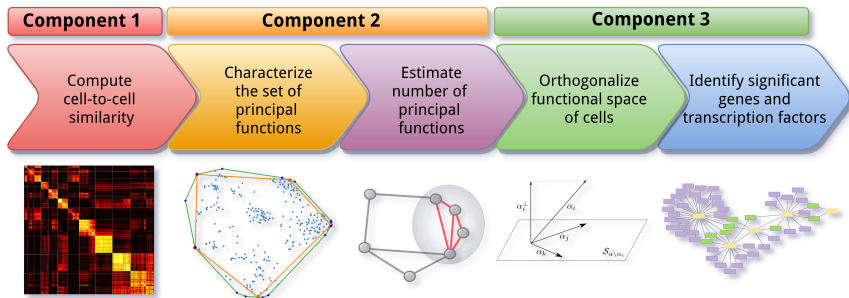


Establishing functional identity of cells

- 1 Establishing functional identity of cells
- 2 Constructing tissue/cell type-specific networks
- 3 Deconvolving expression profiles of complex tissues

Establishing functional identity of cells



Component 1: New measures for cell-cell similarity

Motivation

Underlying hypothesis

Transcriptional profile of cells is dominated by housekeeping genes, whereas their functional identity is determined by a combination of weak but preferentially expressed genes.

Component 1: New measures for cell-cell similarity

Supporting evidence

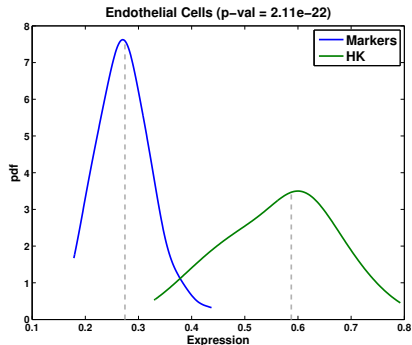


Figure: Endothelial Cells

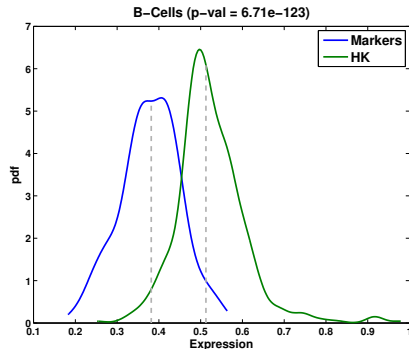


Figure: B-Cells

Component 1: New measures for cell-cell similarity

Supporting evidence

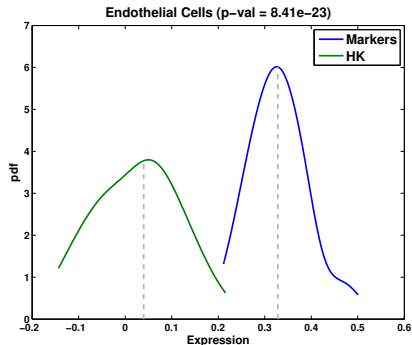


Figure: Endothelial Cells

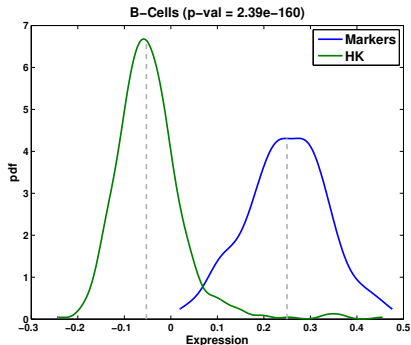
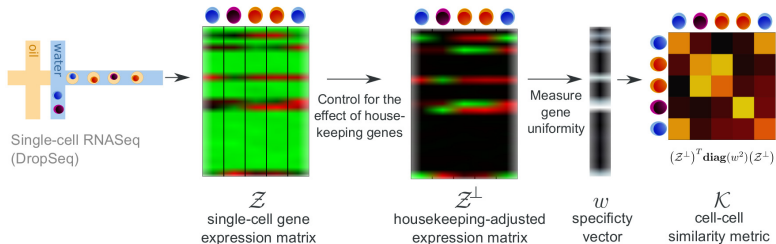


Figure: B-Cells

Component 1: New measures for cell-cell similarity

Cell similarity kernel in aACTION



- The main steps involved in identifying similarity between cells

Component 1: New measures for cell-cell similarity

Reducing the noise contributed by highly expressed but uninformative genes

Goal: Identify the shared subspace of genes

Low-rank decomposition

$$A = U_r \Sigma_r V_r = \sum_{i=1}^r \sigma_i u_i v_i^T,$$

Example decomposition choices:

- ▶ Mean vector
 - ▶ *Optimal in a least-square sense when the chance of observing a gene is uniform across all cells.*
- ▶ Singular Value Decomposition (SVD)
- ▶ Nonnegative Matrix Underapproximation (NMU)
- ▶ Sparse NMU

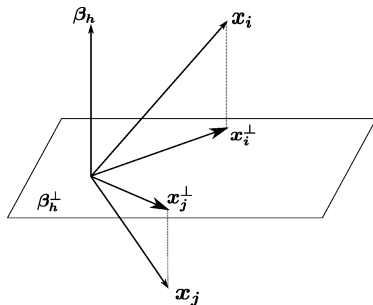
Component 1: New measures for cell-cell similarity

Reducing the noise contributed by highly expressed but uninformative genes

Goal: Remove the effect of common subspace

- ▶ \mathbf{x}_i and \mathbf{x}_j : tissues/cell types i and j
- ▶ z-score normalize \mathbf{x}_i to compute \mathbf{z}_i
- ▶ β_h : the common signature
- ▶ z-score normalize β_h to compute \mathbf{z}_h
- ▶ Project to the orthogonal subspace:

$$\mathbf{z}_i^\perp = \left(\mathbf{I} - \frac{\mathbf{z}_h \mathbf{z}_h^T}{\|\mathbf{z}_h\|_2^2} \right) \mathbf{z}_i.$$

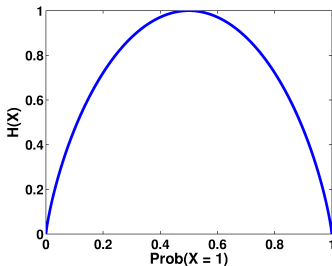


Similar in nature to the partial Pearson's correlation

Component 1: New measures for cell-cell similarity

Enhancing the signal from preferentially-expressed genes

Goal: Estimate expression-specificity of genes across different cells



- ▶ Entropy as a measure of expression uniformity: $H(i) = -\sum_j p_{ij} \log(p_{ij})$
- ▶ How informative is observing a gene with respect to the cell type that it came from
- ▶ Maximum entropy when probability of a gene coming from all cell types is equal
- ▶ For each gene i , compute a specificity factor w_i .

Similar formulations have been previously used for marker detection.

Component 1: New measures for cell-cell similarity

Putting the pieces together

ACTION-adjusted cell signatures

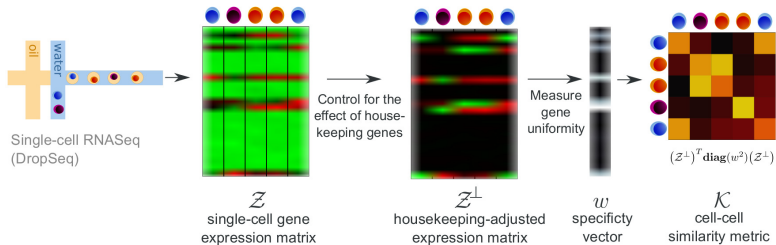
$$\mathbf{Y} = \text{diag}(\mathbf{w})\mathbf{Z}^\perp$$

ACTION metric (kernel)

$$\begin{aligned}\mathbf{K}_{ACTION} &= \mathbf{Y}^T \mathbf{Y} \\ &= (\mathbf{Z}^\perp)^T \text{diag}(\mathbf{w}^2) (\mathbf{Z}^\perp)\end{aligned}$$

Component 1

Cell similarity kernel – revisited



- Now we have computed the ACTION kernel

- ▶ **Immune:** 1,522 immune cells from mouse hematopoietic system (30 different types of stem, progenitor, and fully differentiated cells)
- ▶ **Melanoma:** 4,645 malignant, immune, and stromal cells isolated from 19 freshly procured human melanoma tumors (7 major types, including T, B, NK, CAF, Endo, Macro, and Tumor)
- ▶ **MouseBrain:** 3005 cells from the mouse cortex and hippocampus (7 major types, including *astrocytes-ependymal*, *endothelial-mural*, *interneurons*, *microglia*, *oligodendrocytes*, *pyramidal CA1*, and *pyramidal SS*).
- ▶ **Pollen:** Small set of 301 cells spanning 11 different cell types in developing cerebral cortex

Performance of ACTION Kernel

	ACTION	Isomap	MDS	SIMLR
Immune	0.6	0.54	0.6	0.6
Melanoma	0.53	0.35	0.5	0.46
MouseBrain	0.53	0.44	0.37	0.44
Pollen	0.88	0.75	0.74	0.72

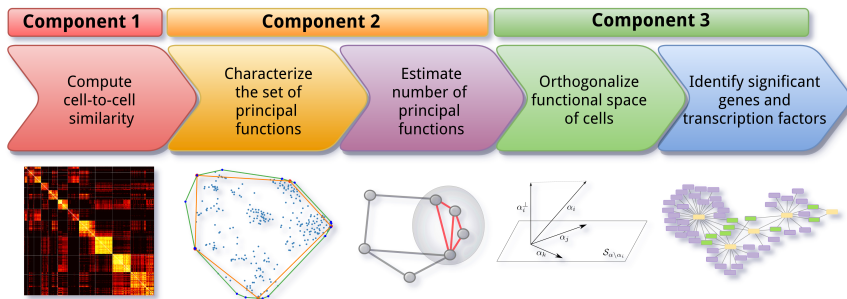
	ACTION	Isomap	MDS	SIMLR
Immune	0.29	0.25	0.29	0.28
Melanoma	0.4	0.33	0.37	0.4
MouseBrain	0.42	0.3	0.22	0.3
Pollen	0.77	0.62	0.55	0.57

- ▶ Benchmarks:
 - ▶ SIMLR: Specifically designed for single-cell data
 - ▶ IsoMap,MDS: General purpose dimension reduction
- ▶ Tested a range of parameters (5:5:50). Reported best case for each method.
- ▶ Ties:
 - ▶ *Immune* (NMI: ACTION/MDS/SMLR, ARI: ACTION/MDS)
 - ▶ *Melanoma* (ARI: ACTION/SIMLR)
- ▶ In all other cases, *ACTION* metric significantly outperforms all other methods.

- ▶ Overall, *ACTION* metric performs better than other methods

Overall Workflow

Component 2



Component 2: Characterizing principal functional profiles

Motivation

General framework

$$\operatorname{argmin}_{\mathbf{C}, \mathbf{H}} \quad \left\| \mathbf{Y} - \underbrace{\mathbf{Y} \mathbf{C} \mathbf{H}}_{\mathbf{W}} \right\|$$

$$\text{subject to:} \quad \left\| \mathbf{C}(:, i) \right\|_1 = 1.$$

$$\left\| \mathbf{H}(:, i) \right\|_1 = 1.$$

$$0 \leq \mathbf{C}, 0 \leq \mathbf{H}$$

Various algorithms can be cast using this formulation

- ▶ **K-means:** $\mathbf{C} \in \mathbb{R}^+, \mathbf{H} \in \{0, 1\}$
- ▶ **K-medoids:** $\mathbf{C} \in \{0, 1\}, \mathbf{H} \in \{0, 1\}$

Component 2: Characterizing principal functional profiles

Motivation

There are fundamental problems with K-means/medoids:

- ▶ They use hard assignment, whereas many cell types are believed to form a continuum.
- ▶ They are sensitive to initialization.
- ▶ They are dependent on k .

Component 2: Characterizing principal functional profiles

Convex Nonnegative Matrix Factorization (NMF)

Convex NMF

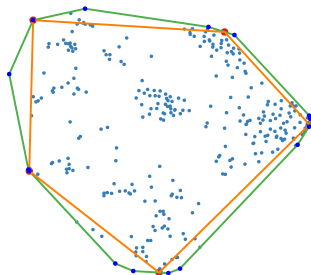
$$\underset{\mathcal{K}, \mathbf{H}}{\operatorname{argmin}} \quad \| \mathbf{Y} - \mathbf{Y}(:, \mathcal{S}) \mathbf{H} \|$$

$$\text{subject to:} \quad \| \mathbf{H}(:, i) \|_1 = 1, \mathbf{H} \in \mathbb{R}^+.$$

- ▶ It uses the same formulation as k-medoid, but relaxes the hard assignment of cells: $\mathbf{C} \in \{0, 1\}, \mathbf{H} \in \mathbb{R}^n$
- ▶ Unlike k-medoid and k-means, it has an **optimal global solution**.
 - ▶ Under **near-separability** assumption: there exists, for each cell type, an ideal example in the population.
- ▶ A modification of the *Gram Schmidt* process.

Component 2: Characterizing principal functional profiles

Convex NMF– Geometric interpretation



Geometry of functional space:
each point is a cell and red
points are the “pure cells”

- ▶ Picking k corner points/archetypes from the convex hull of the cells, such that they optimally “contain” the rest of cells.
- ▶ Each archetype is an ideal example of a cell type with a distinct set of **principal functions**.

Component 2: Characterizing principal functional profiles

Preconditioning – theorems

Goal: Understand the behavior of near-separable NMF

Performance guarantee

$$\max_{1 \leq j \leq r} \min_{s \in \mathcal{S}} \| \mathbf{Y}(:, s) - \mathbf{W}(:, j) \| \leq \mathcal{O}(\epsilon \kappa^2(\mathbf{W}))$$

- ▶ For any near-separable matrix, multiplying it by any nonsingular matrix \mathbf{Q} preserves separability, where matrix \mathbf{W} is replaced with \mathbf{QW} .
- ▶ In this case, we have the following modified upper bound:
 $\mathcal{O}(\epsilon \kappa(\mathbf{W}) \kappa^3(\mathbf{QW}))$.

Component 2: Characterizing principal functional profiles

Archetypal Analysis (AA)

- ▶ AA further relaxes matrix **C**: $\mathbf{C}, \mathbf{H} \in \mathbb{R}^+$.
- ▶ It can handle cases where pure pixel assumption is violated.
- ▶ But it no longer has global convergence guarantee \rightarrow it is also dependent on the initialization
 - ▶ To address this, we use the solution of convex NMF for initializing AA.
- ▶ In essence, this allows local adjustment of the Convex NMF solution.
- ▶ This is a variant of **block-coordinate descent** for optimization.

Component 2: Characterizing principal functional profiles

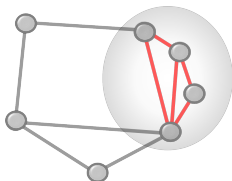
Finding the number of archetypes (k)

Goal: To identify when we should stop adding new archetypes.

- ▶ Underlying concept: add archetypes until we sense "oversampling."
- ▶ Oversampling happens when we start adding archetypes that are "too close" to each other.
- ▶ Each archetype is a cell \rightarrow we can compute their similarity of using the ACTION metric.

Component 2: Characterizing principal functional profiles

Statistical significance of oversampling



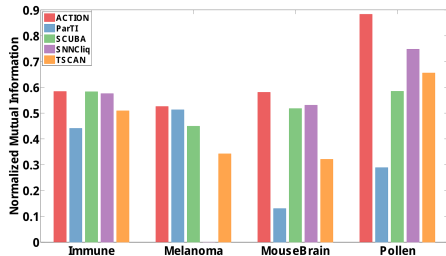
- ▶ We build and threshold an archetype-archetype similarity graph.
- ▶ For each connected component in this graph, we assess its statistical significance using an Erdos Renyi graph model.
- ▶ Probability that there exists in \mathbf{G} a subgraph of density $\delta(Z)$ and size at least $|Z|$:

$$\Pr[\exists H \subseteq \mathbf{G}, |H| \geq |Z| : \delta(H) = \delta(Z)].$$

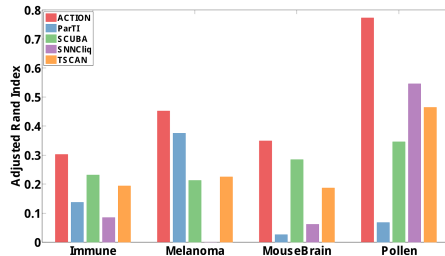
Component 2: Characterizing principal functional profiles

Test 1: Identifying cell types using closest archetype

a



b



- ▶ ACTION excels at identifying underlying cell types in all cases

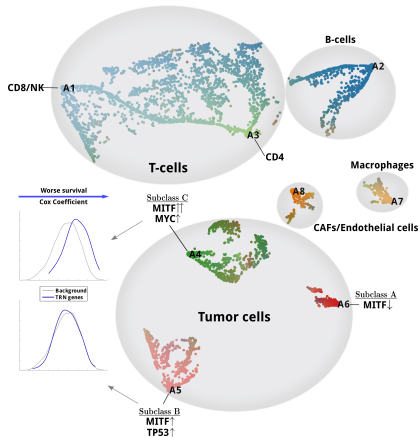
Component 2: Characterizing principal functional profiles

Visualizing the functional space

- ▶ Use matrix **H** instead of **Y** in visualization:
 - ▶ We are interested in the relationship between cells and their surrounding archetypes.
- ▶ Initialize using Fiedler embedding
 - ▶ Position according to the dominant eigenvectors of the Laplacian matrix: $\mathbf{L} = \mathbf{diag}(\Delta_{\mathbf{Y}}) - \mathbf{Y}$.
- ▶ Update using *t*-SNE

A continuous view of transcriptional profiles

Case study in the Melanoma dataset

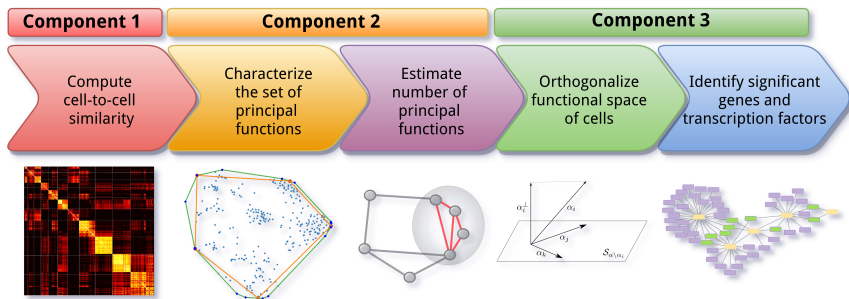


- ▶ T-cells reside in a continuum of states (*Thogerson et al.*).
- ▶ Tumor cells form compact groups.
- ▶ Two subclasses of MITF-associated tumors significantly differ in terms of their survival.

▶ ACTION highlights the underlying topology of cell types

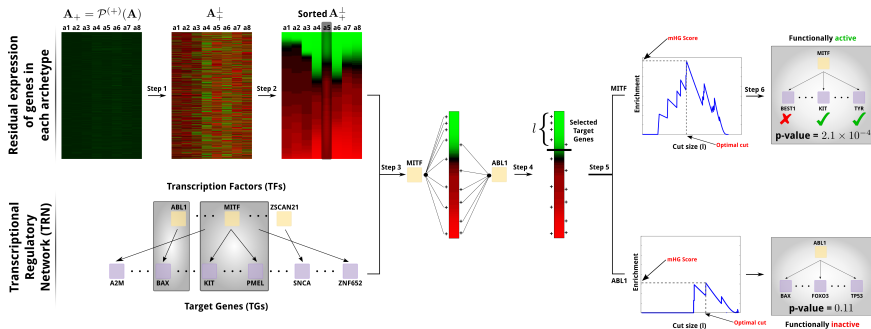
Overall Workflow

Component 3: Identifying the interactions underlying archetypes



Component 3: Identifying the interactions underlying archetypes

Constructing TRN



Component 3: Identifying the interactions underlying archetypes

Constructing TRN

Goal: Identifying key regulatory elements that drive each cell type

1. Archetype Orthogonalization (\rightarrow Only over positive projection)

$$\mathbf{a}_i^\perp = \left(\mathbf{I} - \mathbf{A}_{-i}(\mathbf{A}_{-i}^T \mathbf{A}_{-i})^{-1} \mathbf{A}_{-i}^T \right) \mathbf{a}_i$$

2. Assessing significance of TFs/TGs

$$\begin{aligned} p\text{-value}(Z = b_l(\lambda)) &= \text{Prob}(b_l(\lambda) \leq Z) \\ &= \sum_{x=b_l(\lambda)}^{\min(T, l)} \frac{\binom{T}{x} \binom{m-T}{l-x}}{\binom{m}{l}} \end{aligned}$$

Use Dynamic Programming to compute exact p -value.

Functional activity of transcription factors (TFs)

Key point!

We identify “functional activity” of transcription factors (TFs) by aggregating transcriptional activity of their downstream targets, not the transcriptional level of TFs themselves. TFs can, and typically do, get regulated through post-translational mechanisms.

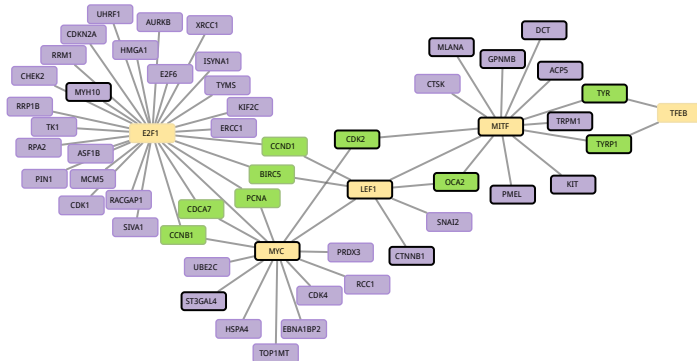
Identifying transcriptional controls of Melanoma subtypes

Proliferative versus invasive status

- ▶ Both *Subtype A* and *Subtype C* exhibit high activity of *MITF* and *Sox10* transcription factors, which are canonical markers for melanoma cells in the “proliferative” (as opposed to “invasive”) state (*Verfaillie et al.*).
- ▶ These two subtypes are significantly enriched for marker genes in the proliferative state:
 - ▶ *Subtype A*: 9.3×10^{-14}
 - ▶ *Subtype B*: 7.9×10^{-11}
- ▶ Subtype A has higher *MITF* activity (according to its activated targets):
 - ▶ *GPMB, M1ANA, PMEL*, and *TYR* are shared between two subtypes.
 - ▶ *ACP5, CDK2, CTSK, DCT, KIT*, and *TRPM1/P1* are uniquely upregulated in subtype A.

Dissecting transcriptional controls of Melanoma subclasses

Case study in $MITF^{\uparrow\uparrow}/MYC^{\uparrow}$ subtype



- ▶ 19 “functionally” active transcription factors in subtype A (p -value ≤ 0.05)
- ▶ We focus on the five most significant TFs and their targets (p -value $\leq 10^{-3}$)

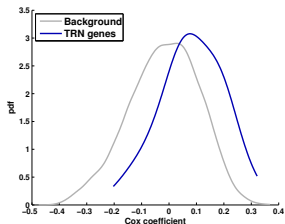
Case study in MITF^{↑↑}/MYC[↑] subtype

Core transcription factors

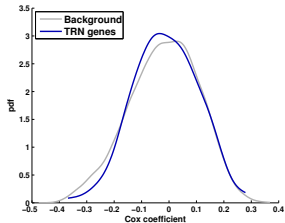
- ▶ MITF is among the best-known markers for classifying melanoma patients (*Hartman et al.*: MITF in melanoma: mechanisms behind its expression and activity).
- ▶ Overexpression of the E2F1 is common in high-grade tumors that are associated with poor survival in melanoma patients (*Alla et al.*: E2F1 in melanoma progression and metastasis).
- ▶ Melanoma cell phenotype switching, between proliferative and invasive states, is regulated by differential expression of LEF1/TCF4 (*Eichhoff et al.*: Differential LEF1 and TCF4 expression is involved in melanoma cell phenotype switching).
- ▶ Amplification and overexpression of the c-myc have been associated with poor outcome (*Kraehn et al.*: Extra c-myc oncogene copies in high risk cutaneous malignant melanoma and melanoma metastases).

Inferring transcriptional controls of Melanoma subtypes

Survival analysis



Subtype A: $p\text{-value} = 5.4 \times 10^{-10}$



Subtype C: $p\text{-value} = 0.31$

- ▶ OncoLnc (Jordan Anaya)
- ▶ Multivariate Cox regressions
- ▶ Gene expression, sex, age, and grade or histology as factors
- ▶ Genes associated with Subclass A have significantly worse outcome, compare to the background of all genes

1. Developed a novel cell similarity metric that is robust to biological noise, while at the same time is sensitive enough to identify weak cell type-specific signals
2. Characterized the functional identity of cells
 - ▶ Under the pure cell assumption, this metric induces a convex topology that embeds functional identity of cells
3. Utilized functional identity of cells to identify both discrete cell types and continuous cell states
4. Identified driving transcriptional controls that mediate the functional identity of cells

Clinical significance: Characterization of two MITF-associated subclasses of Melanoma patients, one of which has substantially worse outcomes, along with their underlying regulatory elements.

Future Directions

Mystery of inflated zeros: a curse or a blessing?

- ▶ Use ACTION to infer cell types.
- ▶ Use inferred cell types to distinguish true zeros from missing values
 - ▶ There is a significant biological signal embedded merely within the sparsity pattern of the single-cell profiles.
- ▶ Use SVR to impute missing values.

Future Directions

Use ACTION to infer lineage paths within the functional space of the cells

- ▶ Identify stable attractor states within the continuous functional space of cells.
- ▶ Trace the most likely transition paths between the states.
- ▶ Identify regulatory factors that stimulate these transitions/fate decisions

- ▶ Use ACTION to identify cell types in human brain, construct cell type-specific region-region gene correlation networks, and compare them with the networks constructed from the resting state fMRI (joint project with Vikram Ravindra, Purdue University)
- ▶ Impact of exposing RAW 264.7 macrophage cell line to exosomes from: (i) non-metastatic PEDF expressing A375 cells, and (ii) metastatic A375 melanoma cells (Joint project with Anindita Basu, University of Chicago).

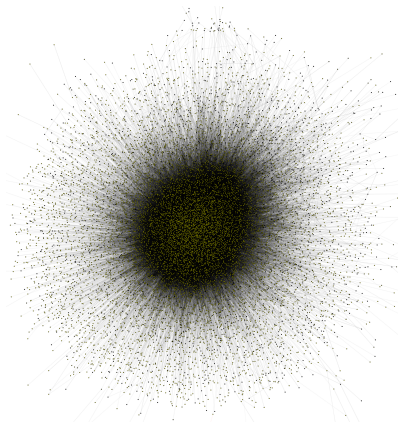
Constructing tissue-specific interactome

- 1 Establishing functional identity of cells
- 2 Constructing tissue/cell type-specific networks**
- 3 Deconvolving expression profiles of complex tissues

Motivation

Global interactome is not context-specific

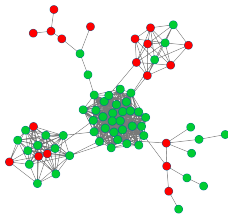
Global human interactome is a superset of all **possible** physical interactions that can take place in the cell. It does not provide any information as to which one of these interactions do take place in a given **tissue/cell-type context**.



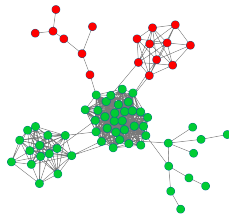
Can we predict which links/edge are **active** in a given **context**?

Roadmap

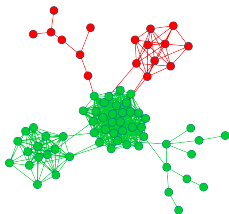
Exemplar Networks



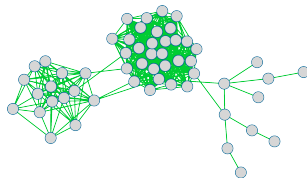
(a) Original



(b) Diffusion

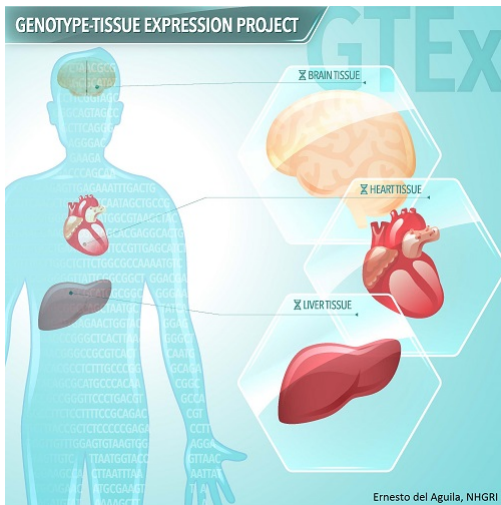


(c) Projection



(d) Pruning

Genotype-Tissue Expression (GTEx) Project



Adopted from: NIH CommonFund

- ▶ RNA-Seq dataset v4.0
- ▶ 2,916 samples
- ▶ 30 different tissues
- ▶ Processed each sample individually using UPC/SCAN

Activity Propagation (ActPro)

From transcriptional activity to functional activity

Goal: Estimate functional activity of genes

Convex program

$$\mathbf{x}^* = \underset{\mathbf{x}}{\operatorname{argmin}} \left\{ (1 - \alpha) \mathbf{x}^T \mathbf{L} \mathbf{x} + \alpha \|\mathbf{x} - \mathbf{z}\|_1 \right\}$$
$$\text{Subject to: } \begin{cases} \mathbf{1}^T \mathbf{x} = 1 \\ 0 \leq \mathbf{x} \end{cases}$$

- ▶ Vector \mathbf{z} encodes transcriptional activity of genes, estimated by UPC
- ▶ Matrix \mathbf{L} is the *Laplacian* matrix, defined as $\mathbf{A} - \mathbf{D}$, where d_{ii} is the weighted degree of i^{th} vertex in the global interactome.
- ▶ Parameter α controls the relative importance of regularization

Activity Propagation (ActPro)

Interpretation – Loss function

Convex program

$$\mathbf{x}^* = \underset{\mathbf{x}}{\operatorname{argmin}} \left\{ (1 - \alpha) \mathbf{x}^T \mathbf{L} \mathbf{x} + \alpha \| \mathbf{x} - \mathbf{z} \|_1 \right\}$$

- ▶ The Laplacian operator \mathbf{L} acts on a given function defined over vertices of a graph, such as \mathbf{x} , and computes the **smoothness** of \mathbf{x} over adjacent vertices.
- ▶ We can expand it as $\sum_{i,j} w_{i,j} (x_i - x_j)^2$, which is the accumulated difference of values between adjacent nodes scaled by the weight of the edge connecting them.
- ▶ First term is a **diffusion kernel**. It propagates activity of genes through network links.

Convex program

$$\mathbf{x}^* = \underset{\mathbf{x}}{\operatorname{argmin}} \left\{ (1 - \alpha) \mathbf{x}^T \mathbf{L} \mathbf{x} + \alpha \|\mathbf{x} - \mathbf{z}\|_1 \right\}$$

- ▶ The second term is a **regularizer** which penalizes changes or deviations
- ▶ We can expand it as $\sum_i |x_i - z_i|$, where x_i and z_i are the (inferred) **functional** and the **transcriptional** activity of gene i , respectively.
- ▶ It enforces sparsity over the vector of differences between *transcriptional* and *functional* activities.

What do we gain?

Tissue-specific networks have higher power/accuracy in predicting tissue-specific biology and pathobiology

Tissue-specific Pathology

Predicting disease-related genes

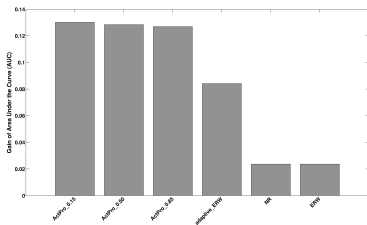
	global	ActPro_0.15	ActPro_0.50	ActPro_0.85	ERW	NR
Alzheimer's disease	4.12E-3	6.96E-3	5.98E-3	5.44E-3	5.32E-3	9.60E-2
breast carcinoma	1.83E-3	1.11E-3	8.40E-4	8.30E-4	4.09E-3	8.15E-2
chronic lymphocytic leukemia	8.20E-4	7.40E-4	4.80E-4	5.10E-4	8.50E-4	2.94E-2
coronary artery disease	3.95E-1	1.58E-1	1.09E-1	1.03E-1	1.33E-1	1.93E-2
Crohn's disease	2.56E-2	1.93E-2	1.50E-2	1.44E-2	8.54E-2	4.14E-1
metabolic syndrome X	1.11E-2	1.09E-2	1.07E-2	1.12E-2	1.02E-1	7.39E-1
Parkinson's disease	1.59E-2	1.25E-2	9.89E-3	9.50E-3	1.34E-2	9.62E-2
primary biliary cirrhosis	7.20E-4	1.32E-3	3.16E-3	3.40E-3	2.80E-2	6.86E-1
psoriasis	2.10E-4	1.10E-3	1.16E-3	9.50E-4	4.67E-3	3.24E-1
rheumatoid arthritis	1.70E-2	9.28E-3	1.06E-2	1.10E-2	6.39E-2	3.61E-1
systemic lupus erythematosus	4.98E-2	1.19E-2	7.56E-3	7.22E-3	2.55E-3	1.60E-4
type 1 diabetes mellitus	2.64E-2	3.01E-2	2.38E-2	2.40E-2	2.64E-1	9.39E-1
type 2 diabetes mellitus	1.57E-3	2.90E-4	2.40E-4	1.80E-4	5.60E-4	7.90E-3
vitaligo	1.17E-3	2.13E-3	3.04E-3	3.54E-3	1.84E-2	5.69E-1
schizophrenia	3.47E-1	2.13E-1	1.93E-1	1.84E-1	1.40E-1	4.10E-2
combined	1.53E-13	1.24E-17	6.62E-19	3.70E-19	9.03E-14	2.43E-03

1. Symmetric random-walk as a measure of distance
2. Empirical p -value for each tissue
3. p -value combination using Edgington method

► *ActPro* excels in prioritizing disease-related genes

Tissue-specific Biology

Predicting tissue-specific interactions in known pathways – Average performance



▶ Edge Set Enrichment Analysis (ESEA).

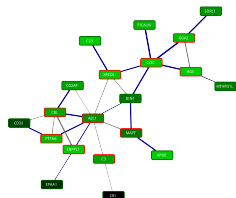
▶ Differential correlation score:

$$EdgeScore = MI_{all}(i, j) - MI_{control}(i, j)$$

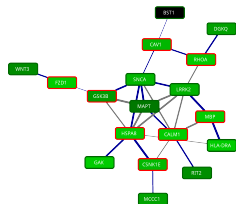
▶ Gain of Correlation (GoC) edges

Novel Insights

Identifying disease-related pathways in brain



Alzheimer's Disease



Parkinson's Disease

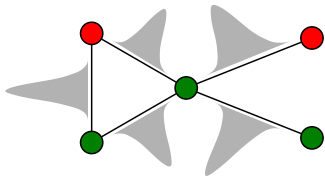
- ▶ Prize Collecting Steiner Tree (PCST)

$$\operatorname{argmin}_{\langle v, e \rangle \in T} \left\{ \sum_e c_e - \lambda \sum_v b_v \right\}$$

- ▶ $c_e = \frac{1}{w_e}$ and $b_v = \begin{cases} \infty; v \in \text{markers} \\ 1; \text{O.W.} \end{cases}$
- ▶ Red nodes are novel factors

- ▶ *ActPro* identifies novel disease-related pathways

Goal: Identify driver network perturbations that mediate drug resistance.



- ▶ Use single-cell profiles to construct an ensemble of cell type-specific networks, one for before and one for after treatment.
- ▶ Combine individual networks within each ensemble to construct a meta-network with a distribution over each edge.
- ▶ Identify **differential edges** that are significantly rewired across conditions.

Key idea: A majority of perturbations do not disable proteins, but they affect individual interactions.

Future Directions

Identify intercellular signaling pathways between cells

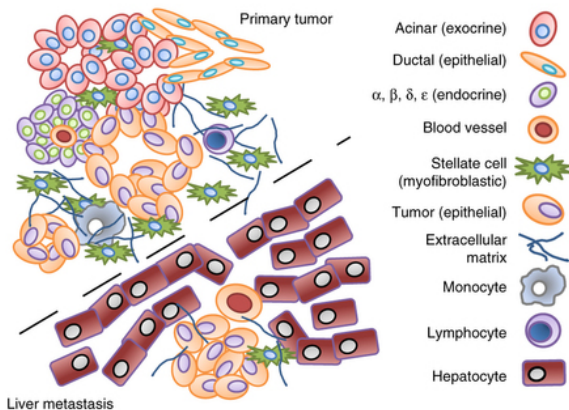
- ▶ Traditional computational approach is to merely look at the expression of known interacting ligands/receptors pairs in adjacent cells.
- ▶ There is significant potential for an experimental technology to directly capture these transient interactions.

Constructing tissue-specific interactome

- 1 Establishing functional identity of cells
- 2 Constructing tissue/cell type-specific networks
- 3 Deconvolving expression profiles of complex tissues**

Motivation

Tumor heterogeneity, including its internal diversity, as well as interaction with surrounding microenvironment, is one of the most fundamental determinants of treatment response, drug resistance, and patient relapse.

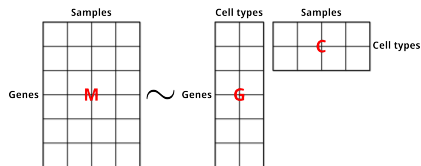


Adopted from Moffitt *et al.*, 2015

Deconvolution: Formal Definition

Notation

Goal: To decompose a heterogeneous expression profile into its purified cell types



- ▶ $M \in \mathbb{R}^{n \times p}$: Expression matrix of mixed samples
- ▶ $G \in \mathbb{R}^{n \times q}$: Reference signature matrix of primary cell types.
- ▶ $C \in \mathbb{R}^{q \times p}$: Relative proportions of each cell-type in mixture samples.

Deconvolution: Formal Definition

Problem definition

Given an observed mixture matrix \mathbf{M} , find optimal \mathbf{G} and \mathbf{C} that approximate mixture matrix as closely as possible, according to a distance function δ , while satisfying a set of desired constraints:

Objective

$$\min_{\mathbf{G}, \mathbf{C} \in \text{feasible region}} \delta(\mathbf{GC}, \mathbf{M})$$

Deconvolution: Formal Definition

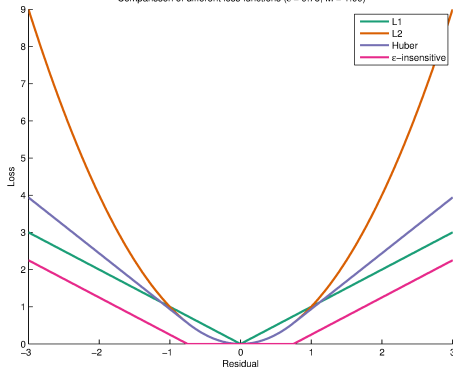
Scope of this study

Goal: To systematically evaluate different configurations and their performance in gene expression deconvolution

- ▶ Different loss functions for evaluating estimation error
- ▶ Constraints on solutions
- ▶ Preprocessing and data filtering
- ▶ Feature selection
- ▶ Regularization

Loss functions

Comparison of different loss functions ($\epsilon = 0.75$, $M = 1.00$)



1. $\mathcal{L}_2(r_i) = r_i^2 = (y_i - \mathbf{w}^T \mathbf{x}_i)^2$
2. $\mathcal{L}_1(r_i) = |r_i| = |y_i - \mathbf{w}^T \mathbf{x}_i|$
3. $\mathcal{L}_{Huber}^{(M)}(r_i) = \begin{cases} r_i^2, & \text{if } |r_i| \leq M \\ M(2|r_i| - M), & \text{otherwise} \end{cases}$
4. $\mathcal{L}_{\epsilon}^{(\epsilon)}(r_i) = \begin{cases} 0, & \text{if } |r_i| \leq \epsilon \\ |r_i| - \epsilon, & \text{otherwise} \end{cases}$

- ▶ Shrinking/smoothing regression coefficients \mathbf{w} :

$$\mathcal{R}_2(\mathbf{w}) = \|\mathbf{w}\|_2^2 = \sum_{i=1}^k w_i^2.$$

- ▶ Sparsifying solutions :

$$\mathcal{R}_1(\mathbf{w}) = \|\mathbf{w}\|_1 = \sum_{i=1}^k |w_i|.$$

Examples

Some of existing combinations

- ▶ Ordinary Least Squares (OLS):

$$\begin{aligned}\min_{\mathbf{w}} \left\{ \sum_{i=1}^m \mathcal{L}_2(r_i) \right\} &= \min_{\mathbf{w}} \left\{ \sum_{i=1}^m (y_i - \mathbf{w}^T \mathbf{x}_i)^2 \right\} \\ &= \min_{\mathbf{w}} \| \mathbf{y} - \mathbf{X}\mathbf{w} \|_2^2\end{aligned}$$

- ▶ Least Absolute Selection and Shrinkage Operator (LASSO) Regression:

$$\begin{aligned}\min_{\mathbf{w}} \left\{ \sum_{i=1}^m \mathcal{L}_2(r_i) + \lambda \mathcal{R}_1(\mathbf{w}) \right\} \\ = \min_{\mathbf{w}} \| \mathbf{y} - \mathbf{X}\mathbf{w} \|_2^2 + \lambda \| \mathbf{w} \|_1\end{aligned}$$

- ▶ Support Vector Regression (SVR):

$$\min_{\mathbf{w}} \left\{ \sum_{i=1}^m \mathcal{L}_\epsilon(y_i - \mathbf{w}^T \mathbf{x}_i) + \lambda \mathcal{R}_2(\mathbf{w}) \right\}$$

- ▶ Non-negativity (NN)
- ▶ Sum-to-one (STO)
- ▶ Similar cell quantity (SCQ)

Selecting genes to include in basis matrix

Updating \mathbf{C} is highly over-determined. We try to select genes to simultaneously minimize noise and enhance conditioning of the basis matrix \mathbf{G} :

- ▶ Range filtering
- ▶ Marker selection

New criteria: Sum-To-One (STO) violations

- ▶ Violating reference gene:

$$\mathbf{m}(i) \leq \mathbf{G}_{\min}(i); \forall 1 \leq i \leq n$$

- ▶ Violating mixture gene:

$$\mathbf{G}_{\max}(i) \leq \mathbf{m}(i); \forall 1 \leq i \leq n$$

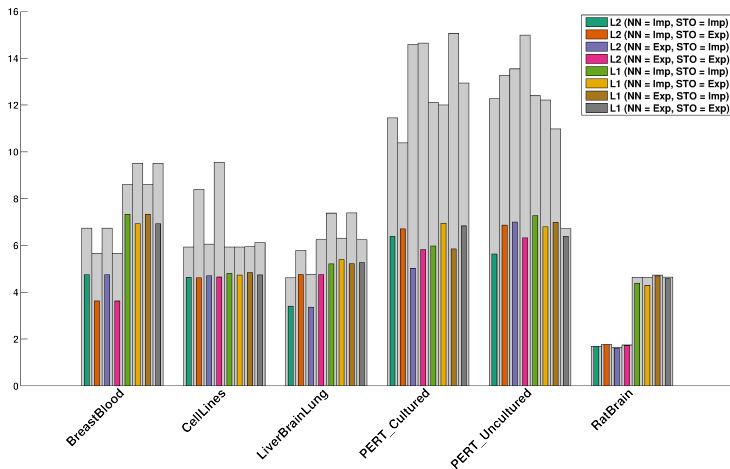
Summary of results

We performed comprehensive, unbiased evaluation for all combinations of these factors on the following datasets:

Dataset	# features	# samples	# references
BreastBlood	54675	9	2
CellLines	54675	12	4
LiverBrainLung	31099	33	3
PERT_Cultured	22215	2	11
PERT_Uncultured	22215	4	11
RatBrain	31099	10	4
Retina	22347	24	2

Summary of results

Take home message

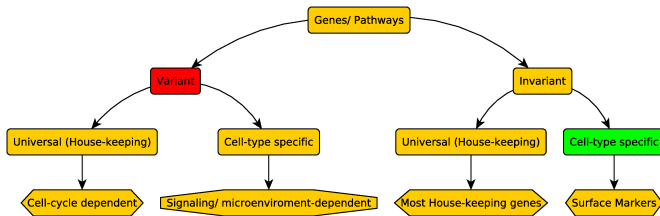


- ▶ With the right choice of preprocessing and objective function, we can limit error levels in all test datasets

Summary of results

Key observation

Selecting the "right" set of genes for deconvolution has one of the strongest effects on the overall deconvolution performance.



- ▶ Selecting genes that are not:
 - ▶ Time-dependent, such as cell cycle genes.
 - ▶ Microenvironment-dependent factors, such as genes involved in cell signaling pathways.

Future directions

Use single-cell profiles as basis for deconvolution

Motivation

- ▶ Bulk-tissue RNA-seq profiling is still more cost effective and the preferred choice for large population studies.
- ▶ Fresh specimens needed for single-cell profiling is not always available (for example in archived formalin fixed paraffin embedded (FFPE) tissue samples).
- ▶ There is a significant body of knowledge in existing databases using bulk-tissue profiling.

Future directions

Use single-cell profiles as basis for deconvolution– Sketch of the method

Joint project with Yu Li @MIT.

- ▶ Bulk and single-cell each have their own unique signatures in their measurements.
- ▶ Unlike *BSEQ-sc*, we first aim to develop a deep-learning technique to map expression profiles from single-cell space to their corresponding bulk, purified projection.
- ▶ We use projected profiles as an initial estimate of \mathbf{G} and jointly estimate \mathbf{C} and update \mathbf{G} .

Challenge: Emergence of complex underlying structure as we increase the total number cell types.

- ▶ Infer a hierarchy for cell types, first.
- ▶ Use parent(s) of each node as a prior.
- ▶ Orthogonalize cell types w.r.t. the prior of all ancestor cells.
- ▶ Deconvolve each layer with the residual subspace.