

## Outline

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## Semantic Data Control

- Involves:
  - View management
  - Security control
  - Integrity control
- Objective :
  - Insure that authorized users perform correct operations on the database, contributing to the maintenance of the database integrity.

## View Management

View – virtual relation

- ➡ generated from base relation(s) by a query
- ➡ not stored as base relations

Example :

```
CREATE VIEW  SYSAN ( ENO , ENAME )
AS          SELECT ENO , ENAME
           FROM   EMP
           WHERE  TITLE="Syst. Anal."
```

EMP

ENO	ENAME	TITLE
E1	J. Doe	Elect. Eng
E2	M. Smith	Syst. Anal.
E3	A. Lee	Mech. Eng.
E4	J. Miller	Programmer
E5	B. Casey	Syst. Anal.
E6	L. Chu	Elect. Eng.
E7	R. Davis	Mech. Eng.
E8	J. Jones	Syst. Anal.

SYSAN

ENO	ENAME
E2	M.Smith
E5	B.Casey
E8	J.Jones

## View Management

Views can be manipulated as base relations

Example :

```
SELECT  ENAME , PNO , RESP
FROM    SYSAN , ASG
WHERE   SYSAN.ENO = ASG.ENO
```

# Query Modification

queries expressed on views



queries expressed on base relations

Example :

```
SELECT ENAME, PNO, RESP
FROM SYSAN, ASG
WHERE SYSN.ENO = ASG.ENO
```



```
SELECT ENAME, PNO, RESP
FROM EMP, ASG
WHERE EMP.ENO = ASG.ENO
AND TITLE = "Syst. Anal."
```

ENAME	PNO	RESP
M.Smith	P1	Analyst
M.Smith	P2	Analyst
B.Casey	P3	Manager
J.Jones	P4	Manager

# View Management

■ To restrict access

```
CREATE VIEW ESAME
AS SELECT *
FROM EMP E1, EMP E2
WHERE E1.TITLE = E2.TITLE
AND E1.ENO = USER
```

■ Query

```
SELECT *
FROM ESAME
```

ENO	ENAME	TITLE
E1	J. Doe	Elect. Eng
E2	L. Chu	Elect. Eng

## View Updates

### ■ Updatable

```
CREATE VIEW  SYSAN( ENO , ENAME )
AS          SELECT ENO , ENAME
           FROM   EMP
           WHERE  TITLE= "Syst. Anal."
```

### ■ Non-updatable

```
CREATE VIEW  EG( ENAME , RESP )
AS          SELECT ENAME , RESP
           FROM   EMP , ASG
           WHERE  EMP . ENO=ASG . ENO
```

## View Management in DDBMS

- Views might be derived from fragments.
- View definition storage should be treated as database storage
- Query modification results in a distributed query
- View evaluations might be costly if base relations are distributed
  - use snapshots
    - ◆ Static views - do not reflect the updates to the base relations
    - ◆ managed as temporary relations - only access path is sequential scan
    - ◆ bad selectivity - snapshots behave as pre-calculated answers
    - ◆ periodic recalculation

## Data Security

### ■ Data protection

- ⇒ prevent the physical content of data to be understood by unauthorized users
- ⇒ encryption/decryption
  - ◆ Data Encryption Standard
  - ◆ Public-key encryption

### ■ Authorization control

- ⇒ only authorized users perform operations they are allowed to on the database
  - ◆ identification of subjects and objects
  - ◆ authentication of subjects
  - ◆ granting of rights (authorization matrix)

## Semantic Integrity Control

Maintain database consistency by enforcing a set of constraints defined on the database.

### ■ Structural constraints

- ⇒ basic semantic properties inherent to a data model  
e.g., unique key constraint in relational model

### ■ Behavioral constraints

- ⇒ regulate application behavior  
e.g., dependencies in the relational model

### ■ Two components

- ⇒ Integrity constraint specification
- ⇒ Integrity constraint enforcement

## Semantic Integrity Control

- Procedural
  - control embedded in each application program
- Declarative
  - assertions in predicate calculus
  - ⇒ easy to define constraints
  - ⇒ definition of database consistency clear
  - ⇒ inefficient to check assertions for each update
    - ◆ limit the search space
    - ◆ decrease the number of data accesses/assertion
    - ◆ preventive strategies
    - ◆ checking at compile time

## Constraint Specification Language

### Predefined constraints

specify the more common constraints of the relational model

- ⇒ Not-null attribute

ENO NOT NULL IN EMP

- ⇒ Unique key

(ENO, PNO) UNIQUE IN ASG

- ⇒ Foreign key

A key in a relation  $R$  is a foreign key if it is a primary key of another relation  $S$  and the existence of any of its values in  $R$  is dependent upon the existence of the same value in  $S$

PNO IN ASG REFERENCES PNO IN PROJ

- ⇒ Functional dependency

ENO IN EMP DETERMINES ENAME

## Constraint Specification Language

### Precompiled constraints

Express preconditions that must be satisfied by all tuples in a relation for a given update type

(INSERT, DELETE, MODIFY)

NEW - ranges over new tuples to be inserted

OLD - ranges over old tuples to be deleted

#### General Form

CHECK ON <relation> [WHEN <update type>] <qualification>

## Constraint Specification Language

### Precompiled constraints

#### ⇒ Domain constraint

CHECK ON PROJ(BUDGET≥500000 AND BUDGET≤1000000)

#### ⇒ Domain constraint on deletion

CHECK ON PROJ WHEN DELETE (BUDGET = 0)

#### ⇒ Transition constraint

CHECK ON PROJ (NEW.BUDGET > OLD.BUDGET AND  
NEW.PNO = OLD.PNO)

## Constraint Specification Language

### General constraints

Constraints that must always be true. Formulae of tuple relational calculus where all variables are quantified.

#### General Form

CHECK ON <variable>:<relation>,<qualification>

#### ⇒ Functional dependency

**CHECK ON** e1:EMP, e2:EMP

(e1.ENAME = e2.ENAME **IF** e1.ENO = e2.ENO)

#### ⇒ Constraint with aggregate function

**CHECK ON** g:ASG, j:PROJ

(**SUM**(g.DUR **WHERE** g.PNO = j.PNO) < 100 **IF**  
j.PNAME = "CAD/CAM")

## Integrity Enforcement

### Two methods

#### ■ Detection

Execute update  $u: D \rightarrow D_u$

If  $D_u$  is inconsistent then

compensate  $D_u \rightarrow D_u'$

else

undo  $D_u \rightarrow D$

#### ■ Preventive

Execute  $u: D \rightarrow D_u$  only if  $D_u$  will be consistent

⇒ Determine valid programs

⇒ Determine valid states



## Query Modification

- preventive
- add the assertion qualification to the update query
- only applicable to tuple calculus formulae with universally quantified variables

```
UPDATE PROJ
SET BUDGET = BUDGET*1.1
WHERE PNAME = "CAD/CAM"
↓
UPDATE PROJ
SET BUDGET = BUDGET*1.1
WHERE PNAME = "CAD/CAM"
AND NEW.BUDGET ≥ 500000
AND NEW.BUDGET ≤ 1000000
```

## Compiled Assertions

Triple  $(R, T, C)$  where

$R$  relation  
 $T$  update type (insert, delete, modify)  
 $C$  assertion on differential relations

Example: Foreign key assertion

$\forall g \in \text{ASG}, \exists j \in \text{PROJ} : g.\text{PNO} = j.\text{PNO}$

Compiled assertions:

$(\text{ASG}, \text{INSERT}, C1), (\text{PROJ}, \text{DELETE}, C2), (\text{PROJ}, \text{MODIFY}, C3)$

where

$C1: \forall \text{NEW} \in \text{ASG}^+, \exists j \in \text{PROJ} : \text{NEW.PNO} = j.\text{PNO}$

$C2: \forall g \in \text{ASG}, \forall \text{OLD} \in \text{PROJ}^- : g.\text{PNO} \neq \text{OLD.PNO}$

$C3: \forall g \in \text{ASG}, \forall \text{OLD} \in \text{PROJ}^-, \exists \text{NEW} \in \text{PROJ}^+ : g.\text{PNO} \neq \text{OLD.PNO}$   
**OR OLD.PNO = NEW.PNO**

## Differential Relations

Given relation  $R$  and update  $u$

$R^+$  contains tuples inserted by  $u$

$R^-$  contains tuples deleted by  $u$

Type of  $u$

insert  $R^-$  empty

delete  $R^+$  empty

modify  $R^+ \cup (R - R^-)$

## Differential Relations

Algorithm

Input: Relation  $R$ , update  $u$ , compiled assertion  $C_i$

Step 1: Generate differential relations  $R^+$  and  $R^-$

Step 2: Retrieve the tuples of  $R^+$  and  $R^-$  which **do not** satisfy  $C_i$

Step 3: If retrieval is not successful, then the assertion is valid.

Example :

$u$  is delete on  $J$ . Enforcing (J, DELETE, C2) :

*retrieve all* tuples of  $J^-$

*into* RESULT

*where* not(C2)

If RESULT =  $\emptyset$ , the assertion is verified.

## Distributed Integrity Control

- Problems:
  - ⇒ Definition of constraints
    - ◆ consideration for fragments
  - ⇒ Where to store
    - ◆ replication
    - ◆ non-replicated : fragments
  - ⇒ Enforcement
    - ◆ minimize costs

## Types of Distributed Assertions

- Individual assertions
  - ⇒ single relation, single variable
  - ⇒ domain constraint
- Set oriented assertions
  - ⇒ single relation, multi-variable
    - ◆ functional dependency
  - ⇒ multi-relation, multi-variable
    - ◆ foreign key
- Assertions involving aggregates

## Distributed Integrity Control

### ■ Assertion Definition

- ➡ similar to the centralized techniques
- ➡ transform the assertions to compiled assertions

### ■ Assertion Storage

- ➡ Individual assertions
  - ◆ one relation, only fragments
  - ◆ at each fragment site, check for compatibility
  - ◆ if compatible, store; otherwise reject
  - ◆ if all the sites reject, globally reject
- ➡ Set-oriented assertions
  - ◆ involves joins (between fragments or relations)
  - ◆ maybe necessary to perform joins to check for compatibility
  - ◆ store if compatible

## Distributed Integrity Control

### ■ Assertion Enforcement

- ➡ Where do you enforce each assertion?
  - ◆ type of assertion
  - ◆ type of update and where update is issued
- ➡ Individual Assertions
  - ◆ update = insert
    - ✓ enforce at the site where the update is issued
  - ◆ update = qualified
    - ✓ send the assertions to all the sites involved
    - ✓ execute the qualification to obtain  $R^+$  and  $R^-$
    - ✓ each site enforce its own assertion
- ➡ Set-oriented Assertions
  - ◆ single relation
    - ✓ similar to individual assertions with qualified updates
  - ◆ multi-relation
    - ✓ move data between sites to perform joins; then send the result to the query master site