# Using Proofs-from-Tests to Verify Higher-Order Programs

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Joint work with He Zhu



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 $\left( \int^{3} \right)$ 

- How can we integrate specification inference and automated verification techniques within an optimizing compiler for Standard ML?
  - $\star$  Enrich the class of *provably* correct optimizations
  - **†** Facilitate better specialization and structure representation decisions

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#### • What do we need the system to be?

- ★ Automated
- ★ Modular
- **+** Precise

Incorporate notions of {path, context} - sensitivity

- $\star$  Scalable and lightweight
  - Use off-the-shelf verification tools
- ★ Understandable
  - + Analysis over a high-level intermediate representation
  - Useful for error checking

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Failure to infer a "rich" specification only implies a missed optimization opportunity, not a violation of compiler correctness



#### Consider

```
fun arraymax a g =
  let fun am h j m =
      let val k = h j
      val a = assert (k>=0 /\ k<len a)
      val u = sub a k
      val p = max u m
      in assert (p >= m); p
      end
      fun am' = am g
   in foldl (len a) 0 am'
end
```



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# Challenges

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Expressive assertion language

Complex dataflow

Unknown procedures

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Expressive assertion language

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Specifications must propagate across procedure boundaries

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fun max x y =
 if x > y
 then x
 else y

val r = max a b

val \_ = assert (r >= a)

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# Liquid Types

fun max x y = {x:{V:int | true} 
$$\rightarrow$$
 y:{V:int | true}  $\rightarrow$ {V:int | V >= x /\ V >= y}}  
if x > y  
then x {V:int | V = x}  
else y {V:int | V = y}  
{V:int | V = x}

```
val r = \{v \ge a / | v \ge b\}
max a b
```

```
val _ = assert (r >= a)
```

Extend standard types with refinement predicates that refer to program variables and primitive functions

Well-typed program implies correctness

#### Refinement Predicates

fun foldn n b f {n : true  $\rightarrow$  b : true  $\rightarrow$  f : {x1: (v >= 0) / (v < n})  $\rightarrow$  x2 : true  $\rightarrow$  true}  $\rightarrow$  true} = let fun loop i c {i : { $(V < n) \Rightarrow (V >= 0)$ }  $\rightarrow c$  : true  $\rightarrow$  true} = if (i < n) then loop (i+1) (f i c) {true} else c {true} {true} in loop 0 b {true} Set of logical qualifiers is potentially end quite large fun g x { $\forall y$ . x : { $\nu \ge 0$  /  $\nu < y$ }  $\rightarrow$  { $\nu \ge 0$  /  $\nu < y$ } =  $X \{ \forall y \cdot V = x \}$ Would like to infer the potential set of qualifiers from context and refine them as fun arraymax a {a :  $\{true\} \rightarrow true\} =$ appropriate let fun am h j m  $\{h : \{x1 : \{v \ge 0 / \setminus v \le len a\} \rightarrow \{v \ge 0 / \setminus v \le len a\}\} \rightarrow$ j : { $v \ge 0 / v < \text{len a}$  $m : \{true\} \rightarrow true\} =$ let val k { $v \ge 0$  /\ v < len a} = h j val  $\{true\}$  = assert (k>=0 /\ k < len a) u {t<u>rue}</u> = sub a k p (v >= m) = max u m in assert ( $p \ge m$ ); p (v = p) end fun am' {x1:  $\{v \ge 0 / | v \le len \}$ }  $\rightarrow$  x2 : true  $\rightarrow$  true} = am g in foldn (len a) 0 am' {true} end

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#### Refinement Predicates

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- Analyze higher-order programs using first-order verification engine
  - ★ Use a modular (inter-procedural) analysis to abstract dataflow through higherorder procedures.
  - **†** First-order verification engine treats higher-order functions as abstract values.
  - $\star$  Use subtyping to propagate dependent type information across function boundaries

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  - Iteratively refine dependent types using information gleaned from counterexample program paths
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- Fixpoint algorithm
  - $\star$ Iterative type refinement based on new verification facts
  - $\star$ Iterative type-checking based on new qualifier inferences
- Integrate these steps as a separate compiler phase

### Framework



### Framework



```
fun foldn n b f = ...
fun g x = x
fun arraymax a {a : {true} \rightarrow true} =
    let fun am h j m =
        let val k {v >= 0 /\ v < len a} = h j
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            val p = max u m
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        fun am'= am g
        in foldn (len a) 0 am'
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```

#### Want to infer type of h in this context

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#### Want to infer type of h in this context

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fun foldn n b f = ...
fun g x = x
fun arraymax a {a : {true} > true} =
let fun am h j m = {h : {... -> {v >= 0 / \ v < len a}} → j : .... → m : {true} → tru
let val k {v >= 0 / \ v < len a} = h j / val u = (assert (k>=0 / \ k < len a); sub a k)
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fun arraymax a {a : {true} \rightarrow true} =

let fun am h j m =

let val k {V \ge 0 /\ V < len a} = h.j<sup>...</sup>

val u = (assert (k>=0 /\ k < len a); sub a k)

val p = max u m

in assert (p >= m); p<sup>...</sup>

end

fun am' = am g<sup>...</sup>

in foldn (len a) 0 am'

end
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#### Want to infer type of h in this context

# Type Checking

 Construct a verification condition (VC) as a first-order formula from inferred dependent types

 $\star$  Typing rules track path conditions that are encoded in the structure of the VC

 The condition to be verified by an SMT solver is the negation of the VC

- type checking successful
- $\star$  sat => additional strengthening required to derive a consistent specification

```
fun foldn n b f
{n : true \rightarrow b : true \rightarrow f : {x1: {v \ge 0 / v < n} \rightarrow x2 : true \rightarrow true} \rightarrow true} =
let fun loop i c
{i : true \rightarrow c : true \rightarrow true} =
if (i < n) then loop (i+1) (f i c) else c
in loop 0 b end
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Want to type-check the call</pre>
```



Expected constraint on argument i is:  $\{v \ge 0 / | v < n\}$ 

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Expected constraint on argument i is:  $\{v \ge 0 / | v < n\}$ 

Need to solve:  $\neg(i < n) / (v = i) \Rightarrow \{v \ge 0 / v < n\}$  to strengthen invariants

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App

$$\frac{\Gamma \vdash v_2 : P_x \quad \Gamma \vdash e_f : (x : P_x \to P)}{\Gamma \vdash e_f(v_2) : [v_2/x]P}$$

 $\operatorname{IF}$ 

$$\frac{\Gamma \vdash e_1 : \text{bool} \quad \Gamma \vdash P \quad \Gamma; e_1 \vdash e_2 : P \quad \Gamma; \neg e_1 \vdash e_3 : P}{\Gamma \vdash \text{if } e_1 \text{ then } e_2 \text{ else } e_3 : P}$$

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# Type Refinement

#### • Type Refinement is used to augment the set of qualifiers

- We could re-analyze the body of called functions along a counterexample (interprocedural) path from a call-site.
- **†** But, within a compiler, can explore concrete paths fairly easily
  - Internally, build a compile-test-run loop over an optimized well-typed IR
- Use lightweight testing to determine where and how to refine the type system. (Proofs-from-tests aka Dash)



 Testing provides a concrete witness to guide how existing invariants can be strengthened.

## Directed Testing



*px* is not implied by the environment

## Directed Testing



px is not implied by the environment

• If environment at a call-site

implies the called function's pre-condition, then original counterexample will no longer be reported if we strengthen called function's post-condition and compute weakest precondition.

to does not imply the called function's precondition, then must strengthen function's pre-condition to force divergence.

• Goal: either eliminate the counterexample by strengthening callee's postcondition, or direct test case execution to converge to counterexample, strengthening caller's pre-condition

```
let fun g x = x
fun f x = if x < 2
then let s = g x
in assert (s <= 0)
end
else ()</pre>
```

. . .

```
let fun g x = x
fun f x = if x < 2
then let s = g x
in assert (s <= 0)
end
else ()</pre>
```

Initially, solve:  $\neg VC : \neg (x < 2 \Rightarrow s \le 0)$ 





Generate a concrete test supplying x = -1



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 $\label{eq:strengtheng} \begin{array}{l} \mbox{Strengtheng's type which is initially} \{\mbox{true}\} \rightarrow \{\mbox{true}\} \\ \{\nu \leq 0\} \rightarrow \{\nu \leq 0\} \end{array}$ 



Strengthen g's type which is initially  $\{\texttt{true}\} \to \{\texttt{true}\}$   $\{\nu \leq 0\} \to \{\nu \leq 0\}$ 



Generate a concrete test supplying x = -1

Strengthen g's type which is initially  $\{true\} \rightarrow \{true\}$  $\{\nu \leq 0\} \rightarrow \{\nu \leq 0\}$ 

Generate new verification condition based on g's refinement  $\neg VC : \neg((x < 2 \land (x \le 0 \Rightarrow s \le 0) \Rightarrow (s \le 0))$ 



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Generate new verification condition based on g's refinement  $\neg VC : \neg((\mathbf{x} < 2 \land (x \le 0 \Rightarrow s \le 0) \Rightarrow (s \le 0))$ 

Generate new concrete test supplying  $\mathbf{x} = 1$ 

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Strengthen g's type which is initially  $\{true\} \rightarrow \{true\}$  $\{\nu \leq 0\} \rightarrow \{\nu \leq 0\}$ 

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Generate new verification condition based on g's refinement  $\neg VC : \neg((x < 2 \land (x \le 0 \Rightarrow s \le 0) \Rightarrow (s \le 0))$ 

Generate new concrete test supplying  $\mathbf{x} = 1$ 

Strengthen pre-condition for f to  $\{x : \nu \leq 0\}$  to eliminate the counter-example

```
fun foldn n b f
{n : true \rightarrow b : true \rightarrow f : {x1: {v \geq 0 / v < n} \rightarrow x2 : true \rightarrow true} \rightarrow true} =
let fun loop i c {i : true \rightarrow c : true \rightarrow true} =
if (i < n) then loop (i+1) (fic) else c
in loop 0 b
end
```

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Type-checking loop involves solving:

$$\neg VC: \neg \{ (\mathtt{i} < \mathtt{n} \land (\nu = \mathtt{i}) \Rightarrow (\nu \ge 0 \land \nu < \mathtt{n}) \}$$

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A concrete test case: { i = -1, n = 0 } Test case run does not diverge from counterexample path

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fun foldn n b f
{n : true \rightarrow b : true \rightarrow f : {x1: {v \ge 0 / v < n} \rightarrow x2 : true \rightarrow true} \rightarrow true} =
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Weakest pre-condition generation:  $i < n \Rightarrow (i \ge 0)$ 

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A concrete test case: { i = -1, n = 0 } Test case run does not diverge from counterexample path

Weakest pre-condition generation:  $i < n \Rightarrow (i \ge 0)$ 

Strengthen dependent type for loop:

 $\{\mathtt{i}: \{(\nu < \mathtt{n}) \Rightarrow (\nu \geq 0)\} \rightarrow \mathtt{c}: \mathtt{true} \rightarrow \mathtt{true}$ 

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fun arraymax a g =
let fun am h j m
\{h : \{x1 : \{true\} \rightarrow \{v \ge 0 \ / \ v < len a\}\} \rightarrow j : \{true\} \rightarrow m : \{true\} \rightarrow true\} =
let val k \{v \ge 0 \ / \ v < len a\} = h j
val u \{true\} = sub a k
val p \{true\} = max u m
in assert (p >=m); p {v=p} end {true}
fun am' = am g
in fold (len a) 0 am' end
```

```
fun arraymax a g =
  let fun am h j m
    {h : {x1 : {true}→{v >= 0 /\ v < len a}} →j : {true} → m : {true} → true} =
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A possible test case generated by the solver:  $\{h = \lambda x.0, j = 0, m = 0, a = Array[0]\}$ 

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Concrete test diverges at call to max Strengthen post-condition for max to  $\{\nu \ge y\}$  where y is the second formal parameter to max

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        val p { v >= m }= max u m
        in assert (p >=m); p {v=p} end {true}
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# AlgorithmicType Inference

#### • Type inference

- **+**Rule Generation
  - Encode first-order formula from liquid type rules
- **Constraint** Propagation
  - Subtyping chains
- Type checking
  - $\star$ Verification condition generation and solve
- Type Refinement
  - $\star$  Directed testing to generate functional precondition and postcondition constraints

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# AlgorithmicType Inference

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- **Rule** Generation
  - Encode first-order formula from liquid type rules
- **★**Constraint Propagation
  - Subtyping chains
- Type checking
  - $\star$ Verification condition generation and solve

#### • Type Refinement

 $\star$  Directed testing to generate functional precondition and postcondition constraints



Expressions

e'

 $e_f$ 

e

#### **Base Types**

similar to Core ML intermediate representation in MLton

subtyping chains

# Dependent type encoding

- Liquid type rules provide well-formedness and subtyping constraints on dependent types
- Encode dependent types of local variables and terms using program terms and pre/post-conditions of functions
  - $\star$ Local dependent types encode intra-procedural path information
    - + Extract program error path from a (weak) dependent type system
- Abstract dependent types of functions
  - **†**Initially, all argument and return of functions are abstracted to true
  - $\star$ Strengthen pre- and post-conditions based on the program error path

# Encoding Type Rules

- Encode type rules as constraints over first-order formula
- Encoding should preserve {path,context} sensitivity
- Facilitate test generation and predicate refinement

Example:

$$\Gamma \vdash e_1: \texttt{bool} \qquad \Gamma \vdash P \qquad \Gamma; e_1 \vdash e_2: P \qquad \Gamma; \neg e_1 \vdash e_3: P$$

 $\Gamma \vdash \texttt{if} \ e_1 \ \texttt{then} \ e_2 \ \texttt{else} \ e_3 : P$ 

Generate constraint for P from this rule as:

$$P = \mathcal{C}(e_1) \Rightarrow \mathcal{C}(e_2) \land \neg \mathcal{C}(e_1) \Rightarrow \mathcal{C}(e_3)$$

Constraint relates value of guard to specific branches

 $\mathcal{C}(e)$  defines the constraints generated for e based on the structure of the liquid type rules

#### Verification Condition Generation

#### Verification Condition Generation

Fun $\Gamma \vdash x : P_x \to P \quad \Gamma; x : P_x \vdash e : P$ <br/> $\Gamma \vdash \lambda x. e : (x : P_x \to P)$ Verify postcondition P with precondition PxApp $\Gamma \vdash v_2 : P_x \quad \Gamma \vdash e_f : (x : P_x \to P)$ <br/> $\Gamma \vdash e_f(v_2) : [v_2/x]P$ Postcondition P is assumed if and only if its<br/>precondition Px is asserted. Encoding?

#### Verification Condition Generation

Fun

$$\frac{\Gamma \vdash x : P_x \to P \quad \Gamma; x : P_x \vdash e : P}{\Gamma \vdash \lambda x.e : (x : P_x \to P)}$$

Verify postcondition P with precondition Px

App

$$\frac{\Gamma \vdash v_2 : P_x \quad \Gamma \vdash e_f : (x : P_x \to P)}{\Gamma \vdash e_f(v_2) : [v_2/x]P}$$

Postcondition P is assumed if and only if its precondition Px is asserted. Encoding?

#### ASSERT

$$\frac{\Gamma \vdash e': bool \quad \Gamma \vdash \{\_|true\} <: \{\_|e'\} \quad \Gamma \vdash e : P}{\Gamma \vdash assert \ e': e : P}$$

Prove  $[\Gamma@e'] \Rightarrow e'$  where  $[\Gamma@e']$  represent the conjunction of dependent types of variables in e' from  $\Gamma$ 

# Encoding Application

App

#### $\Gamma \vdash v_2 : P_x \quad \Gamma \vdash e_f : (x : P_x \to P)$

 $\Gamma \vdash e_f(v_2) : [v_2/x]P$ 

Two issues:

I. Want to preserve some measure of context-sensitivity

keep pre- and post-condition constraints at different call sites distinct

2. Make "must-hold" properties defined by assertions within the function body explicit

Address these issues using intersection types

$$P_x \to P \bigwedge_i P_{x_i} \to P_i$$

The left conjunct captures constraints induced by assertions on function arguments that occur within the function body:

These constraints must always hold

Verification condition  $\Gamma \mid v_2 : Px \ C(P) = [v_2/x]P$ 

The right conjunct discriminates over different call-sites

Constraints deduced for post-conditions at a call inform structure of pre-conditions

$$\begin{split} \mathcal{C}(P) &= \wedge_i ([v2/\nu) P_{x_i} \Rightarrow [v_2/x] P_i \ \text{ if } \mathsf{v}_2 \text{ is of base type} \\ \mathcal{C}(P) &= \wedge_i [v2/x] P_i \ \text{ otherwise because implication is made to hold by} \\ \text{ subtyping relation for functions} \end{split}$$

# Type Checking/Refinement Algorithm

```
verify f \lambda x.e WL
  let vcs = vcgen \emptyset \lambda x.e (abs_ty (\lambda x. e))
  in
     foreach vc in vcs
        let (result, vc assignment, abstract ce) = solve vc
        in
           if result then ()
           else
              let
                t = genTestcase vc assignment
                concrete\ path = run (f, t)
                if (abstract ce = concrete path) then
                   genPrecondition f concrete path WL
                   verify f e
                else
                   pred = divergePred f abstract ce concrete path
                   genPostcondtion f pred WL
  end
```

# Type Checking/Refinement Algorithm

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```

end
```
verify f \lambda x.e WL
  let vcs = vcgen \emptyset \lambda x.e (abs ty (\lambda x.e)) Generate verification conditions
  in
     foreach vc in vcs solve each condition using SMT
        let (result, vc assignment, abstract ce) = solve vc
        in
           if result then ()
           else
              let
                t = genTestcase vc assignment
                concrete \ path = run (f, t)
                if (abstract ce = concrete path) then
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                   verify f e
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        in
           if result then ()
           else
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              let
                t = genTestcase vc assignment
                                                  extract and run test case from assignment
                concrete \ path = run (f, t)
                 if (abstract ce = concrete path) then
                   genPrecondition f concrete path WL
                                                             weakest precondition generation when
                   verify f e
                                                             concrete and abstract paths converge
                 else
                   pred = divergePred f abstract ce concrete path
                   genPostcondtion f pred WL
  end
```

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verify f \lambda x.e WL
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                                                  extract and run test case from assignment
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                 if (abstract ce = concrete path) then
                   genPrecondition f concrete path WL
                                                             weakest precondition generation when
                   verify f e
                                                             concrete and abstract paths converge
                 else
                   pred = divergePred f abstract ce concrete path
                   genPostcondtion f pred WL strengthen post-condition when they diverge
  end
```

- Functions are put into worklist (WL) based on call relations
- Each iteration of the fixed-point picks a function from WL and verifies it using verify
- Generate pre/postcondition
  - $\star$  Can strengthen the dependent type of this function and callee function.
  - **†** Put all function whose dependent type has changed into WL (worklist)
- When precondition of a function is strengthened, invoke type constraint propagation algorithm

#### Implementation

 Implementation is based on MLton, an open-source optimizing Standard ML compiler

**†**Incorporate verification mechanism as a front-end pass in Core ML

+ After defunctorization (no modules) and local simplification

Type information and original program variables still available

- + Before closure conversion, monomorphisation, and SSA translation
- Use theorem prover Yices as the verification engine

 $\star$ Use MLton's FFI interface to interact with a binary version of this prover

• A total of 7.5 KLOC

#### Implementation



- MLton frontend defunctorizes, type-checks, and does local simplification yielding CoreML, an intermediate representation which is polymorphic, higher-order and has nested patterns.
- Verifier generates verification conditions from typing rules for CoreML and feeds them to Yices.
- Obtain counterexample along with a testcase witness.
- Compile and run the function under verification with the testcase to obtain a concrete path
- Verifier uses the concrete path and counterexample to strengthen type system

```
fun max x y =
   if x > y then x else y
fun foldn n b f =
   let fun loop i c =
       if i < n then
          let val j = i+1
              val d = f i c

    Verify the array index should always be no less than 0

          in loop j d
          end
       else c
   in
       loop 0 b
   end
fun arraymax a =
   let
       val t = Array.length a
       fun am l m = (assert (l >= 0); let val k = Array.sub(a, l) in max k m end)
   in
       foldn t 0 am
   end
```

Verifying reult of function arraymax is true Verification completes. Result is true

```
foldn : {x_3 : {int32 | true} -> {{x_4 : Var (a114) -> {{x_5 : {{{int32 | (V577>=0)} -> {Var (a114)}}}
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Program is safe. The final dependent types for functions inferred are listed below:

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fun max x y =
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#### Related Work

• Liquid Types (Rondon et. al [PLDI'08], Kawaguchi et. al [PLDI'09])

- **+** Qualifier discovery vs. selection
- Higher-Order Program Model Checking (Kobayashi et. al [PLDI'11]),
  - ★ First-order vs. higher-order verification engine
- Dependent Types from Counterexamples (Terauchi [POPL'10])

**+** Concrete tests vs. abstract counterexamples

• Verifying Functional Programs using Abstract Interpreters (Jhala et. al, [CAV'11])

**+** Program analysis vs. program transformation

![](_page_98_Picture_0.jpeg)

# Preliminary evidence that incorporating modular verification techniques into an optimizing compiler is feasible

A first step towards devising optimizations that leverage automatically derived "rich" specifications