic system*

## Evaluation: Pooling Strategy

- Retrieve documents using multiple methods
- Judge top  $n$  documents from each method
- Whole retrieved set is the union of top retrieved documents from all methods
- Problems: the judged relevant documents may not be complete
- *It is possible to estimate the total number of relevant documents by random sampling*

8

## Evaluation: Pooling Strategy



## Unranked Measures:

- Precision :  $\frac{\# \text{ Relevant Retrieved}}{\# \text{ Retrieved}}$
- Recall :  $\frac{\# \text{ Relevant Retrieved}}{\# \text{ Relevant}}$
- F1 score :  $\frac{2PR}{P+R}$

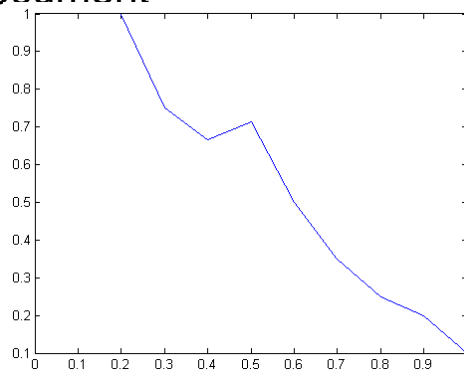
## Evaluation

- Evaluate a ranked list
  - Precision at Recall
- Evaluate at every relevant document

+
+
-
+
+
-
+

Precision	Recall
1	0.1
1	0.2
0.667	0.2
0.75	0.3
0.8	0.4
0.667	0.4
0.714	0.5

Not Retrieved: +++++



## Ranked Metrics

### *Single number*

- Mean average precision
  - Calculate precision at each relevant document; average over all precision values
  - Mean average precision – average over many queries
- 11-point interpolated average precision
  - Calculate precision at standard recall points (e.g., 10%, 20%...); smooth the values; estimate 0 % by interpolation
  - Average the results

13

## Evaluation:

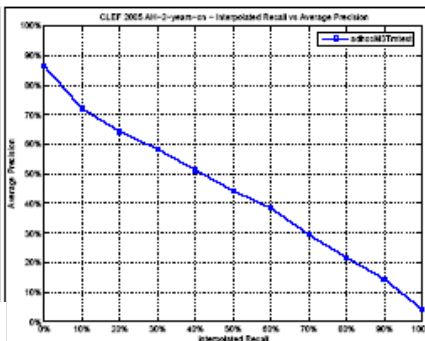
### Single Value Metrics

- Rank based precision
  - Calculate precision at top ranked documents (e.g., 5, 10, 15...)
  - Desirable when users care more for top ranked documents
- Mean Reciprocal Rank
  - Reciprocal Rank:  $1/\text{rank}$  (position in list) of first relevant document
  - MRR: Average Reciprocal Rank over many queries

14

## Evaluation: Example

Interpolated Recall (%)	Precision Average (%)
0	86.49
10	72.16
20	64.25
30	58.40
40	51.33
50	44.30
60	38.43
70	29.43
80	21.68
90	14.40
100	4.15
Average precision (non-interpolated) for all relevant documents (averaged over queries)	
43.06	
Docs Cutoff Levels	Precision at DCL (%)
5 docs	72.50
10 docs	67.00
15 docs	61.83
20 docs	59.25
30 docs	55.42
100 docs	39.75
200 docs	30.92
500 docs	19.54
1000 docs	12.02
R-Precision (precision after R document retrieved, where R = Relevant retrieved)	
44.99	



15

## Evaluation: TREC

TREC collections with queries and relevance judgment

- **TREC CDs 1-5:** 1.5 millions docs, 5GB, news and government reports (e.g., AP, WSJ, Dept of Energy abstracts)
- **TREC WT10g:** crawled from Web (open domain), 1.7 million docs, 10GB
- **TREC Terabyte:** crawled from U.S. government Web pages, 25 million docs, 426 GB
- *All have more than 100 queries with relevance judgment*

16

## Evaluation: TREC

- TREC query example

<title> airport security

<desc> Description:

What security measures are in effect or are proposed to go into effect in airports?

<narr> Narrative:

A relevant document could identify a specific airport and describe the security measures already in effect or proposed for use at that airport. Relevant items could also describe a failure of security that was cited as a contributing cause of a tragedy which came to pass or which was later averted. Comparisons between and among airports based on the effectiveness of the security of each are also relevant.

17

## Evaluation: TREC

- TREC relevance judgment example

451 WTX058-B50-85 0

451 WTX059-B06-411 0

451 WTX059-B07-154 0

451 WTX059-B09-203 0

451 WTX059-B11-245 0

451 WTX059-B30-262 1

451 WTX059-B37-11 0

451 WTX059-B37-149 1

451 WTX059-B37-217 0

451 WTX059-B37-268 0

451 WTX059-B37-27 0

18



## Review to date:

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- Basic Concepts of Information Retrieval:
- Task Definition of Ad-hoc IR
  - Terminologies and Concepts
  - Overview of Retrieval Models
- Text representation
  - Indexing
  - Text preprocessing
- Evaluation
  - Evaluation methodology
  - Evaluation metrics