

# Outline

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- Introduction
- Background
- Distributed DBMS Architecture
- Distributed Database Design
- Distributed Query Processing
- Distributed Transaction Management
  - Transaction Concepts and Models
  - Distributed Concurrency Control
  - Distributed Reliability
- Building Distributed Database Systems (RAID)
- Mobile Database Systems
- Privacy, Trust, and Authentication
- Peer to Peer Systems

# Useful References

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- Textbook *Principles of Distributed Database Systems*,  
Chapter 12.1, 12.2
- J. Gray and A. Reuter. *Transaction Processing - Concepts and Techniques*. Morgan Kaufmann, 1993. (Copy on reserve in MATH library)
- Bharat Bhargava (Ed.), *Concurrency Control and Reliability in Distributed Systems*, Van Nostrand and Reinhold Publishers, 1987.  
(Copy on reserve in LWSN reception office book shelf)

# Reliability

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In case of a crash, recover to a consistent (or correct state) and continue processing.

## Types of Failures

1. Node failure
2. Communication line of failure
3. Loss of a message (or transaction)
4. Network partition
5. Any combination of above

# Approaches to Reliability

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1. Audit trails (or logs)
2. Two phase commit protocol
3. Retry based on timing mechanism
4. Reconfigure
5. Allow enough concurrency which permits definite recovery (avoid certain types of conflicting parallelism)
6. Crash resistance design

# Recovery Controller

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Types of failures:

- \* transaction failure
- \* site failure (local or remote)
- \* communication system failure

Transaction failure

UNDO/REDO Logs

transparent transaction

(effects of execution in private workspace)

⇒ Failure does not affect the rest of the system

Site failure

volatile storage lost

stable storage lost

processing capability lost

(no new transactions accepted)

# System Restart

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Types of transactions:

1. In commitment phase
2. Committed actions reflected in real/stable
3. Have not yet begun
4. In prelude (have done only undoable actions)

We need:

stable undo log; stable redo log (at commit);  
perform redo log (after commit)

Problem:

entry into undo log; performing the action

Solution:

undo actions  $\neg \langle T, A, E \rangle$   
must be restartable (or idempotent)

DO – UNDO

$\equiv$  UNDO

$\equiv$  DO – UNDO – UNDO – UNDO --- UNDO

# Site Failures (simple ideas)

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## Local site failure

- Transaction committed  $\Rightarrow$  do nothing
- Transaction semi-committed  $\Rightarrow$  abort
- Transaction computing/validating  $\Rightarrow$  abort

AVOIDS BLOCKING

## Remote site failure

- Assume failed site will accept transaction
- Send abort/commit messages to failed site via spoolers

## Initialization of failed site

- Update for globally committed transaction before validating other transactions
- If spooler crashed, request other sites to send list of committed transactions

# Communication Failures (simple ideas)

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## Communication system failure

- Network partition
- Lost message
- Message order messed up

## Network partition solutions

- Semi-commit in all partitions and commit on reconnection (updates available to user with warning)
- Commit transactions if primary copy token for all entities within the partition
- Consider commutative actions
- Compensating transactions

# Compensation

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## Compensating transactions

- Commit transactions in all partitions
- Break cycle by removing semi-committed transactions
- Otherwise abort transactions that are invisible to the environment  
(no incident edges)
- Pay the price of committing such transactions and issue compensating transactions

## Recomputing cost

- Size of readset/writeset
- Computation complexity

# Reliability and Fault-tolerate Parameters

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Problem:

How to maintain

*atomicity*

*durability*

properties of transactions

# Fundamental Definitions

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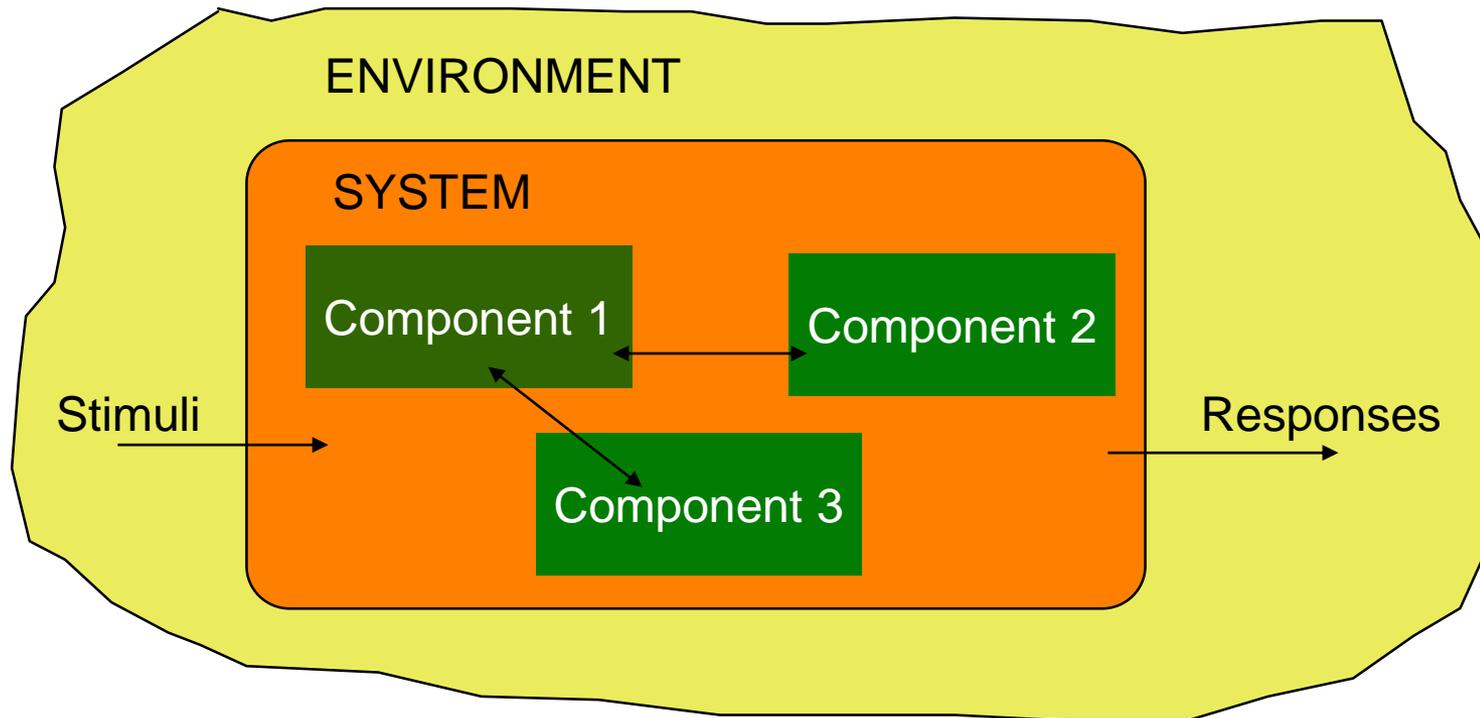
## □ Reliability

- A measure of success with which a system conforms to some authoritative specification of its behavior.
- Probability that the system has not experienced any failures within a given time period.
- Typically used to describe systems that cannot be repaired or where the continuous operation of the system is critical.

## □ Availability

- The fraction of the time that a system meets its specification.
- The probability that the system is operational at a given time  $t$ .

# Basic System Concepts



**External state**

**Internal state**

# Fundamental Definitions

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- Failure
  - The deviation of a system from the behavior that is described in its specification.
- Erroneous state
  - The internal state of a system such that there exist circumstances in which further processing, by the normal algorithms of the system, will lead to a failure which is not attributed to a subsequent fault.
- Error
  - The part of the state which is incorrect.
- Fault
  - An error in the internal states of the components of a system or in the design of a system.

# Faults to Failures

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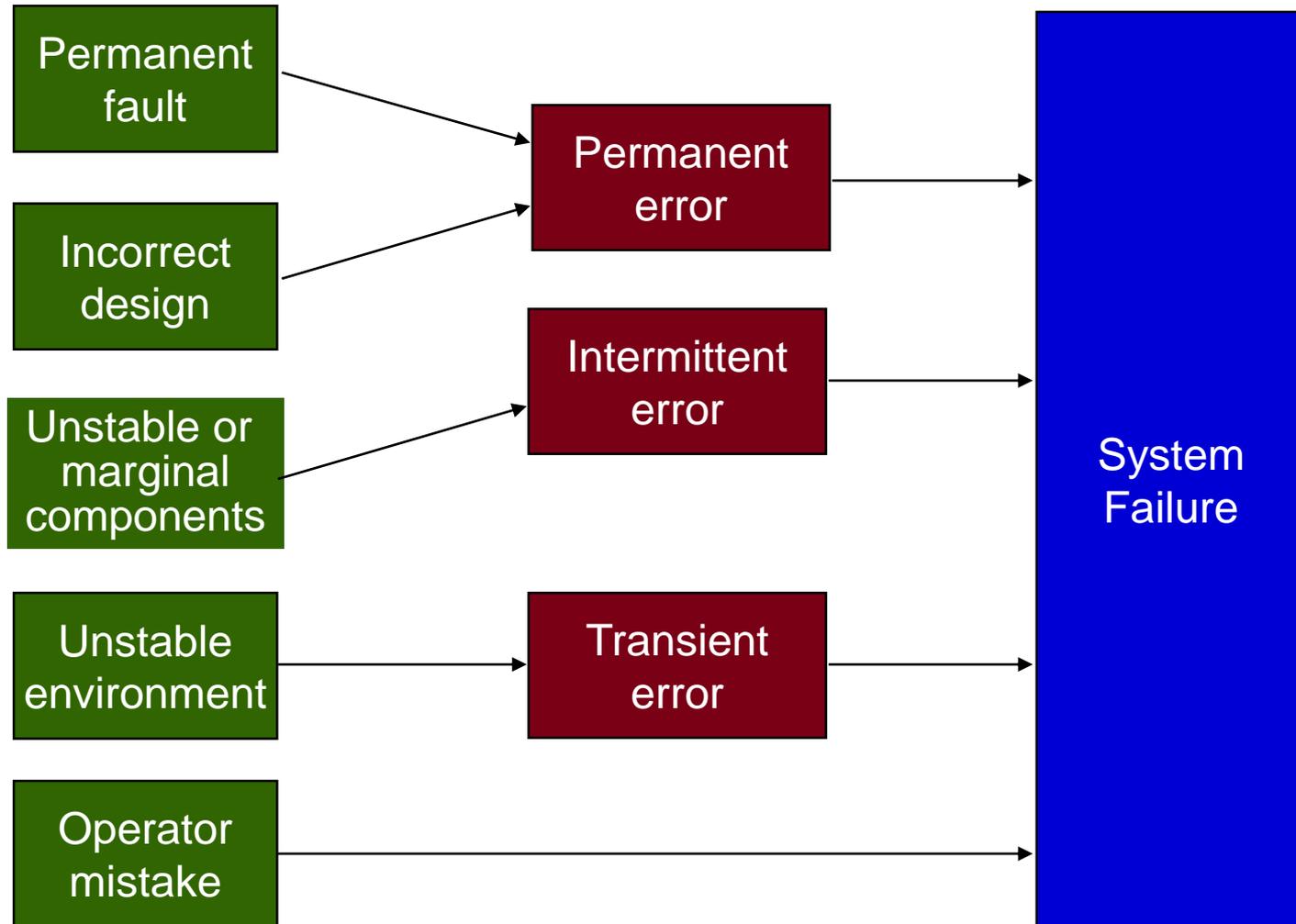


# Types of Faults

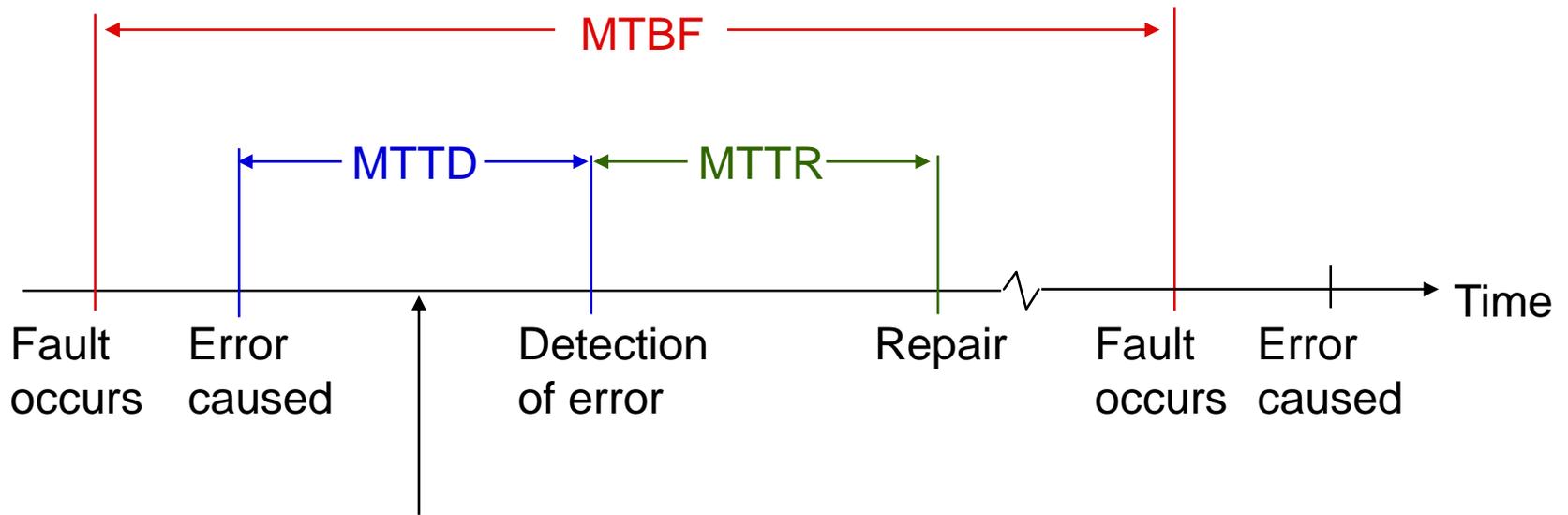
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- Hard faults
  - Permanent
  - Resulting failures are called hard failures
- Soft faults
  - Transient or intermittent
  - Account for more than 90% of all failures
  - Resulting failures are called soft failures

# Fault Classification



# Failures



Multiple errors can occur during this period

# Fault Tolerance Measures

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## Reliability

$$R(t) = \Pr\{0 \text{ failures in time } [0,t] \mid \text{no failures at } t=0\}$$

If occurrence of failures is Poisson

$$R(t) = \Pr\{0 \text{ failures in time } [0,t]\}$$

Then

$$\Pr(k \text{ failures in time } [0,t]) = \frac{e^{-m(t)}[m(t)]^k}{k!}$$

where  $m(t)$  is known as the *hazard function* which gives the time-dependent failure rate of the component and is defined as

$$m(t) = \int_0^t z(x)dx$$

# Fault-Tolerance Measures

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## Reliability

The mean number of failures in time  $[0, t]$  can be computed as

$$E[k] = \sum_{k=0}^{\infty} k \frac{e^{-m(t)} [m(t)]^k}{k!} = m(t)$$

and the variance can be computed as

$$\text{Var}[k] = E[k^2] - (E[k])^2 = m(t)$$

Thus, reliability of a single component is

$$R(t) = e^{-m(t)}$$

and of a system consisting of  $n$  non-redundant components as

$$R_{\text{sys}}(t) = \prod_{i=1}^n R_i(t)$$

# Fault-Tolerance Measures

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## Availability

$$A(t) = \Pr\{\text{system is operational at time } t\}$$

Assume

- Poisson failures with rate  $\lambda$
- Repair time is exponentially distributed with mean  $1/\mu$

Then, steady-state availability

$$A = \lim_{t \rightarrow \infty} A(t) = \frac{\mu}{\lambda + \mu}$$

# Fault-Tolerance Measures

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## MTBF

Mean time between failures

$$\text{MTBF} = \int_0^{\infty} R(t) dt$$

## MTTR

Mean time to repair

## Availability

MTBF

MTBF + MTTR

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