

# Improved Algorithms for Largest Cardinality 2-Interval Pattern Problem

Erdong Chen, Linji Yang, Hao Yuan

Department of Computer Science and Engineering  
Shanghai Jiao Tong University  
e-mail: {edchen,ljyang,hyuan}@cs.sjtu.edu.cn

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**Abstract** The 2-INTERVAL PATTERN problem is to find the largest constrained pattern in a set of 2-intervals. The constrained pattern is a subset of the given 2-intervals such that any pair of them are  $R$ -comparable, where model  $R \subseteq \{<, \sqsubset, \emptyset\}$ . The problem stems from the study of general representation of RNA secondary structures. In this paper, we give three improved algorithms for different models. Firstly, an  $O(n \log n + \mathcal{L})$  algorithm is proposed for the case  $R = \{\emptyset\}$ , where  $\mathcal{L} = O(dn) = O(n^2)$  is the total length of all 2-intervals (density  $d$  is the maximum number of 2-intervals over any point). This improves previous  $O(n^2 \log n)$  algorithm. Secondly, we use dynamic programming techniques to obtain an  $O(n \log n + dn)$  algorithm for the case  $R = \{<, \sqsubset\}$ , which improves previous  $O(n^2)$  result. Finally, we present another  $O(n \log n + \mathcal{L})$  algorithm for the case  $R = \{\sqsubset, \emptyset\}$  with disjoint support(interval ground set), which improves previous  $O(n^2 \sqrt{n})$  upper bound.

**Key words** RNA Secondary Structure, 2-Interval Pattern

## 1 Introduction

In the area of prediction and analysis of RNA secondary structures, arc-annotated sequence focuses on the very detailed description of the structure itself — the sequence of the bases and the bonds between the bases (Evans, 1999). However, using arc-annotated sequence to further predict

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Correspondence to: Hao Yuan, e-mail: hyuan1984@gmail.com

other homogeneous RNA structures is proved sometimes hard. Derived from arc-annotated sequence, 2-intervals representation considers only the bonds between the bases and the patterns of the bonds, such as knots, hairpin structures and pseudoknots (Vialette, 2004). Thus, it has become a well macroscopic describer of RNA secondary structures.

A 2-interval is two disjoint intervals on a line. Two disjoint 2-intervals can be defined in the relations of precedence( $<$ ), nest( $\sqsubset$ ) or cross( $\bowtie$ ). A constrained pattern is a set of 2-intervals such that any pair of them are  $R$ -comparable, where  $R \subseteq \{<, \sqsubset, \bowtie\}$ . 2-INTERVAL PATTERN problem introduced by Vialette (Vialette, 2004) is to find the largest constrained pattern in a set of 2-intervals, and it is closely related to the problem of PATTERN MATCHING OVER SET OF 2-INTERVALS (Vialette, 2004; Gramm, 2004) and LONGEST ARC-PRESERVING COMMON SUBSEQUENCE (Evans, 1999; Alber et al., 2004; Jiang et al., 2004).

The  $R$ -comparable relations of 2-intervals can be formulated in different graph classes (Golumbic, 1980), and some graph-theoretic algorithms have been used to solve the 2-INTERVAL PATTERN problem efficiently (Vialette, 2004; Blin et al., 2004). In the paper of Blin et al. (Blin et al., 2004), they almost completed the NP-Completeness results for 2-INTERVAL PATTERN problems under three different types of support models (unlimited, unitary, disjoint), which was classified by Vialette (Vialette, 2004).

Recently, Crochemore et al. studied the approximation algorithms for 2-INTERVAL PATTERN problem (Crochemore et al., 2005). In our paper, we give several algorithms to improve the time complexity of finding optimal solutions for some models to  $O(n \log n + \mathcal{L})$ , which is worst-case quadratic.

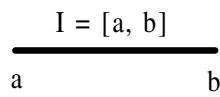
The rest of this paper is organized as follows. In Section 2, we define some basic terminologies for 2-INTERVAL PATTERN problem. In Section 3, 4 and 5, we will give improved algorithms for  $R = \{\bowtie\}$ ,  $R = \{<, \sqsubset\}$  and  $R = \{\sqsubset, \bowtie\}$  respectively. Finally, conclusions are made in Section 6.

## 2 Preliminaries

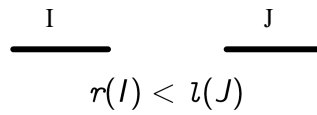
First, we'll review the terminologies used in (Vialette, 2004; Blin et al., 2004). Let  $I = [a, b]$  be an interval ( $a \leq b$ ), define  $\iota(I) = a$  and  $\mathcal{r}(I) = b$ . A 2-interval is the union of two disjoint intervals  $I$  and  $J$ , denoted by  $D = (I, J)$  such that  $I < J$ , where the strict precedence order  $<$  means  $I$  is strictly to the left of  $J$ , i.e.  $\mathcal{r}(I) < \iota(J)$ . The left interval  $I$  and right interval  $J$  of  $D$  are denoted by  $\text{Left}(D)$  and  $\text{Right}(D)$  respectively. (see Figure 1)

For any two 2-intervals  $D_1 = (I_1, J_1)$  and  $D_2 = (I_2, J_2)$ , we say they are disjoint if and only if  $(I_1 \cup J_1) \cap (I_2 \cup J_2) = \emptyset$ . Any pair of disjoint 2-intervals must satisfy one of the following three relations: (see Figure 2)

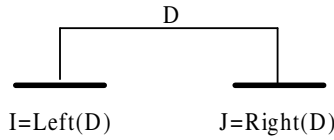
PRECEDE	$D_1 < D_2$	$\Leftrightarrow$	$I_1 < J_1 < I_2 < J_2$ ;	transitive
NEST	$D_1 \sqsubset D_2$	$\Leftrightarrow$	$I_2 < I_1 < J_1 < J_2$ ;	transitive
CROSS	$D_1 \bowtie D_2$	$\Leftrightarrow$	$I_1 < I_2 < J_1 < J_2$ ;	not symmetric



(a) an interval

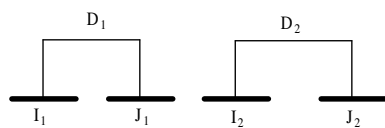


(b) strict precedence order of intervals:  $I < J \Leftrightarrow r(I) < l(J)$

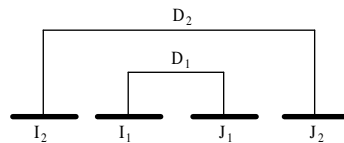


(c) a 2-interval  $D$  and its supports

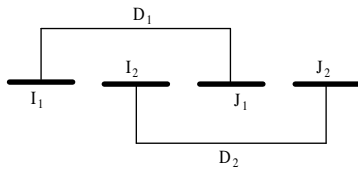
**Fig. 1** definition of 2-interval



(a) Precedence:  $D_1 < D_2$



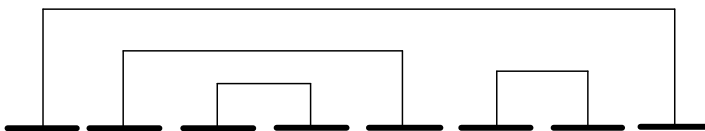
(b) Nest:  $D_1 \sqsubset D_2$



(c) Cross:  $D_1 \not\sqsubset D_2$

**Fig. 2** three relations for two disjoint 2-intervals.

$D_1$  and  $D_2$  are called  $\tau$ -comparable if  $D_1 \tau D_2$  for some  $\tau \in \{<, \sqsubset, \not\sqsubset\}$ . A 2-interval set is called  $R$ -comparable, where  $R \subseteq \{<, \sqsubset, \not\sqsubset\}$ , if and only if for any two elements of it, there exists a relation  $\tau \in R$  such that they are  $\tau$ -comparable. (See Figure 3 for example)



**Fig. 3** Here is a  $\{<, \sqsubset\}$ -comparable 2-interval set, because any two 2-intervals in this set are either  $\{<\}$ -comparable or  $\{\sqsubset\}$ -comparable.

Let  $\mathcal{D} = \{D_1, D_2, \dots, D_n\}$  denote a set of  $n$  2-intervals. The support (or interval ground set) of  $\mathcal{D}$  is denoted by  $\text{Support}(\mathcal{D}) = \bigcup \{I_i, J_i \mid D_i = (I_i, J_i)\}$ . Let  $X$  denote the set of interesting coordinates  $\bigcup_{D \in \mathcal{D}} \{\mathbf{r}(\text{Left}(D)), \mathbf{l}(\text{Right}(D))\}$ . Assume that the elements of  $X = \{x_1, x_2, \dots, x_{|X|}\}$  are sorted, i.e.  $x_1 < x_2 < \dots < x_{|X|}$ . Since  $|X| \leq 2n$ , the sorting process takes  $O(n \log n)$  time.

A structured pattern  $p$  is a sequence of  $|P| = 2m$  words, which contains  $m$  different alphabets and each appears exactly twice. The structure pattern can be used to define a  $R$ -comparable 2-interval set. For example,  $p = abaccb$  is a  $\{<, \sqsubset, \emptyset\}$ -structured pattern, because  $aa \emptyset bb$ ,  $aa < cc$  and  $cc \sqsubset bb$ . A  $R$ -structured pattern is called simple if  $|R| = 1$ . It is easy to see that  $abccba$  is a simple pattern, since it is  $\{\sqsubset\}$ -structured.

Given a 2-interval set  $\mathcal{D}$  and a model  $R \subseteq \{<, \sqsubset, \emptyset\}$ , the 2-INTERVAL PATTERN problem is to find the largest cardinality subset  $\mathcal{D}' \subseteq \mathcal{D}$ , so that  $\mathcal{D}'$  is  $R$ -comparable. In (Viallette, 2004), Viallette classified the problem into three types:

- UNITARY: All the intervals in  $\text{Support}(\mathcal{D})$  are of the same size;
- DISJOINT: The intervals in  $\text{Support}(\mathcal{D})$  are disjoint and equal-size;
- UNLIMITED: No restriction on the support of  $\mathcal{D}$ .

In our paper, we only concern the cases of DISJOINT and UNLIMITED.

To better illustrate our improvements, we define a parameter  $\mathcal{L}$ , which means the total length of 2-intervals. The length of a 2-interval  $D$  is defined to be  $\text{Length}(D) = k_2 - k_1$ , where  $x_{k_1} = \mathbf{r}(\text{Left}(D))$  and  $x_{k_2} = \mathbf{l}(\text{Right}(D))$ . The density of  $\mathcal{D}$ , denoted by  $d$ , is the maximum number of 2-intervals over any point. Formally,  $d = \max_{x \in X} |\{D = (I, J) \in \mathcal{D} \mid \mathbf{r}(I) \leq x < \mathbf{l}(J)\}|$ . It is easy to see  $\mathcal{L} \leq dn \leq n^2$ . The following table summarizes the results of our work.

### 3 Improved algorithm for $\{\emptyset\}$ -structured pattern

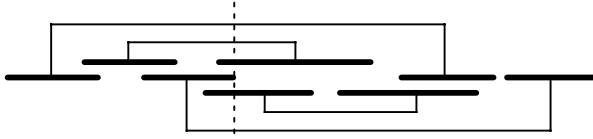
In this section, we will give an  $O(n \log n + \mathcal{L})$  algorithm for the model  $R = \{\emptyset\}$ . This improves the  $O(n^2 \log n)$  algorithm given in (Viallette, 2004).

Our algorithm is based on a sweep-line method. Let  $\mathcal{D}[x]$  be the set of 2-intervals crossing a vertical line whose horizontal coordinate is  $x$ , that is  $\mathcal{D}[x] = \{D_i \in \mathcal{D} \mid \mathbf{r}(\text{Left}(D_i)) \leq x \text{ and } \mathbf{l}(\text{Right}(D_i)) > x\}$ . For convenience, set  $x_0 = -\infty$  and let  $\mathcal{D}^{(k)}$  denote  $\mathcal{D}[x_k]$  for  $0 \leq k \leq |X|$ , hence  $\mathcal{D}^{(0)} = \emptyset$ . When the vertical line sweeps from left to right (Fig. 4) passing an interesting point  $x_k \in X$ , some 2-intervals may be added to  $\mathcal{D}[x]$ , and some may be removed. Let  $P^{(k)}$  and  $Q^{(k)}$  be the differences between  $\mathcal{D}^{(k-1)}$  and  $\mathcal{D}^{(k)}$ , we have

$$\begin{aligned} P^{(k)} &= \mathcal{D}^{(k)} \setminus \mathcal{D}^{(k-1)} = \{D \in \mathcal{D} \mid \mathbf{r}(\text{Left}(D)) = x_k\}, \\ Q^{(k)} &= \mathcal{D}^{(k-1)} \setminus \mathcal{D}^{(k)} = \{D \in \mathcal{D} \mid \mathbf{l}(\text{Right}(D)) = x_k\}. \end{aligned}$$

**Table 1** Summarized results for different models of 2-INTERVAL PATTERN problem. The improved results of this paper are marked by  $\star$ . The symbol “?” means the complexity of that case remains open.

MODEL	SUPPORT	
	DISJOINT	UNLIMITED
$\{<, \sqsubset, \emptyset\}$	$O(n\sqrt{n})$ (Micali and Vazirani, 1980)	APX-Hard (Bar-Yehuda et al., 2002)
$\{<, \emptyset\}$	?	NP-Complete (Blin et al., 2004)
$\{\sqsubset, \emptyset\}$	$O(n \log n + \mathcal{L}) \star$	NP-Complete (Viallette, 2004)
$\{<, \sqsubset\}$	$O(n \log n + dn) \star$	
$\{\emptyset\}$	$O(n \log n + \mathcal{L}) \star$	
$\{<\}$	$O(n \log n)$ (Viallette, 2004)	
$\{\sqsubset\}$	$O(n \log n)$ (Blin et al., 2004)	



**Fig. 4** A sweep-line sweeps from left to right

**Theorem 1** Let  $\omega(\mathcal{D})$  be the cardinality of the largest  $\{\emptyset\}$ -comparable subset of  $\mathcal{D}$ , then  $\omega(\mathcal{D}) = \max_{1 \leq k \leq |X|} \omega(\mathcal{D}^{(k)})$ .

*Proof* Observe that for any two 2-intervals  $D_i$  and  $D_j$  which are  $\emptyset$ -comparable, we have  $r(\text{Left}(D_j)) < l(\text{Right}(D_i))$ . Thus for any subset  $\mathcal{D}' \subseteq \mathcal{D}$  which is  $\{\emptyset\}$ -comparable, we have  $\max_{D'_j \in \mathcal{D}'} r(\text{Left}(D'_j)) < \min_{D'_i \in \mathcal{D}'} l(\text{Right}(D'_i))$ . This implies that  $\mathcal{D}'$  is also a subset of  $\mathcal{D}^{(k)}$ , where  $x_k = \max_{D' \in \mathcal{D}'} r(\text{Left}(D'))$ . Hence,  $\omega(\mathcal{D})$  must be equal to  $\omega(\mathcal{D}^{(k)})$  for a specific  $k$ .  $\square$

By Theorem 1, we can get  $\omega(\mathcal{D})$  by computing  $\omega(\mathcal{D}^{(k)})$  for  $1 \leq k \leq |X|$ . Viallette shows that  $\omega(\mathcal{D}^{(k)})$  can be computed by finding a maximum independent set of corresponding trapezoid graphs in  $O(n \log n)$  time (Felsner et al., 1997), so his algorithm runs in  $O(n^2 \log n)$  total time. To improve the complexity, we utilize the dynamic structure of  $\mathcal{D}^{(k)}$  by discovering the relationship between  $\omega(\mathcal{D}^{(k-1)})$  and  $\omega(\mathcal{D}^{(k)})$ .

**Definition 1** The height of  $D$  under  $\mathcal{D}^{(k)}$ , denoted by  $H_k(D)$ , is the cardinality of the largest  $\{\emptyset\}$ -comparable subset of  $\mathcal{D}^{(k)}$ , whose maximal element

must be  $D$  under the relation  $\checkmark$ . That is  $H_k(D) = \omega(\{D' \in \mathcal{D}^{(k)} \mid D' \checkmark D\}) + 1$  for  $D \in \mathcal{D}^{(k)}$ , and  $H_k(D) = 0$  for  $D \notin \mathcal{D}^{(k)}$ .

Obviously, we have  $\omega(\mathcal{D}^{(k)}) = \max_{D \in \mathcal{D}^{(k)}} H_k(D)$ . If we can compute  $H_k(D)$  for each  $k$  and  $D$  efficiently, then we can get a better upper bound. To achieve this, we first exam the the relationship between  $H_k(D)$  and  $H_{k-1}(D)$ , and show that every non-zero  $H_k(D)$  can be computed efficiently.

**Lemma 1** For any  $k$ , the  $\checkmark$  relation is transitive in  $\mathcal{D}^{(k)}$ .

*Proof* Given any three 2-intervals  $D_1, D_2, D_3 \in \mathcal{D}^{(k)}$ , where  $D_1 \checkmark D_2$  and  $D_2 \checkmark D_3$ , we are going to prove that  $D_1 \checkmark D_3$ . Let  $D_1 = (I_1, J_1)$ ,  $D_2 = (I_2, J_2)$  and  $D_3 = (I_3, J_3)$ , then by the definition of  $\checkmark$ , we have  $I_1 < I_2$  and  $I_2 < I_3$ , so

$$I_1 < I_3. \quad (1)$$

For the same reason, that is  $J_1 < J_2$  and  $J_2 < J_3$ , we have

$$J_1 < J_3. \quad (2)$$

Because  $D_3$  is in  $\mathcal{D}^{(k)}$ , we have ( by definition of  $\mathcal{D}^{(k)}$ )

$$r(I_3) \leq x_k, \quad (3)$$

and also because  $D_1$  is in  $\mathcal{D}^{(k)}$ , we have ( by definition of  $\mathcal{D}^{(k)}$ )

$$l(J_1) > x_k. \quad (4)$$

From (3) and (4), we get

$$r(I_3) < l(J_1), \quad (5)$$

from (5) we get

$$I_3 < J_1. \quad (6)$$

Combining (1), (2) and (6), we have

$$I_1 < I_3 < J_1 < J_3. \quad (7)$$

So we know that  $D_1$  and  $D_3$  are  $\checkmark$ -comparable due to the definition of  $\checkmark$ , that is

$$D_1 \checkmark D_3. \quad (8)$$

So the  $\checkmark$  relation is transitive in the set  $\mathcal{D}^{(k)}$  for any fixed  $k$ .  $\square$

**Theorem 2** For  $D \in \mathcal{D}^{(k-1)}$ , after the sweep-line goes from  $x_{k-1}$  to  $x_k$ , the height of  $D$  decreases at most by one, or remains the same, i.e.  $H_{k-1}(D) - 1 \leq H_k(D) \leq H_{k-1}(D)$ .

*Proof* First,  $H_k(D) = h > 1$  if and only if there exists a  $D' \in \mathcal{D}^{(k)}$  so that  $H_k(D') = h - 1$  and  $D' \not\ll D$  (due to Lemma 1). Since no 2-interval in  $P^{(k)}$  can cross  $D$ , the height of  $D$  will not increase. Let  $\{D_1, D_2, \dots, D_{h-1}, D\}$  be a  $\{\not\ll\}$ -comparable subset of  $\mathcal{D}^{(k-1)}$ , where  $h = H_{k-1}(D)$ . Because no pair of 2-intervals in  $Q^{(k)}$  are  $\not\ll$ -comparable, at least  $h - 1$  elements from the previous set will be preserved in  $\mathcal{D}^{(k)}$ , hence  $H_k(D) \geq h - 1 = H_{k-1}(D) - 1$ .  $\square$

Let  $C_h^{(k)} = \{D \in \mathcal{D}^{(k)} \mid H_k(D) = h\}$ . The key issue is to test efficiently for a 2-interval  $D \in C_h^{(k-1)}$  whether there exists a  $D' \in C_{h-1}^{(k)}$  such that  $D' \not\ll D$ . In other words, we have to check whether the following set is empty

$$\left\{ D' \in C_{h-1}^{(k)} \mid \mathbf{r}(\text{Left}(D')) < \mathbf{l}(\text{Left}(D)) \text{ and } \mathbf{r}(\text{Right}(D')) < \mathbf{l}(\text{Right}(D)) \right\}.$$

Alternatively, it is to test whether

$$\min \left\{ \mathbf{r}(\text{Left}(D')) \mid D' \in C_{h-1}^{(k)} \text{ and } \mathbf{r}(\text{Right}(D')) < \mathbf{l}(\text{Right}(D)) \right\} < \mathbf{l}(\text{Left}(D)).$$

This property could be tested efficiently by a merge-like process, when the 2-intervals in  $C_{h-1}^{(k)}$  are sorted by the key  $\mathbf{r}(\text{Right}(D'))$ , and those in  $C_h^{(k-1)}$  are sorted by  $\mathbf{l}(\text{Right}(D))$ . By the discussion above, we have following procedure FALLDOWN( $k$ ) to compute  $H_k(D)$  from  $H_{k-1}(D)$  for  $D \in \mathcal{D}^{(k-1)}$  in  $O(|\mathcal{D}^{(k-1)}| + |\mathcal{D}^{(k)}|)$  time. For a fixed  $k$  that  $0 < k \leq |X|$ , the procedure partitions  $\mathcal{D}^{(k)}$  into  $|\mathcal{D}^{(k)}|$  2-interval set  $C_1^{(k)}, C_2^{(k)}, \dots, C_{|\mathcal{D}^{(k)}|}^{(k)}$ .

FALLDOWN( $k$ ) over all  $k$  can be implemented in  $O(n \log n + \sum |\mathcal{D}^{(k)}|)$  time.

Next, we will give a procedure to compute  $H_k(D)$  for  $D \in P^{(k)}$ . From the fact that there is not any pair of 2-intervals in  $P^{(k)}$  that are  $\not\ll$ -comparable, the height of  $D_j \in P^{(k)}$  under  $\mathcal{D}^{(k)}$  can be calculated by  $H_k(D_j) = \max_{D_i \not\ll D_j} H_k(D_i) + 1$  if there is at least one  $D_i \in \mathcal{D}^{(k)} \setminus P^{(k)}$  which cross  $D_j$ . Otherwise,  $H_k(D_j) = 1$ .

**Definition 2** For each  $0 < h \leq |\mathcal{D}^{(k)}|$ , define the boundary with respect to  $y$ ,

$$B_h^{(k)}(y) = \min_{D \in C_h^{(k)}} \left\{ \mathbf{r}(\text{Left}(D)) \mid \mathbf{r}(\text{Right}(D)) < y \right\}. \quad (9)$$

**Lemma 2** For any  $0 < h_1 < h_2 \leq |\mathcal{D}^{(k)}|$ , we have  $B_{h_1}^{(k)}(y) < B_{h_2}^{(k)}(y)$

*Proof* For a fixed  $k$  and  $y$ , let  $D_{h_2}$  denote the 2-interval from  $C_{h_2}^{(k)}$  which minimizes  $B_{h_2}^{(k)}$  in Equation (9). Since  $h_2 > h_1$ , there must be at least one  $D_{h_1} \in C_{h_1}^{(k)}$  that  $D_{h_1} \not\ll D_{h_2}$ . Since  $\mathbf{r}(\text{Right}(D_{h_1})) < \mathbf{r}(\text{Right}(D_{h_2})) < y$ , therefore, we have  $B_{h_1}^{(k)}(y) \leq \mathbf{r}(\text{Left}(D_{h_1})) < \mathbf{r}(\text{Left}(D_{h_2})) = B_{h_2}^{(k)}(y)$ .  $\square$

**Procedure 3.1** FALLDOWN(k)

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**Function:** Compute  $H_k(D)$  for every  $D \in \mathcal{D}^{(k-1)}$  from  $H_{k-1}(D)$ .

**Notation:** Let  $C_h^{(k)}[i]$  denote the  $i$ th element in sorted  $C_h^{(k)}$ .

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1: Sort the 2-intervals in each  $C_h^{(k-1)}$  according to the key  $\iota(\text{Right}(D))$ .
2:  $C_1^{(k)} \leftarrow C_1^{(k-1)} \setminus Q^{(k)}$ , sort it by the key  $\mathfrak{r}(\text{Right}(D))$ 
3: for  $h \leftarrow 2$  to  $|\mathcal{D}^{(k-1)}|$  do
4:    $C_h^{(k)} \leftarrow C_h^{(k-1)}$ ; Decreased =  $\emptyset$ ;  $j \leftarrow 1$ ,  $i \leftarrow 1$ ; LEFTMOST  $\leftarrow +\infty$ ;
5:   while  $j \leq |C_h^{(k-1)}|$  do
6:     while  $\mathfrak{r}(\text{Right}(C_{h-1}^{(k)}[i])) < \iota(\text{Right}(C_h^{(k-1)}[j]))$  do
7:       LEFTMOST  $\leftarrow \min \{ \text{LEFTMOST}, \mathfrak{r}(\text{Left}(C_{h-1}^{(k)}[i])) \}$ 
8:        $i \leftarrow i + 1$ 
9:     end while
10:    if LEFTMOST  $< \iota(\text{Left}(C_h^{(k-1)}[j]))$  then
11:      Do nothing. // the height of  $C_h^{(k-1)}[j]$  remains the same
12:    else
13:      Decreased  $\leftarrow \text{Decreased} \cup \{ C_h^{(k-1)}[j] \}$ 
14:       $C_h^{(k)} \leftarrow C_h^{(k)} \setminus C_h^{(k-1)}[j]$ 
15:    end if
16:     $j \leftarrow j + 1$ 
17:  end while
18:   $C_{h-1}^{(k)} \leftarrow C_{h-1}^{(k)} \cup \text{Decreased}$ 
19:  Sort the 2-intervals in  $C_h^{(k)}$  by the key  $\mathfrak{r}(\text{Right}(D))$ 
20: end for

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**Procedure 3.2** JUMPUP(k)

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Calculate the Heights of  $P^{(k)}$  under  $\mathcal{D}^{(k)}$

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1: set  $B_h^{(k)} \leftarrow \infty$  for each  $1 \leq h \leq |\mathcal{D}^{(k)}|$  and set  $B_0^{(k)} \leftarrow -\infty$ 
2: sort 2-intervals in  $\mathcal{D}^{(k)}$  according to key(D), where

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$$\text{key}(D) = \begin{cases} \mathfrak{r}(\text{Right}(D)), & \text{if } D \in \mathcal{D}^{(k)} \setminus P^{(k)} \\ \iota(\text{Right}(D)), & \text{if } D \in P^{(k)} \end{cases}$$

If there is a tie, let the elements in  $P^{(k)}$  go first.

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3: for  $i \leftarrow 1$  to  $|\mathcal{D}^{(k)}|$  do
4:   let  $D$  be  $i$ th element in  $\mathcal{D}^{(k)}$ 
5:   if  $D \in P^{(k)}$  then
6:     find the largest  $h$  such that  $B_h^{(k)} < \iota(\text{Left}(D))$ 
7:      $H_k(D) \leftarrow h + 1$ 
8:   else
9:     let  $h \leftarrow H_k(D)$ , then set  $B_h^{(k)} \leftarrow \min \{ B_h^{(k)}, \mathfrak{r}(\text{Left}(D)) \}$ 
10:  end if
11: end for
12: for each  $0 < h \leq |\mathcal{D}^{(k)}|$  do
13:   $C_h^{(k)} \leftarrow C_h^{(k)} \cup \{ H_k(D) = h \mid D \in P^{(k)} \}$ 
14: end for

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The procedure  $\text{JUMPUP}(k)$  is used for computing the heights of 2-intervals in  $P^{(k)}$  under  $\mathcal{D}^{(k)}$ . The sorting process in Line 2 and the merge process in Lines 12-14 can be done in  $O(|\mathcal{D}^{(k)}|)$  time, if we first sort each  $P^{(k)}$  in a global stage. Line 6 can be implemented by binary search (Lemma 2) in  $O(\log|\mathcal{D}^{(k)}|)$  time. Thus the total complexity for all  $\text{JUMPUP}(k)$  is

$$\begin{aligned} \sum O(|\mathcal{D}^{(k)}| + |P^{(k)}| \log|\mathcal{D}^{(k)}|) &= O\left(\sum |\mathcal{D}^{(k)}| + \sum |P^{(k)}| \log n\right) \\ &= O(\sum |\mathcal{D}^{(k)}| + n \log n). \end{aligned}$$

Combining procedures  $\text{FALLDOWN}(k)$  and  $\text{JUMPUP}(k)$ , we finally obtain Algorithm 3.3. It is easy to see that the space complexity is  $O(n)$ . By the equation  $\sum |\mathcal{D}^{(k)}| = \mathcal{L}$ , we have the total time complexity  $O(n \log n + \mathcal{L})$ .

The correctness of Algorithm 3.3 is based on the following facts:

**Lemma 3** *No 2-interval in  $P^{(k)}$  will cross any element in  $\mathcal{D}^{(k)}$ .*

**Corollary 1** *The height of a 2-interval  $D \in \mathcal{D}^{(k)} \setminus P^{(k)}$  under  $\mathcal{D}^{(k)}$  is the same to its height under  $\mathcal{D}^{(k)} \setminus P^{(k)}$ .*

**Theorem 3** *Procedure  $\text{FALLDOWN}(k)$  correctly computes the heights of 2-intervals in  $\mathcal{D}^{(k)} \setminus P^{(k)}$  under  $\mathcal{D}^{(k)}$ .*

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**Algorithm 3.3**  $\{\emptyset\}$ -STRUCTURED 2-INTERVAL PATTERN

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- 1: sort the 2-intervals and their endpoints
  - 2: calculate  $P^{(k)}$  and  $Q^{(k)}$  for each  $1 \leq k \leq |X|$
  - 3: set  $C_h^{(0)} = \emptyset$  for all  $1 \leq h \leq n$
  - 4: **for**  $k \leftarrow 1$  to  $|X|$  **do**
  - 5: call Procedure  $\text{FALLDOWN}(k)$  // compute  $H_k(D)$  for  $D \in \mathcal{D}^{(k)} \setminus P^{(k)}$
  - 6: call Procedure  $\text{JUMPUP}(k)$  // compute  $H_k(D)$  for  $D \in P^{(k)}$
  - 7:  $\omega(\mathcal{D}^{(k)})$  equals to the largest  $h$  such that  $C_h^{(k)} \neq \emptyset$ , or zero if  $\mathcal{D}^{(k)} = \emptyset$
  - 8: **end for**
  - 9: return  $\max \omega(\mathcal{D}^{(k)})$
- 

**Proposition 1** *The  $\{\emptyset\}$ -STRUCTURED 2-INTERVAL PATTERN problem can be solved in  $O(n \log n + \mathcal{L})$  time.*

For the case of disjoint support, it can be transformed to the problem of finding a maximum clique in circle graphs (Golumbic, 1980). Each 2-interval maps to a vertex, and two vertices are adjacent if and only if their corresponding 2-interval are  $\emptyset$ -comparable. By the result of Masuda et al. (Masuda et al., 1990), we have

**Proposition 2** *The DISJOINT SUPPORT  $\{\emptyset\}$ -STRUCTURED 2-INTERVAL PATTERN problem can be solved in  $O(n \log n + \min\{m, dn\})$  time, where  $m$  is the number of 2-interval pairs that are  $\emptyset$ -comparable.*

#### 4 Improved algorithm for $\{<, \sqsubset\}$ -structured pattern

In this section, we will give an  $O(n \log n + dn)$  algorithm for  $\{<, \sqsubset\}$ -STRUCTURED 2-INTERVAL PATTERN problem, and it is  $O(n \log n + \mathcal{L})$  for the case that no 2-intervals share the same rightmost endpoint. Our algorithm improves the  $O(n^2)$  upper bound given by Vialette (Vialette, 2004), in the sense that if we parameterize  $d$ , which is rather small when dealing with most of RNA sequences. What's more, the case in this section is also the exact model of most of RNA sequences. The algorithm is similar to the maximum weighted independent set algorithm for circle graphs which is proposed recently by Valiente (Valiente, 2003).

**Definition 3** Let  $\mathcal{D}[z_1, z_2]$  represent the 2-intervals lie in  $[z_1, z_2]$ , where  $z_1 < z_2$ , i.e.  $\mathcal{D}[z_1, z_2] = \{D \in \mathcal{D} \mid z_1 \leq \mathfrak{l}(Left(D)) < \mathfrak{r}(Right(D)) \leq z_2\}$ .

**Definition 4** Let  $\alpha(\mathcal{D})$  be the cardinality of largest  $\{<, \sqsubset\}$ -comparable subset of  $\mathcal{D}$ . Take  $\alpha[z_1, z_2]$  instead of  $\alpha(\mathcal{D}[z_1, z_2])$  for short. Let  $\alpha(D)$  denote the cardinality of largest  $\{<, \sqsubset\}$ -comparable subset of  $\mathcal{D}[\mathfrak{l}(Left(D)), \mathfrak{r}(Right(D))]$ , with the constraint that  $D$  must be in that largest cardinality subset.

**Lemma 4**  $\alpha(D) = 1 + \alpha[\mathfrak{r}(Left(D)) + 1, \mathfrak{l}(Right(D)) - 1]$ .

**Lemma 5** Let  $\alpha'[z_1, z_2] = \max\{\alpha[z_1, \mathfrak{l}(Left(D)) - 1] + \alpha(D)\}$ , where  $D \in \mathcal{D}[z_1, z_2]$  and  $\mathfrak{r}(Right(D)) = z_2$ . We have  $\alpha[z_1, z_2] = \max\{\alpha[z_1, z_2 - 1], \alpha'[z_1, z_2]\}$ .

Combining the two Lemmas above, we have a dynamic programming algorithm working in  $O(n^2)$  time. To achieve a tighter bound, we can first compute  $\alpha(D)$  for every  $D \in \mathcal{D}$  in the order of their lengths (see next paragraph), and then calculate  $\alpha(\mathcal{D}) = \alpha[x_1, x_{|X|}]$  in  $O(|X|)$  time by Lemma 5.

To compute the value of  $\alpha(D_i)$ , we only have to calculate  $\alpha[\mathfrak{r}(Left(D_i)) + 1, \mathfrak{l}(Right(D_i)) - 1]$  due to Lemma 4. For a specific  $D_i$ , let  $\beta[z]$  denote  $\alpha[\mathfrak{r}(Left(D_i)) + 1, z]$ , then after slightly modifying Lemma 5, we have

$$\beta[z] = \max_{D'}\{\beta[z - 1], \beta[\mathfrak{l}(Left(D')) - 1] + \alpha(D')\}$$

where  $D'$  goes through all 2-intervals which satisfies  $\mathfrak{r}(Right(D')) = z$  and  $\mathfrak{l}(Left(D')) \geq \mathfrak{r}(Left(D_i)) + 1$ . The special case is that

$$\beta[z] = 0 \quad \text{for } z \leq \mathfrak{r}(Left(D_i)) + 1.$$

Since the goal is to find out the value of  $\alpha(D_i) = \beta[\mathfrak{l}(Right(D_i)) - 1] + 1$ , we only have to compute  $\beta[z]$  for  $\mathfrak{r}(Left(D_i)) < z < \mathfrak{l}(Right(D_i))$ . So only  $O(\text{Length}(D_i))$  temporary space is required. The computational cost for an entry  $\beta[z]$  is in proportion to the number of 2-intervals whose rightmost endpoint is  $z$  and its length is smaller than  $\text{Length}(D_i)$ . All these 2-intervals can be enumerated efficiently by constructing a sorted list  $\text{List}(x_k)$  for each  $x_k \in X$  in total  $O(n \log n)$  time at the preprocessing stage, where  $\text{List}(x_k)$  contains all 2-intervals whose rightmost endpoint is  $x_k$ , and the 2-intervals in each list is sorted by their lengths.

**Theorem 4** For an 2-interval  $D_i \in \mathcal{D}$ , if we know the values of  $\alpha(D')$  for all  $D' \sqsubset D_i$  that  $\text{Length}(D') < \text{Length}(D_i)$ , then we can compute  $\alpha(D_i)$  in  $O(\text{Length}(D_i) + m_i)$  time and only use  $O(\text{Length}(D_i))$  temporary space, where  $m_i$  is the number of 2-intervals nested in  $D_i$ .

The total complexity is  $O(n \log n + \mathcal{L} + \sum m_i + |X|)$ . It is not too difficult to see that  $\sum m_i \leq dn$ . If no 2-intervals share the same rightmost endpoint, then it is easy to see that  $m_i \leq \text{Length}(D_i)$ , hence we have  $\sum m_i \leq \mathcal{L}$  in this case.

**Proposition 3** The  $\{\sqsubset, \sqsubseteq\}$ -STRUCTURED 2-INTERVAL PATTERN problem can be solved in  $O(n \log n + dn)$  time and  $O(n)$  space. If no 2-intervals share the same rightmost endpoint, then the time complexity can be improved to  $O(n \log n + \mathcal{L})$ .

### 5 Improved algorithm for $\{\sqsubset, \emptyset\}$ -structured pattern

In this section, we will give an  $O(n \log n + \mathcal{L})$  algorithm for the model  $R = \{\sqsubset, \emptyset\}$  with disjoint support. This improves the  $O(n^2 \sqrt{n})$  algorithm given by Blin et al. (Blin et al., 2004).

In the case with disjoint support, define  $l(D)$  and  $r(D)$  to be the left and right endpoints respectively for a 2-interval  $D \in \mathcal{D}$ , i.e.  $l(D) = l(\text{Left}(D)) = r(\text{Left}(D))$  and  $r(D) = l(\text{Right}(D)) = r(\text{Right}(D))$ .

**Lemma 6** Let  $\mathcal{D}'$  be a  $\{\sqsubset, \emptyset\}$ -comparable subset of  $\mathcal{D}$ , then

$$\max_{D'_j \in \mathcal{D}'} l(D'_j) < \min_{D'_i \in \mathcal{D}'} r(D'_i).$$

*Proof* Otherwise, there must be two 2-intervals  $D'_j$  and  $D'_i$  such that  $l(D'_j) \geq r(D'_i)$ . If  $l(D'_j) = r(D'_i)$ , then  $D'_j$  and  $D'_i$  are not comparable; if  $l(D'_j) > r(D'_i)$ , then we have  $D'_i < D'_j$ . Both cases lead to contradictions, because  $D'_j$  and  $D'_i$  should be either  $\sqsubset$ -comparable or  $\emptyset$ -comparable!  $\square$

Define  $\varphi(\mathcal{D})$  to be the largest largest  $\{\sqsubset, \emptyset\}$ -comparable subset of  $\mathcal{D}$  with disjoint support. Similar to section 3, we have  $\varphi(\mathcal{D}) = \max \varphi(\mathcal{D}^{(k)})$ . Blin et al. calculate each  $\varphi(\mathcal{D}^{(k)})$  by finding the maximum cardinality matching in the corresponding bipartite graphs. We still apply this idea, but the complexity is improved by discovering the dynamic structure of the bipartite graphs.

**Definition 5** For a fixed  $0 < k \leq |X|$ , let  $G^{(k)} = (U^{(k)}, V^{(k)}, E^{(k)})$  be a bipartite graph corresponding to  $\mathcal{D}^{(k)}$  defined as follows:  $U^{(k)} = \{x \in X \mid x \leq x_k\}$ , and  $V^{(k)} = \{x \in X \mid x > x_k\}$ , the edges  $E^{(k)} = \{\langle l(D), r(D) \rangle \mid D \in \mathcal{D}^{(k)}\}$ .

Obviously, a maximum matching of  $G^{(k)}$  corresponds to a maximum cardinality  $\{\sqsubset, \emptyset\}$ -comparable subset of  $\mathcal{D}^{(k)}$ . Let  $M^{(k)}$  be a maximum matching in  $G^{(k)}$ .

**Theorem 5** *The cardinality of maximum matching in  $G^{(k)}$  and  $G^{(k-1)}$  differs at most one, i.e.  $|M^{(k-1)}| - 1 \leq |M^{(k)}| \leq |M^{(k-1)}| + 1$ .*

*Proof* Define the differences of  $E^{(k)}$  and  $E^{(k-1)}$  by

$$F_+^{(k)} = E^{(k)} \setminus E^{(k-1)} = \{\langle x_k, x_j \rangle \mid x_j = \mathbf{r}(D) \text{ for } D \in \mathcal{D} \text{ where } \mathbf{l}(D) = x_k\},$$

$$F_-^{(k)} = E^{(k-1)} \setminus E^{(k)} = \{\langle x_i, x_k \rangle \mid x_i = \mathbf{l}(D) \text{ for } D \in \mathcal{D} \text{ where } \mathbf{r}(D) = x_k\}.$$

Let  $M = M^{(k-1)} \setminus \{\langle x_i, x_k \rangle\}$  if there is an edge  $\langle x_i, x_k \rangle \in F_-^{(k)}$ , otherwise  $M = M^{(k-1)}$ . Obviously,  $M$  is a matching of  $G^{(k)}$ , so  $|M^{(k-1)}| - 1 \leq |M| \leq |M^{(k)}|$ . The second part of inequalities can be proved in the same way. Let  $M' = M^{(k)} \setminus \{\langle x_k, x_j \rangle\}$  if there is an edge  $\langle x_k, x_j \rangle \in F_+^{(k)}$ , otherwise  $M' = M^{(k)}$ . Now  $M'$  is a matching of  $G^{(k-1)}$ , so  $|M^{(k)}| - 1 \leq |M'| \leq |M^{(k-1)}|$ .  $\square$

**Theorem 6 ((Hopcroft and Karp, 1973))** *Let  $M_1$  and  $M_2$  be two matchings, if  $|M_1| = s$ ,  $|M_2| = r$  and  $r > s$ , then  $M_1 \oplus M_2$  contains at least  $r - s$  vertex disjoint augmenting paths relative to  $M_1$ . Where the operation  $\oplus$  means*

$$M_1 \oplus M_2 = \{e \mid e \in M_1 \text{ and } e \notin M_2\} \cup \{e \mid e \notin M_1 \text{ and } e \in M_2\}.$$

By Theorem 5 and Theorem 6, we have Algorithm 5.1 to find the maximum matching of  $G^{(k)}$  for each  $0 < k \leq |X|$  efficiently. Since the number of edges is at most  $|\mathcal{D}|$ , so the space complexity is  $O(n)$ . It is easy to see that the time complexity from Line 4 to Line 9 is  $O(\sum |E^{(k)}|) = O(\sum |\mathcal{D}^{(k)}|) = O(\mathcal{L})$ . Thus, our algorithm is worst-case quadratic, which improves the previous best known upper bound  $O(n^2\sqrt{n})$ .

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**Algorithm 5.1** DISJOINT SUPPORT  $\{\sqsubset, \emptyset\}$ -STRUCTURED 2-INTERVAL PATTERN

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- 1: sort the endpoints of  $\mathcal{D}$
  - 2: calculate  $F_+^{(k)}$  and  $F_-^{(k)}$  for every  $0 < k \leq |X|$
  - 3:  $E \leftarrow \emptyset$ ,  $M \leftarrow \emptyset$
  - 4: **for**  $k \leftarrow 1$  to  $|X|$  **do**
  - 5:    $E \leftarrow E \setminus F_-^{(k)}$ ,  $M \leftarrow M \setminus F_-^{(k)}$
  - 6:   **if** there exist an augmenting path  $P \in E$  relative to  $M$ , **then**  $M \leftarrow M \oplus P$
  - 7:    $E \leftarrow E \cup F_+^{(k)}$
  - 8:   **if** there exist an augmenting path  $P \in E$  relative to  $M$ , **then**  $M \leftarrow M \oplus P$
  - 9: **end for**
- 

**Proposition 4** *The DISJOINT SUPPORT  $\{\sqsubset, \emptyset\}$ -STRUCTURED 2-INTERVAL PATTERN problem can be solved in  $O(n \log n + \mathcal{L})$  time.*

## 6 Conclusions

In this paper, we give several improved algorithms for different models of 2-INTERVAL PATTERN problem. The case of  $R = \{\emptyset\}$  and  $R = \{\sqsubset, \emptyset\}$  with disjoint support are solved both in  $O(n \log n + \mathcal{L})$  time by sweep-line based method. An  $O(n \log n + dn)$  algorithm is given to solve the case  $R = \{<, \sqsubset\}$ , which is similar to previous known maximum independent set algorithm for circle graphs. All of our algorithms require only linear space.

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