# Local Decoding and Testing for Homomorphisms\*

Elena Grigorescu, Swastik Kopparty, and Madhu Sudan

Massachusetts Institute of Technology, Cambridge, MA, USA. {elena\_g,swastik,madhu}@mit.edu

Abstract. Locally decodable codes (LDCs) have played a central role in many recent results in theoretical computer science. The role of finite fields, and in particular, low-degree polynomials over finite fields, in the construction of these objects is well studied. However the role of group homomorphisms in the construction of such codes is not as widely studied. Here we initiate a systematic study of local decoding of codes based on group homomorphisms. We give an efficient list decoder for the class of homomorphisms from any abelian group G to a fixed abelian group H. The running time of this algorithm is bounded by a polynomial in  $\log |G|$  and an agreement parameter, where the degree of the polynomial depends on H. Central to this algorithmic result is a combinatorial result bounding the number of homomorphisms that have large agreement with any function from G to H. Our results give a new generalization of the classical work of Goldreich and Levin, and give new abstractions of the list decoder of Sudan, Trevisan and Vadhan. As a by-product we also derive a simple(r) proof of the local testability (beyond the Blum-Luby-Rubinfeld bounds) of homomorphisms mapping  $\mathbb{Z}_p^n$  to  $\mathbb{Z}_p$ , first shown by M. Kiwi.

### 1 Introduction

Given a pair of finite groups G = (G, +) and  $H = (H, \cdot)$ , the class of homomorphisms between G and H forms an "error-correcting code". Namely, for any two distinct homomorphisms  $\phi, \psi : G \to H$ , the fraction of elements  $\alpha \in G$  such that  $\phi(\alpha) = \psi(\alpha)$  is at most 1/2. This observation has implicitly driven the quest for many "homomorphism testers" [3, 2, 8, 1, 13], which test to see if a function  $f : G \to H$  given as an oracle is close to being a homomorphism. In this paper, we investigate the complementary "decoding" question: Given oracle access to a function  $f : G \to H$  find all homomorphisms  $\phi : G \to H$  that are close to f.

To define the questions we study more precisely, let  $\operatorname{agree}(f,g)$  denote the agreement between  $f,g:G\to H$ , i.e., the quantity  $\Pr_{x\leftarrow_U G}[f(x)=g(x)]$ . Let  $\operatorname{Hom}(G,H)=\{\phi:G\to H\mid \phi(x+y)=\phi(x)\phi(y)\}$  denote the set of homomorphisms from G to H. We consider the combinatorial question: Given G, H and  $\epsilon>0$ , what is the largest "list" of functions that can have  $\epsilon$ -agreement with some fixed function, i.e, what is  $\max_{f:G\to H}|\{\phi:G\to H|\phi\in\operatorname{Hom}(G,H),\operatorname{agree}(f,g)\geq\epsilon\}|$ ?

We also consider the algorithmic question: Given  $G, H, \epsilon > 0$  and oracle access to a function  $f: G \to H$ , (implicitly) compute a list of all homomorphisms  $\phi: G \to H$  that have agreement  $\epsilon$  with f. (A formal definition of implicit decoding will be given later. For now, we may think of this as trying to compute the value of  $\phi$  on a set of generators of G.) We refer to this as the "local decoding" problem for homomorphisms.

Local decoding of homomorphisms for the special case of  $G = \mathbb{Z}_2^n$  and  $H = \mathbb{Z}_2$  was the central technical problem considered in the seminal work of Goldreich and Levin [4]. They gave combinatorial bounds showing that for  $\epsilon = \frac{1}{2} + \delta$ , the list size is bounded by  $\text{poly}(1/\delta)$ , and gave a local decoding algorithm with running time  $\text{poly}(n/\delta)$ .

The work of Goldreich and Levin was previously abstracted as decoding the class of degree one *n*-variate polynomials over the field of two elements. This led Goldreich, Rubinfeld, and Sudan [5]

<sup>\*</sup> Research supported in part by NSF Award CCR-0514915.

to generalize the decoding algorithm to the case of degree one polynomials over any finite field. (In particular, this implies a decoding algorithm for homomorphisms from  $G = \mathbb{Z}_p^n$  to  $H = \mathbb{Z}_p$ , that decodes from  $\frac{1}{p} + \epsilon$  agreement and runs in time  $\operatorname{poly}(n/\epsilon)$ , where  $\mathbb{Z}_p$  denotes the additive group of integers modulo a prime p.) Later Sudan, Trevisan, and Vadhan [11], generalized the earlier results to the case of higher degree polynomials over finite fields. This generalization, in turn led to some general reductions between worst-case complexity and average-case complexity.

Our work is motivated by the group-theoretic view of Goldreich and Levin, as an algorithm to decode group homomorphisms. While the group-theoretic view has been applied commonly to the complementary problem of "homomorphism testing", the decoding itself does not seem to have been examined formally before.

To motivate we start with a simple example.

Consider the case where  $G = \mathbb{Z}_p^n$  and  $H = \mathbb{Z}_p^m$ . How many homomorphisms can have agreement  $\frac{1}{p} + \delta$  with a fixed function  $f: G \to H$ ? Most prior work in this setting used (versions) of the Johnson bound in coding theory. Unfortunately such a bound only works for agreement greater than  $\frac{1}{\sqrt{p}}$  in this setting.<sup>1</sup> An ad-hoc counting argument gives a better bound on the list size of  $\delta^{-O(m)}$ . While better bounds ought to be possible, none are known, illustrating the need for further techniques. Our work exposes several such questions. It also sheds new light on some of the earlier algorithms.

Our results. Our results are restricted to the case of abelian groups G and H. Let  $\Lambda = \Lambda_{G,H}$  denote the maximum possible agreement between two homomorphisms from G to H. Our main algorithmic result is an efficient algorithm, with running time poly( $\log |G|, \frac{1}{\epsilon}$ ) to decode all homomorphisms with agreement  $\Lambda + \epsilon$  with a function  $f: G \to H$  given as an oracle, for any fixed group H. Note that in such a case the polynomial depends on H. See Theorem 2 for full details.

Crucial to our algorithmic result is a corresponding combinatorial one showing that there are at most  $\operatorname{poly}(\frac{1}{\epsilon})$  homomorphisms with agreement  $\Lambda_{G,H} + \epsilon$  with any function  $f: G \to H$ , for any fixed group H. Once again, the polynomial in the bound depends on H. See Theorem 1 for details.

Finally, we also include a new proof of a result of Kiwi [8] on testing homomorphisms from  $\mathbb{Z}_p^n$  to  $\mathbb{Z}_p$ . This is not related to our main quests, but we include it since some of the techniques we use to decode homomorphisms yield a simple proof of this result. See Theorem 3.

Techniques Our results are derived by reducing the case of general abelian groups to the case of p-groups, i.e., groups of the form  $Z_{p^{a_1}}^{n_1} \times \cdots \times Z_{p^{a_k}}^{n_k}$ . We reduce both the combinatorial problem and the algorithmic problem to the case where G is a p-group and H is of the form  $Z_{p^r}$ . Our main technical result is a combinatorial bound on the list-size for homomorphisms from a p-group G to the group  $Z_{p^r}$ . For p-groups, the maximal agreement between homomorphisms is  $\frac{1}{p}$ . We show that the number of homomorphisms with agreement  $\frac{1}{p} + \epsilon$  with any function is at most  $(2p)^{3r} \frac{1}{\epsilon^2}$ . (See Lemma 1.) This result is proved by Fourier analysis.

The algorithmic results are abstractions of algorithms of Goldreich and Levin [4] and Sudan, Trevisan, and Vadhan [11]. In particular, we note that the [4] algorithm can be viewed as an extension of any decoding algorithm for the classes  $\text{Hom}(G_1, H)$  and  $\text{Hom}(G_2, H)$  to the class  $\text{hom}(G_1 \times G_2, H)$ . While this result is useful for general groups, if both  $G_1$  and  $G_2$  are p-groups

For those familiar with the application of the Johnson bound in the setting of m = 1, we point out that it relied crucially on the fact that the agreement of any pair of homomorphisms was  $\frac{1}{|H|}$  which is no longer true when  $m \neq 1$ .

(and hence also G), then the technique from [11] can be extended directly to get more efficient decoding algorithms.

Organization of this paper. In Section 2 we present basic terminology and our main results. In Section 3 we exploit the decomposition theorem for abelian groups to reduce the proofs of the main theorems to the special case of p-groups. In Section 4 we tackle the combinatorial problem of the list-size for p-groups. In Section 5 we consider the corresponding algorithmic problem. Section 6 analyzes a homomorphism tester for functions from  $\mathbb{Z}_p^n$  to  $\mathbb{Z}_p$  using some techniques of the previous sections.

### 2 Definitions and Main Results

Let G, H be abelian groups, and let  $\operatorname{Hom}(G, H) = \{h : G \to H \mid h \text{ is a homomorphism}\}$ . Note that  $\operatorname{Hom}(G, H)$  forms a *code*. Indeed, if  $f, g \in \operatorname{Hom}(G, H)$ , then  $G' = \{x \mid f(x) = g(x)\}$  is a subgroup of G. Since the largest subgroup of G has size at most  $\frac{|G|}{2}$ , it follows that f and g differ in at least  $\frac{1}{2}$  of the domain.

For two functions  $f, g: G \to H$ , define

$$agree(f,g) = Pr_{x \leftarrow UG}[f(x) = g(x)],$$

and

$$\Lambda_{G,H} = \max_{f,g \in \text{Hom}(G,H), f \neq g} \{ \text{agree}(f, g) \}.$$

In the case when Hom(G, H) contains only the trivial homomorphism we define  $\Lambda_{G,H} = 0$ .

The notions of decodability and local list decoders are standard in the context of error correcting codes. Below we formulate them for the case of group homomorphisms.

**Definition 1.** [11] (List decodability) The code  $\operatorname{Hom}(G,H)$  is  $(\delta,l)$ -list decodable if for every function  $f:G\to H$ , there exist at most l homomorphisms  $h\in \operatorname{Hom}(G,H)$  such that  $\operatorname{agree}(f,h)\geq \delta$ .

**Definition 2.** [14](Local list decoding) A probabilistic oracle algorithm  $\mathcal{A}$  is a  $(\delta, T)$  local list decoder for Hom(G, H) if given oracle access to any function  $f: G \to H$ , (notation  $\mathcal{A}^f$ ), the following hold:

1. With probability  $\frac{3}{4}$  over the random choices of  $\mathcal{A}^f$ ,  $\mathcal{A}^f$  outputs a list of probabilistic oracle machines  $M_1, \ldots, M_L$  s.t., for any homomorphism  $h \in \text{Hom}(G, H)$  with  $\text{agree}(f, h) \geq \delta$ ,

$$\exists j \in [L], \forall x, \ \Pr[M_j^f(x) = h(x)] \ge \frac{3}{4},$$

where the probability is taken over the randomness of  $M_j^f(x)$ .

2. A and each  $M_i^f$  run in time T.

The model of computation with respect to groups is as follows. An abelian group G can be represented (see Sect. 3) by its cyclic decomposition  $\mathbb{Z}_{p_1^{e_1}} \times \ldots \times \mathbb{Z}_{p_k^{e_k}}$ , where  $p_i$ 's are prime. An element of G is given by a vector  $\alpha = (\alpha_1, \alpha_2, \ldots, \alpha_k)$ , with  $\alpha_i \in \mathbb{Z}_{p_i^{e_i}}$ .

Our main results are the list decodability and local list decodability of group homomorphism codes.

**Theorem 1.** Let H be a fixed finite abelian group. Then for all finite abelian groups G,  $\operatorname{Hom}(G,H)$ is  $\left(\Lambda_{G,H} + \epsilon, \operatorname{poly}_{|H|}(\frac{1}{\epsilon})\right)$  list decodable.

Remark: The exact polynomial bound on the list size that our proof gives, in general, depends on the structure of the groups in an intricate way, but can nevertheless be uniformly bounded by  $O\left(\frac{1}{\epsilon^{4\log|H|}}|H|^5\right)$ . Still, the precise bounds obtained by the proof are not optimal. For example, our proof gives that  $\operatorname{Hom}(\mathbb{Z}_2^n, \mathbb{Z}_2^2)$  is  $(\frac{1}{2} + \epsilon, O(\frac{1}{\epsilon^4}))$  list decodable, while it can be shown (via alternate means) that it is  $(\frac{1}{2} + \epsilon, O(\frac{1}{\epsilon^2}))$  list decodable.

**Theorem 2.** Let H be a fixed finite abelian group. Then for all finite abelian groups G there is a  $(\Lambda_{G,H} + \epsilon, \operatorname{poly}_{|H|}(\log |G|, \frac{1}{\epsilon}))$  local list decoder for  $\operatorname{Hom}(G, H)$ .

### Decomposition and Reduction

We will embark on our quest by first decomposing the groups involved into slightly smaller but better-behaved groups. In this section we will see how these decompositions can be done and thereby reduce our main theorems to statements about list decoding on "p-groups". These statements will be proved in the following two sections by some Fourier analytic machinery and by generalizing the STV-style list decoders.

The structure theorem for finite abelian groups states that every abelian group G is of the form  $\prod_{i=1}^k \mathbb{Z}_{p_i^{e_i}}$ , where the  $p_i$ 's are primes and the  $e_i$ 's are positive integers. A p-group is a group of order  $p^r$ , for some positive integer r. The structure theorem implies that for any prime p, any finite abelian group G can be written as  $G_p \times G'$ , where  $G_p$  is a p-group and  $\gcd(p, |G'|) = 1$  (take  $G_p = \prod_{p_i=p} \mathbb{Z}_{p_i^{e_i}}$ ). This decomposition will play a crucial role in what follows.

Remark 1.  $\Lambda_{G,H}$  behaves well under decomposition of G and H:

- 1. If gcd(|G|, |H|) = 1 then Hom(G, H) contains only the trivial homomorphism and therefore,
- 2. Otherwise, let p be the smallest prime s.t.  $p \mid \gcd(|G|, |H|)$ . Then  $\Lambda_{G,H} = \frac{1}{n}$ . Indeed, it is enough to bound agree  $(h, \mathbf{0})$ , for any nontrivial homomorphism  $h: G \to H$ . Let d =|image (h)| and note that  $d \mid |H|$ , since |image (h)| is a subgroup of H. Since  $G/\ker(h) \cong |\text{image }(h)|$ , it follows that  $|\ker(h)|/|G| = 1/d \le 1/p$ , and thus  $\Lambda_{G,H} \le \frac{1}{n}$ . Finally, if  $G = \mathbb{Z}_{p^t} \times G'$ , and  $H = \mathbb{Z}_{p^r} \times H'$ , then the homomorphism  $h: G \to H$  definde by  $h(a,b)=(ap^{r-1},0)$  satisfies agree $(h,\mathbf{0})=\frac{1}{p}.$  Hence,  $\Lambda_{G,H}=\frac{1}{p}.$ 3. The above observations imply  $\Lambda_{G_1\times G_2,H}=\max\{\Lambda_{G_1,H},\Lambda_{G_2,H}\}$  and  $\Lambda_{G,H_1\times H_2}=\max\{\Lambda_{G,H_1},\Lambda_{G,H_2}\}.$

#### The decompositions $G \to H_1 \times H_2$ and $G_1 \times G_2 \to H$ 3.1

The following two propositions (whose proofs are omitted from this version) say that list decoding questions for Hom(G, H) can be reduced to list decoding questions on summands of G or H.

**Proposition 1.** Let  $G, H_1, H_2$  be abelian groups. Let  $a_i = \Lambda_{G,H_i}$ . Suppose for all  $\epsilon > 0$ ,  $\operatorname{Hom}(G, H_i)$ is  $(a_i + \epsilon, \ell_i(\epsilon))$ -list decodable, with  $(a_i + \epsilon, T_i(\epsilon))$  local list decoders, for i = 1, 2. Then  $\operatorname{Hom}(G, H_1 \times G)$  $H_2$ ) is  $(\max\{a_1,a_2\}+\epsilon,\ell_1(\epsilon)\ell_2(\epsilon))$  list decodable and has a  $(\max\{a_1,a_2\}+\epsilon,O\left((T_1(\epsilon)T_2(\epsilon))\right))$  local list decoder, for all  $\epsilon > 0$ .

**Proposition 2.** Let  $G_1, G_2, H$  be abelian groups. Let  $a_i = \Lambda_{G_i, H}$ . Suppose for all  $\epsilon > 0$ ,  $\operatorname{Hom}(G_i, H)$  is  $(a_i + \epsilon, \ell_i(\epsilon))$ -list decodable, with a  $(a_i + \epsilon, T_i(\epsilon))$  local list decoder, for i = 1, 2. Then  $\operatorname{Hom}(G_1 \times G_2, H)$  is  $(\max\{a_1, a_2\} + \epsilon, O(\frac{1}{\epsilon^2} \ell_1(\epsilon)\ell_2(\epsilon) |H|^2))$  list decodable, and has a  $(\max\{a_1, a_2\} + \epsilon, O(\frac{|H|}{\epsilon^2} (T_1(\epsilon) + T_2(\epsilon)) + \ell_1(\epsilon)\ell_2(\epsilon) |H|^2)$  local list decoder, for all  $\epsilon > 0$ .

### 3.2 Proof of the main theorems

Using the propositions proved in the previous section, our theorems will reduce to the main lemma given below, which will itself be proved in Section 4.

**Lemma 1.** Let p be a fixed prime and r > 0 be a fixed integer. Then for any abelian p-group G,  $\text{Hom}(G, \mathbb{Z}_{p^r})$  is  $\left(\frac{1}{p} + \epsilon, (2p)^{3r} \frac{1}{\epsilon^2}\right)$  list decodable.

In Section 5, we shall use it to prove the corresponding algorithmic version.

**Lemma 2.** Let p be a fixed prime and r > 0 be a fixed integer. Then for any abelian p-group G,  $\operatorname{Hom}(G, \mathbb{Z}_{p^r})$  is  $\left(\frac{1}{p} + \epsilon, \operatorname{poly}(\log |G|, \frac{1}{\epsilon})\right)$  locally list decodable.

Proof ( of Theorem 1). If |G|, |H| are relatively prime then the result is obvious. Otherwise, let  $p(=\frac{1}{\Lambda_{G,H}})$  be the smallest prime dividing both |G| and |H|. Let  $H=\prod_{i=1}^r\mathbb{Z}_{p_i^{\beta_i}}$ . Let  $i\in\{1,\ldots,r\}$ . If  $\gcd(p_i,|G|)=1$ , then  $\operatorname{Hom}(G,\mathbb{Z}_{p_i^{\beta_i}})$  is  $(\epsilon,1)$  list decodable. Otherwise, write G as  $G_{p_i}\times G'$ , where  $G_{p_i}$  is a  $p_i$ -group and  $\gcd(p_i,|G'|)=1$ . Then by Lemma 1 and Proposition 2,  $\operatorname{Hom}(G,\mathbb{Z}_{p_i^{\beta_i}})$  is  $\left(\frac{1}{p_i}+\epsilon,O(\frac{1}{\epsilon^4}(2p_i)^{3\beta_i}p^{2\beta_i})\right)$  list decodable, and hence is also  $\left(\frac{1}{p}+\epsilon,\frac{1}{\epsilon^4}p_i^{5\beta_i}\right)$  list decodable (since if  $p_i||G|$ , then  $p\leq p_i$ ). Combining these for all  $i\in\{1,\ldots,r\}$  by Proposition 1,  $\operatorname{Hom}(G,H)$  is  $\left(\frac{1}{p}+\epsilon,\prod_{p_i||G|}\frac{1}{\epsilon^4}(2p_i)^{5\beta_i}\right)$  list decodable, as required.

*Proof* (of Theorem 2). The proof of this theorem is directly analogous to the previous proof, using Lemma 2 instead of Lemma 1.

### 4 Combinatorial bounds for p-groups

In this section we will prove our main lemma (Lemma 1). Recall that we wish to obtain a combinatorial upper bound on the number of homomorphisms having agreement  $\frac{1}{p} + \epsilon$  with a function  $f: G \to \mathbb{Z}_{p^r}$ , where G is a p-group. The starting point for our proof is the observation that  $\mathbb{Z}_{p^r}$  is isomorphic to the multiplicative group  $\mu_{p^r}$ , a subgroup of the complex numbers consisting of the  $p^r$ th roots of unity. This makes the tools of Fourier analysis available to us. We begin by recapping the basic facts about Fourier analysis on finite abelian groups that we will use.

## 4.1 Preliminaries on Fourier Analysis

Let G be a finite abelian group. A *character* of G is a homomorphism  $\chi: G \to \mathbb{C}^{\times}$ , where  $\mathbb{C}^{\times}$  is the multiplicative group of non-zero complex numbers.

Suppose  $G = \prod_{i=1}^k \mathbb{Z}_{p_i^{r_i}}$ . Let  $\omega_i \in \mathbb{C}$  be a primitive  $p_i^{r_i}th$  root of unity. For any  $\alpha \in G$ , we get an explicitly defined character  $\chi_{\alpha}$  of G given by

$$\chi_{\alpha}(x) = \prod_{i=1}^{k} \omega_{i}^{\alpha_{i} x_{i}},$$

where  $x=(x_1,\ldots,x_k)$  and  $\alpha=(\alpha_1,\ldots,\alpha_k)$  (written as elements of  $\prod_{i=1}^k \mathbb{Z}_{p_i^{r_i}}$ ). In fact, any character of G is of this form.

Some useful properties of characters are given below:

- $-\chi_{\mathbf{0}}(x) = 1$ , for all  $x \in G$
- $-\chi_{\alpha}(x)\chi_{\beta}(x) = \chi_{\alpha+\beta}(x), \text{ hence } \chi_{\alpha}^{i}(x) = \chi_{i\alpha}(x).$
- $\overline{\chi}_{\alpha}(x) = \chi_{-\alpha}(x).$
- $-\mathbb{E}_{x}\chi_{\alpha}(x)\overline{\chi}_{\beta}(x) = \begin{cases} 0, & \text{if } \alpha \neq \beta \\ 1, & \text{otherwise.} \end{cases}$

Given a function  $f: G \to \mathbb{C}$ , the Fourier coefficients of f are given by  $\widehat{f}: G \to \mathbb{C}$ ,

$$\widehat{f}(\alpha) = \mathbb{E}_{x \in G} \ f(x) \overline{\chi}_{\alpha}(x).$$

Parseval's identity states

$$\sum_{\alpha \in C} |\widehat{f}(\alpha)|^2 = 1.$$

We will need a notion of division in abelian groups. For  $\chi_{\alpha}$  a character of G and  $i \in \mathbb{Z}$ , define the "set of quotients"

$$\left[\frac{\chi_{\alpha}}{i}\right] := \left\{\chi_{\beta} : (\chi_{\beta})^{i} = \chi_{\alpha}\right\}$$

For S a set of characters of G and  $i \in \mathbb{Z}$ , define

$$\left[\frac{S}{i}\right] := \bigcup_{\gamma_{\alpha} \in S} \left[\frac{\chi_{\alpha}}{i}\right] = \{\chi_{\beta} : (\chi_{\beta})^{i} \in S\}$$

For  $i, d \in \mathbb{Z}$  and p a prime, we say  $p^i \parallel d$ , if  $p^i \mid d$  and  $p^{i+1} \not \mid d$ .

### 4.2 Sketch of the argument

Let us first give a sketch of the proof at a very high level. We are given a function  $f: G \to \mathbb{Z}_{p^r}$ . We begin by giving a formula that expresses the agreement between our function and any given homomorphism in terms of Fourier coefficients of some functions related to f. This will imply that every homomorphism having high agreement with f "corresponds" to some large Fourier coefficient. Now Parseval's identity tells us that there can only be few large Fourier coefficients, and the end of the proof looks near. Unfortunately, it is possible that many distinct homomorphisms "correspond" to the same Fourier coefficients. Nevertheless, whenever there are many homomorphisms with high agreement with f all corresponding to the same large Fourier coefficient, we can construct another function  $f': G \to \mathbb{Z}_{p^l}$  for some l < r with a large number of homomorphisms in  $Hom(G, \mathbb{Z}_p^l)$  having high agreement with it. Thus, inducting on r, with the base case r = 1 being handled by the Johnson bound, we will arrive at the result.

We proceed with the details. Let  $\mu_{p^r}$  be the multiplicative group of the  $p^r th$  roots of unity. Note that the groups  $\mathbb{Z}_{p^r}$  and  $\mu_{p^r}$  are isomorphic, and henceforth we restrict our attention to  $\text{Hom}(G, \mu_{p^r})$ . By definition, any element of  $\text{Hom}(G, \mu_{p^r})$  is a character of G and hence

$$\operatorname{Hom}(G, \mu_{p^r}) \subset \{\chi_{\alpha} : \alpha \in G\}.$$

The following lemma expresses the agreement between a function and a homomorphism in terms of Fourier coefficients.

**Lemma 3.** Let G be a p-group. For  $f: G \to \mu_{p^r}$  and  $\chi_{\alpha} \in \text{Hom}(G, \mu_{p^r})$ 

$$agree(f, \chi_{\alpha}) = \mathbb{E}_{0 \le j < p^r} \widehat{f}^j(j\alpha)$$

The proof of the above is simple, and we omit it here. Before we start the proof of the main lemma, we state (without proof) a corollary to the Johnson bound that we use for the base case of the induction, as well as in Sect. 6.

**Lemma 4.** Let G be a p-group. Then

- 1.  $\operatorname{Hom}(G, \mu_p)$  is  $(\frac{1}{p} + \epsilon, \frac{1}{\epsilon^2})$  list decodable, for any  $\epsilon > 0$ . 2. Let  $f: G \to \mu_p$  and  $\rho_t = \operatorname{agree}(f, \chi_t)$  for  $\chi_t \in \operatorname{Hom}(G, \mu_p)$ , then

$$\sum_{\chi_t \in \text{Hom}(G, \mu_p)} \left( \rho_t - \frac{1}{p-1} (1 - \rho_t) \right)^2 \le 1.$$

#### 4.3 The proof itself

**Lemma 1.** Let G be a p-group.<sup>2</sup> Then  $\operatorname{Hom}(G, \mathbb{Z}_{p^r})$  is  $\left(\frac{1}{p} + \epsilon, (2p)^{3r} \frac{1}{\epsilon^2}\right)$  list decodable.

*Proof.* As suggested earlier, we identify  $\mathbb{Z}_{p^r}$  with  $\mu_{p^r}$ . We proceed by induction on r. The case r=1was proved in Lemma 4.

Let r > 1. By induction, assume the result is true for  $\text{Hom}(G, \mu_{p^k})$ , for  $k = 1, \dots, r-1$ . Take any  $f: G \to \mu_{p^r}$  and  $\epsilon > 0$ . We wish to bound the size of  $\mathcal{L} = \{\chi_\alpha \in \text{Hom}(G, \mu_{p^r}) : \text{agree}(f, \chi_\alpha) \geq \frac{1}{p} + \epsilon \}$ . By Lemma 3 (after removing the j=0 case from the expectation) we get that for any  $\chi_{\alpha} \in \mathcal{L}$ ,

$$\mathbb{E}_{0 < j < p^r} \widehat{f}^j(j\alpha) \ge \frac{p^r}{p^r - 1} \left( \frac{1}{p} - \frac{1}{p^r} + \epsilon \right) > \frac{1}{p} - \frac{1}{p^r} + \epsilon.$$

This implies that for all  $\chi_{\alpha} \in \mathcal{L}$ ,  $\exists j, 0 < j < p^r$  such that  $|\widehat{f}^j(j\alpha)| > \frac{1}{p} - \frac{1}{p^r} + \epsilon$ . This naturally leads us to consider the set  $S_i = \{\chi_\beta \in \text{Hom}(G, \mu_{p^r}) : |\hat{f}^i(\beta)| > \frac{1}{p} - \frac{1}{p^r} + \epsilon\}.$ The above discussion implies that

$$\mathcal{L} \subset \bigcup_{i=1}^{p^r-1} \left[ \frac{S_i}{i} \right].$$

At this point one would be tempted to bound  $|\mathcal{L}|$  by  $\sum_{i} \left| \left[ \frac{S_{i}}{i} \right] \right|$ . However, this approach is doomed to failure because the size of  $\left|\frac{S_i}{i}\right|$  can be very large when  $p \mid i$ . Instead, we perform a subtler manipulation:

$$\mathcal{L} \subset \bigcup_{i=1}^{p^r-1} \left( \left[ \frac{S_i}{i} \right] \cap \mathcal{L} \right) = \bigcup_{i=1}^{p^r-1} \bigcup_{\chi_{\alpha} \in S_i} \left( \left[ \frac{\chi_{\alpha}}{i} \right] \cap \mathcal{L} \right)$$
 (1)

It turns out that although  $\left\lfloor \left[ \frac{\chi_{\alpha}}{i} \right] \right\rfloor$  can be large, the induction hypothesis implies that  $\left\lfloor \left[ \frac{\chi_{\alpha}}{i} \right] \cap \mathcal{L} \right\rfloor$ cannot be large.

The following two statements formalize this and will be used to bound  $|\mathcal{L}|$ :

<sup>&</sup>lt;sup>2</sup> In fact, the lemma holds for any abelian group G, by the same proof. Here we state it only for p-groups to be consistent with the main algorithmic lemma.

1. For each  $i, |S_i| \le 4p^2$ : By Parseval's identity we know that

$$\sum_{\beta \in G} |\hat{f}^i(\beta)|^2 = 1,$$

and so

$$1 \ge \sum_{\chi_{\beta} \in S_i} |\hat{f}^i(\beta)|^2 \ge |S_i| \left(\frac{1}{p} - \frac{1}{p^r} + \epsilon\right)^2,$$

which proves the statement (recall that r > 1).

2. If  $p^l | i$ , then for any  $\alpha \in G$ ,  $|[\frac{\chi_{\alpha}}{i}] \cap \mathcal{L}| \leq (2p)^{3l} \frac{1}{\epsilon^2}$ :

To prove this part, we shall find a function  $g: G \to \mu_{p^l}$  and a one-to-one map  $T: \left[\frac{\chi_{\alpha}}{i}\right] \cap \mathcal{L} \to \operatorname{Hom}(G, \mu_{p^l})$  such that for all  $\chi_{\beta} \in \left[\frac{\chi_{\alpha}}{i}\right] \cap \mathcal{L}$ , agree $(T(\chi_{\beta}), g) \geq \frac{1}{p} + \epsilon$ . Notice that this together with the induction hypothesis for  $\operatorname{Hom}(G, \mu_{p^l})$ , proves the statement.

Let  $\chi_{\beta_0} \in \left[\frac{\chi_{\alpha}}{i}\right]$ . Define  $g: G \to \mu_{p^l}$  by

$$g(x) = \begin{cases} f(x)\overline{\chi_{\beta_0}}(x), & \text{if } f(x)\overline{\chi_{\beta_0}}(x) \in \mu_{p^l} \\ 1, & \text{otherwise} \end{cases}$$

Define  $T: \left[\frac{\chi_{\alpha}}{i}\right] \cap \mathcal{L} \to \operatorname{Hom}(G, \mu_{p^l})$  by

$$T(\chi_{\beta}) = \chi_{\beta} \overline{\chi_{\beta_0}}.$$

By construction, for all  $x \in G$ ,

$$(T(\chi_{\beta})(x))^{i} = (\chi_{\beta-\beta_{0}}(x))^{i} = \chi_{i(\beta-\beta_{0})}(x) = 1.$$
 (2)

Now since  $T(\chi_{\beta}) \in \text{Hom}(G, \mu_{p^r})$  and  $p^l||i$ , (2) implies that  $T(\chi_{\beta}) \in \text{Hom}(G, \mu_{p^l})$ . T is injective since it is just multiplication by a non-zero function. Furthermore, if  $f(x) = \chi_{\beta}(x)$ , then  $g(x) = T(\chi_{\beta})(x)$ , and so  $\text{agree}(g, T(\chi_{\beta})) \geq \text{agree}(f, \chi_{\beta})$ . Thus g and T have the required properties, and the statement follows.

The two facts above enable us to bound  $|\mathcal{L}|$  as follows:

$$\begin{split} |\mathcal{L}| &\leq \sum_{i} \sum_{\chi_{\alpha} \in S_{i}} \left| \left[ \frac{\chi_{\alpha}}{i} \right] \cap \mathcal{L} \right| & \text{(by (1))} \\ &\leq \sum_{l=0}^{r-1} \sum_{\substack{0 < i < p^{r} \\ p^{l} \mid i}} |S_{i}| (2p)^{3l} \frac{1}{\epsilon^{2}} & \text{(by statement 2 above)} \\ &\leq \sum_{l=0}^{r-1} (p^{r-l} - p^{r-l-1}) (4p^{2}) (2p)^{3l} \frac{1}{\epsilon^{2}} & \text{(by statement 1 above)} \\ &\leq \frac{1}{\epsilon^{2}} (2p)^{3r}. \end{split}$$

This completes the induction and the proof of our lemma.

## 5 Algorithmic results for *p*-groups

In this section we will turn our attention to the algorithmic decoding question suggested by the combinatorial results of the previous section. Here we will show Lemma 2 stated in Section 3.

**Lemma 2.** Let p be a fixed prime and r > 0 be a fixed integer. Then for any abelian p-group G,  $Hom(G, \mathbb{Z}_{p^r})$  is  $\left(\frac{1}{p} + \epsilon, \operatorname{poly}(\log |G|, \frac{1}{\epsilon})\right)$  locally list decodable.

We will provide an algorithm which, given access to a function  $f: G \to \mathbb{Z}_{p^r}$ , with G a p-group, outputs an implicit representation of the homomorphisms that agree in a  $\frac{1}{p} + \epsilon$  with f. Intuitively, to get the value of such a homomorphism  $h \in Hom(G, \mathbb{Z}_{p^r})$  at a point x, we restrict our attention to a random coset of a random subgroup of G that contains x. Provided that h restricted to this coset has agreement at least  $\frac{1}{p} + \epsilon/2$  with f, we can deduce the value of h(x). Along the way we prove a lemma that says that random cosets of a random subgroup of a p-group "sample well", which is shown using the second moment method.

### 5.1 Cosets of subgroups generated by enough elements sample well

**Definition 3.** Let G be an abelian group, and let  $z_1, \ldots, z_k \in G$ . Define  $S_{z_1, \ldots, z_k}$  to be the subgroup of G generated by  $z_1, \ldots, z_k$ .

**Proposition 3.** Let G be an abelian p-group, let  $z_1, \ldots, z_k \in G$  and let  $T = p^d$  be the largest order of any element in G. Then for any  $z \in S_{z_1, \ldots, z_k}$  there are exactly  $\frac{T^k}{|S_{z_1, \ldots, z_k}|}$  distinct  $(\alpha_1, \ldots, \alpha_k) \in [T]^k$  for which  $z = \sum_{i \in [k]} \alpha_i z_i$ . In particular, any two elements of  $S_{z_1, \ldots, z_k}$  have the same number of such representations.

*Proof.* Since G is a p-group, T is a power of p and the order of any element divides T. The result now follows from the structure theorem for abelian groups.

Before giving our decoding algorithms, we state a useful lemma (whose proof is omitted in this version).

**Lemma 5.** Let G be an abelian p-group, let  $A \subseteq G$ , with  $\mu = \frac{|A|}{|G|}$  and let  $x, z_1, \ldots z_k \in G$  be picked uniformly at random. Then

$$Pr_{x,z_1,\dots,z_k}\left[\left|\frac{|A\cap(x+S_{z_1,\dots,z_k})|}{|S_{z_1,\dots,z_k}|}-\mu\right|>\epsilon\right]\leq \frac{1}{\epsilon^2p^k}.$$

## 5.2 The generalized STV algorithm

We begin with a simple but useful observation [3]: homomorphisms have simple and efficient self-correctors, i.e., for  $g: G \to H$ , there is a randomized procedure  $Corr^g: G \to H$  running in time poly(log |G|) satisfying the following property

- Self-corrector: If  $g: G \to H$  is such that there is some homomorphism  $h: G \to H$  with agree(g,h) > 7/8, then with for all  $x \in G$ ,  $Corr^g(x) = h(x)$  with probability > 3/4.

<sup>&</sup>lt;sup>3</sup> Here we abuse notation and denote by  $\alpha_i z_i$  the repeated addition in G of  $z_i$  for  $\alpha_i$  times.

Let  $R_{x,z_1,\dots,z_k}$  be the set  $x+S_{z_1-x,\dots,z_k-x}$ , i.e., the "affine subspace" passing through  $x,z_1,\dots,z_k$ . Let  $r_{x,z_1,\dots,z_k}:[T]^k\to (x+S_{z_1-x,\dots,z_k-x})$  be the parametrization of  $R_{x,z_1,\dots,z_k}$  given by:

$$r_{x,z_1,\dots,z_k}(\bar{\alpha}) = x + \sum_i \alpha_i (z_i - x).$$

For a function  $g:G\to H$ , define the restriction  $g|_{R_{x,z_1,\dots,z_k}}:[T]^k\to H$  by  $g|_{R_{x,z_1,\dots,z_k}}(\bar{\alpha})=g(r_{x,z_1,\dots,z_k}(\bar{\alpha}))$ . Notice that when we restrict homomorphisms to a set of the form  $R_{x,z_1,\dots,z_k}$ , we get an *affine homomorphism*, i.e., a function of the form h+b where h is a homomorphism and  $b\in H$ .

```
The oracle M^f_{z_1,...,z_k,a_1,...,a_k}(x):

For b \in H, define h_b : [T]^k \to H by h_b(\bar{\alpha}) = b + \sum \alpha_i(z_i - x).

1: For each b in H, estimate (by random sampling) l_b = \operatorname{agree}(f|_{R_{x,z_1,...,z_k}}, h_b).

2: If there is exactly one b with l_b > \frac{1}{p} + \frac{\epsilon}{4} then output b, else fail.
```

```
The local list decoder:
```

Repeat O(1) times:

1: Pick  $z_1, \ldots, z_k \in G$  uniformly and independently at random, where  $k = c_1 \log_p \frac{1}{\epsilon}$ . 2: For each  $(a_1, \ldots, a_k) \in H^k$ , output  $Corr^{M_{z_1, \ldots, z_k, a_1, \ldots, a_k}^f}$ .

The analysis of the list-decoding algorithm is similar to that of [11] and we omit it in this version. It leads to the following lemma.

**Lemma 6.** If h is a homomorphism s.t.  $agree(h, f) \ge \frac{1}{p} + \epsilon$  then

$$Pr_x[M_{z_1,\dots,z_k,h(z_1),\dots,h(z_k)}^f(x) = h(x)] \ge 7/8,$$

with probability  $\frac{1}{2}$  over the choice of  $z_1, \ldots, z_k \in G$ .

### Proof of Lemma 2

Let h be a homomorphism that agrees with f on a  $\frac{1}{p} + \epsilon$  fraction of points. Consider the oracle  $M^f_{z_1,\dots,z_k,h(z_1),\dots,h(z_k)}$  (where the  $a_i$  are "consistent" with h). By Lemma 6,  $M^f_{z_1,\dots,z_k,h(z_1),\dots,h(z_k)}(x)$  is correct on at least  $\frac{15}{16} > \frac{7}{8}$  of the  $x \in G$ , and thus  $Corr^{M^f_{z_1,\dots,z_k,h(z_1),\dots,h(z_k)}}$  computes h on all of G with probability at least  $\frac{3}{4}$ . It follows that each high-agreement homomorphism will appear w.h.p in the final list if the execution of the algorithm is repeated a constant number of times. This completes the proof of the lemma.

### 6 Homomorphism tester

In this section we will prove a result of Kiwi using techniques related to Section 4. The result says that the 3 query linearity tester given below for homomorphisms in  $\text{Hom}(\mathbb{Z}_p^n, \mu_p)$  has very good acceptance probability/maximum agreement trade-offs. In particular, its performance is far better than that of the BLR [3] test for p > 2.

Given  $f: \mathbb{Z}_p^n \to \mu_p$ .

We are analyzing the following linearity test:

- Pick  $x,y\in\mathbb{Z}_p^n,\,\alpha,\beta\in\mathbb{Z}_p^*$  uniformly at random
- Accept if  $f(\alpha x + \beta y) = f(x)^{\alpha} f(y)^{\beta}$ , else reject.

Kiwi [8] analyzed this test to get the following theorem.

**Theorem 3.** Suppose f passes the above test with probability  $\delta$ , then f has agreement at least  $\delta$  with some homomorphism in  $\text{Hom}(\mathbb{Z}_p^n, \mu_p)$ .

In fact, [8] proved a more general result for testing vector-space homomorphisms over any finite field  $\mathbb{F}_q^n \to \mathbb{F}_q$ , not necessarily over prime fields. His proof uses the MacWilliams identities and properties of the Krawchouk polynomials. Here we give a simple proof of the above theorem using elementary Fourier analysis. Our proof also generalizes to the case of vector-space homomorphisms (using Trace functions) though we don't include the proof in this version.

*Proof.* The proof will use Fourier analysis, and modeled along the general lines of the argument in [2] (i.e., expressing agreement and acceptance probabilities in terms of Fourier coefficients).

For  $\eta \in \mu_p$ , define  $S(\eta) = \mathbb{E}_{c \in \mathbb{Z}_p^*}[\eta^c]$ . It is easily seen that

$$S(\eta) = \begin{cases} 1, & \text{if } \eta = 1\\ \frac{-1}{p-1}, & \text{otherwise} \end{cases}$$

Recall that every homomorphism from  $\mathbb{Z}_p^n \to \mathbb{Z}_p$  is of the form  $\chi_t$  for some  $t \in \mathbb{Z}_p^n$ . For  $t \in \mathbb{Z}_p^n$  let  $\rho_t$  be the agreement of f with  $\chi_t$ . We shall prove that  $\delta \leq \max_{t \in \mathbb{Z}_p^n} \rho_t$ . This will prove the result.

We begin by finding an explicit formula for  $\rho_t$  in terms of the Fourier coefficients (this is essentially Lemma 3).

$$\rho_t - \frac{1}{p-1}(1-\rho_t) = \mathbb{E}_{x \in \mathbb{Z}_p^n}[S(f(x)\overline{\chi_t}(x))] = \mathbb{E}_{x \in \mathbb{Z}_p^n, c \in \mathbb{Z}_p^*}[f(x)^c \overline{\chi_t}(x)^c]$$
(3)

$$= \mathbb{E}_{c \in \mathbb{Z}_p^*} \mathbb{E}_{x \in \mathbb{Z}_p^n} [f(x)^c \overline{\chi_{ct}}(x)] = \mathbb{E}_{c \in F_p^*} [\hat{f}^c(ct)]$$

$$\tag{4}$$

We now find a similar formula for  $\delta$  and perform some manipulations that allow us to relate it to our formula for  $\rho_t$ .

$$\delta - \frac{1}{p-1}(1-\delta) = \mathbb{E}_{x,y\in\mathbb{Z}_p^n} \mathbb{E}_{\alpha,\beta\in\mathbb{Z}_p^*} \left[ S\left( f(x)^{\alpha} f(y)^{\beta} f(\alpha x + \beta y)^{-1} \right) \right]$$
 (5)

$$= \mathbb{E}_{x,y \in \mathbb{Z}_p^n} \mathbb{E}_{\alpha,\beta \in \mathbb{Z}_p^*} \left[ \mathbb{E}_{c \in \mathbb{Z}_p^*} [f(x)^{c\alpha} f(y)^{c\beta} f(\alpha x + \beta y)^{-c}] \right]$$
 (6)

$$= p^{n} \mathbb{E}_{x,y,z} \mathbb{E}_{\alpha',\beta',\gamma'} \left[ f(x)^{\alpha'} f(y)^{\beta'} f(z)^{\gamma'} \mathbf{1} (\alpha' x + \beta' y + \gamma' z = 0) \right]$$
 (7)

where we substituted  $\alpha' = c\alpha, \beta' = c\beta, \gamma' = -c, z = \alpha x + \beta y$  (and one verifies that  $z = \alpha x + \beta y$  is equivalent to  $\alpha' x + \beta' y + \gamma' z = 0$ ). Note that since  $\gamma' \in \mathbb{Z}_p^*$ , the probability that a random  $z \in \mathbb{Z}_p^n$  is such that  $\alpha' x + \beta' y + \gamma' z = 0$  is  $\frac{1}{p^n}$ .

$$(7) = p^{n} \mathbb{E}_{x,y,z} \mathbb{E}_{\alpha',\beta',\gamma'} \left[ f(x)^{\alpha'} f(y)^{\beta'} f(z)^{\gamma'} \mathbb{E}_{t \in \mathbb{Z}_{p}^{n}} \left[ \overline{\chi_{t}} (\alpha' x + \beta' y + \gamma' z) \right] \right]$$

$$= p^{n} \mathbb{E}_{t} \left[ \mathbb{E}_{\alpha',\beta',\gamma'} \mathbb{E}_{x} \left[ f(x)^{\alpha'} \overline{\chi_{\alpha' t}}(x) \right] \mathbb{E}_{y} \left[ f(y)^{\beta'} \overline{\chi_{\beta' t}}(y) \right] \mathbb{E}_{z} \left[ f(z)^{\gamma'} \overline{\chi_{\gamma' t}}(z) \right] \right]$$

$$= \sum_{t} \left[ \mathbb{E}_{\alpha',\beta',\gamma'} \left[ \hat{f}^{\alpha'}(\alpha' t) \hat{f}^{\beta'}(\beta' t) \hat{f}^{\gamma'}(\gamma' t) \right] \right]$$

$$= \sum_{t} \left( \mathbb{E}_{\alpha' \in \mathbb{Z}_{p}^{*}} [\hat{f}^{\alpha'}(\alpha' t)] \right)^{3}$$

$$= \sum_{t} \left( \rho_{t} - \frac{1}{p-1} (1 - \rho_{t}) \right)^{3} \quad (\text{By } (4))$$

Simplifying the last expression and using Lemma 4 we get  $\delta \leq \max_{t} \rho_{t}$ .

## Acknowledgments

Thanks to Amir Shpilka for many valuable discussions.

### References

- Michael Ben-Or, Don Coppersmith, Michael Luby, Ronitt Rubinfeld, Non-Abelian Homomorphism Testing, and Distributions Close to their Self-Convolutions. RANDOM 2004.
- 2. Mihir Bellare and Don Coppersmith and Johan Håstad and Marcos Kiwi and Madhu Sudan. Linearity testing over characteristic two. *IEEE Transactions on Information Theory*, 42(6), 1781-1795, 1996.
- 3. Manuel Blum and Michael Luby and Ronitt Rubinfeld. Self-Testing/Correcting with Applications to Numerical Problems. *Journal of Computer and System Sciences*, 47(3), 549-595, 1993.
- 4. Oded Goldreich and Leonid Levin. A hard-core predicate for all one-way functions. Proceedings of the 21st Annual ACM Symposium on Theory of Computing, 25–32, 1989
- 5. Oded Goldreich and Ronitt Rubinfeld and Madhu Sudan. Learning polynomials with queries: The highly noisy case. SIAM Journal on Discrete Mathematics, 13(4):535-570, 2000.
- Venkatesan Guruswami and Madhu Sudan. List decoding algorithms for certain concatenated codes. Proceedings of the 32nd Annual ACM Symposium on Theory of Computing, 181-190, 2000.
- 7. Marcos Kiwi, Frédéric Magniez, Miklos Santha. Exact and approximate testing/correcting of algebraic functions: A survey. *Theoretical Aspects of Computer Science*, Teheran, Iran, Springer-Verlag, LNCS 2292, 30-83, 2002.
- 8. Marcos Kiwi. Testing and weight distributions of dual codes. *Theoretical Computer Science*, 299(1–3):81-106, 2003.
- 9. Eyal Kushilevitz and Yishay Mansour. Learning decision trees using the Fourier spectrum. SIAM Journal on Computing 22(6):1331-1348, 1993.
- 10. Dana Moshkovitz, Ran Raz. Sub-Constant Error Low Degree Test of Almost Linear Size, STOC 2006.
- 11. Madhu Sudan and Luca Trevisan and Salil Vadhan. Pseudorandom generators without the XOR lemma, Proceedings of the 31st Annual ACM Symposium on Theory of Computing 537-546, 1999.
- 12. Madhu Sudan. Algorithmic Introduction to Coding Theory. Lecture Notes, 2001.
- 13. Amir Shpilka and Avi Wigderson. Derandomizing Homomorphism Testing in General Groups. *Proceedings of the 36th Annual ACM Symposium on Theory of Computing (STOC)*, pp. 427-435, 2004.
- L. Trevisan. Some Applications of Coding Theory in Computational Complexity. Survey Paper. Quaderni di Matematica 13:347-424, 2004