

¹ Evaluation of Shape Grammar Rules for Urban Transport Network Design

² Date of submission: 2012-11-15

³ Basil J. Vitins

Institute for Transport Planning and Systems (IVT), ETH Zurich, CH-8093 Zurich

phone: +41-44-633 27 02

fax: +41-44-633 10 57

vitins@ivt.baug.ethz.ch

⁴ Ignacio Garcia-Dorado

Dept. of Computer Science, Purdue University, West Lafayette, IN 47907-2066, USA

phone: +1-765-494-6010

fax: +1-765-494-0739

igarciad@purdue.edu

⁵ Carlos A. Vanegas

Institute of Urban and Regional Development, University of California, Berkeley, CA
94720-1870, USA

phone: +1-765-409-9226

fax: +1-510-642-1641

cavanegas@gmail.com

⁶ Daniel G. Aliaga

Dept. of Computer Science, Purdue University, West Lafayette, IN 47907-2066, USA

phone: +1-765-494-6010

fax: +1-765-494-0739

aliaga@purdue.edu

⁷ Kay W. Axhausen

Institute for Transport Planning and Systems (IVT), ETH Zurich, CH-8093 Zurich

phone: +41-44-633 39 43

fax: +41-44-633 10 57

axhausen@ivt.baug.ethz.ch

⁸ Words: 5487 words + 8 figures = 7487 word equivalents

ABSTRACT

Shape grammar rules are increasingly applied in urban simulation. Even though many network design standards propose shape grammar rules, little is known of the measurable impact of these rules on the performance of transport networks. This paper provides a general definition of shape grammar rules for transport network design. Different rules are evaluated regarding a comprehensive objective function. Networks are designed and simulated on featureless planes to avoid a bias due to history. Findings are compared with real-world case studies. Different network characteristics are evaluated in this paper.

The densities of network loops are high in all generated networks, and comparable with real-world grids and medieval fabrics. The average length of network loops decreases as an inverse function of road density, which is in line with graph theory. Intersection density is proportional to the network length. The average number or arms of an intersection depends on road density. A denser network has a disproportionately higher density of 4 arm intersections, compared to less denser networks.

Additionally, different road types are assigned to each road segment. Hierarchical road type distribution has a significant but low influence on network user costs. Terrain boundaries, as well as predefined roads (e.g. boulevards) increase average user costs. However, the average increase strongly depends on the number of bridges and on the boulevard capacity. The results show that shape grammar rules for transport network design can be evaluated to increase the understanding of their impacts, which supports future design standards.

INTRODUCTION

1 Urban network patterns have changed during the last centuries from medieval fabrics, to a grid
 2 layout, and finally to more dendritic fabrics (1). Today, rapidly growing urban areas around
 3 the world require good transport systems and design recommendations. For planning purposes,
 4 transport institutions provide handbooks for network design (e.g. 2, 3, 4, 5). They propose
 5 patterns and rules that are based upon current experience, and are often rule of thumbs. However,
 6 no consistent sets of recommendations and no underlying research evidence can be found for
 7 road network design. Existing rules mostly lack a systematic evaluation, e.g. cost-benefit or
 8 statistical analyzes. Thus, research is needed to improve and refine planning guidelines and their
 9 standardization in design handbooks.

10 Shape grammars provide rules for how network elements of the same or different types may
 11 be added to each other. A major advantage of shape grammar rules is their straightforward
 12 application in network design (6, 7, 8). Shape grammar rules are able to adapt to different
 13 network optimization and design scenarios, and even to spatial planning rules (9, 10). The
 14 application of shape grammar rules has very low computational requirements (7, 8). Therefore,
 15 rules are suitable for interactive planning tools (e.g. 6, 10, 11) to incrementally build transport
 16 networks. They contrast for example with bi-level network optimizations, which are limited due
 17 to their computational requirements (12, 13).

18 Network shape grammar rules can address topological characteristics. Characteristics include
 19 the numbers of arms per intersection and the densities of intersections and loops. Characteristics
 20 are also subject to design standards. However, they vary between the different network fabrics,
 21 e.g. grid and dendritic networks. We investigate these characteristics in different optimized
 22 networks.

23 Shape grammar rules influence infrastructure and user costs, both of which are relevant for
 24 network design. Practitioners often aim at optimizing user and infrastructure costs. Therefore,
 25 total infrastructure and user costs of a fabric are compared with the fabrics' characteristics. Road
 26 length is compared to accessibility, intersection and loop densities.

27 This paper describes the design of different networks on featureless planes to not bias the out-
 28 come due to history and politically driven solutions, similar to Eichler *et al.* (14) or van Nes (15).
 29 For example, Yerra and Levinson (16) optimized network revenues to evaluate self-organization
 30 in network design. Additionally, a featureless plane allows a comparison between sets of net-
 31 works designed with different rules. Therefore, the impact of the rules on network design can
 32 be evaluated for an improved understanding. The design of the networks is an optimization
 33 problem, subject to given infrastructure budgets. When networks are optimized according to
 34 an objective, e.g. generalized costs, they can be compared regarding their characteristics and
 35 properties.

36 The findings are compared with Cardillo *et al.* (17). They showed in a graph-based evaluation
 37 the low performance of modern, dendritic transport networks, e.g. Irvine, Brasilia, Walnut Creek,
 38 and better performance in medieval (e.g. Ahmedabad, Cairo, London, Venice) and grid networks.

39 **Definition of Shape Grammar Rules in Transportation**

40 Shape grammar rules are defined differently in separate fields of science. Chomsky (18) and
 41 Stiny and Mitchell (19) provide definitions for linguistics and urban planning, respectively. The
 42 definition below focuses specifically on transport planning.

Shape grammars provide a finite number of rules of how network elements e of the same or different type are added to each other. I defines the initial stage where the network design process starts. E is the finite set of generic transport network elements e . R is a set of shape grammar rules r in the form of $\alpha \rightarrow \beta$, where $(\alpha, \beta) \in E$. $\alpha \neq \beta$, which means that an element e cannot be transformed into itself. R includes rules to stop the algorithm after initialization. Shape grammars allow the users to create a very large set of potentiala transport networks N . The large set is due to the high number of combinations of the different rules.

The rules R depict how an existing planning state and geometry can be extended , e.g. if a major arterial road can be crossed by a local access road, or if an intersection can have more than five arms. The elements e can further be subdivided for more details, to follow further rules, and to cover additional fields in urban planning, besides transportation. All rules r help to define useful networks and prevent impractical and overly expensive networks. They can be stated generically and independently of any case study, which makes a particular shape grammar even more valuable.

Example Shape Grammar Rules

The generation of an urban layout is arbitrarily complex. Numerous rules for urban and transport network design can be stated for a generic city layout (e.g. 7, 9, 20, 21). This paper focuses on transport networks and its elements; building blocks are not subdivided further. In the following, example rules are explained for illustration, which address road and intersection type hierarchies in network design, derived from Marshall (9).

E is the set of defined, generic road and intersection elements e . The set R encompasses different rules such as: (r_1) network connectivity is obtained by requiring arterial roads to connect to other arterial roads, to simulate network growth; (r_2) an arterial can also be joined with an access road if a connected arterial network is maintained; and (r_3) connecting an access road to a local road requires using a right of way junction; therefore, r_3 refers to intersection type choice. r_1, r_2, r_3 are exemplarily listed below. An example R is visualized in Figure 1.

$$R = \{r_1, r_2, r_3, \dots\}, \text{ with}$$

$$r_1: e_1 \rightarrow e_1 + e_1$$

$$r_2: e_1 + e_1 \rightarrow e_1 + e_1 + e_2$$

$$r_3: e_2 + e_3 \rightarrow e_2 + e_3 + e_4/e_5$$

$$r_4: \dots$$

$$E = \{e_1, e_2, e_3, \dots\}, \text{ with}$$

$$e_1 = \text{arterial road}$$

$$e_2 = \text{access road}$$

$$e_3 = \text{local road}; e_4, e_5 = \text{right of way junctions}$$

$$\dots$$

Research Question 1 and 2

Research question 1 aims at the evaluation of existing shape grammar rules, e.g. recommended number of arms (20, 22), redundancy (4), and their impact on infrastructure expenses. The question is whether existing rules can be determined for efficient urban transport networks, considering a given comprehensive objective function, and infrastructure budget constraints.

Research question 2 aims to describe the influence of shape grammar rules on network design. Only if the influences of existing or new rules are known, can recommendations for design standards be made for the future. The effect of the rules on network design should be quantitatively assessed in order to support any potential recommendation for future network design.

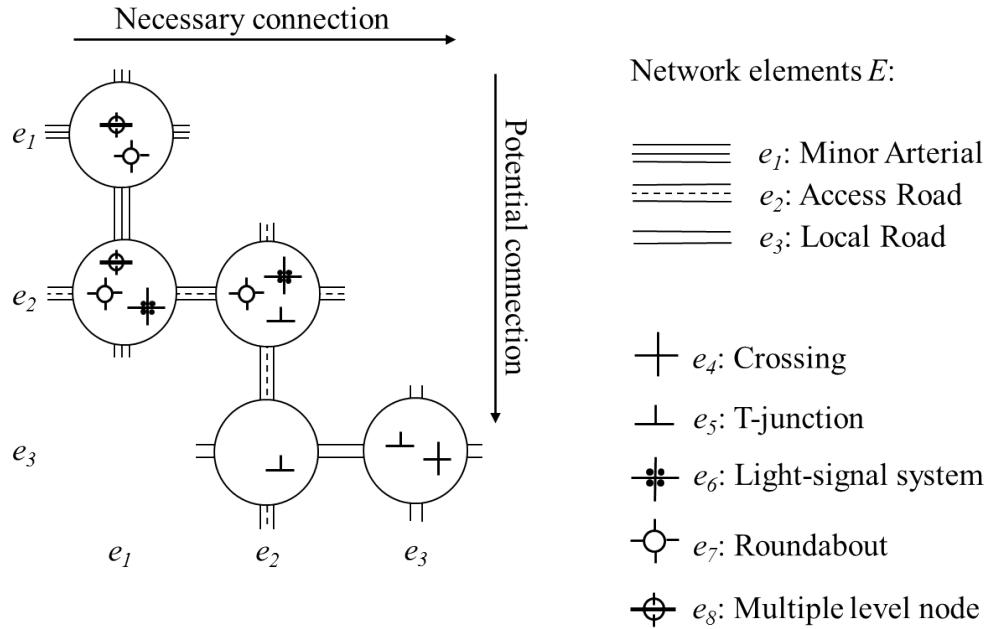


FIGURE 1 The example rules suggest hierarchical road and intersection type distribution (based on 9).

1 Existing transportation networks and patterns are historically contingent, and, therefore,
 2 are only used for verification of the results. Instead, artificial transport networks are designed,
 3 similar to e.g. (16, 23, 24). This approach is additionally suitable for the definition of new rules,
 4 and for comparison between different rules.

5 Loops, blocks, and their characteristics are essential in redundant transport networks and
 6 relevant for future design handbooks. They should be considered in this paper. Loops (graph
 7 theory: cycles) reduce congestion, lower travel times and improve redundancy in case of network
 8 failures. Blocks (bounded faces) are regions enclosed by a loop of links (edges) in a planar
 9 graph without any link from the loop going inside the region. Loops and blocks are elements
 10 of redundant networks, in contrast with tree networks. In tree networks, a network link failure
 11 causes two subtrees and therefore a separation of the originally covered network area in two
 12 separated subareas. In this study, by definition, one loop always refers to only one block and
 13 vice versa. Two adjacent loops are counted as two loops with two blocks.

METHODOLOGY

14 Network Design

15 Problem description

16 In literature, the network design problem has been studied in depth, some examples are (25, 26).
 17 Networks are designed and evaluated according to an objective function, which is defined in
 18 advance, independent of the rules and the design method. The problem statement encompasses
 19 the candidate links x between nodes $(i, j) \in N$ of length $l_{i,j}$. Additionally, link type $t \in T$ is
 20 determined and the corresponding infrastructure costs w_t , which comprises construction cost
 21 (27), but omit maintenance cost for simplicity here. Including T refines the problem definition,
 22 compared to a standard definition in literature. c defines the generalized user costs. A penalty

¹ factor p_+ penalizes budget B [Mio \$] violation. The total costs are due to minimization:

$$\begin{aligned} & \text{minimize} \quad a + c + p_+ \\ & \text{subject to} \quad a = \sum_{(i,j)} x_{i,j,t} \cdot l_{i,j} \cdot w_t \\ & \quad c = f(\mathbf{x}) \\ & \quad p_+ = \begin{cases} 0.0 & \text{if } a < B, \\ 20.0 \cdot (a - B) & \text{else.} \end{cases} \end{aligned}$$

whereas $(i, j) \in N, t \in T, \mathbf{x} \in \{0, 1\}^{|N| \times |N| \times |T|}$

$\mathbf{c} > \mathbf{0}, B >$ cost for minimum spanning tree network.

³ Generalized User Costs c

⁴ The generalized user costs comprise demand weighted travel time according to travel distance
⁵ (28), wear and fuel cost. Calculation of total travel time is the computationally most expensive
⁶ measure. Therefore, the function can be easily enriched with further quantitative or semi-
⁷ quantitative variables, without adding additional computational time.

$$\supseteq \mathbf{c} = f_{gen.\ user\ costs} = \left(\sum_{o=1}^O \sum_{d=1}^D demand_{od} \cdot (tt_{od} \cdot \gamma(l_{od}) + distancecost_{od} + fuelcost_{od}) \right)$$

⁹ o, d : Origin and destination demand generating nodes.

¹⁰ tt_{od} : Travel time between o and d .

¹¹ $\gamma(l_{od})$: Weighting factor (value of time as a resource), dependent on travel distance l_{od} extrapolated for a year.

¹³ This paper focuses on an economic perspective, therefore the function excludes aspects
¹⁴ such as quality of urban life, safety issues, and environmental factors. However, we claim that
¹⁵ from an economic perspective, it is crucial to optimize travelers' generalized costs, due to their
¹⁶ considerable economic relevance (e.g. 29). We anticipate rules can be adapted in the future to
¹⁷ implement those new criteria.

¹⁸ Network Design Algorithm

¹⁹ Our network design algorithm is able to generate many feasible transport networks that satisfy
²⁰ the aforementioned objective function. Network elements are exchanged between different
²¹ candidate networks to generate more efficient networks as per our objective function. The design
²² method is an integration of Ant Colony optimization with a Genetic Algorithm (IACGA). Both
²³ are applied for discrete optimizations and are suitable for network generation problems. They
²⁴ are merged in order to reduce computational times. Due to their heuristic nature, the IACGA
²⁵ does not guarantee to find the optimum solution. The full algorithm is described in Vitins *et al.*
²⁶ (27). The algorithm can implement shape grammar rules.

²⁷ The network design algorithm IACGA is capable of designing networks for different infrastructure budgets. Higher infrastructure budgets lead to denser networks, whereas lower budgets
²⁸ to less dense networks. The IACGA designs car networks, in contrast to other modes, like transit.
²⁹ However, car networks are considered here due to the fact that car is a major transport mode,
³⁰ also in multimodal networks.

1 **Study Design**

2 *Evaluation of Shape Grammar Rules*

3 Two separate subsets of rules are evaluated differently in this paper. The first subset **A** is extracted
 4 from most optimized networks. The rules of the second subset **B** are implemented during the
 5 design process:

6 **Shape grammar rule set A:** A set of transportation networks are designed with the IACGA, but
 7 without any restrictions on topology and node design. The starting point is a plain grid
 8 with candidate links (Figure 2 below). Afterwards, the networks are evaluated regarding
 9 the following criteria:

- 10 • Average loop length and density
- 11 • Share of number of arms at the intersections
- 12 • Intersection density
- 13 • User costs
- 14 • Accessibility

15 This approach is similar to case study analyzes (e.g. 17), and to abstract network evalua-
 16 tions (e.g. 16, 23).

17 **Shape grammar rule set B:** Subsets of networks generated with shape grammar rules can be
 18 compared with subsets of networks, which are generated with different rules (similar to
 19 14, 15). Therefore, the comparison allows statistical testing between the subsets. The
 20 following rules are evaluated:

- 21 • Hierarchical link type distribution
- 22 • Block length and width ratios
- 23 • Inclusion of Boulevards
- 24 • Number of passages at linear terrain constraints (e.g. rivers, highways..)

25 Rules in **B** are unsuitable for evaluation of historical networks due to the fact that **B** com-
 26 pares subsets of artificial networks with different underlying shape grammars. However,
 27 the comparison between the subsets allows a quantitative evaluation of the effect of shape
 28 grammar rules, and of their combinations.

29 *Configurational Background*

30 The networks designed in this paper follow the configuration below:

- 31 • According to Cardillo *et al.* (17), the average length of links in a network is between
 32 30[m] and 130[m] in dense urban areas. A default value of 100[m] is assumed for each
 33 block size. However, this paper also evaluates increasing rectangle lengths.
- 34 • Strano *et al.* (30) evaluated historical network development and observed a transformation
 35 towards a rectangular and quadratic block shape. In their 20 case studies, Cardillo *et al.*
 36 (17) found very few 5 or 6 arm intersections. This paper assumes rectangular blocks.
- 37 • Travel demand is assigned to the network with the deterministic travel time user equi-
 38 librium, based on the BPR function (31), Dijkstra (32), and MSA due to the simple
 39 implementation and acceptable computational time in small networks. The weighting
 40 factor is set conservatively according to the previous results. Turn delays are disregarded
 41 except when stated explicitly.
- 42 • 10% of the trips are distributed on the generated networks (33). 90% of the trips leave
 43 and enter the study area by default on the designated two through streets (Figure 2). Trip

1 distribution is equal in all networks. Routes outside the area are not considered in the
 2 design process. All trip purposes are included in the travel demand.

- 3 • Streets have to fulfill different functionalities. They serve not only for transportation, but
 4 also for shopping and as parking, leisure and recreation etc.. Regarding transportation,
 5 different modes share the same space. Streets are closed to return space for other modes
 6 like public transportation, bicycles or pedestrians. Alexander *et al.* (20) or Dutton (34)
 7 stated that streets can be pedestrianized for improved urban quality.

8 We generate new networks which are based on a grid structure, but not necessarily a
 9 full grid (see Vitins *et al.* (27) for a more relaxed example). Figure 2 shows a full grid and
 10 potential variation of the grid structure, subject to the condition that all demand generating
 11 points (centroids) are connected to the same network. Also, blocks can vary in length. As part of
 12 a regional network, two east–west through streets are given in advance on north and south end,
 13 respectively. The area considered for the network simulations is 900x900[m²], and a smaller
 14 one of 600x600[m²] to save computational time. The design of 900x900[m²] networks takes
 15 about 36[h] on 30 parallel threads and 2.4[GHz] , indicating the complexity of network design.

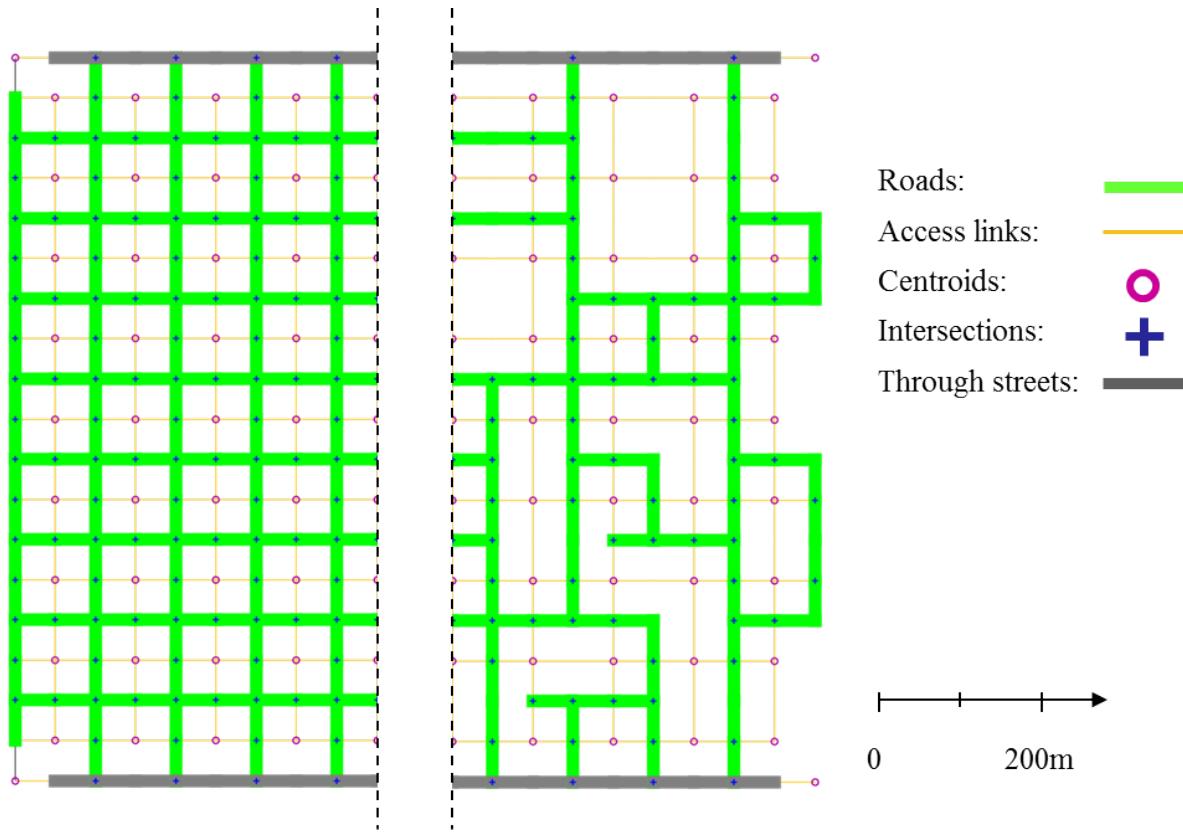


FIGURE 2 Base network layout of a full grid on the left side (half of a 900x900[m²] network), and an reduced grid on the right side.

16 *Quantities for Travel Demand Estimation*

17 The data for travel demand estimation (listed below) refers to a medium dense neighborhood in
 18 Zurich (35). The listed quantities are taken as default parameter values, if not stated differently.

• Population density:	15'068 [<i>pers/km²</i>]
• Job density:	6'685 [<i>jobs/km²</i>]
• Car trips per resident (as a driver):	1.32 [<i>trips/pers./day</i>]
• Car trips per employee:	0.47 [%]
• Average car trips:	26'172 [<i>trips/km²/day</i>]
• Average lengths of car trips:	23.86 [km]

It can be assumed that the buildings are distributed evenly in the blocks, so the travel demand is generated evenly over the entire study area. For simplification, the buildings are not displayed in Figure 2.

EVALUATION OF THE SHAPE GRAMMAR RULES

Shape Grammar rule set A

Figure 3 and 4 summarize characteristics of transportation networks designed from which three network properties are emphasized in the following. Each data point refers to a network, which are designed without any geographical restrictions.

In both figures, the horizontal axis refers to the infrastructure budget. A high infrastructure budget leads automatically to a more grid like structure (Figure 2 left hand side). 100% infrastructure budget allows a full grid. Lowering the infrastructure budget reduces the total link length in the network proportionally. However, the network design algorithm, described above, suggests optimized networks under the given budget and objective function.

Network loops and blocks

The number and sizes of the loops are evaluated as a function of the infrastructure budget. Figure 3 shows the average lengths of the loops on the right hand vertical axis. The data is not evenly spread due to the discrete grid structure. Additionally, the applied algorithm IACGA optimizes infrastructure and user costs (see above), and adapts the final infrastructure cost during optimization.

The results show that average loop length of the network is not decreasing linearly with increasing budget. The loop length c decreases in inverse proportion to the total road density D : $c = f\left(\frac{1}{D}\right)$, which is also reasonable for general graphs.

The number of loops increases with higher budgets due to the fact that the loop length is reduced. This finding is inline with the general understanding of transport networks, with standards of network design, e.g. VSS (36) and Alexander *et al.* (20), where redundant structures are proposed for network design.

Case Study Comparison of Network Loops and Blocks

The meshedness coefficient M (37, 38) considers the density of loops (cycles) and blocks (faces). M is the number of loops F divided by the maximum number of loops F_{max} , $F_{max} = 2N - 5$, with N nodes. $M = F/F_{max}$ can vary from 0 (tree structure) to 1 (maximally connected planar graph). The generated networks in Figure 3 have an average coefficient of $M=0.28$ ($\sigma=0.052$) for established 600x600[m²] networks and $M=0.25$ ($\sigma=0.075$) for 900x900[m²] networks, independent of their budget restrictions. These values are similar to values of cities with grid layouts (e.g. Barcelona, Richmond) as well as medieval fabrics (e.g. Ahmedabad, Cairo, London). This is interesting since both patterns like medieval fabrics, and grid patterns can have

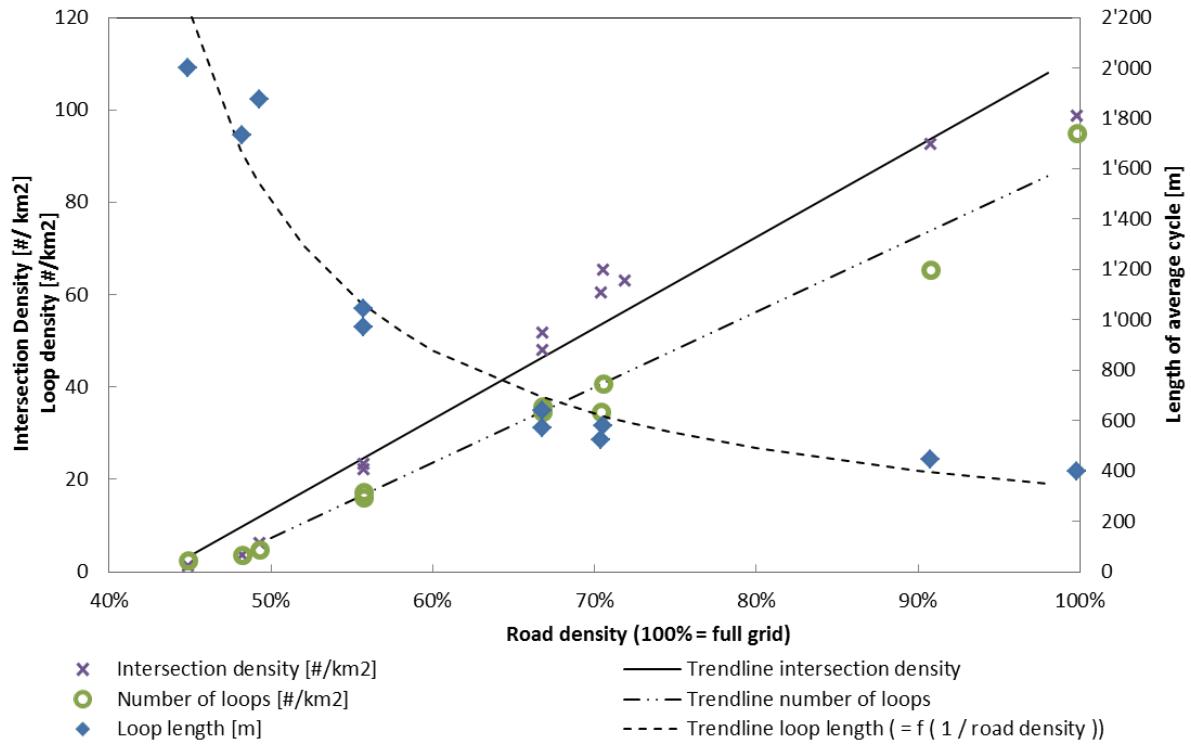


FIGURE 3 Intersection and loop densities of 900x900m² networks.

1 a high M value (17). In contrast, Irvine and Walnut Creek have a coefficient $M < 0.1$, due to their
 2 dendritic layout. However, high M values are achieved in the generated networks, and, after
 3 comparison with (17), generally are more economically efficient networks.

4 *Intersection Density and Types*

5 Intersection density increases linearly with infrastructure investment (Figure 3). Intersections
 6 at through streets (Figure 2) are not counted due to boundary effects. This results in zero
 7 intersections at infrastructure budgets < 45% (Figure 3), as only intersections at the through
 8 streets remain.

9 Southworth and Ben-Joseph (22) as well as Alexander *et al.* (20) favor 3 arm intersections
 10 (T-junctions) instead of 4 arm intersections (crossings) for various reasons (safety, redundancy,
 11 avoidance of through traffic). T-junctions are favored in the United States (22).

12 The share of 3 and 4 arm intersections are shown in Figure 4. Boundary effects can also
 13 occur on left and right borders, leading to a maximum share of 80% of 4 arm intersections. In
 14 Figure 4, 4 arm intersections are predominant when approaching a full grid (100%). However,
 15 lowering the budget below 85% leads to a predominance of 3 arm intersections. This effect is
 16 remarkable, and in line with Strano *et al.* (30) who observed that piecemeal urbanization and
 17 denser networks lead to an increasing share of 4 arm intersections.

18 *Case Study Comparison of Intersection Density*

19 Strano *et al.* (30) reported shares of 11% to 15% for 4 arm intersections and 87% to 84% for
 20 3 arm intersections in their study area in northern Italy. These shares are similar to the results

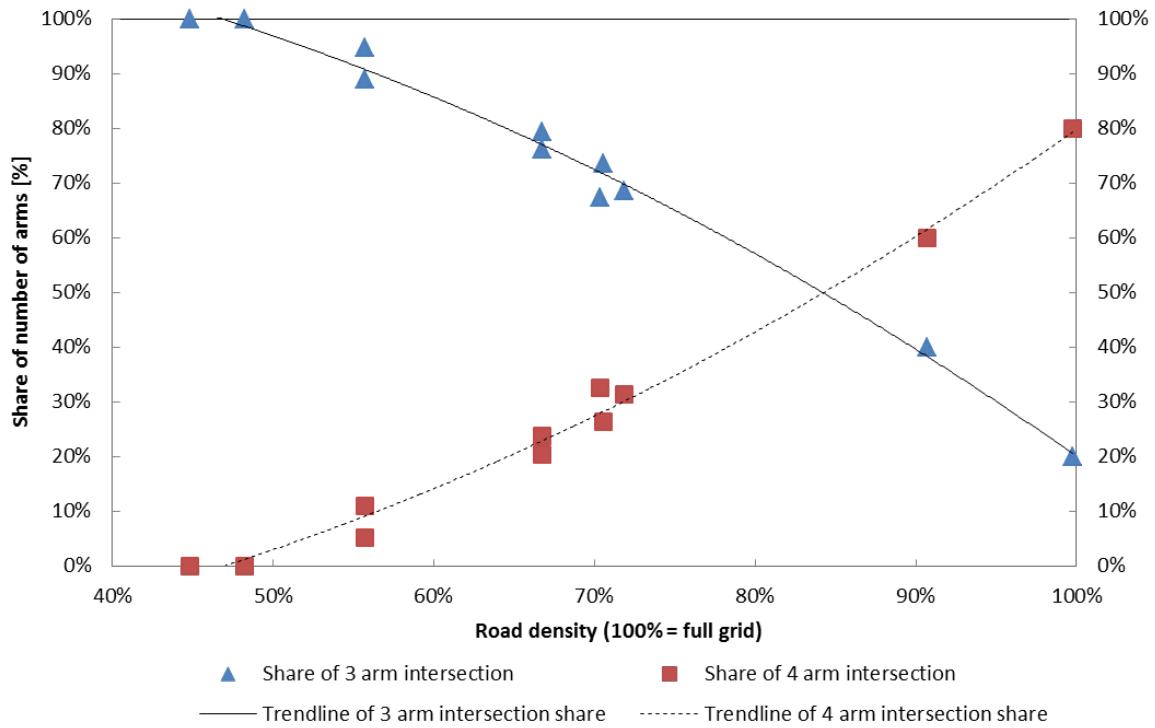


FIGURE 4 Share of number of arms as a function of infrastructure expenses

1 shown in Figure 4, especially since Strano *et al.* (30) results are based on a less dense study area.
 2 Strano *et al.* (30) observed that a higher density of 4 arm intersections does not have to result
 3 from a large-scale planning, but can also arise from a piecemeal urbanization.

4 Cardillo *et al.* (17) found that grid layouts as well as medieval fabrics (e.g. Ahmedabad,
 5 Cairo, London, Venice, etc.) can be efficient regarding the shortest paths between arbitrarily
 6 chosen origins and destinations. However, the average number of arms varies between the
 7 two classes of fabrics. The generated networks with budget <70% differ from classical grid
 8 structures, similar to medieval fabrics with a lower shares of 4 arm intersections. Future research
 9 on network design and turn delay (e.g. 39) will give additional insights.

10 *Infrastructure Costs and Accessibility*

11 An advantage of the applied network design algorithm is its ability to adapt to different objective
 12 functions. Complementing Figure 3 and 4, Figure 5 refers to the objective function proposed
 13 above, as well as an additional accessibility measure, calculated separately. Accessibility
 14 is defined here as the logsum term giving the expected utility of all alternatives (40). The
 15 accessibility is weighted with the number of residents benefiting from it.

$$\text{Total Accessibility} = \sum_{i=1}^I B_i \cdot \underbrace{\ln \left(\sum_{j=1}^I A_j \cdot f(c_{ij}) \right)}_{\text{Accessibility of location } i}$$

I : The set of locations i and j in consideration.

A_i : Attractiveness of location i (here: sum of workplaces and residents).

B_i : Weighting the accessibility (here: number of residents).

$f(c_{ij})$: Weighting function, dependent on the generalized costs of travel c_{ij} (here: $f(c_{ij}) = e^{-\beta c_{ij}}$, $\beta = 0.2$, c_{ij} = travel time).

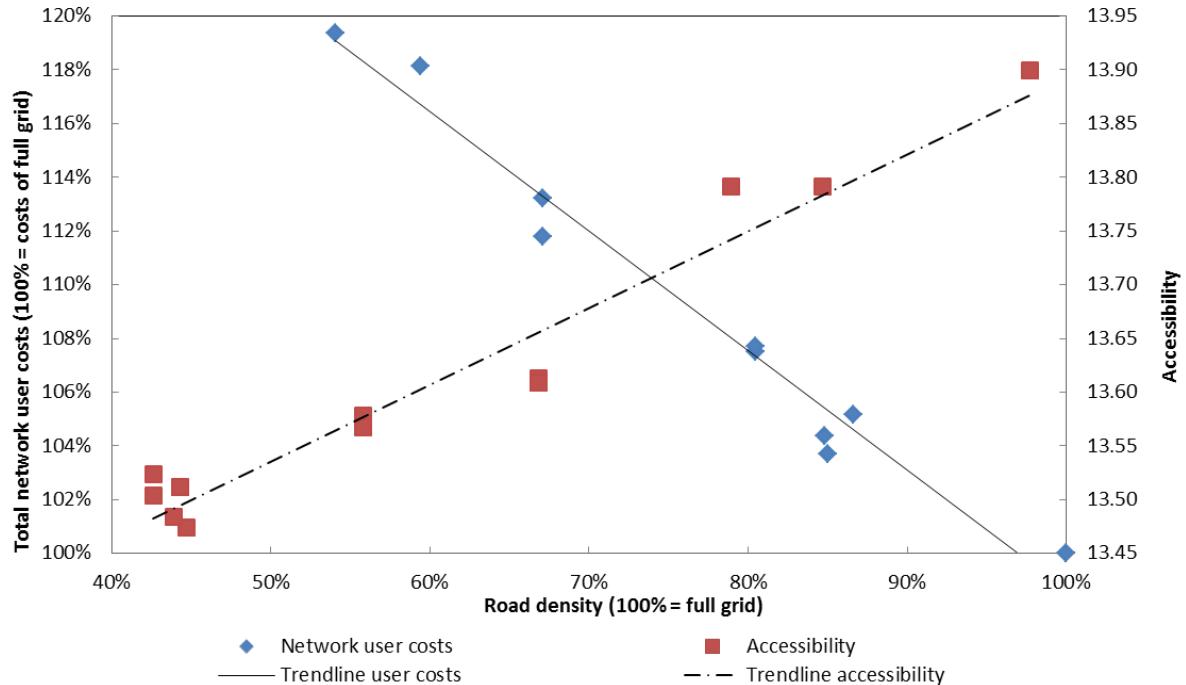


FIGURE 5 User costs and accessibility as a function of infrastructure expenses

Figure 5 shows the user costs of 900x900[m²] networks and accessibilities of 600x600[m²] networks as a function of infrastructure budget. Again, 100% budget refers to a full grid. Figure 5 shows a linear decrease of user costs when approaching a full grid. The decrease is mainly due to less detours in the origin destination paths. Total travel times decrease virtually with the same slope.

Accessibility increases linearly with an increasing infrastructure budget. The linearity is due to the fact that travel time c_{ij} is inserted in the exponent, and the accessibility of location i is logarithmized.

10 Case Study Comparison of Infrastructure Costs and Accessibility

11 Cardillo *et al.* (17) found that the different network patterns have different road densities. Grid
12 networks are more dense, compared to medieval networks (e.g. Ahmedabad, Cairo). These
13 findings can be confirmed with the results (Figure 5). As expected, grids perform best, compared

1 to networks with lower road densities. However, the decrease of the user costs is small, compared
 2 to the decrease in road density. A decrease of 50% in infrastructure budget only causes an
 3 increase of about 20% in user costs. This finding is remarkable, and indicates, that not only 100%
 4 grid networks can perform well for transport purposes. This inelastic relationship is similar
 5 to the findings in Cardillo *et al.* (17), where they compared non-grid networks, i.e. medieval
 6 networks, which cause less than 100% grid network costs, but which are almost as efficient.
 7 Additional research, e.g. on urban access roads, will provide more insights.

8 Shape Grammar rule set B

9 In the following, subsets of networks are compared among each other, differing in their imple-
 10 mented shape grammar rules.

11 *Hierarchical Link Type Distribution*

12 Hierarchical rules for link type distribution are proposed by many network design handbooks
 13 (e.g. 2, 3, 4). However, the economic effect on network performance was, to the authors'
 14 knowledge, never evaluated before. Vitins *et al.* (27) assessed hierarchical rules, focussing on
 15 regional scale networks. Here, hierarchical rules are evaluated for urban grid structures.

16 Link types are selected according to marginal generalized travel time and construction costs,
 17 and compared to alternative link types. Links with the highest link type additionally have to
 18 form a connected sub-network. The definition is given as r_1 and r_2 in Section *Example Shape*
 19 *Grammar Rules*. Networks generated with r_1 and r_2 are compared with networks following no
 20 hierarchical link type distribution.

21 Increasing user costs are expected due to the constraints given by the hierarchical rules, and
 22 resulted in +5.0% user costs ($n_{total} = 8$, $p = 0.020\%$), when considering hierarchical networks.
 23 However, the increase is moderate, and similar to previous results (27). This finding supports a
 24 hierarchical network structure, when minor losses in performance are acceptable, in return for a
 25 more structured and safer network.

26 *Variable Block Length*

27 Strano *et al.* (30) found that the predominant block shape is a rectangle or square. Larger blocks
 28 are expected to increase route lengths and therefore travel time. Additionally, increasing travel
 29 distance reduce speed and increase user costs. The quantitative effect of the user cost changes
 30 are addressed in the following.

31 A set of networks with constant 9x9 blocks each are designed with same infrastructure
 32 budget per area (60% of a full grid network at a square block shape) for comparison reasons.
 33 The block length increases piecewise up to 500%; however, the block widths remain at the same.
 34 Due to the increasing total area, the total budget linearly increases for the networks with 9x9
 35 blocks.

36 Independent of the variable block lengths, the densities of population and working places
 37 are increased to 200% and 300%, respectively, to verify the effect for higher traffic volumes. A
 38 density of 100% refers to the population default values in Section *Quantities for Travel Demand*
 39 *Estimation*. The resulting networks ($n_{total}=15$) are compared against each other.

40 The user costs increase disproportionately with increasing block length (Figure 6). This
 41 effect occurs especially for long block lengths and high densities, where user costs increase

1 considerably. The disproportionate increase is (1) due to the increasing value of travel time
 2 savings at longer distances (28), and (2) due to the increasing network loadings, causing delays
 3 due to the BPR function (31). Additionally, optimized block spacing depends on the resident
 4 and job densities.

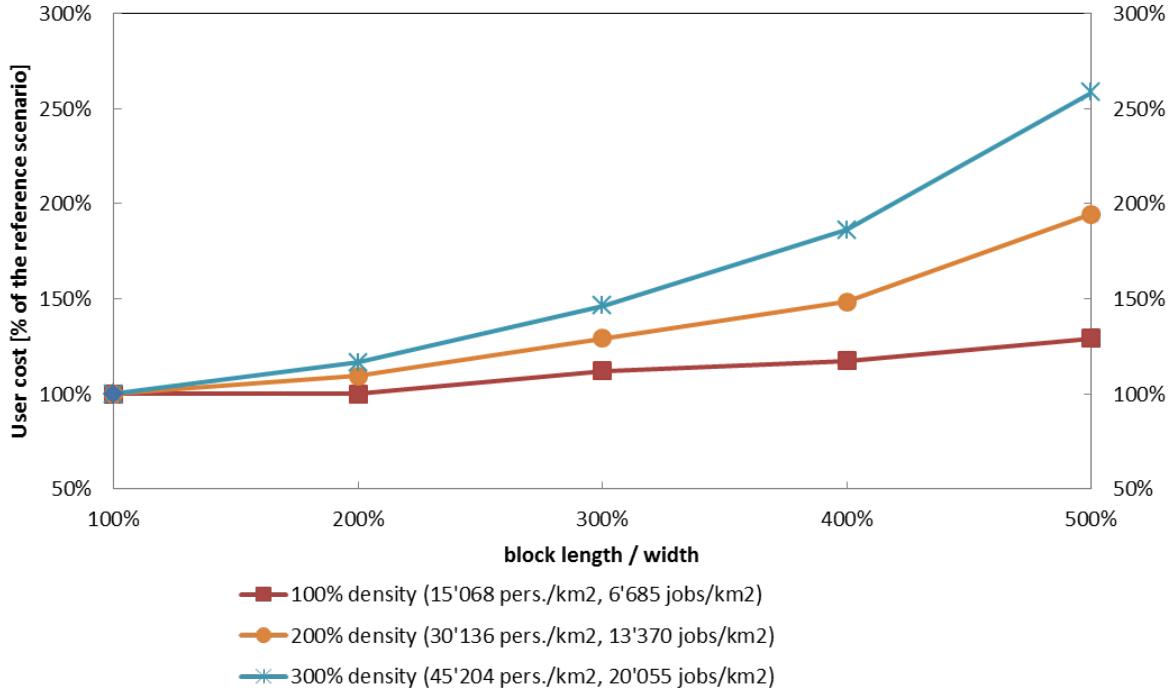


FIGURE 6 User cost sensitivity due to variable block length in a 600x600m² network.

5 *Boulevards*

6 Boulevards are fundamental in urban planning (20, 41). Often, turn restrictions limit access
 7 on and off the boulevard (41). Many boulevards allow only a right turn to get on and off the
 8 boulevard. Additionally, cars first access parallel one-way frontage roads. Access to the center
 9 through lanes is only provided occasionally (41). The scenarios shown in Figure 7 ($n_{total}=36$)
 10 have the same infrastructure budget (60% of a full grid network). However, the boulevard type
 11 changes from a local road type to an arterial meaning that capacity and speed increases, which
 12 affects the user costs. Turn restrictions are taken into account on the right side of Figure 7,
 13 including a delay for the slower frontage road. The boulevard is located on a diagonal axis
 14 across the grid network. The boulevard's exact location is shown in Figure 8. A diagonal
 15 boulevard is simulated due to the fact that connected link type distribution in the grid is already
 16 evaluated with the *Hierarchical Shape Grammar Rules* above. Short links occur to a certain
 17 extend, and therefore potential spill backs. However, the remaining network is kept unmodified
 18 for comparison reason.

19 Diagonal boulevards increase overall travel times for a constant infrastructure budget. Es-
 20 pecially turn restrictions increase travel time considerably. When increasing local traffic (in-
 21 perimeter traffic = 50% or 100%), user costs increase even more. Therefore, boulevards have a
 22 negative impact on transport user costs from a transportation perspective. Of course, boulevards
 23 have many other functionalities, e.g. pedestrian areas, city quality, shopping facilities. These
 24 functionalities are not taken into account and have to be considered in the future. Reduced

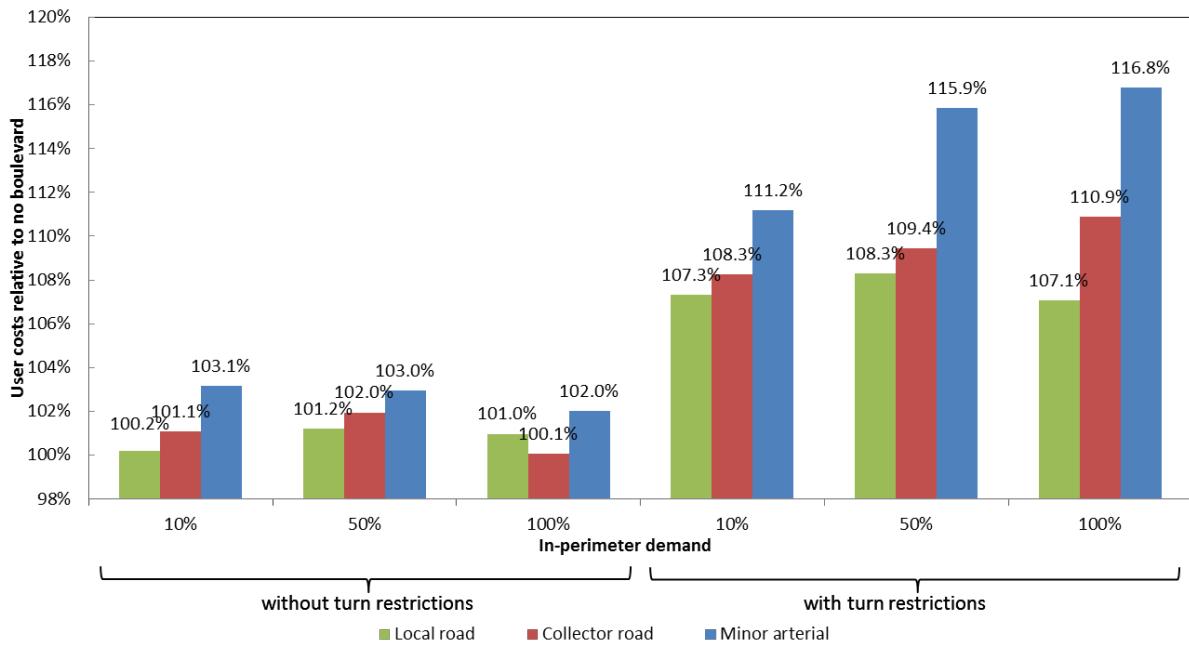


FIGURE 7 User cost sensitivity due to different boulevard types and in-perimeter demand.

1 capacity even reduces network user costs, due to the fact that the savings can be invested in
 2 other roads more efficiently, when assuming equal infrastructure budgets. More insights in
 3 turn restrictions (e.g. 14, 39), and variable through traffic on the boulevard will increase the
 4 understanding in the future.

5 *Variable Number of Passages crossing Linear Terrain Boundaries*

6 Linear terrain boundaries often occur in urban environments, e.g. highways, rivers, railways.
 7 The number of passages vary and effect the network performance. In this paper, the linear
 8 boundary crosses the network (Figure 2, Figure 8) from left to right. Therefore, 7 (600x600[m²]
 9 area size) and 10 (900x900[m²] area size) potential passages over the linear terrain boundary
 10 exist by default. However, the number of passages are reduced subsequently to only one passage.
 11 The link costs are equal for the passages and the remaining network, for improved interpretation.

12 The results show the increasing network user costs due to the reduced number of passages.
 13 Just one passage clearly increases user cost most (~+6%), due to route change and speed
 14 reduction. Surprisingly, the differences between 7 potential passages and 3 passages is very low
 15 (~+1.5%). This is due to the fact that performance losses are low when reducing road density in
 16 an optimal way.

17 **Visualization of Urban Shape Grammar Rules**

18 Visualizations of the rules are difficult. Schemes similar to Figure 1 help to understand the
 19 relationships between the network elements. However, they omit the larger picture of the entire
 20 urban area. New advances in computer graphics can improve the visualization of the shape
 21 grammar rules and their effect on the shape of the urban environment. New software tools
 22 account for rules in transport networks, building and architecture, urban planning and benefit of

1 synergies. This is very valuable especially in an open planning process with authorities, other
 2 stakeholders, and the public. Interactive 3D renderings enable the planner to incrementally
 3 specify the design, and have the system complete the rest according to the recommended rules.
 4 Thus, an interactive planning framework can be used with adaptive control possibilities.

5 The open source software QtUrban based on Vanegas *et al.* (11) was adopted for visualization
 6 purposes. It combines enhancements, such as road networks with road types, building typology,
 7 terrain boundaries, control of the population and job densities. The final rendering in Figure 8
 8 includes a boulevard, linear terrain boundary, slightly increased rectangle length, and hierarchical
 9 link type distribution. The floor space is set at 47.7[m²/resident] and 40.9[m²/workplace] (35).
 10 Street widths are taken from AASHTO (2).

11 Figure 8 allows a deeper interpretation in the shape grammar rules defined above. It visually
 12 shows the distribution of the road types and the connected arterial network. The generated
 13 parcels depend on the loops and blocks, they can be verified and adapted, if necessary. The
 14 block spacing, evaluated above, seems reasonable in the urban context. The network adapts
 15 to the linear terrain boundaries. The effect of the boulevard for urban planners is visible. The
 16 population and job densities relate to the building volumes in the 3D visualization. Further work
 17 on dependencies between network characteristics and population distributions can be integrated
 18 in such a framework.

DISCUSSION AND CONCLUSION

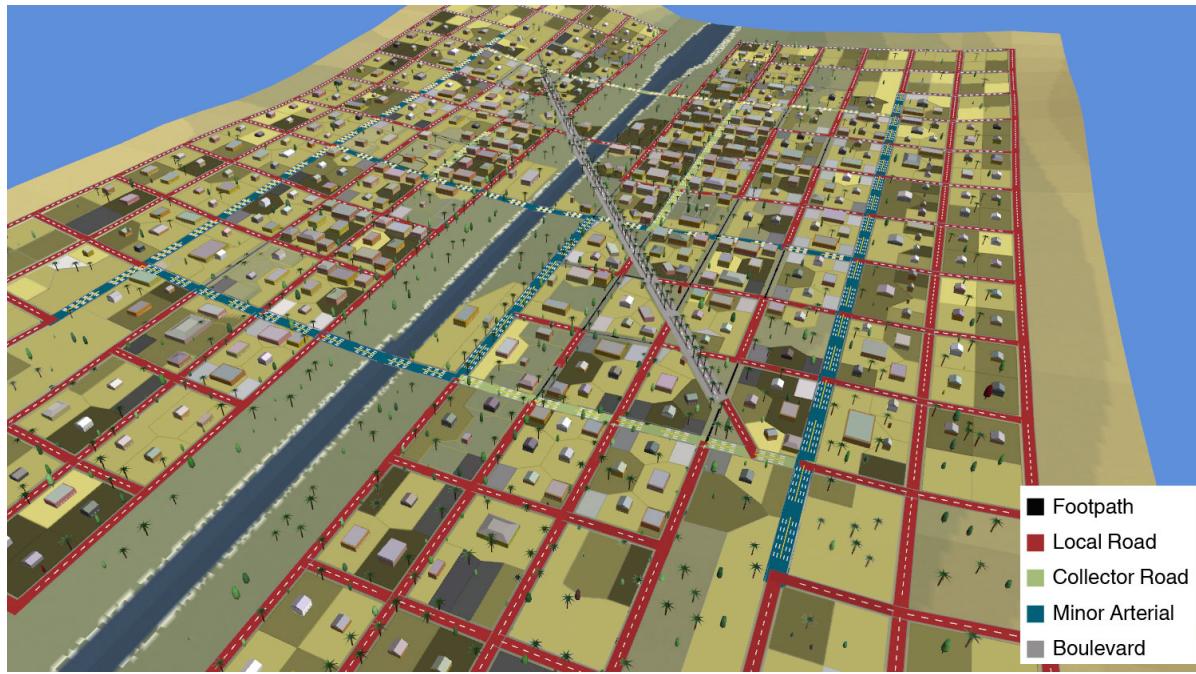
19 This paper investigates the complexity of transport network design in urban areas. Here, the
 20 effect of shape grammar rules are evaluated for user costs. The described novel approach bridges
 21 the gap between shape grammar rules and an independent objective function. This capability
 22 enables the estimation of the effect of rules, which so far were based mostly on intuition and
 23 little systematic testing.

24 The results are based on networks built with default traffic parameters, average urban
 25 densities, and on empty planes to avoid a bias due to history. The findings are compared and
 26 confirmed with empirical data from different network types worldwide (e.g. 17, 30), and prior
 27 results (27). The performances of the emerging network designs were compared using two
 28 different utility functions. Notably, lowering the infrastructure budget and less grid-like patterns
 29 did not increase user costs as much as expected. Cardillo *et al.* (17) confirmed that also high
 30 performing network patterns exist beside complete 100% grids.

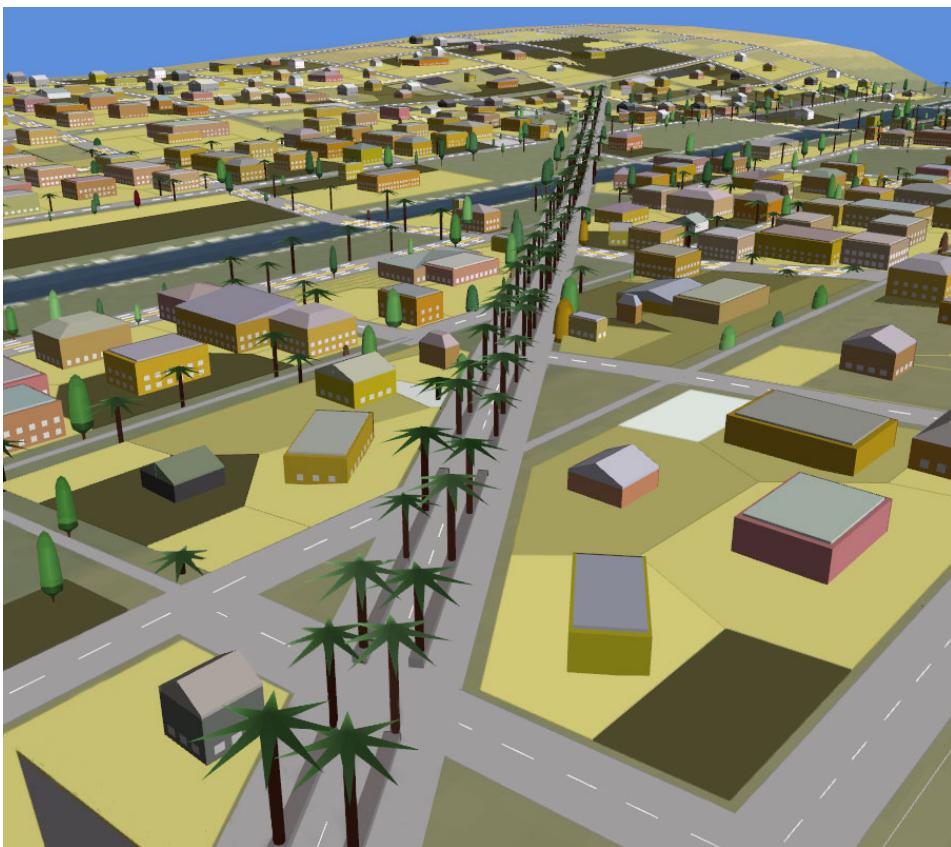
31 The number of arms per intersections, a long term debate in network design, depends on the
 32 road density, and does not interact with the performance of the network directly. The density of
 33 3 arm intersections remains higher than 4 arm intersection density up to nearly (~ 85 – 90%) a
 34 full grid structure. Therefore, the number of arms depends on the road density. However, the
 35 optimal number of intersections increase linearly with the infrastructure budget.

REFERENCES

- 36 1. Jacobs, A. (1993) *Great Streets*, MIT Press, Cambridge.
- 37 2. AASHTO (2004) *A Policy on Geometric Design of Highways and Streets*, American
 38 Association of State Highway and Transportation Officials, Washington, D.C.
- 39 3. Institution of Highways and Transportation (1997) *Transport in the Urban Environment*,
 40 Institution of Highways and Transportation, London, June 1997.



(a) A city example with all implemented shape grammar rules.



(b) A more detailed view of a boulevard with parallel frontage roads.

FIGURE 8 3D shape grammar implementation and visualization.

¹ 4. VSS (1994) Projektierung, Grundlange, *Norm, SN 640 040b*, Swiss Association of Road and Transport Professionals (VSS), Zurich.

- 1 5. FGSV (2008) Richtlinien für integrierte Netzgestaltung, *Norm*, **121**, Forschungsgesellschaft
2 für Straßen- und Verkehrswesen, Cologne.
- 3 6. Jacobi, M., J. Halatsch, A. Kunze, G. Schmitt and B. Turkienicz (2009) A grammar-based
4 system for the participatory design of urban structures, paper presented at the *13th Congreso*
5 *Iberoamericano de Gráfica Digital*, Sao Paolo, November 2009.
- 6 7. Parish, Y. I. H. and P. Müller (2001) Procedural modeling of cities, in E. Fiume (ed.)
7 *Proceedings of ACM SIGGRAPH 2001*, 301–308, ACM Press, New York.
- 8 8. Watson, B., P. Müller, P. Wonka, C. Sexton, O. Veryovka and A. Fuller (2008) Procedural
9 urban modeling in practice, *IEEE Computer Graphics and Applications*, **28** (3) 18–26.
- 10 9. Marshall, S. (2005) *Streets and Patterns*, Spon Press, London.
- 11 10. Weber, B., P. Müller, P. Wonka and M. Gross (2009) Interactive geometric simulation of 4d
12 cities, *Eurographics*, **28** (2) 1–12.
- 13 11. Vanegas, C. A., D. G. Aliaga, B. Benes and P. A. Waddell (2009) Interactive design of urban
14 spaces using geometrical and behavioral modeling, *ACM Transactions on Graphics*, **28** (5)
15 1–10.
- 16 12. Poorzahedy, H. and F. Abulghasemi (2005) Application of ant system to network design
17 problem, *Transportation*, **32** (3) 251–273.
- 18 13. Vitins, B. J. and K. W. Axhausen (2009) Optimization of large transport networks using the
19 ant colony heuristic, *Computer-Aided Civil and Infrastructure Engineering*, **24** (1) 1–14.
- 20 14. Eichler, D., H. Bar-Gera and M. Blachman (2012) Vortex-based zero-conflict design of
21 urban road networks, *Networks and Spatial Economics*, (online) 1–12.
- 22 15. van Nes, R. (2003) Design of Multimodal Transport Networks, Ph.D. Thesis, Technical
23 University Delft, Delft.
- 24 16. Yerra, B. M. and D. Levinson (2005) The emergence of hierarchy in transportation networks,
25 *Annals of Regional Science*, **39** (3) 541–553.
- 26 17. Cardillo, A., S. Scellato, V. Latora and S. Porta (2006) Structural properties of planar graphs
27 of urban street patterns, *Physical Review E*, **73** (6) 1–8.
- 28 18. Chomsky, N. (1959) On certain formal properties of grammars, *Information and Control*,
29 **2** (2) 137–167.
- 30 19. Stiny, G. N. and W. J. Mitchell (1980) The grammar of paradise: On the generation of
31 mughul gardens, *Environment and Planning B*, **7** (2) 209–226.
- 32 20. Alexander, C., S. Ishikawa and M. Silverstein (1977) *A Pattern Language: Towns, Buildings,*
33 *Construction*, Oxford University Press, Oxford.
- 34 21. Vanegas, C. A., D. G. Aliaga, P. Wonka, P. Müller, P. A. Waddell and B. Watson (2009)
35 Modeling the appearance and behavior of urban spaces, *Computer Graphics forum*, **28** (2)
36 1–18.

- 1 22. Southworth, M. and E. Ben-Joseph (2003) *Streets and the Shaping of Towns and Cities*,
2 Island Press, Washington, D.C.
- 3 23. Xie, F. and D. Levinson (2007) Measuring the structure of road networks, *Geographical
4 Analysis*, **39** (3) 336–356.
- 5 24. Yamins, D., S. Rasmussen and D. B. Fogel (2003) Growing urban roads, *Networks and
6 Spatial Economics*, **3** (1) 69–85.
- 7 25. LeBlanc, L. J., E. K. Morlok and W. P. Pierskalla (1975) An efficient approach to solving
8 the road network equilibrium traffic assignment problem, *Transportation Research*, **9** (5)
9 309–318.
- 10 26. Sumalee, A., D. P. Watling and S. Nakayama (2006) Reliable network design problem,
11 *Transportation Research Record*, **1964** (1) 81–90.
- 12 27. Vitins, B. J., N. Schüssler and K. W. Axhausen (2012) Comparison of hierarchical network
13 design shape grammars for roads and intersections, paper presented at the *91st Annual
14 Meeting of the Transportation Research Board*, Washington, D.C., January 2012.
- 15 28. Hess, S., A. Erath and K. W. Axhausen (2008) Estimated value of savings in travel time in
16 Switzerland: Analysis of pooled data, *Transportation Research Record*, **2082**, 43–55.
- 17 29. Venables, A. J. (2007) Evaluating urban transport improvements, *Journal of Transport
18 Economics and Policy*, **41** (2) 173–188.
- 19 30. Strano, E., V. Nicosia, V. Latora, S. Porta and M. Barthélémy (2012) Elementary processes
20 governing the evolution of road networks, *Scientific Reports*, **2**, 1–8.
- 21 31. U.S. Bureau of Public Roads (1964) *Traffic Assignment Manual*, U.S. Department of
22 Commerce, Washington, D.C.
- 23 32. Dijkstra, E. W. (1959) A note on two problems in connexion with graphs, *Numerische
24 Mathematik*, **1**, 269–271.
- 25 33. Swiss Federal Statistical Office (2012) *Mobilität in der Schweiz - Ergebnisse des Mikrozen-
26 sus Mobilität und Verkehr 2010*, Swiss Federal Statistical Office, Neuchatel.
- 27 34. Dutton, J. A. (2000) *New American Urbanism*, Skira, Milano.
- 28 35. Amt für Raumentwicklung, Baudirektion Kanton Zürich (2012) Geographisches Informa-
29 tionssystem des Kantons Zürich (GIS-ZH), webpage, July 2012, <http://www.gis.zh.ch>.
- 30 36. VSS (1994) Projektierung, Grundlagen - Einführung in die Normen über die Projektierung
31 der Linienführung, *Norm, SN 640 039*, Swiss Association of Road and Transport Profes-
32 sionals (VSS), Zurich.
- 33 37. Buhl, J., J. Gautrais, R. V. Solé, P. Kuntz, S. Valverde, J. L. Deneubourg and G. Theraulaz
34 (2004) Efficiency and robustness in ant networks of galleries, *The European Physical
35 Journal B - Condensed Matter and Complex Systems*, **42** (1) 123–129.
- 36 38. Courtat, T., C. Gloaguen and S. Douady (2011) Mathematics and morphogenesis of cities:
37 A geometrical approach, *Physical Review E*, **83** (3) 1–12.

- 1 39. Vitins, B. J. and K. W. Axhausen (2012) Shape grammars for intersection type choice in
- 2 road network generation, paper presented at the *12th Swiss Transport Research Conference*,
- 3 Ascona, May 2012.
- 4 40. Ben-Akiva, M. E. and S. R. Lerman (1985) *Discrete Choice Analysis: Theory and Applica-*
- 5 *tion to Travel Demand*, MIT Press, Cambridge.
- 6 41. Jacobs, A., E. MacDonald and Y. Rofé (2002) *The Boulevard Book*, MIT Press, Cambridge.