Relaxed Synchronization and Eager Scheduling in MapReduce

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Abstract

MapReduce has emerged as a commonly-used programming model for large-scale distributed environments. While the underlying programming model based on maps and reductions has been shown to be effective in specific domains, significant questions relating to performance and application scope remain unresolved. This paper targets key questions of performance through relaxed semantics of underlying map and reduce constructs in iterative MapReduce applications. Specifically, it investigates the notion of partial synchronizations combined with eager scheduling to overcome global synchronization overheads associated with reduce operations. In addition to presenting semantics for these constructs, the paper describes their application on two illustrative problems - eigenspectra/pagerank computation on web graphs and clustering highdimensional datasets. The proposed constructs yield up to five-fold performance improvements on real graphs and datasets, while adding only minimal program complexity.

The following specific contributions are reported in the paper: (i) partial synchronizations combined with eager scheduling are capable of significant performance improvements, (ii) diverse classes of iteratively-structured applications can be efficiently executed in MapReduce on hundreds of distributed hosts, and (iii) a general API for partial synchronizations and eager map scheduling holds significant performance potential for other applications with irregular data-access and computation profiles.

1. Introduction

Motivated by large amounts of data generated by web-based applications, scientific experiments, business transactions, etc., and the need to analyze this data in effective, efficient, and scalable ways, there has been significant recent activity in developing suitable programming models, runtime systems, and development tools. The distributed nature of data sources, coupled with rapid advances in networking and storage technologies naturally motivate abstractions for supporting large-scale distributed applications. The inherent heterogeneity, latencies, and unreliability, of underlying platforms makes the development of such systems challenging.

With a view to supporting large-scale distributed applications in unreliable wide-area environments, Dean and Ghemawat proposed a novel programming model based on map and reduce operations, called MapReduce [5]. A map, in a MapReduce program takes as input a list of key-value pairs and applies a programmer-specified function, independently, on each pair in the list. A reduce operation takes as input a list indexed by a key, of all corresponding values, and applies a reduction function on the values. It outputs a list of keyvalue pairs, where the keys are unique and values are determined by the function applied on all of the values associated with the key. The inherent simplicity of this programming model, combined with underlying system support for scheduling, fault tolerance, and application development, make MapReduce an attractive platform for diverse dataintensive applications. Indeed, MapReduce has been used effectively in a wide variety of data processing applications. The large volumes of data processed at Google, Yahoo, and Amazon, stand testimony to the effectiveness and scalability of the MapReduce paradigm. Hadoop¹, an open source implementation of MapReduce, is in wide deployment and use.

A majority of the applications currently executing in the MapReduce framework have a data-parallel, uniform access profile, which makes them ideally suited to map and reduce abstractions. Recent research interest, however, has focused on more unstructured applications that do not lend themselves naturally to data-parallel formulations. Common examples of these include sparse unstructured graph operations (as encountered in diverse domains including social networks, financial transactions, and

1. Hadoop, http://hadoop.apache.org

scientific datasets), discrete optimization and statespace search techniques (in business process optimization, planning), and discrete event modeling. For these applications, there are two major unresolved questions: (i) can the existing MapReduce framework effectively support such applications in a scalable manner? and (ii) what enhancements to the MapReduce framework would significantly enhance its performance and scalability without compromising desirable attributes of programmability and fault tolerance?

This paper primarily focuses on the second question - namely, it seeks to extend MapReduce semantics to support specific classes of unstructured applications on large-scale distributed environments. Recognizing that one of the key bottlenecks in supporting such applications is the global synchronization associated with the reduce operation, it introduces notions of partial synchronization and eager scheduling. The underlying insight is that for an important class of applications, algorithms exist that do not need global synchronization for overall-correctness. (Please note that we use the term overall-correctness as being distinct from sequential semantics. Overall correctness refers to an accurate solution to a given problem.) For such applications, while global synchronizations optimize serial operation counts, violating these synchronizations merely increases operation counts without impacting correctness of the algorithm. Common examples of such algorithms include, computation of eigenvectors (pageranks) through (asynchronous) power methods, branch-and-bound based discrete optimization with lazy bound updates, and other heuristic state-space search algorithms. For such algorithms, a global synchronization can be replaced by a partial synchronization. However, this partial synchronization must be combined with suitable locality enhancing techniques so as to minimize the adverse effect on operation counts. These locality enhancing techniques take the form of mincut graph partitioning and aggregation into maps in graph analyses, periodic quality equalization in branch-and-bound, and other such operations that are well known in parallel and distributed processing communities. Replacing global synchronizations with partial synchronizations also allows us to schedule subsequent maps in an eager fashion. This has the important effect of smoothing load imbalances associated with typical applications. This paper combines partial synchronizations, locality enhancement, and eager scheduling, along with algorithmic asynchrony to deliver distributed performance improvements of 100 to 500% (and beyond in several cases). The enhanced programming semantics resulting in the significant performance improvement do not impact programmability/ program complexity.

We demonstrate all of our results on the widearea Google cluster in the context of Pagerank and clustering (K-Means) implementations. The applications are representative of a broad set of application classes. They are selected because of their relative simplicity as well as their ubiquitous interaction pattern. Our extended semantics have a much broader application scope, including common data analyses kernels (PCA, dimensionality reduction, PDDP), graph applications (matching through isorank, random walks), and more traditional HPC workloads (iterative linear/non-linear solvers, particle methods).

The rest of the paper is organized as follows: in Section 2, we provide a brief overview of MapReduce, Hadoop, and motivate the problem; in Section 3, we outline our primary contributions and their significance; in Section 4, we present extended semantics and the corresponding API; in Section 5, we discuss our implementations of Pagerank and K-Means clustering and analyze performance gains of our approach. We outline avenues for ongoing work and conclusions in Sections 8 and 9.

2. Background and Motivation

The primary design motivation for the functional MapReduce abstractions is to allow programmers to express simple concurrent computations, while hiding low-level details of scheduling, fault-tolerance, and data distribution in a single library [5]. The simplicity of the API makes programming relatively easy. Programs are expressed as sequences (iterations) of map and reduce operations with intervening synchronizations. The synchronizations are implicit in the reduce operation, since a reduce must wait for all map outputs. Once a reduce operation has terminated, the next set of maps can be scheduled. Fault tolerance is achieved by rescheduling maps that time out. A reduce operation cannot be initiated until all maps have executed. Maps generally correspond to fine-grained tasks. This allows the scheduler to balance load. There are no explicit primitives for localizing data access. Such localization must be achieved by aggregating fine-grained maps into coarser maps, using traditional parallel processing techniques. It is important to remember, however, that increasing the granularity carries with it the potential downside of increased makespan resulting from failures.

As may be expected, for many applications, the dominant overhead in the program is associated with the global reduction operations (and the inherent synchronizations). When executed in widearea distributed environments, these synchronizations often incur substantial latencies associated with underlying network and storage infrastructure. In contrast, partial synchronizations take over an order of magnitude less time on conventional widearea testbeds. For this reason, fine-grained maps, which are natural for programming data parallel MapReduce applications do not always yield expected performance improvements and scalability.

The obvious question that follows from these observations is whether we can decrease the number of global synchronizations, perhaps at the expense of increased number of partial synchronizations (we define a partial synchronization to imply a synchronization/reduction only across a subset of the maps). The resulting algorithm(s) may be suboptimal in terms of serial operation counts, but may be (significantly) more efficient and scalable in a MapReduce framework. A particularly relevant class of algorithms where such tradeoffs are possible are iterative techniques applied to unstructured problems (where the underlying data access patterns are unstructured). This broad class of algorithms underlies applications ranging from pagerank computations on the web to sparse solvers in scientific computing applications and data analyses operations such as clustering, dimensionality reduction, and graph matching. In many of these applications, added complexity arises from the inherent load imbalance associated with the maps. For example, in the computation of pagerank, a hub node may have a significantly higher degree (in- or out-) than spokes.

This leads to correspondingly more computation for the hubs as compared to the spokes.

We seek to answer the following key questions relating to the application scope and performance of MapReduce (or the general paradigm of maps and reduces) in the context of applications that tolerate algorithmic asynchrony: (i) what are suitable abstractions (MapReduce extensions) for distributed asynchronous algorithms? (ii) for an application class of interest, can the performance benefits of localization, partial synchronization, and eager scheduling of maps overcome the sub-optimality in terms of serial operation counts, and (iii) can this framework be used to deliver scalable and high performance over wide-area distributed systems? In answering these questions, we also investigate whether the use of proposed MapReduce extensions renders programs more complex, thereby increasing development effort.

3. Technical Contributions

To alleviate the cost of global synchronization and granularity, we propose and validate the following solutions within the MapReduce framework:

- We provide support for global *and* partial synchronizations. Partial synchronizations are enforced only on a subset of maps. Global synchronizations are identical to reduce operations, enforced on all the maps.
- Following partial synchronizations, subsequent maps are scheduled in an eager fashion, i.e., as soon as the partial synchronization operation completes.
- We use partial synchronizations and eager scheduling in combination with a coarser grained, locality enhancing allocation of tasks to maps.
- We validate the aforementioned techniques on two representative problems – computing pagerank on sparse unstructured (power-law type) real web graphs and clustering highdimensional data using unsupervised clustering algorithm (K-Means). These applications are illustrative of a broader class of asynchronytolerant algorithms. We show that while the number of serial operations (and indeed the sum of partial and global reductions) is much

higher, the reduction in number of global reductions yields performance improvements of up to 500% compared to traditional MapReduce implementation on a 460-node cluster provided by IBM-Google consortium as part of the CluE NSF program.

To alleviate the overhead of global synchronization, we propose a two level scheme — a *local reduce* operation applies the specified reduction function to only those key-value pairs emanating from the preceding map(s) at the same host. A *global reduce* operation, on the other hand, incurs significant network and file system overheads. In our Pagerank example, the rank of a node is determined by the rank of its neighbors. In the traditional MapReduce formulation, in every iteration, map involves each node pushing its Pagerank to all its outlinks and reduce accumulates all neighbors' contributions to compute Pagerank for the corresponding node. These iterations continue until the Pageranks converge.

Consider an alternate formulation in which the graph has been partitioned (typically through a crawler - different hosts crawl different parts of the web), and a map now corresponds to the local-pagerank computation of all nodes within the partition. For each of the internal nodes (nodes that have no edges leaving the partition), a partial reduction accurately computes the rank (assuming the neighbors' ranks were accurate to begin with). On the other hand, boundary nodes (nodes that have edges leading to other partitions) must have a global reduction to account for remote neighbors. It follows therefore that if the ranks of the boundary nodes were accurate, ranks of internal nodes can be computed simply through local iterations. Thus follows a two-level scheme wherein partitions (maps) iterate on local data to convergence and then perform a global reduction.

It is easy to see that this two-level scheme increases the serial operation count. Furthermore, it increases the total number of synchronizations (partial + global) compared to the traditional formulation. However, and perhaps most importantly, it reduces the number of global reductions. Since this is the major overhead, the program has significantly better performance and scalability.

Indeed optimizations such as these have been explored in the context of traditional HPC platforms as well with some success. However, the difference in overhead between a partial and global synchronization in relation to the intervening useful computation is not as large for HPC platforms. Consequently, the performance improvement is significantly amplified on distributed platforms. It also follows thereby that performance improvements from MapReduce deployments on wide-area platforms, as compared to single processor executions are not expected to be significant unless the problem is scaled significantly to amortize overheads. However, MapReduce formulations are motivated primarily by the distributed nature of underlying data and sources, as opposed to the need for parallel speedup. For this reason, performance comparisons must be with respect to traditional MapReduce formulations, as opposed to speedup and efficiency measures more often used in the parallel programming community. This is further reinforced by the fact that in cloud computing environments, it is difficult to even estimate the resources available to a program.

While most of our development efforts and all of our validation results are in the context of pagerank and k-means, concepts of partial reductions combined with locality enhancing techniques and eager map scheduling have wider applicability. In general, our semantic extensions apply to this wider class of applications that admit asynchronous algorithms – algorithms for which relaxed synchronization impacts only performance, and not correctness.

4. Proposed Semantic Extensions

In this section, we present our proposed semantics and API for iterative MapReduce to alleviate synchronization overheads, while preserving desirable attributes of programmability.

4.1. Semantics for Iterative MapReduce

Figure 1 describes the formal syntax of our proposed language. For our purposes, lists form an interesting value in the language. MapReduce operates on lists and not on its elements. Any operation on an element of the list must be defined in terms of an abstraction, so that our MapReduce constructs

$v \ \epsilon \ Value$			(1)
$p \ \epsilon \ Processors$	=	$\{P_1,, P_m\}$	(2)
$\Sigma \ \epsilon \ LocalStore$	=	$\{\Sigma_1,, \Sigma_m\}$	(3)
$\Lambda \ \epsilon \ GlobalStore$	=	$\{\Lambda\}$	(4)
$\sigma \ \epsilon \ \Sigma$	=	$L \rightarrow Z$	(5)
$\lambda \ \epsilon \ \Lambda$	=	$L \rightarrow Z$	(6)
$f \ \epsilon \ Fn$::=	$\lambda x.e$	(7)
$l \ \epsilon \ List$::=	$[v_1,, v_n]$	(8)
$e \ \epsilon \ P$::=	f	(9)
		Apply(\mathbf{I} , $< e, f_m, f_r, l >$)	(10)

Fig. 1. Iterative Relaxed MapReduce: Syntax

$$\begin{split} l, \sigma \implies \sigma(l) (\text{LOCAL-LOOKUP}) & l, \lambda \implies \lambda(l) (\text{GLOBAL-LOOKUP}) \\ & Apply(\mathbf{I}, < e, f_m, f_r, l >) \implies_{g} \mathbf{I} e f_m f_r l & (\text{APPLY-ITER}) \\ & \frac{\text{while } (cond_g) \mathbf{G} cond_l f_m f_r l_g, \lambda \implies_{g} l'_g, \lambda'}{\mathbf{I} cond_g f_m f_r l_g, \lambda \implies_{g} l'_g, \lambda'} & (\text{ITER-MAPRED}) \\ & \frac{ch l_g, \lambda, \sigma \equiv \bar{l}_l, \lambda, \sigma'}{\mathbf{G} cond f_m f_r l_g, \lambda \Rightarrow_{g} l'_g, \lambda'} & (\text{ITER-MAPRED}) \\ & \frac{ch l_g, \lambda, \sigma \equiv \bar{l}_l, \lambda, \sigma'}{\mathbf{G} cond f_m f_r l_g, \lambda, \sigma \implies_{g} l'_g, \lambda, \sigma'} \\ & \mathbf{G} cond f_m f_r l_g, \lambda, \sigma \implies_{g} l''_g, \lambda, \sigma' \\ & (\text{MAPRED-GLOBAL}) \\ & \frac{\text{map } f_m l_l, \sigma \implies_{l} l''_l, \sigma'' \quad \text{fold } f_r l''_l, \sigma \implies_{l} l'_l, \sigma'}{\mathbf{L} f_m f_r l_l, \sigma \implies_{l} l'_l, \sigma'} & (\text{MAPRED-LOCAL}) \\ & ch l_g \equiv \bar{l}_l \equiv \{l_l \mid l_l \subset l_g \& \cap_{l_l, \ l_l \in \bar{l}_l} l_l = \phi\}; \forall l_i, \sigma_i [l_i \mapsto \lambda(l)] & (CHUNKIFY) \\ & agg \ \bar{l}_l \equiv l_g \equiv \cup_{l_l \in \bar{l}_l} l_l; \forall l_i, \lambda[l \mapsto l_i] & (\text{AGGREGATE}) \\ \end{split}$$

Fig. 2. Iterative Relaxed MapReduce: Semantics

can evaluate them on the elements to compute new lists. We define L, G, and I as the local, global, and iterative versions of MapReduce; one invoked from another. These operators evaluate on a tuple <condition, map function, reduce function, list>. Programs in the language are defined as applications of our iterative MapReduce applied to functions and an input list.

To capture salient behavior of the system, we as-

sume a set of hosts and a set of associated stores. We define look up functions, σ (local) and λ (global). We also define two different evaluation rules for MapReduce $- \implies_g$ for the evaluation rules that change the global state, and \implies_l to describe the evaluations that change the local state. Figure 2 describes these semantics. A typical program in the language is an application of IterMapReduce(I) to a tuple consisting of a termination condition, map

and reduce functions and the list of data. The computation rules[Apply-Iter] evaluate to running global IterMapReduce.

I, the iterative MapReduce takes *cond*, *map*, *reduce* and a list to operate on. The *cond* function operates on the global heap until the termination condition is met. Iterative MapReduce calls global MapReduce iteratively until this condition is met.

[Mapred-Global] describes the behavior of global MapReduce. This operation uses another condition to determine the termination of the local MapReduce and operates on data local to the MapReduce it gets associated with. The main feature is to have a mechanism for synchronization across the local and global stores. This is achieved by our Chunkify and Aggregate functions. Chunkify(ch) partitions the global list into sequence of multiple lists each made available to the local MapReduce and copies these chunks to the respective local stores. Aggregate takes care of aggregating the data from the multiple local MapReduce computations and copies the changes to global store. During the evaluation of global MapReduce, we first Chunkify the data. We then have the required values in the local store. We subsequently apply the standard map, to a curried version of local MapReduce – (L f_m f_r) – and the local list, iteratively until it terminates. Only the local store is modified during this stage. At the end of these iterations, we need to synchronize globally, requiring us to copy data back to the global store, this task performed by the Aggregate function.

[Mapred-Local] controls the behavior of local MapReduce. This is very close in structure to the original MapReduce model, with the main difference being that it operates locally, with no global store changes, as explained in the semantics.

The semantics use **while**, **map**, and **fold** constructs (bold faced in the semantics), which carry their usual functional definitions. From a systems perspective, **map/fold** functions process the data given to them in parallel, and collect the output.

In addition to the inputs to the regular MapReduce, our iterative version requires a termination condition. Such a termination condition has to be thought of even for iterating over traditional MapReduce. Also, our iterative MapReduce reduces to traditional MapReduce if the local condition is set to one iteration. Our semantics do not increase programming complexity.

4.2. API

The rigorous semantics described above advocate a source-to-source translator for iterative MapReduce with relaxed synchronization and eager scheduling. We formulated a simple-to-implement API to validate our claims, as discussed below —

In the traditional MapReduce API, the user provides map and reduce functions along with the functions to split and format the input data. In addition to these, for iterative MapReduce applications, the user must provide functions for termination of global and local MapReduce iterations, and functions to convert data into the formats required by the local map, reduce functions. The Chunkify and Aggregate functions discussed in the semantics are built using these conversion functions.

To provide more flexibility to the programmer and to allow better exploitation of applicationspecific optimizations, we propose four new functions — gmap, greduce, lmap and lreduce (two different sets of map and reduce for the local and global versions of the operations). Global map uses thread pool(s) to schedule lmap and lreduce to exploit available parallelism. Functions Emit() and EmitIntermediate() support data-flow in traditional MapReduce. We introduce their local equivalents — EmitLocal() and EmitLocalIntermediate(). Aggregate iterates over the data emitted by EmitLocal() and sends it to the global reduce through the Emit-Intermediate() function. This API forms the basis for our pagerank and K-Means implementations.

5. Evaluation

We validate the performance benefits of our proposed semantics on two commonly used applications — pagerank (more generally, computing the eigenspectra of a matrix) and k-means clustering. We compare native MapReduce implementations of these applications with their modified implementations that exploit relaxed synchronization and eager scheduling. We perform our experiments on a live testbed – the 460 node cluster provided by IBM-Google consortium as part of the CluE (Cluster Exploratory) NSF program. Table 1 describes the

TABLE 1. Measurement testbed, Software

Clue Cluster	Intel(R) Xeon(TM) CPU 2.80GHz
Machines - 460	4 GB RAM, 2x 400 GB hard drives
VM	1 VM per host
Software	Hadoop 0.17.3, Java 1.6
Heap space	1 GB per slave

physical resources, software, and restrictions on the cluster. Since this is a shared cluster, there is the potential that several jobs are concurrently executing during our experiments. Nonetheless, our results strongly validate our insights.

5.1. Implementation

For both applications, we implement base versions that conform to the conventional MapReduce formulations. We also implement modified versions; relaxed synchronization and eager scheduling realized by rewriting the *global map* function (*gmap*) to have a local MapReduce (*lmap* and *lreduce*) as described in the following pseudo-code:

```
gmap(xs : X list) {
    while(no-local-convergence-intimated) {
        lmap(x); // emits (lkey, lval)
        lreduce();
    }
    for each value in lreduce-output{
        EmitIntermediate(key, value);
    }
}
```

The argument to *global map* is a $\langle \text{key}, \text{value} \rangle$ list(*xs*). We run a *local* MapReduce with each element of *xs* as input to *lmap*. We use a hashtable to store the intermediate and final results of the local MapReduce. Applying this method to an arbitrary application requires knowledge of the local termination condition. The local termination can be convergence (as in Pagerank and K-Means) or a constraint on the number of iterations.

5.2. Pagerank

The pagerank of a node in a given graph is the scaled sum of the pageranks of all of its neighbors.

Mathematically, the pagerank of a node is given by the following expression:

$$PR_d = (1 - \chi) + \chi * \sum_{(s,d)\in E} s.pagerank/s.outlinks,$$
(11)

where χ is the damping factor, *s.pagerank* and *s.outlinks* correspond to the pagerank and the outdegree of the source node, respectively.

For both our implementations, the input is a graph represented as an adjacency list. We start with a pagerank of 1 for all nodes. The pageranks converge to their correct values after a few iterations of applying the above expression for each node. We define convergence by constraining the change in individual pagerank values $(10^{-5} \text{ in our case})$ across iterations.

5.2.1. General Pagerank. The general MapReduce implementation of pagerank iterates over a map task that emits the pageranks of all source nodes to the corresponding destinations in the graph, and a reduce task that accumulates the pagerank contributions from various sources to a single destination. In the actual implementation, the map function emits tuples of the type <destinationnode, pagerank contributed to this destination node by the source>. The reduce task operates on a destination node, which gathers the pageranks from its incoming source nodes and computes a new pagerank for itself. Thus, after every iteration, the nodes have renewed pageranks that propagate through the graph in subsequent iterations until they converge. One can observe that even a small change in the pagerank of one node is broadcast to all the nodes in the graph in successive iterations of MapReduce, incurring a potentially significant global synchronization cost.

Our baseline for performance comparison is a MapReduce implementation for which maps correspond to complete partitions, as opposed to single node updates. We use this as a baseline because the performance of this formulation was noted to be on par or better than the adjacency-list formulation where the update of a single node is associated with a map. For this reason, our baseline provides a more competitive implementation. 5.2.2. Eager Pagerank. We begin our description of Eager Pagerank with an intuitive description of how the underlying algorithm accommodates asynchrony. In a graph with a power-law type distribution, one may assume that each hub is surrounded by a large number of spokes, and that inter-hub edges are comparatively infrequent. This allows us to relax strict synchronization on inter-hub edges until the subgraph in the proximity of a hub has relatively self-consistent pageranks. Disregarding the interhub edges does not lead to algorithmic inconsistency since, after every few local iterations of MapReduce calculating the pageranks in the subgraph, there is a global synchronization (following a global *map*) leading to a dissemination of the pageranks in this subgraph to other subgraphs via inter-hub edges. This propagation reimposes consistency on the global state. Consequently, we update only the (neighboring) nodes in the smaller subgraph. We achieve this by a set of iterations of *local* MapReduce, as described in the semantics. Evidently, this method leads to improved efficiency if each map operates on a hub or a group of topologically localized nodes. Such topology is inherent in the way we collect data, as it is crawler-induced. One can also use one-time graph partitioning using tools like Metis², as in our case. The overall performance of MapReduce implementation is largely invariant on the choice of partitioner for wide-area (latency dominated) platforms. In the Eager Pagerank implementation, the map task operates on a sub-graph. Local MapReduce, within the global map, computes the pagerank of the constituent nodes in the subgraph. Consequently, we run the *local* MapReduce to convergence.

Instead of waiting for all the other *global map* tasks operating on different subgraphs, we eagerly schedule the next *local map* and *local reduce* iterations on the individual subgraph inside a single *global map* task. Upon local convergence of the subgraphs, we synchronize globally, so that all nodes can propagate their computed pageranks to other sub-graphs. These iterations over *global* MapReduce run to convergence. Such an Eager Pagerank incurs more computational cost, since local reductions may proceed with imprecise values

TABLE 2. Input graph properties

Input graphs	Stanford webgraph	Power-law
Nodes	280,000	100,000
Edges	3 million	3 million
Damping factor	0.75	0.85

of global pageranks. However, the pagerank of any node propagated during the *global reduce* is representative, in a way, of the sub-graph it belongs to. Thus, one may observe that the *local* and *global* reduce functions are functionally identical.

Note that in Eager Pagerank, the *local reduce* waits on a *local* synchronization barrier, while the *local maps* can be implemented on a thread pool within a single host in a cluster. The local synchronization does not incur any inter-host communication delays. This makes the *local* overheads considerably lower than the *global* overheads.

5.2.3. Input data. Table 2 describes the two graphs that are considered for our experiments on Pagerank. Our primary validation dataset is the Stanford web graph from the Stanford Webbase project³. It is a medium-sized crawl conducted in 2002 of 280K nodes and about 3 million edges. To study the impact of problem size and related parameters, we also rely on synthetic graphs with power-law distributions, generated through preferential attachment [4] in igraph⁴. The algorithm used to create the synthetic graph is described below, along with its justification.

Preferential attachment based graph generation.

Graph datasets are generated by adding vertices one at a time – connecting new vertices to *numConn* vertices already in the network, chosen uniformly at random. For each of these *numConn* vertices, *numIn* and *numOut* of its inlinks and outlinks are chosen uniformly at random and connected to the joining vertex. This is done for all of the newly connected nodes to the incoming vertex. This method of creating a graph is closely related to the evolution of the web. This procedure increases the probability of a highly reputed site getting linked to new sites, since

^{3.} Stanford Webbase project. http://diglib.stanford.edu:8091/ testbed/-doc2/WebBase/

^{2.} Metis. http://glaros.dtc.umn.edu/gkhome/views/metis

^{4.} The igraph library, http://igraph.sourceforge.net/



Fig. 3. Inlink distribution of the Stanford webgraph



Fig. 4. Inlink distribution of the synthetic power-law graph

it has a greater probability of being in an inlink from other randomly chosen sites. Figures 3 and 4 show the distribution of inlinks for the two input graphs.

5.2.4. Results. To show the dependence of performance on global synchronizations, we vary the number of iterations of the algorithm by altering the number of partitions the graph is split into. Fewer partitions result in a smaller number of larger subgraphs. Each map task does more work and would normally result in fewer global iterations in the relaxed case. The fundamental observation here is that it takes fewer iterations to converge for a graph having already converged subgraphs. The trends are more pronounced when the graph follows a power-law distribution more closely. In either case, the total number of iterations are fewer than in the general case. For Eager Pagerank, if the number of partitions is decreased to one, the



Fig. 5. Number of Iterations to converge(on y-axis) for different number of Partitions(on x-axis) for the Stanford webgraph for a damping factor of 0.75

entire graph is given to one *global map* and its local MapReduce would compute the final pageranks of all the nodes. If the partition size is one, each partition gets a single adjacency list, Eager Pagerank becomes regular Pagerank, because each map task operates on a single node.

Figures 5 and 6 show the number of iterations taken by the relaxed and general implementations of Pagerank, on the Stanford and synthetic webgraphs that we use for input, as we vary the number of partitions. The number of iterations does not change in the general case as each iteration performs the same work irrespective of the number of partitions and partition sizes. Also, in Eager Pagerank, we found that the average number of local MapReduce iterations per one *global map* task is 40, which corresponds to the increased computation. Inspite of such increased computation, we observe significant performance benefits.

The results for Eager Pagerank are consistent with our predictions. The number of global iterations decrease with the number of partitions. For both graphs, the number of iterations to converge increases monotonically with the number of partitions.

The time to solution depends strongly on the number of iterations but is not completely determined by it. It is true that the global synchronization costs would decrease, but when we reduce the number of partitions significantly, the work done by each map task increases. This increase potentially results in increased cost of computation; more than the benefit from reduced communication/



Fig. 6. Number of Iterations to converge(on y-axis) for different number of Partitions(on x-axis) for the synthetic webgraph for a damping factor of 0.85



Fig. 7. Time to converge(on y-axis) for various number of Partitions(on x-axis) for the Stanford webgraph for a damping factor of 0.75

synchronizations. Consequently, there is an optimal number of partitions for every application on a given platform.

Figures 7 and 8 show the runtimes for the relaxed and general implementations of Pagerank on the stanford and synthetic webgraphs with varying number of partitions. One may notice the significant performance gains the relaxed case achieves over the general case for both graphs. On average, we see 2x to 3x improvement in runtimes. The improvement in the case of a synthetic graph is expected to be more uniform and consistent, which can be attributed to its close conformance to a power-law distribution. This in turn leads to faster convergence inside the subgraph, which is observed consistently in our experiments.

Finally, given that both graphs exhibit powerlaw-type characteristics, we notice their respective



Fig. 8. Time to converge(on y-axis) for various number of Partitions(on x-axis) for the synthetic webgraph for a damping factor of 0.85

points of optimality, 400 partitions for the Stanford webgraph and 200 for the synthetic webgraph. We also observe that the smaller the graph, the lower this optimal number of partitions.

5.3. K-Means

K-Means is a commonly-used technique in unsupervised clustering. Implementation of the algorithm in the MapReduce framework is straightforward, as demonstrated in [13, 3]. Briefly, in the map phase, every point chooses its closest cluster centroid, and in the reduce phase, every centroid is updated to be the mean of all the points that chose the particular centroid. The iterations of map and reduce phases continue until the centroid movement is below a given threshold. Euclidean distance metric is generally used to determine centroid movement.

In the proposed relaxed MapReduce framework, each global map handles a unique subset of the input points. The local map and reduce iterations inside the global map, cluster the given subset of the points using the common input-cluster-centroids. Once convergence is achieved in the local iterations, the global map emits the input-cluster-centroids and their associated updated-centroids. The global reduce calculates the final-centroids, which is the mean of all updated-centroids corresponding to a single input-cluster-centroids for the next iteration. These iterations continue until the input-



Fig. 9. K-Means iterations for different thresholds

cluster-centroids converge.

The algorithm used in the relaxed approach to K-Means is similar to the one recently shown by Tom-Yov and Slonim [17] for pairwise clustering. An important observation from their results is that the input to the *global map* should not be the same subset of the input points in every iteration. For every few iterations the input points need to be partitioned differently across *global maps* so as to avoid getting stuck in a local minima. Also, the convergence condition must include detection of oscillations along with the Euclidean metric.

We use the K-Means implementation in the normal MapReduce framework from the Apache Mahout project⁵. Sampled US Census data of 1990 from the UCI Machine Learning repository⁶ was used as the dataset for comparison between the normal and relaxed approaches. The sample size is around 200K points, each with 68 dimensions. For both relaxed and normal K-Means , initial centroids were chosen randomly for the sake of generality. Algorithms such as canopy clustering can be used to identify initial centroids for faster execution and better quality of final clusters.

Figures 9 and 10 respectively show the number of iterations and the time taken to converge for a particular dataset of 200K points with varying thresholds. All the experiments were conducted on the 460 node cluster described above. To eliminate the impact of random partitioning performed after every 5 iterations (chosen heuristically), each ex-



^{6.} US Census Data, 1990. UCI Machine Learning Repository. http://kdd.ics.uci.edu/databases/census1990/USCensus1990.html



Fig. 10. K-Means time taken for different thresholds



Fig. 11. Iterations *and* Time-to-Converge for different Partitions

periment was run 10 times and the average number of iterations and time taken were considered. We observe a consistent two-fold speedup with respect to the parallel K-Means algorithm implemented in traditional MapReduce.

The number of local MapReduce iterations inside each *global map* increases as the threshold for convergence decreases. It is important to observe from the figure that increase in computation does not translate to an increase in the overall time. Total time-to-converge depends primarily on the number of global synchronizations (iterations). Furthermore, global synchronization costs increase with the cluster size, implying scalable performance gains.

Figure 11 shows the number of iterations and time-to-converge with varying number of partitions (disjoint subsets of points). A *global map* applies local MapReduce clustering on its input partition. An increase in the number of partitions decreases the size of subset of points. The normal K-Means clus-

tering takes 18 iterations for this dataset to converge for a threshold of 0.01. From the graph, one can observe that (i) relaxed K-Means is significantly faster for the partitions considered, and (ii) as in Pagerank, there exists an optimal number of partitions, namely at 109 partitions. Note that the precise cluster centroids are not identical across the general and relaxed MapReduce implementations. However, we verify the clustering quality (through inter and intra-cluster distances) to be statistically identical across these implementations.

6. Discussion

While we demonstrate quantitative performance improvements for selected algorithms, we must address other key questions, namely: how general is our proposed approach (are there large application classes than can benefit from this)? how do our proposed extensions impact program complexity? what impact do they have on underlying faulttolerance mechanisms and overall scalability? We provide qualitative arguments for each of these questions:

Generality. Our relaxed synchronization mechanisms can be generalized to broad classes of applications. The pagerank application, which relies on an asynchronous mat-vec, is representative of eigenvalue/ linear system solvers (computing eigenvectors using the power method of repeated multiplications by a unitary matrix). A wide range of similar applications requiring the spectra of graphs, global alignments, random walks, can be computed using this algorithmic template. For all of these applications, our methods and results are directly applicable. Algorithms such as shortest paths over sparse graphs and graph alignment can be directly cast into our framework. Beyond graphs, an asynchronous mat-vec forms the core of iterative linear system solvers. Our asynchronous k-means implementation extends this to problems in data mining and analysis. Other problems in data analysis, such as PCA/SVD/dimensionality reduction directly follow from our eigenvalue solution. Clearly, this represents a very large application class.

Programming Complexity. While relaxed synchronization requires slightly more programming effort

than traditional MapReduce, we argue that the programming complexity is not substantial. This is also evidenced by the simplicity of the semantics used in the paper to describe it. In our implementations of pagerank and k-means, this represented tens of lines of code.

Fault-tolerance. While our approach relies on existing MapReduce mechanisms for fault-tolerance, in the event of failure(s), our recovery times may be slightly longer as each map task is coarser and re-execution would take longer. However, all of our experimental results are reported on a production wide-area cluster of 460 nodes, with real-life transient failures. This leads us to believe that the overhead is not significant.

Scalability. In general, it is difficult to estimate the resources available to, and used by a program execution in a Cloud computing environment. We draw our assertions on scalability of our formulation by inferring resource availability from granularity of task partitions. Please note that these inferences are meant to be qualitative in nature, and not based on raw data (since the data is not made available by design).

Typically, clusters run of the order of 10 map tasks per node. Since each map handles one complete partition of the graph, for very large numbers of partitions(*e.g.*, 6400), we potentially use the entire 460 nodes in the Google cluster for the map phase. Such high node utilization incurs heavy network delays during copying and merging before the reduce phase, leading to increased synchronization overheads. Significant speedups obtained using our semantics for iterative MapReduce in such a large data center environments, demonstrate that our solution is indeed scalable.

7. Related work

Over the past few years, the MapReduce programming model has gained attention primarily because of its simple programming model and the wide range of underlying hardware environments. There have been efforts exploring both the systems aspects as well as the application base for MapReduce.

Chen et al [2] describe their experiences with large data-intensive tasks — analyzing the NetFlix

user data and scene matching in GPS-tagged images along with compute-intensive tasks such as earthquake simulations. They observe that small application-specific optimizations greatly improve the performance and runtime. For data-intensive tasks, they show that intelligent partitioning of input data to maps heavily reduces network communication during global synchronization. They also note that several applications are entirely data parallel, obviating the need for a reduce operation. They argue that the MapReduce runtime (e.g., Hadoop) should be optimized for such reduction-free computations. They also propose indexing of inputs and intermediate data to enable faster access, provenance tracking for linkage and dependence, and sampling of input data to learn characteristics such as skews in the map and reduce computations. HBase⁷ and HyperTable⁸ are ongoing projects using the primitives of HDFS (Hadoop Distributed File System) providing a distributed, column-oriented store for efficient indexing of data being used in the MapReduce system.

A number of efforts [10, 14, 19] target optimizations to the MapReduce runtime and scheduling systems. Proposals include dynamic resource allocation to fit job requirements and system capabilities to detect and eliminate bottlenecks within a job. Such improvements combined with our efficient application semantics, would significantly increase the scope and scalability of MapReduce applications. The simplicity of MapReduce programming model has also motivated its use in traditional shared memory systems [13].

A dominant component of a typical Hadoop execution corresponds to the underlying communication and I/O. This happens even though the MapReduce runtime attempts to reduce communication by trying to instantiate a task at the node or the rack where the data is present. Afrati et al.⁹ study this important problem and proposed alternate computational models for sorting applications to reduce communication between hosts in different racks. Our extended semantics deal with the same problem but, from the application's perspective, irrespective of the underlying hardware resources.

There is considerable work on distributed data mining techniques. In particular, works by Agarawal [9, 7] on middleware architechtures for parallel datamining and Parthasarthy [1, 15] on parallel-clustering and mining have shown excellent performance. The context of our proposed work, namely MapReduce, presents a distinct set of overheads, requires semantic extension evaluation that can leverage algorithmic asynchrony to alleviate these overheads.

There have been recent efforts aimed at bridging the gap between relational databases and MapReduce [16]. These efforts propose a merge phase after the reduce phase to compute joins on outputs of various reductions. Olston et al [11] propose a SQL-style declarative language, Pig Latin, on top of the MapReduce model. The underlying compiler deals with the automatic creation of the procedural map and reduce functions for data-parallel operations. Such languages further enhance programmability and allow the system to optimize execution. Dryad [8], DryadLINQ [18], and Sawzall [12] aim to make MapReduce an implicit low-level programming primitive. A program written in LINQ can be parsed to form a DAG, which is then used by the DRYAD system to schedule the data parallel portions on a distributed cluster. Most of these efforts are aimed at bringing MapReduce programming primitives into high-level languages and also to overcome the rigidity of MapReduce semantics. They are also helpful in logical separation of dataparallel and task-parallel regions in a general application.

All of the aforementioned efforts try to improve efficiency of MapReduce by adding software layers on top of existing MapReduce semantics or by improving the underlying runtime. In contrast, we aim to extend MapReduce semantics to leverage algorithmic asynchrony in important application classes.

8. Future Work

The myriad trade-offs associated with wide range of overheads on different platforms pose intriguing challenges. We identify some of these challenges as they relate to our proposed solutions:

^{7.} HBase. http://hadoop.apache.org/hbase

^{8.} Hypertable. http://www.hypertable.org

^{9.} A New Computation Model for Rack-based Computing. http://infolab.stanford.edu/ ullman/pub/mapred.pdf

Generality of semantic extensions. We have demonstrated the use of partial synchronization and eager scheduling in the context of specific application classes. While we have argued in favor of their broader applicability, these claims must be quantitatively established. Several task-parallel applications with complex interactions are not naturally suited to traditional MapReduce formulations. Are the proposed set of semantic extensions adequate for such applications? MapReduce tries to strike a balance between programmability and performance. It attempts to divest the programmer of burdens of optimizing locality, scheduling, and communication. In doing so, it often compromises performance. These trade-offs must be viewed in the context of the underlying platforms and applications.

Implications for tightly coupled systems. MapReduce has been shown to be an effective alternative to Pthreads even on the shared memory systems [13] for specific applications. It is important to note that shared memory MapReduce has a much larger design space because of greater control over the spawned map and reduce tasks (thread pools). A number of performance optimizations are possible here - ranging from pipelining iterates of map and reduce operations, to reordering map and reduce operations for enhancing computationcommunication characteristics. Other optimizations involve speculative execution of maps, relying on promises as outputs of maps as opposed to the values themselves. This rich space of optimizations bears significant research investigation.

Integration of proposed semantics with high-level programming languages. Our proposed semantics and its variants can be integrated with SQL-type declarative languages, namely Pig-Latin [11] and DryadLINQ [18]. The resulting system would potentially improve the efficiency of MapReduce significantly, while decreasing the load on application programmers to make decisions over the distribution of data.

Optimal granularity for maps. As shown in our work, as well as the results of others, the performance of a MapReduce program is a sensitive function of map granularity. An automated technique, based on execution traces and sampling [6] can potentially deliver these performance increments

without burdening the programmer with locality enhancing aggregations.

System-level enhancements. Often times, when executing iterative MapReduce programs, the output of one iteration is needed in the next iteration. Currently, the output from a reduction is written to the distributed file system (DFS) and must be accessed from the DFS by the next set of maps, which involves significant overhead. Using online data structures (for example, Bigtable) provides credible alternatives, however, issues of fault tolerance must be resolved.

9. Conclusion

In this paper, we propose extended semantics for MapReduce based on partial synchronizations and eager map scheduling. We demonstrate that when combined with locality enhancing techniques and algorithmic asynchrony, these extensions are capable of yielding significant performance improvements. We demonstrate our results in the context two selected problems — pagerank and K-Means clustering. Our results strongly motivate the use of partial synchronizations for broad application classes. Finally, these enhancements in performance do not adversely impact the programmability and faulttolerance features of the underlying MapReduce framework.

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