

## Outline

- Introduction
- Background
- Distributed DBMS Architecture
- Distributed Database Design
  - Fragmentation
  - Data Location
- Semantic Data Control
- Distributed Query Processing
- Distributed Transaction Management
- Parallel Database Systems
- Distributed Object DBMS
- Database Interoperability
- Current Issues

## Design Problem

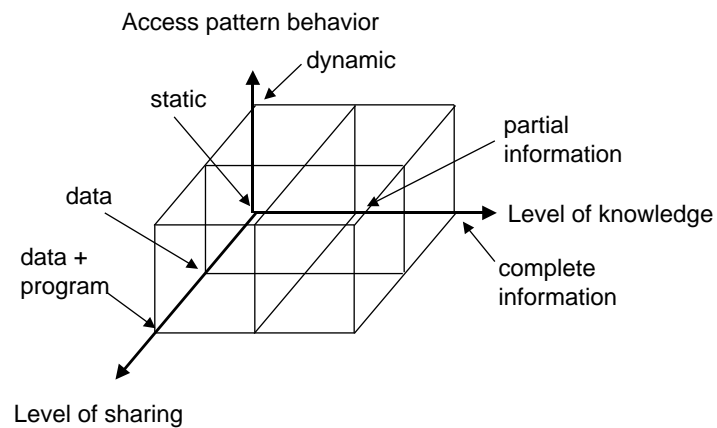
- In the general setting :

Making decisions about the placement of *data* and *programs* across the sites of a computer network as well as possibly designing the network itself.

- In Distributed DBMS, the placement of applications entails

- placement of the distributed DBMS software; and
- placement of the applications that run on the database

## Dimensions of the Problem



## Distribution Design

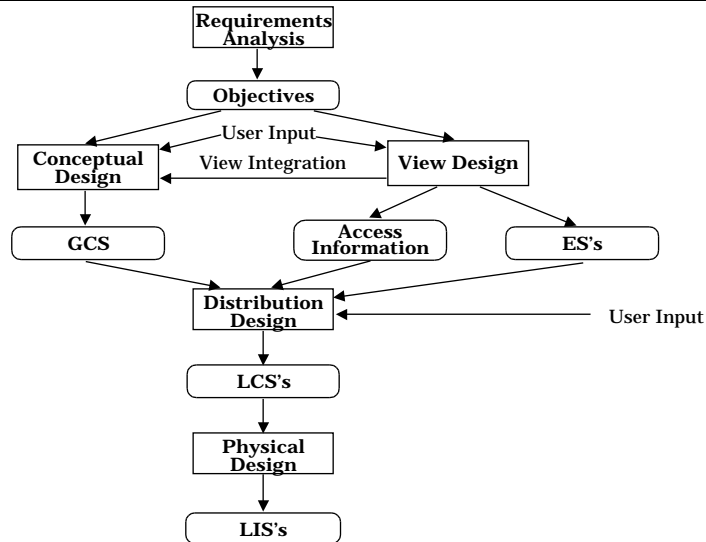
### ■ Top-down

- ⇒ mostly in designing systems from scratch
- ⇒ mostly in homogeneous systems

### ■ Bottom-up

- ⇒ when the databases already exist at a number of sites

## Top-Down Design



## Distribution Design Issues

- ❶ Why fragment at all?
- ❷ How to fragment?
- ❸ How much to fragment?
- ❹ How to test correctness?
- ❺ How to allocate?
- ❻ Information requirements?

# Fragmentation

- Can't we just distribute relations?
- What is a reasonable unit of distribution?
  - relation
    - ◆ views are subsets of relations ⇔ locality
    - ◆ extra communication
  - fragments of relations (sub-relations)
    - ◆ concurrent execution of a number of transactions that access different portions of a relation
    - ◆ views that cannot be defined on a single fragment will require extra processing
    - ◆ semantic data control (especially integrity enforcement) more difficult

# Fragmentation Alternatives – Horizontal

PROJ<sub>1</sub> : projects with budgets less than \$200,000

PROJ<sub>2</sub> : projects with budgets greater than or equal to \$200,000

PROJ

PNO	PNAME	BUDGET	LOC
P1	Instrumentation	150000	Montreal
P2	Database Develop.	135000	Montreal
P3	CAD/CAM	250000	Paris
P4	Maintenance	310000	Paris
P5	CAD/CAM	500000	Boston

PROJ<sub>1</sub>

PNO	PNAME	BUDGET	LOC
P1	Instrumentation	150000	Montreal
P2	Database Develop.	135000	New York

PROJ<sub>2</sub>

PNO	PNAME	BUDGET	LOC
P3	CAD/CAM	250000	New York
P4	Maintenance	310000	Paris
P5	CAD/CAM	500000	Boston

## Fragmentation Alternatives – Vertical

PROJ<sub>1</sub>: information about project budgets

PROJ<sub>2</sub>: information about project names and locations

PROJ

PNO	PNAME	BUDGET	LOC
P1	Instrumentation	150000	Montreal
P2	Database Develop.	135000	
P3	CAD/CAM	250000	Paris
P4	Maintenance	310000	Boston
P5	CAD/CAM	500000	

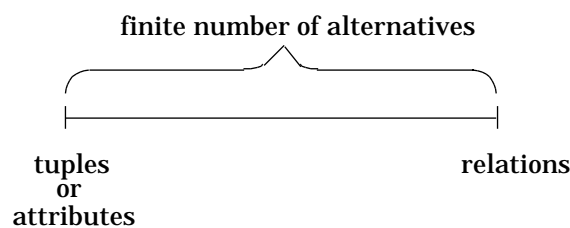
PROJ<sub>1</sub>

PNO	BUDGET
P1	150000
P2	135000
P3	250000
P4	310000
P5	500000

PROJ<sub>2</sub>

PNO	PNAME	LOC
P1	Instrumentation	Montreal
P2	Database Develop.	New York
P3	CAD/CAM	New York
P4	Maintenance	Paris
P5	CAD/CAM	Boston

## Degree of Fragmentation



Finding the suitable level of partitioning within this range

## Correctness of Fragmentation

### ■ Completeness

- ⇒ Decomposition of relation  $R$  into fragments  $R_1, R_2, \dots, R_n$  is complete if and only if each data item in  $R$  can also be found in some  $R_i$

### ■ Reconstruction

- ⇒ If relation  $R$  is decomposed into fragments  $R_1, R_2, \dots, R_n$ , then there should exist some relational operator such that

$$R = \bigcup_{i=1}^n R_i$$

### ■ Disjointness

- ⇒ If relation  $R$  is decomposed into fragments  $R_1, R_2, \dots, R_n$ , and data item  $d_i$  is in  $R_j$ , then  $d_i$  should not be in any other fragment  $R_k$  ( $k \neq j$ ).

## Allocation Alternatives

### ■ Non-replicated

- ⇒ partitioned : each fragment resides at only one site

### ■ Replicated

- ⇒ fully replicated : each fragment at each site
- ⇒ partially replicated : each fragment at some of the sites

### ■ Rule of thumb:

If  $\frac{\text{read - only queries}}{\text{update queries}} \geq 1$  replication is advantageous,

otherwise replication may cause problems

## Comparison of Replication Alternatives

	Full-replication	Partial-replication	Partitioning
QUERY PROCESSING	Easy	Same Difficulty	
DIRECTORY MANAGEMENT	Easy or Non-existent	Same Difficulty	
CONCURRENCY CONTROL	Moderate	Difficult	Easy
RELIABILITY	Very high	High	Low
REALITY	Possible application	Realistic	Possible application

## Information Requirements

### ■ Four categories:

- Database information
- Application information
- Communication network information
- Computer system information

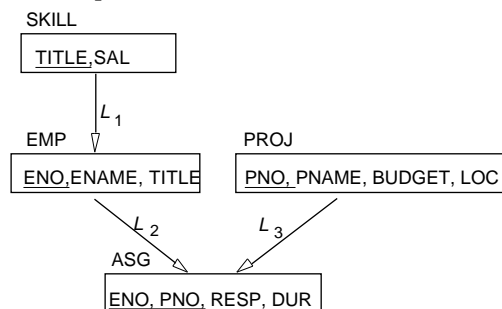
# Fragmentation

- Horizontal Fragmentation (HF)
  - Primary Horizontal Fragmentation (PHF)
  - Derived Horizontal Fragmentation (DHF)
- Vertical Fragmentation (VF)
- Hybrid Fragmentation (HF)

# PHF – Information Requirements

## ■ Database Information

### ▸ relationship



### ▸ cardinality of each relation: $card(R)$



## PHF - Information Requirements

### ■ Application Information

- **simple predicates** : Given  $R[A_1, A_2, \dots, A_n]$ , a simple predicate  $p_j$  is

$$p_j: A_i \text{ Value}$$

where  $\{=, <, >, \neq, \}$ , Value  $D_i$  and  $D_i$  is the domain of  $A_i$ .

For relation  $R$  we define  $Pr = \{p_1, p_2, \dots, p_m\}$

Example :

PNAME = "Maintenance"

BUDGET 200000

- **minterm predicates** : Given  $R$  and  $Pr = \{p_1, p_2, \dots, p_m\}$

define  $M = \{m_1, m_2, \dots, m_r\}$  as

$$M = \{ m_i | m_i = \bigwedge_{p_j \in Pr} p_j^* \}, 1 \leq i \leq r$$

where  $p_j^* = p_j$  or  $p_j^* = \neg(p_j)$ .

## PHF - Information Requirements

### Example

$m_1$ : PNAME="Maintenance" BUDGET 200000

$m_2$ : NOT(PNAME="Maintenance") BUDGET 200000

$m_3$ : PNAME="Maintenance" NOT(BUDGET 200000)

$m_4$ : NOT(PNAME="Maintenance") NOT(BUDGET 200000)

## PHF – Information Requirements

### ■ Application Information

- ➡ **minterm selectivities:**  $sel(m_j)$ 
  - ◆ The number of tuples of the relation that would be accessed by a user query which is specified according to a given minterm predicate  $m_j$ .
- ➡ **access frequencies:**  $acc(q_i)$ 
  - ◆ The frequency with which a user application  $q_i$  accesses data.
  - ◆ Access frequency for a minterm predicate can also be defined.

## Primary Horizontal Fragmentation

Definition :

$$R_j = F_j(R), \quad 1 \leq j \leq w$$

where  $F_j$  is a selection formula, which is (preferably) a minterm predicate.

Therefore,

A horizontal fragment  $R_j$  of relation  $R$  consists of all the tuples of  $R$  which satisfy a minterm predicate  $m_j$ .

Given a set of minterm predicates  $M$ , there are as many horizontal fragments of relation  $R$  as there are minterm predicates.

Set of horizontal fragments also referred to as *minterm fragments*.

## PHF – Algorithm

Given: A relation  $R$ , the set of simple predicates  $Pr$

Output: The set of fragments of  $R = \{R_1, R_2, \dots, R_w\}$   
which obey the fragmentation rules.

Preliminaries :

- ⇒  $Pr$  should be *complete*
- ⇒  $Pr$  should be *minimal*

## Completeness of Simple Predicates

■ A set of simple predicates  $Pr$  is said to be *complete* if and only if the accesses to the tuples of the minterm fragments defined on  $Pr$  requires that two tuples of the same minterm fragment have the same probability of being accessed by any application.

■ Example :

- ⇒ Assume PROJ[PNO,PNAME,BUDGET,LOC] has two applications defined on it.
- ⇒ Find the budgets of projects at each location. (1)
- ⇒ Find projects with budgets less than \$200000. (2)

## Completeness of Simple Predicates

According to (1),

$$Pr = \{LOC = \text{"Montreal"}, LOC = \text{"New York"}, LOC = \text{"Paris"}\}$$

which is not complete with respect to (2).

Modify

$$Pr = \{LOC = \text{"Montreal"}, LOC = \text{"New York"}, LOC = \text{"Paris"}, \\ BUDGET \leq 200000, BUDGET > 200000\}$$

which is complete.

## Minimality of Simple Predicates

- If a predicate influences how fragmentation is performed, (i.e., causes a fragment  $f$  to be further fragmented into, say,  $f_i$  and  $f_j$ ) then there should be at least one application that accesses  $f_i$  and  $f_j$  differently.
- In other words, the simple predicate should be *relevant* in determining a fragmentation.
- If all the predicates of a set  $Pr$  are relevant, then  $Pr$  is *minimal*.

$$\frac{acc(m_i)}{card(f_i)} \quad \frac{acc(m_j)}{card(f_j)}$$

## Minimality of Simple Predicates

Example :

$Pr = \{\text{LOC}=\text{"Montreal"}, \text{LOC}=\text{"New York"}, \text{LOC}=\text{"Paris"},$   
 $\text{BUDGET } 200000, \text{BUDGET} > 200000\}$

is minimal (in addition to being complete).

However, if we add

$\text{PNAME} = \text{"Instrumentation"}$

then  $Pr$  is not minimal.

## COM\_MIN Algorithm

Given: a relation  $R$  and a set of simple predicates  $Pr$

Output: a *complete* and *minimal* set of simple predicates  $Pr'$  for  $Pr$

**Rule 1:** a relation or fragment is partitioned into at least two parts which are accessed differently by at least one application.

## COM\_MIN Algorithm

### ① Initialization :

- find a  $p_i \in Pr$  such that  $p_i$  partitions  $R$  according to Rule 1
- set  $Pr' = p_i ; Pr = Pr - p_i ; F = F - f_i$

### ② Iteratively add predicates to $Pr'$ until it is complete

- find a  $p_j \in Pr$  such that  $p_j$  partitions some  $f_k$  defined according to minterm predicate over  $Pr'$  according to Rule 1
- set  $Pr' = Pr' \cup p_j ; Pr = Pr - p_j ; F = F - f_j$
- if  $p_k \in Pr'$  which is nonrelevant then
 
$$Pr' = Pr' - p_k$$

$$F = F - f_k$$

## PHORIZONTAL Algorithm

Makes use of COM\_MIN to perform fragmentation.

Input: a relation  $R$  and a set of simple predicates  $Pr$

Output: a set of minterm predicates  $M$  according to which relation  $R$  is to be fragmented

- ①  $Pr' = \text{COM\_MIN}(R, Pr)$
- ② determine the set  $M$  of minterm predicates
- ③ determine the set  $I$  of implications among  $p_i \in Pr$
- ④ eliminate the contradictory minterms from  $M$

## PHF – Example

- Two candidate relations : PAY and PROJ.

- Fragmentation of relation PAY

- ➡ Application: Check the salary info and determine raise.
- ➡ Employee records kept at two sites    application run at two sites
- ➡ Simple predicates
  - $p_1 : \text{SAL} \leq 30000$
  - $p_2 : \text{SAL} > 30000$
  - $Pr = \{p_1, p_2\}$  which is complete and minimal  $Pr' = Pr$
- ➡ Minterm predicates
  - $m_1 : (\text{SAL} \leq 30000)$
  - $m_2 : \text{NOT}(\text{SAL} \leq 30000) = (\text{SAL} > 30000)$

## PHF – Example

PAY<sub>1</sub>

TITLE	SAL
Mech. Eng.	27000
Programmer	24000

PAY<sub>2</sub>

TITLE	SAL
Elect. Eng.	40000
Syst. Anal.	34000

## PHF – Example

### ■ Fragmentation of relation PROJ

- ⇒ Applications:
  - ◆ Find the name and budget of projects given their no.
    - ✓ Issued at three sites
  - ◆ Access project information according to budget
    - ✓ one site accesses 200000 other accesses >200000
- ⇒ Simple predicates
- ⇒ For application (1)
  - $p_1$  : LOC = "Montreal"
  - $p_2$  : LOC = "New York"
  - $p_3$  : LOC = "Paris"
- ⇒ For application (2)
  - $p_4$  : BUDGET 200000
  - $p_5$  : BUDGET > 200000
- ⇒  $Pr = Pr' = \{p_1, p_2, p_3, p_4, p_5\}$

## PHF – Example

### ■ Fragmentation of relation PROJ continued

- ⇒ Minterm fragments left after elimination
  - $m_1$  : (LOC = "Montreal") (BUDGET 200000)
  - $m_2$  : (LOC = "Montreal") (BUDGET > 200000)
  - $m_3$  : (LOC = "New York") (BUDGET 200000)
  - $m_4$  : (LOC = "New York") (BUDGET > 200000)
  - $m_5$  : (LOC = "Paris") (BUDGET 200000)
  - $m_6$  : (LOC = "Paris") (BUDGET > 200000)



## PHF – Example

PROJ<sub>1</sub>

PNO	PNAME	BUDGET	LOC
P1	Instrumentation	150000	Montreal

PROJ<sub>2</sub>

PNO	PNAME	BUDGET	LOC
P2	Database Develop.	135000	New York

PROJ<sub>4</sub>

PNO	PNAME	BUDGET	LOC
P3	CAD/CAM	250000	New York

PROJ<sub>6</sub>

PNO	PNAME	BUDGET	LOC
P4	Maintenance	310000	Paris

## PHF – Correctness

### ■ Completeness

- ⇒ Since  $Pr'$  is complete and minimal, the selection predicates are complete

### ■ Reconstruction

- ⇒ If relation  $R$  is fragmented into  $F_R = \{R_1, R_2, \dots, R_r\}$

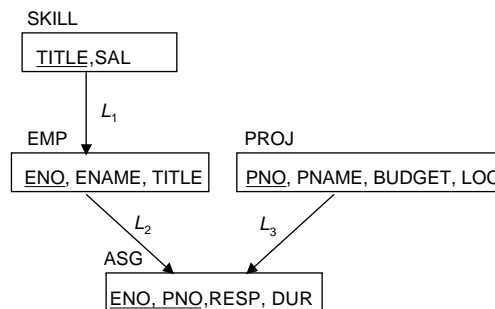
$$R = \bigcup_{R_i \in F_R} R_i$$

### ■ Disjointness

- ⇒ Minterm predicates that form the basis of fragmentation should be mutually exclusive.

## Derived Horizontal Fragmentation

- Defined on a member relation of a link according to a selection operation specified on its owner.
  - Each link is an equijoin.
  - Equijoin can be implemented by means of semijoins.



## DHF – Definition

Given a link  $L$  where  $owner(L)=S$  and  $member(L)=R$ , the derived horizontal fragments of  $R$  are defined as

$$R_i = R \bowtie_F S_i, \quad 1 \leq i \leq w$$

where  $w$  is the maximum number of fragments that will be defined on  $R$  and

$$S_i = F_i(S)$$

where  $F_i$  is the formula according to which the primary horizontal fragment  $S_i$  is defined.

## DHF – Example

Given link  $L_1$  where  $\text{owner}(L_1)=\text{SKILL}$  and  $\text{member}(L_1)=\text{EMP}$

$$\text{EMP}_1 = \text{EMP} \bowtie \text{SKILL}_1$$

$$\text{EMP}_2 = \text{EMP} \bowtie \text{SKILL}_2$$

where

$$\text{SKILL}_1 = \text{SAL } 30000 (\text{SKILL})$$

$$\text{SKILL}_2 = \text{SAL} > 30000 (\text{SKILL})$$

EMP<sub>1</sub>

ENO	ENAME	TITLE
E3	A. Lee	Mech. Eng.
E4	J. Miller	Programmer
E7	R. Davis	Mech. Eng.

EMP<sub>2</sub>

ENO	ENAME	TITLE
E1	J. Doe	Elect. Eng.
E2	M. Smith	Syst. Anal.
E5	B. Casey	Syst. Anal.
E6	L. Chu	Elect. Eng.
E8	J. Jones	Syst. Anal.

## DHF – Correctness

### ■ Completeness

- ➔ Referential integrity
- ➔ Let  $R$  be the member relation of a link whose owner is relation  $S$  which is fragmented as  $F_S = \{S_1, S_2, \dots, S_n\}$ . Furthermore, let  $A$  be the join attribute between  $R$  and  $S$ . Then, for each tuple  $t$  of  $R$ , there should be a tuple  $t'$  of  $S$  such that

$$t[A]=t'[A]$$

### ■ Reconstruction

- ➔ Same as primary horizontal fragmentation.

### ■ Disjointness

- ➔ Simple join graphs between the owner and the member fragments.

## Vertical Fragmentation

- Has been studied within the centralized context
  - design methodology
  - physical clustering
- More difficult than horizontal, because more alternatives exist.  
Two approaches :
  - grouping
    - ◆ attributes to fragments
  - splitting
    - ◆ relation to fragments

## Vertical Fragmentation

- Overlapping fragments
  - grouping
- Non-overlapping fragments
  - splitting

We do not consider the replicated key attributes to be overlapping.

Advantage:

Easier to enforce functional dependencies  
(for integrity checking etc.)

## VF – Information Requirements

### ■ Application Information

#### ⇒ Attribute affinities

- ◆ a measure that indicates how closely related the attributes are
- ◆ This is obtained from more primitive usage data

#### ⇒ Attribute usage values

- ◆ Given a set of queries  $Q = \{q_1, q_2, \dots, q_q\}$  that will run on the relation  $R[A_1, A_2, \dots, A_n]$ ,

$$use(q_i, A_j) = \begin{cases} 1 & \text{if attribute } A_j \text{ is referenced by query } q_i \\ 0 & \text{otherwise} \end{cases}$$

$use(q_i, \bullet)$  can be defined accordingly

## VF – Definition of $use(q_i, A_j)$

Consider the following 4 queries for relation PROJ

$q_1$ : SELECT BUDGET  
FROM PROJ  
WHERE PNO=Value

$q_2$ : SELECT PNAME, BUDGET  
FROM PROJ

$q_3$ : SELECT PNAME  
FROM PROJ  
WHERE LOC=Value

$q_4$ : SELECT SUM(BUDGET)  
FROM PROJ  
WHERE LOC=Value

Let  $A_1 = PNO$ ,  $A_2 = PNAME$ ,  $A_3 = BUDGET$ ,  $A_4 = LOC$

$$\begin{matrix} & A_1 & A_2 & A_3 & A_4 \\ \begin{matrix} q_1 \\ q_2 \\ q_3 \\ q_4 \end{matrix} & \begin{bmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 1 \end{bmatrix} \end{matrix}$$

## VF – Affinity Measure $aff(A_i, A_j)$

The attribute affinity measure between two attributes  $A_i$  and  $A_j$  of a relation  $R[A_1, A_2, \dots, A_n]$  with respect to the set of applications  $Q = (q_1, q_2, \dots, q_q)$  is defined as follows :

$$aff(A_i, A_j) = \frac{\sum_{q \in Q} \text{access}(q, A_i, A_j)}{\sum_{q \in Q} \text{access}(q, A_i) + \sum_{q \in Q} \text{access}(q, A_j)} \quad (\text{query access})$$

$$\text{query access} = \frac{\text{access frequency of a query}}{\text{all sites}} \quad \frac{\text{access}}{\text{execution}}$$

## VF – Calculation of $aff(A_i, A_j)$

Assume each query in the previous example accesses the attributes once during each execution.

Also assume the access frequencies

	$S_1$	$S_2$	$S_3$
$q_1$	15	20	10
$q_2$	5	0	0
$q_3$	25	25	25
$q_4$	3	0	0

Then

$$aff(A_1, A_3) = 15*1 + 20*1 + 10*1 = 45$$

and the attribute affinity matrix  $AA$  is

	$A_1$	$A_2$	$A_3$	$A_4$
$A_1$	45	0	45	0
$A_2$	0	80	5	75
$A_3$	45	5	53	3
$A_4$	0	75	3	78

## VF – Clustering Algorithm

- Take the attribute affinity matrix  $AA$  and reorganize the attribute orders to form clusters where the attributes in each cluster demonstrate high affinity to one another.
- Bond Energy Algorithm (BEA) has been used for clustering of entities. BEA finds an ordering of entities (in our case attributes) such that the global affinity measure

$$AM = \sum_{i,j} (affinity\ of\ A_i\ and\ A_j\ with\ their\ neighbors)$$

is maximized.

## Bond Energy Algorithm

Input: The  $AA$  matrix

Output: The clustered affinity matrix  $CA$  which is a perturbation of  $AA$

- ① **Initialization:** Place and fix one of the columns of  $AA$  in  $CA$ .
- ② **Iteration:** Place the remaining  $n-i$  columns in the remaining  $i+1$  positions in the  $CA$  matrix. For each column, choose the placement that makes the most contribution to the global affinity measure.
- ③ **Row order:** Order the rows according to the column ordering.

## Bond Energy Algorithm

“Best” placement? Define contribution of a placement:

$$cont(A_i, A_k, A_j) = 2bond(A_i, A_k) + 2bond(A_k, A_j) - 2bond(A_i, A_j)$$

where

$$bond(A_x, A_y) = \sum_{z=1}^n aff(A_z, A_x) aff(A_z, A_y)$$

## BEA - Example

Consider the following AA matrix and the corresponding CA matrix where  $A_1$  and  $A_2$  have been placed. Place  $A_3$ :

$$AA = \begin{matrix} & \begin{matrix} A_1 & A_2 & A_3 & A_4 \end{matrix} \\ \begin{matrix} A_1 \\ A_2 \\ A_3 \\ A_4 \end{matrix} & \begin{bmatrix} 45 & 0 & 5 & 0 \\ 0 & 80 & 5 & 75 \\ 45 & 5 & 53 & 3 \\ 0 & 75 & 3 & 78 \end{bmatrix} \end{matrix} \quad CA = \begin{matrix} & \begin{matrix} A_1 & A_2 \end{matrix} \\ \begin{matrix} A_1 \\ A_2 \\ A_3 \\ A_4 \end{matrix} & \begin{bmatrix} 45 & 0 \\ 0 & 80 \\ 45 & 5 \\ 0 & 75 \end{bmatrix} \end{matrix}$$

Ordering (0-3-1) :

$$\begin{aligned} cont(A_0, A_3, A_1) &= 2bond(A_0, A_3) + 2bond(A_3, A_1) - 2bond(A_0, A_1) \\ &= 2 * 0 + 2 * 4410 - 2 * 0 = 8820 \end{aligned}$$

Ordering (1-3-2) :

$$\begin{aligned} cont(A_1, A_3, A_2) &= 2bond(A_1, A_3) + 2bond(A_3, A_2) - 2bond(A_1, A_2) \\ &= 2 * 4410 + 2 * 890 - 2 * 225 = 10150 \end{aligned}$$

Ordering (2-3-4) :

$$cont(A_2, A_3, A_4) = 1780$$



## BEA - Example

Therefore, the *CA* matrix has to form

$$\begin{array}{c} A_1 \quad A_3 \quad A_2 \\ \left[ \begin{array}{ccc} 45 & 45 & 0 \\ 0 & 5 & 80 \\ 45 & 53 & 5 \\ 0 & 3 & 75 \end{array} \right] \end{array}$$

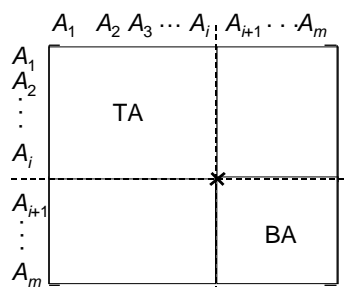
## BEA - Example

When  $A_4$  is placed, the final form of the *CA* matrix (after row organization) is

$$\begin{array}{c} A_1 \quad A_3 \quad A_2 \quad A_4 \\ A_1 \left[ \begin{array}{cccc} 45 & 45 & 0 & 0 \\ A_3 & 45 & 53 & 5 & 3 \\ A_2 & 0 & 5 & 80 & 75 \\ A_4 & 0 & 3 & 75 & 78 \end{array} \right] \end{array}$$

## VF - Algorithm

How can you divide a set of clustered attributes  $\{A_1, A_2, \dots, A_n\}$  into two (or more) sets  $\{A_1, A_2, \dots, A_j\}$  and  $\{A_j, \dots, A_n\}$  such that there are no (or minimal) applications that access both (or more than one) of the sets.



## VF - ALgorithm

Define

$TQ$  = set of applications that access only  $TA$

$BQ$  = set of applications that access only  $BA$

$OQ$  = set of applications that access both  $TA$  and  $BA$

and

$CTQ$  = total number of accesses to attributes by applications that access only  $TA$

$CBQ$  = total number of accesses to attributes by applications that access only  $BA$

$COQ$  = total number of accesses to attributes by applications that access both  $TA$  and  $BA$

Then find the point along the diagonal that maximizes

$$CTQ \cdot CBQ - COQ^2$$

## VF – Algorithm

Two problems :

### ❶ Cluster forming in the middle of the $CA$ matrix

- ➡ Shift a row up and a column left and apply the algorithm to find the “best” partitioning point
- ➡ Do this for all possible shifts
- ➡ Cost  $O(m^2)$

### ❷ More than two clusters

- ➡  $m$ -way partitioning
- ➡ try 1, 2, ...,  $m-1$  split points along diagonal and try to find the best point for each of these
- ➡ Cost  $O(2^m)$

## VF – Correctness

A relation  $R$ , defined over attribute set  $A$  and key  $K$ , generates the vertical partitioning  $F_R = \{R_1, R_2, \dots, R_r\}$ .

### ■ Completeness

- ➡ The following should be true for  $A$ :

$$A = \bigcup A_{R_i}$$

### ■ Reconstruction

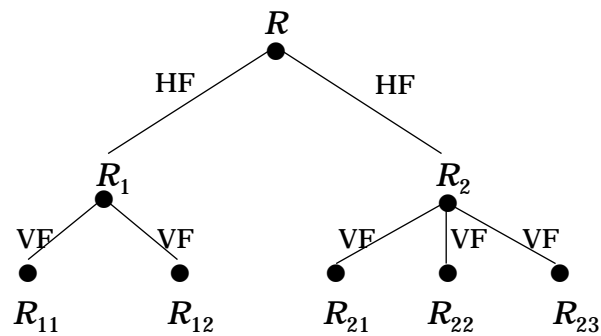
- ➡ Reconstruction can be achieved by

$$R = \bowtie_K R_i \quad R_i \quad F_R$$

### ■ Disjointness

- ➡ TID's are not considered to be overlapping since they are maintained by the system
- ➡ Duplicated keys are not considered to be overlapping

## Hybrid Fragmentation



## Fragment Allocation

### ■ Problem Statement

Given

$F = \{F_1, F_2, \dots, F_n\}$  fragments

$S = \{S_1, S_2, \dots, S_m\}$  network sites

$Q = \{q_1, q_2, \dots, q_q\}$  applications

Find the "optimal" distribution of  $F$  to  $S$ .

### ■ Optimality

⇒ Minimal cost

◆ Communication + storage + processing (read & update)

◆ Cost in terms of time (usually)

⇒ Performance

Response time and/or throughput

⇒ Constraints

◆ Per site constraints (storage & processing)

## Information Requirements

- Database information
  - selectivity of fragments
  - size of a fragment
- Application information
  - access types and numbers
  - access localities
- Communication network information
  - unit cost of storing data at a site
  - unit cost of processing at a site
- Computer system information
  - bandwidth
  - latency
  - communication overhead

## Allocation

### File Allocation (FAP) vs Database Allocation (DAP):

- Fragments are not individual files
  - ◆ relationships have to be maintained
- Access to databases is more complicated
  - ◆ remote file access model not applicable
  - ◆ relationship between allocation and query processing
- Cost of integrity enforcement should be considered
- Cost of concurrency control should be considered

## Allocation – Information Requirements

- Database Information
  - ▢ selectivity of fragments
  - ▢ size of a fragment
- Application Information
  - ▢ number of read accesses of a query to a fragment
  - ▢ number of update accesses of query to a fragment
  - ▢ A matrix indicating which queries updates which fragments
  - ▢ A similar matrix for retrievals
  - ▢ originating site of each query
- Site Information
  - ▢ unit cost of storing data at a site
  - ▢ unit cost of processing at a site
- Network Information
  - ▢ communication cost/frame between two sites
  - ▢ frame size

## Allocation Model

### General Form

min(Total Cost)

subject to

response time constraint

storage constraint

processing constraint

### Decision Variable

$x_{ij} = \begin{cases} 1 & \text{if fragment } F_i \text{ is stored at site } S_j \\ 0 & \text{otherwise} \end{cases}$

## Allocation Model

### ■ Total Cost

query processing cost +  
all queries  
all sites all fragments cost of storing a fragment at a site

### ■ Storage Cost (of fragment $F_j$ at $S_k$ )

(unit storage cost at  $S_k$ ) (size of  $F_j$ )  $x_{jk}$

### ■ Query Processing Cost (for one query)

processing component + transmission component

## Allocation Model

### ■ Query Processing Cost

Processing component

access cost + integrity enforcement cost + concurrency control cost

⇒ Access cost

all sites all fragments (no. of update accesses+ no. of read accesses)  
 $x_{ij}$  local processing cost at a site

⇒ Integrity enforcement and concurrency control costs

◆ Can be similarly calculated

## Allocation Model

### ■ Query Processing Cost

Transmission component

cost of processing updates + cost of processing retrievals

⇒ Cost of updates

$\sum_{\text{all sites}} \sum_{\text{all fragments}} \text{update message cost} +$

$\sum_{\text{all sites}} \sum_{\text{all fragments}} \text{acknowledgment cost}$

⇒ Retrieval Cost

$\sum_{\text{all fragments}} \min_{\text{all sites}} (\text{cost of retrieval command} +$   
 $\text{cost of sending back the result})$

## Allocation Model

### ■ Constraints

⇒ Response Time

execution time of query for that query ≤ max. allowable response time

⇒ Storage Constraint (for a site)

$\sum_{\text{all fragments}} \text{storage requirement of a fragment at that site}$

≤ storage capacity at that site

⇒ Processing constraint (for a site)

$\sum_{\text{all queries}} \text{processing load of a query at that site}$

≤ processing capacity of that site



## Allocation Model

### ■ Solution Methods

- ⇒ FAP is NP-complete
- ⇒ DAP also NP-complete

### ■ Heuristics based on

- ⇒ single commodity warehouse location (for FAP)
- ⇒ knapsack problem
- ⇒ branch and bound techniques
- ⇒ network flow

## Allocation Model

### ■ Attempts to reduce the solution space

- ⇒ assume all candidate partitionings known; select the “best” partitioning
- ⇒ ignore replication at first
- ⇒ sliding window on fragments