Chapter A: Network Model

- Basic Concepts
- Data-Structure Diagrams
- The DBTG CODASYL Model
- DBTG Data-Retrieval Facility
- DBTG Update Facility
- DBTG Set-Processing Facility
- Mapping of Networks to Files
Basic Concepts

- Data are represented by collections of records.
  - similar to an entity in the E-R model
  - Records and their fields are represented as record type

```plaintext
type customer = record
customer-name: string;
customer-street: string;
customer-city: string;
end
type account = record
account-number: integer;
balance: integer;
end
```

- Relationships among data are represented by links
  - similar to a restricted (binary) form of an E-R relationship
  - restrictions on links depend on whether the relationship is many-many, many-to-one, or one-to-one.
Data-Structure Diagrams

- Schema representing the design of a network database.

- A data-structure diagram consists of two basic components:
  - **Boxes**, which correspond to record types.
  - **Lines**, which correspond to links.

- Specifies the overall logical structure of the database.
For every E-R diagram, there is a corresponding data-structure diagram.

(a) E-R diagram

(b) Data structure diagram
Since a link cannot contain any data value, represent an E-R relationship with attributes with a new record type and links.
To represent an E-R relationship of degree 3 or higher, connect the participating record types through a new record type that is linked directly to each of the original record types.

1. Replace entity sets account, customer, and branch with record types account, customer, and branch, respectively.

2. Create a new record type Rlink (referred to as a dummy record type).

3. Create the following many-to-one links:
   - \textit{CustRlink} from Rlink record type to customer record type
   - \textit{AcctRlink} from Rlink record type to account record type
   - \textit{BrncRlink} from Rlink record type to branch record type
Network Representation of Ternary Relationship

(a) E-R diagram

(b) Data structure diagram
The DBTG CODASYL Model

- All links are treated as many-to-one relationships.
- To model many-to-many relationships, a record type is defined to represent the relationship and two links are used.

![E-R diagram and data structure diagram](image-url)
The structure consisting of two record types that are linked together is referred to in the DBTG model as a *DBTG set*. In each DBTG set, one record type is designated as the *owner*, and the other is designated as the *member*, of the set. Each DBTG set can have any number of *set occurrences* (actual instances of linked records). Since many-to-many links are disallowed, each set occurrence has precisely one owner, and has zero or more member records. No member record of a set can participate in more than one occurrence of the set at any point. A member record can participate simultaneously in several set occurrences of *different* DBTG sets.
Repeating Groups

- Provide a mechanism for a field to have a set of values rather than a single value.
- Alternative representation of weak entities from the E-R model
- Example: Two sets.
  - customer (customer-name)
  - customer-address (customer-street, customer-city)
- The following diagrams represent these sets without the repeating-group construct.
Repeating Groups (Cont.)

With the repeating-group construct, the data-structure diagram consists of the single record type *customer*. 

(a) E-R diagram

(b) Data-structure diagram
The DBTG data manipulation language consists of a number of commands that are embedded in a host language.

*Run unit* — system application program consisting of a sequence of host language and DBTG command statements. Statements access and manipulate database items as well as locally declared variables.

*Program work-area* (or *user work area*) — a buffer storage area the system maintains for each application program.
DBTG Variables

- Record Templates
- Currency pointers
  - Current of record type
  - Current of set type
  - Current of run unit
- Status flags
  - **DB-status** is most frequently used
  - Additional variables: **DB-set-name**, **DB-record-name**, and **DB-data-name**
Example Schema

- **customer**
  - **customer-name**
  - **customer-street**
  - **customer-city**

- **account**
  - **account-number**
  - **balance**

- **branch**
  - **branch-name**
  - **branch-city**
  - **assets**

**Depositor**

- **Hayes Main Harrison**
  - Account: A-102, Balance: 400

- **Johnson Alma Palo Alto**
  - Account: A-101, Balance: 500
  - Account: A-201, Balance: 900

- **Turner Putnam Stamford**
  - Account: A-305, Balance: 350
  - Account: A-402, Balance: 1000
  - Account: A-408, Balance: 1123

- **Branches**
  - Perryridge Horseneck, Assets: 1700000
  - Downtown Brooklyn, Assets: 9000000
  - Round Hill Horseneck, Assets: 8000000
Example Program Work Area

- Templates for three record types: *customer*, *account*, and *branch*.
- Six currency pointers
  - Three pointers for record types: one each to the most recently accessed *customer*, *account*, and *branch* record
  - Two pointers for set types: one to the most recently accessed record in an occurrence of the set *depositor*, one to the most recently accessed record in an occurrence of the set *account-branch*
  - One run-unit pointer.
- Status flags: four variables defined previously
- Following diagram shows an example program work area state.
The Find and Get Commands

- **find** locates a record in the database and sets the appropriate currency pointers.
- **get** copies of the record to which the current of run-unit points from the database to the appropriate program work area template.

Example: Executing a **find** command to locate the customer record belonging to Johnson causes the following changes to occur in the state of the program work area.

- The current of the record type **customer** now points to the record of Johnson.
- The current of set type **depositor** now points to the set owned by Johnson.
- The current of run unit now points to **customer** record Johnson.
Access of Individual Records

- **find any** `<record type> using <record-field>`
  Locates a record of type `<record type>` whose `<record-field>` value is the same as the value of `<record-field>` in the `<record type>` template in the program work area.

- Once such a record is found, the following currency pointers are set to point to that record:
  - The current of run-unit pointer
  - The record-type currency pointer for `<record type>`
  - For each set in which that record belongs, the appropriate set currency pointer

- **find duplicate** `<record type> using <record-field>`
  Locates (according to a system-dependent ordering) the next record that matches the `<record-field>`
Access of Records Within a Set

- Other **find** commands locate records in the DBTG set that is pointed to by the `<set-type>` currency pointer.

- **find first** `<record type> within <set-type>`
  Locates the first database record of type `<record type>` belonging to the current `<set-type>`.

- To locate the other members of a set, we use

  **find next** `<record type> within <set-type>`

  which finds the next element in the set `<set-type>`.

- **find owner within** `<set-type>`
  Locates the owner of a particular DBTG set
Predicates

For queries in which a field value must be matched with a specified range of values, rather than to only one, we need to:

- get the appropriate records into memory
- examine each one separately for a match
- determine whether each is the target of our find statement
Example DBTG Query

- Print the total number of accounts in the Perryridge branch with a balance greater than $10,000.

```sql
count := 0;
branch.branch-name := “Perryridge”;
find any branch using branch-name;
find first account within account-branch;
while DB-status = 0 do
    begin
        get account
        if account.balance > 10000 then count := count + 1;
        find next account within account-branch;
    end
print (count);
```
DBTG Update Facility

- DBTG mechanisms are available to update information in the database.
- To create a new record of type `<record type>`
  - insert the appropriate values in the corresponding `<record type>` template
  - add this new record to the database by executing `store <record type>`
- Can create and add new records only one at a time
DBTG Update Facility (Cont.)

- To modify an existing record of type `<record type>`
  - find that record in the database
  - get that record into memory
  - change the desired fields in the template of `<record type>`
  - reflect the changes to the record to which the currency point of `<record type>` points by executing

  `modify <record type>`
To delete an existing record of type <record type>

- make the currency pointer of that type point to the record in the database to be deleted
- delete that record by executing

```
erase <record type>
```

Delete an entire set occurrence by finding the owner of the set and executing

```
erase all <record type>
```

- Deletes the owner of the set, as well as all the set’s members.
- If a member of the set is an owner of another set, the members of that second set also will be deleted.
- `erase all` is recursive.
DBTG Set-Processing Facility

- Mechanisms are provided for inserting records into and removing records from a particular set occurrence.

- Insert a new record into a set by executing the `connect` statement.
  
  `connect <record type> to <set-type>`

- Remove a record from a set by executing the `disconnect` statement.
  
  `disconnect <record type> from <set-type>`
Example disconnect Query

- Close account A-201, that is, delete the relationship between account A-201 and its customer, but archive the record of account A-201.
- The following program removes account A-201 from the set occurrence of type deposito.
The account will still be accessible in the database for record-keeping purposes.

```java
account.account-number := “A-201”; find for update any account using account-number. get account, find owner within depositor, disconnect account from depositor.
```
To move a record of type `<record type>` from one set occurrence to another set occurrence of type `<set-type>`

- Find the appropriate record and the owner of the set occurrences to which that record is to be moved.
- Move the record by executing

```
reconnect <record type> to <set-type>
```

Example: Move all accounts of Hayes that are currently at the Perryridge branch to the Downtown branch.
Example reconnect Query

customer.customer-name := “Hayes”;
find any customer using customer-name;
find first account within depositor;
while DB-status = 0 do
begin
    find owner within account-branch;
    get branch;
    if branch.branch-name = “Perryridge” then
        begin
            branch.branch-name := “Downtown”;
            find any branch using branch-name;
            reconnect account to account-branch;
        end
    find next account within depositor,
end
A newly created member record of type `<record type>` of a set type `<set-type>` can be added to a set occurrence either explicitly (manually) or implicitly (automatically).

Specify the insert mode at set-definition time via:

\[ \text{insertion is } <\text{insert mode}> \]

- **manual:** `connect` `<record type>` **to** `<set-type>`
- **automatic:** `store` `<record type>`
Set Insertion Example

- Create account A535 for customer Hayes at the Downtown branch.
- Set insertion is **manual** for set type *depositor* and is **automatic** for set type *account-branch*.

```plaintext
branch.branch-name := "Downtown";
find any branch using branch-name;
account.account-number := "A-535";
account.balance := 0;
store account;
customer.customer-name := "Hayes";
find any customer using customer-name;
connect account to depositor;
```
Restrictions on how and when a member record can be removed from a set occurrence are specified at set-definition time via

```
retention is <retention-mode>
```

- `<retention-mode>` can take one of the three forms:
  1. **fixed** — a member record cannot be removed. To reconnect a record to another set, we must erase that record, recreate it, and then insert it into the new set occurrence.
  2. **mandatory** — a member record of a particular set occurrence can be reconnected to another set occurrence of only type `<set-type>`.
  3. **optional** — no restrictions on how and when a member record can be removed from a set occurrence.
The best way to delete a record that is the owner of set occurrence of type `<set-type>` depends on the specification of the set retention of `<set-type>`.

- **optional** — the record will be deleted and every member of the set that it owns will be disconnected. These records, however, will be in the database.

- **fixed** — the record and all its owned members will be deleted; a member record cannot be removed from the set occurrence without being deleted.

- **mandatory** — the record cannot be erased, because the mandatory status indicates that a member record must belong to a set occurrence. The record cannot be disconnected from that set.
Set Ordering

Set ordering is specified by a programmer when the set is defined:

`order` is `<order-mode>`

- **first.** A new record is inserted in the first position; the set is in reverse chronological ordering.
- **last.** A new record is inserted in the final position; the set is in chronological ordering.
- **next.** Suppose that the currency pointer or `<set-type>` points to record $X$.
  - If $X$ is a member type, a new record is inserted in the next position following $X$.
  - If $X$ is an owner type, a new record is inserted in the first position.
Set Ordering (Cont.)

- **prior.** If $X$ is a member type, a new record is inserted in the position just prior to $X$. If $X$ is an owner type, a new record is inserted in the last position.

- **system default.** A new record is inserted in an arbitrary position determined by the system.

- **sorted.** A new record is inserted in a position that ensures that the set will remain sorted. The sorting order is specified by a particular key value when a programmer defines the set.

Example: Consider the set occurrence of type *depositor* with the owner-record customer Turner and member-record accounts A-305, A-402, and A-408 ordered as indicated in our example schema (page A.14).
Set Ordering Example

- Add a new account A-125. For each `<order-mode>` option, the new set ordering is as follows:
  - **first**: {A-125,A-305,A-402,A-408}
  - **last**: {A-305,A-402,A-408,A-125}
  - **next**: Suppose that the currency pointer points to record “Turner”; then the new set order is {A-125,A-305,A-402,A-408}
  - **prior**: Suppose that the currency pointer points to record A-402; then the new set order is {A-305,A-125,A-402,A-408}
  - **system default**: Any arbitrary order is acceptable; thus, {A-305,A-402,A-125,A-408} is a valid set ordering
  - **sorted**: The set must be ordered in ascending order with account number being the key; thus, the ordering must be {A-125,A-305,A-402,A-408}
Mapping of Networks to Files

- We implement links by adding *pointer fields* to records that are associated via a link.
- Each record must have one pointer field for each link with which it is associated.
- Example data-structure diagram and corresponding database.

Figure missing
Diagram showing the sample instance with pointer fields to represent the links. Each link is replaced by two pointers.
Since the *depositors* link is many to many, each record can be associated with an arbitrary number of records (e.g., the *account* record would have a pointer to the *customer* record for each customer who has that account).

- Direct implementation of many-to-many relationships requires the use of variable length records.
- The DBTG model restricts links to be either one to one or one to many; the number of pointers needed is reduced, and it is possible to retain fixed-length records.
Assume that the depositor link is one to many and is represented by the DBTG set depositor and this corresponding sample database.

Set name is depositor
Owner is customer
Member is account

<table>
<thead>
<tr>
<th>Depositor</th>
<th>Owner</th>
<th>Member</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hayes</td>
<td>Main</td>
<td>Harrison</td>
</tr>
<tr>
<td>A-102</td>
<td>400</td>
<td></td>
</tr>
<tr>
<td>A-101</td>
<td>500</td>
<td></td>
</tr>
<tr>
<td>A-201</td>
<td>900</td>
<td></td>
</tr>
<tr>
<td>Smith</td>
<td>North</td>
<td>Rye</td>
</tr>
<tr>
<td>A-215</td>
<td>700</td>
<td></td>
</tr>
</tbody>
</table>
Because an *account* record can be associated with only one *customer* record, we need only one pointer in the *account* record to represent the *depositor* relationship.

- A *customer* record can be associated with many *account* records.
- Rather than using multiple pointers in the *customer* record, we can use a *ring structure* to represent the entire occurrence of the DBTG set *depositor*.
- In a ring structure, the records of both the owner and member types for a set occurrence are organized into a circular list.
- There is one circular list for each set occurrence (that is, for each record of the owner type).
Example Ring Structure

Hayes  Main  Harrison  →  A-102  400

Johnson  Alma  Palo Alto  →  A-101  500

→  A-201  900

Turner  Putnam  Stamford  →  A-305  350
Modified Ring Structures

- Execute **find owner** via a ring structure in which every member-type record contains a second pointer which points to the owner record.
Physical Placement of Records

To specify the storage strategy for DBTG set, add a **placement** clause to the definition of the member record type.

The clause

```
placement clustered via depositor
```

will store members of each set occurrence close to one another physically on disk, if possible, in the same block.

Store owner and member records close to one another physically on disk by adding the clause **near owner**.

```
placement clustered via depositor near owner
```
Storing member records in the same block as the owner reduces the number of block accesses required to read an entire set occurrence.

<table>
<thead>
<tr>
<th>Block 0</th>
<th>Hayes</th>
<th>Main</th>
<th>Harrison</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>A-102</td>
<td>400</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Block 1</th>
<th>Johnson</th>
<th>Alma</th>
<th>Palo Alto</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>A-101</td>
<td>500</td>
<td></td>
</tr>
<tr>
<td></td>
<td>A-201</td>
<td>900</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Block 2</th>
<th>Turner</th>
<th>Putnam</th>
<th>Stamford</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>A-305</td>
<td>350</td>
<td></td>
</tr>
</tbody>
</table>
Sample Database

<table>
<thead>
<tr>
<th>Name</th>
<th>City</th>
<th>Zip Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hayes</td>
<td>Main</td>
<td>102</td>
</tr>
<tr>
<td>Johnson</td>
<td>Alma</td>
<td>101</td>
</tr>
<tr>
<td>Turner</td>
<td>Putnam</td>
<td>201</td>
</tr>
<tr>
<td></td>
<td></td>
<td>305</td>
</tr>
</tbody>
</table>
Two Data-Structure Diagrams

(a)

(b)
<p>| | | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Hayes</td>
<td>Main</td>
<td>Harrison</td>
<td>A-102</td>
</tr>
<tr>
<td>Lindsay</td>
<td>Park</td>
<td>Pittsfield</td>
<td>A-222</td>
</tr>
<tr>
<td>Turner</td>
<td>Putnam</td>
<td>Stamford</td>
<td>A-305</td>
</tr>
</tbody>
</table>
Sample Database Corresponding to Diagram of Figure A.6b

- Hayes, Main, Harrison: 10 June 1996, A-102, 400
- Johnson, Alma, Palo Alto: 24 May 1996
  - 17 June 1996, A-201, 900
Sample Database Corresponding to Diagram of Figure A.8b

<p>| | | | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>A-102</td>
<td>400</td>
<td>A-101</td>
<td>500</td>
<td>A-201</td>
</tr>
</tbody>
</table>

Hayes | Main | Harrison | Perryridge | Horseneck | 1700000 |
Johnson | Alma | Palo Alto | Downtown | Brooklyn | 9000000 |
Turner | Putnam | Stamford | Round Hill | Horseneck | 8000000 |
Two Data-Structure Diagrams

(a) customer-name | customer-street | customer-city | account-number | balance

(b) customer-name | customer-street | customer-city | account-number | balance

access-date

access-date

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Sample Database Corresponding to the Diagram of Figure A.11

<table>
<thead>
<tr>
<th>Name</th>
<th>Address</th>
<th>City</th>
<th>Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hayes</td>
<td>Main</td>
<td>Harrison</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>A-102</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>2</td>
</tr>
<tr>
<td>Johnson</td>
<td>Alma</td>
<td>Palo Alto</td>
<td>3</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>4</td>
</tr>
<tr>
<td>Smith</td>
<td>North</td>
<td>Rye</td>
<td>5</td>
</tr>
</tbody>
</table>
DBTG Set

A

B
Three Set Occurrences

```
A1  B1  B2
    |    |
    |    |
A2  B3  B4  B5
    |    |
    |    |
A3  B6
```
Data-Structure and E-R Diagram

(a) customer
   savings-account
   checking-account

(b) customer
   has
   account
   ISA
   savings-account
   checking-account
A *customer* Record

<table>
<thead>
<tr>
<th>Turner</th>
<th>Putnam</th>
<th>Stamford</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Field</td>
<td>Horseneck</td>
</tr>
</tbody>
</table>
Clustered Record Placement for Instance for Figure A.1

Block 0
- Hayes
- Johnson
- Turner

Block 1
- A-102
- A-101

Block 2
- A-201

Block 3
- A-305
Class Enrollment E-R Diagram

- **SS#**
- **name**
- **address**
- **student**
- **enroll**
- **class**
- **location**
- **number**
- **time**
Parent—Child E-R Diagram

```
father

person

father-of

children
```
Car-Insurance E-R Diagram