# A Master Theorem for Discrete Divide and Conquer Recurrences* 

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Dedicated to PHILIPPE FLAJOLET


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## Outline

1. Divide and Conquer
2. Example: Boncelet's Algorithm
3. Continuous Relaxation of the Recurrence
4. Master Theorem
5. Examples
6. Boncelet's Algorithm Revisited
7. Sketch of Proof.

## Divide and Conquer

## Divide and Conquer:

A divide and conquer algorithm splits the input into several smaller subproblems, solving each subproblem separately, and then knitting together to solve the original problem.

## Complexity:

A problem of size $n$ is divided into $m \geq 2$ subproblems of size $\left\lfloor p_{j} n+\delta_{j}\right\rfloor$ and $\left\lceil p_{j} n+\delta_{j}^{\prime}\right\rceil$ and each subproblem contributes $b_{j}, b_{j}^{\prime}$ fraction to the final solution; there is a cost $a_{n}$ associated with combining subproblems.

## Total Cost:

The total cost $T(n)$ satisfies the discrete divide and conquer recurrence:

$$
T(n)=a_{n}+\sum_{j=1}^{m} b_{j} T\left(\left\lfloor p_{j} n+\delta_{j}\right\rfloor\right)+\sum_{j=1}^{m} b_{j}^{\prime} T\left(\left\lceil p_{j} n+\delta_{j}^{\prime}\right\rceil\right) \quad(n \geq 2)
$$

where $0 \leq p_{j}<1$ (e.g., $\sum_{i=1}^{m} p_{i}=1$ ).
(Flajolet \& Golin, Acta Informatica, 1994, simpler version for $p_{1}=p_{2}=1 / 2$.)

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## Example: Boncelet's Algorithm

Arithmetic entropy coders are stream coders, and therefore long input streams are prone to transmission errors.

Boncelet's algorithm is a variable-to-fixed block arithmetic data compression coder with low complexity.

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Arithmetic entropy coders are stream coders, and therefore long input streams are prone to transmission errors.

Boncelet's algorithm is a variable-to-fixed block arithmetic data compression coder with low complexity.

1. A variable-to-fixed length encoder partitions a source string over an $m$ ary alphabet into variable-length phrases.
2. Each phrase belongs to a given dictionary.
3. A dictionary is represented by a complete parsing tree.
4. The dictionary entries correspond to the leaves of the parsing tree.


Note: Tunstall variable-to-fixed scheme requires searching a codebook, so is more complex.

## Example: Boncelet's Algorithm Recurrences

Let a sequence $X$ be generated by a memoryless source over alphabet $\mathcal{A}$ of size $m$ with symbol probabilities $p_{i}, i \in \mathcal{A}$.

Using the Boncelet's parsing tree, we parse $X$ into phrases $\left\{v_{1}, \ldots v_{n}\right\}$ of length $\ell\left(v_{1}\right), \ldots, \ell\left(v_{n}\right)$ with phrase probabilities $P\left(v_{1}\right), \ldots, P\left(v_{n}\right)$.

## Phrase Length and its Probability Generating Function:

Let $D_{n}$ be the phrase length while its probability generating function is $C(n, y)=\mathbf{E}\left[y^{D_{n}}\right]$. It satisfies the following divide \& conquer recurrence:

$$
C(n, y)=y \sum_{i=1}^{m} p_{i} C\left(\left[p_{i} n+\delta_{i}\right], y\right)
$$

where $[x]$ is the quantized value of $x$.
The average redundancy $R_{n}$ of the Boncelet code is ( $H$ is the entropy):

$$
R_{n}=\frac{\log n}{\mathbf{E}\left[D_{n}\right]}-H=\frac{\log n}{d(n)}-H .
$$

The expected phrase length $d(n)=\mathbf{E}\left[D_{n}\right]=C^{\prime}(n, 1)$ satisfies the following recurrence with $d(0)=\cdots=d(m-1)=0$

$$
d(n)=1+\sum_{i=1}^{m} p_{i} d\left(\left[p_{i} n+\delta_{i}\right]\right)
$$

These are discrete divide \& conquer recurrences.

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## Continuous Relaxation

We relax the discrete nature of the recurrence and consider a continuous version:

$$
\left.T(x)=a(x)+\sum_{j=1}^{m} b_{j} T\left(p_{j} x\right)\right), \quad x>1, \quad b_{j}^{\prime}=0 .
$$

Akra and Bazzi (1998) proved that

$$
T(x)=\Theta\left(x^{s_{0}}\left(1+\int_{1}^{x} \frac{a(u)}{u^{s_{0}+1}} d u\right)\right)
$$

where $s_{0}$ is a unique real root of $\sum_{j} b_{j} p_{j}{ }^{s_{0}}=1$.

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where $s_{0}$ is a unique real root of $\sum_{j} b_{j} p_{j}{ }^{s_{0}}=1$.
Indeed, by taking Mellin transform of the relaxed recurrence:

$$
t(s)=\int_{0}^{\infty} T(x) x^{s-1} d x
$$

we find (for some $a(s)$ and $g(s)$ )

$$
t(s)=\frac{a(s)+g(s)}{1-\sum_{j=1}^{m} b_{j} p_{i}^{-s}} .
$$

An application of the Wiener-Ikehara theorem leads to

$$
T(x) \sim C x^{s_{0}} \quad \text { with } \quad C=\frac{a\left(-s_{0}\right)+g\left(-s_{0}\right)}{\sum_{j} b_{j} p_{j}^{s_{0}} \log \left(1 / p_{j}\right)}
$$

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## Discrete Divide \& Conquer Recurrence by Dirichlet Series

For a sequence $c(n)$ define the Dirichlet series as

$$
C(s)=\sum_{n=1}^{\infty} \frac{c(n)}{n^{s}}
$$

provided it exists for $\Re(s)>\sigma_{c}$ for some $\sigma_{c} \geq-\infty$.
Theorem 1 (Perron-Mellin Formula). For all $\sigma>\sigma_{c}$ and all $x>0$

$$
\sum_{n<x} c(n)+\frac{c(\lfloor x\rfloor)}{2} \llbracket x \in \mathbb{Z} \rrbracket=\lim _{T \rightarrow \infty} \frac{1}{2 \pi i} \int_{\sigma-i T}^{\sigma+i T} C(s) \frac{x^{s}}{s} d s
$$

where $\llbracket P \rrbracket$ is 1 if $P$ is a true proposition and 0 otherwise.

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$$

where $\llbracket P \rrbracket$ is 1 if $P$ is a true proposition and 0 otherwise.
Example: Define $c(n)=T(n+2)-T(n+1)$. Then

$$
T(n)=T(2)+\lim _{T \rightarrow \infty} \frac{1}{2 \pi i} \int_{c-i T}^{c+i T} \widetilde{T}(s) \frac{\left(n-\frac{3}{2}\right)^{s}}{s} d s
$$

for some $c>\sigma_{\widetilde{T}}$ with

$$
\widetilde{T}(s)=\sum_{n=1}^{\infty} \frac{T(n+2)-T(n+1)}{n^{s}}
$$

where $\Re(s)>\sigma_{\widetilde{T}}$.

## Assumptions

Let $a_{n}$ be a nondecreasing sequence. Define

$$
\widetilde{A}(s)=\sum_{n=1}^{\infty} \frac{a_{n+2}-a_{n+1}}{n^{s}}
$$

which is postulated to exists for $\Re(s)>\sigma_{a}$.
Example. Define $a_{n}=n^{\sigma}(\log n)^{\alpha}$. Then

$$
\widetilde{A}(s)=\sigma \frac{\Gamma(\alpha+1)}{(s-\sigma)^{\alpha+1}}+\frac{\Gamma(\alpha+1)}{(s-\sigma)^{\alpha}}+\tilde{F}(s)
$$

where $\tilde{F}(s)$ is analytic for $\Re(s)>\sigma-1$ and $\Gamma(s)$ is the gamma function.
Define $s_{0}$ to be the unique real root of

$$
\sum_{j=1}^{m}\left(b_{j}+b_{j}^{\prime}\right) p_{j}^{s}=1 .
$$

Other zeros depend on the relation among $\log \left(1 / p_{1}\right), \ldots, \log \left(1 / p_{m}\right)$.

## Rationally and Irrationally Related Numbers

Definition 1. (i) $\log \left(1 / p_{1}\right), \ldots, \log \left(1 / p_{m}\right)$ are rationally related if $\log \left(1 / p_{1}\right), \ldots, \log \left(1 / p_{m}\right)$ are integer multiples of $L$, that is, $\log \left(1 / p_{j}\right)=$ $n_{j} L, n_{j} \in \mathbb{Z},(1 \leq j \leq m)$.
(ii) Otherwise $\log \left(1 / p_{1}\right), \ldots, \log \left(1 / p_{m}\right)$ are irrationally related.

Example. If $m=1$, then we are always in the rationally related case.
For $m=2$, if $\log \left(1 / p_{1}\right) / \log \left(1 / p_{2}\right)=m / n$, $(m, n$ integers), then rationally related.

Lemma 1. (i) If $\log \left(1 / p_{1}\right), \ldots, \log \left(1 / p_{m}\right)$ are irrationally related, then $s_{0}$ is the only solution on $\Re(s)=s_{0}$.
(ii) If $\log \left(1 / p_{1}\right), \ldots, \log \left(1 / p_{m}\right)$ are rationally related, then there are infinitely many solutions

$$
s_{k}=s_{0}+\frac{2 \pi i k}{L} \quad(k \in \mathbb{Z})
$$

where $\log \left(1 / p_{j}\right)$ are all integer multiples of $L$.

## Evaluation of $T(n)$ : A Bird View



$$
\begin{aligned}
& \widetilde{T}(s)=\frac{\widetilde{A}(s)+B(s)}{1-\sum_{j=1}^{m}\left(b_{j}+b_{j}^{\prime}\right) p_{j}^{s}} \\
& T(n)=\frac{1}{2 \pi i} \int_{c-i \infty}^{c+i \infty} \widetilde{T}(s) \frac{\left(n-\frac{3}{2}\right)^{s}}{s} d s
\end{aligned}
$$

## Main Master Theorem

Theorem 2 (Discrete Master Theorem). Let $a_{n}=C n^{\sigma a}(\log n)^{\alpha}$ with $\min \{\sigma, \alpha\} \geq 0$.
(i) If $\log \left(1 / p_{1}\right), \ldots, \log \left(1 / p_{m}\right)$ are irrationally related, then

$$
T(n)= \begin{cases}C_{1}+o(1) & \text { if } \sigma_{a} \leq 0 \text { and } s_{0}<0, \\ C_{2} \log n+C_{2}^{\prime}+o(1) & \text { if } \sigma_{a}<s_{0}=0, \\ C_{3}(\log n)^{\alpha+1}(1++o(1)) & \text { if } \sigma_{a}=s_{0}=0 \\ C_{4} n^{s_{0}} \cdot(1+o(1)) & \text { if } \sigma_{a}<s_{0} \text { and } s_{0}>0, \\ C_{5} n^{s_{0}}(\log n)^{\alpha+1} \cdot(1+o(1)) & \text { if } \sigma_{a}=s_{0}>0 \text { and } \alpha \neq-1, \\ C_{5} n^{s_{0} \log \log n \cdot(1+o(1))} & \text { if } \sigma_{a}=s_{0}>0 \text { and } \alpha=-1, \\ C_{6}(\log n)^{\alpha}(1+o(1)) & \text { if } \sigma_{a}=0 \text { and } s_{0}<0, \\ C_{7} n^{\sigma_{a}(\log n)^{\alpha} \cdot(1+o(1))} & \text { if } \sigma_{a}>s_{0} \text { and } \sigma_{a}>0 .\end{cases}
$$

(ii) If $\log \left(1 / p_{1}\right), \ldots, \log \left(1 / p_{m}\right)$ are rationally related, then $T(n)$ behaves as in the irrationally related case with the following two exceptions:

$$
T(n)= \begin{cases}C_{2} \log n+\Psi_{2}(\log n)+o(1) & \text { if } \sigma_{a}<s_{0}=0 \\ \Psi_{4}(\log n) n^{s_{0}} \cdot(1+o(1)) & \text { if } \sigma_{a}<s_{0} \text { and } s_{0}>0\end{cases}
$$

where $C_{2}$ is positive and $\Psi_{2}(t), \Psi_{4}(t)$ are periodic functions with period $L$ (with usually countably many discontinuities).

## Extensions and Remarks

1. We can handle any $a_{n}$ sequence with Dirichlet series $\widetilde{A}(s)$ :

$$
\widetilde{A}(s)=g_{0}(s) \frac{\left(\log \frac{1}{s-\sigma_{a}}\right)^{\beta_{0}}}{\left(s-\sigma_{a}\right)^{\alpha}}+\sum_{j=1}^{J} g_{j}(s) \frac{\left(\log \frac{1}{s-\sigma_{a}}\right)^{\beta_{j}}}{\left(s-\sigma_{a}\right)^{\alpha_{j}}}+\tilde{F}(s)
$$

$\tilde{F}(s)$ is analytic, $g_{0}\left(\sigma_{a}\right) \neq 0, \beta_{j}$ non-negative integers, and $\alpha_{0}$ real. Then (under some additional conditions on the Fourier series of $\tilde{A}(s)$ ):

$$
T(n) \sim C n^{\sigma^{\prime}}(\log n)^{\alpha^{\prime}}(\log \log n)^{\beta^{\prime}} \quad \text { or } \quad T(n) \sim \Psi(\log n) n^{s_{0}}
$$

$\left.\sigma^{\prime}=\max \left\{\sigma, s_{0}\right\}\right)$, depending whether $\log p_{1}, \ldots \log p_{m}$ are irrationally or rationally related.
2. The periodic function $\Psi(t)$ has the following building blocks

$$
\lambda^{-t} \sum_{n \geq 1} B_{n} \frac{\lambda^{\left\lfloor t-\frac{\log n}{L}\right\rfloor+1}}{\lambda-1}
$$

where $\lambda>1$ and $B_{n}$ is such that $\sum_{n \geq 1} B_{n} \lambda^{-(\log n) / L}$ converges absolutely. This function is discontinuous at

$$
t=\{\log n / L\}
$$

where $\{x\}=x-\lfloor x\rfloor$ denotes the fractional part of a real number $x$.

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## Examples

## Example 1. Irrationally Related; Case 4:



Here $\sigma_{a}=1$ since $a_{n}=n \log n$.
The equation

$$
2 \cdot 2^{-s}+3 \cdot 6^{-s}=1
$$

has the (real) solution $s_{0}=1.402 \ldots>1$, and finally $\log (1 / 2) / \log (1 / 6)$ are irrationally related. Thus by our Master Theorem Case 4

$$
T(n) \sim C n^{s_{0}}
$$

for some constant $C>0$

## Examples

Example 2. Irrationally Related; Case 6:
Consider the recurrence

$$
T(n)=2 T(\lfloor n / 2\rfloor)+\frac{8}{9} T(\lfloor 3 n / 4\rfloor)+\frac{n^{2}}{\log n} .
$$

Here $\sigma_{a}=s_{0}=2$, and we deal with irrationally related case. Furthermore,

$$
\widetilde{A}(s)=s \log \frac{1}{s-2}+G(s)
$$

for $G(s)$ analytic for $\Re(s)>1$. By Master Theorem Case 6

$$
T(n) \sim C n^{2} \log \log n
$$

Example 3. Rationally Related ( $m=1$ ); Case 3:
Next consider

$$
T(n)=T(\lfloor n / 2\rfloor)+\log n
$$

Here $\sigma_{a}=s_{0}=0$, and we have rational case ( $m=1$ ). Since

$$
\widetilde{A}(s)=\frac{1}{s}+G(s)
$$

we conclude

$$
T(n) \sim C(\log n)^{2}
$$

## Examples

Example 4: Karatsuba algorithm: Rationally Related $(m=1)$ :


Here, $s_{0}=(\log 3) /(\log 2)=1.5849 \ldots$ and $s_{0}>\sigma_{a}=1$. Thus

$$
T(n)=\Psi(\log n) n^{\frac{\log 3}{\log 2}} \cdot(1+o(1))
$$

for some periodic function $\Psi(t)$.

## Examples

Example 5. Rationally Related ( $m=1$ ). The recurrence

$$
T(n)=\frac{1}{2} T(\lfloor n / 2\rfloor)+\frac{1}{n}
$$

is not covered by our Master Theorem but our methodology still works. Here $\sigma_{a}=s_{0}=-1<0$. It follows that

$$
T(n)=C \frac{\log n}{n}+\frac{\Psi(\log n)}{n}+o\left(\frac{1}{n}\right)
$$

for a periodic function $\Psi(t)$.
Example 6: Mergesort. Rationally Related.
The mergesort recurrences are

$$
\begin{aligned}
& T(n)=T(\lfloor n / 2\rfloor)+T(\lceil n / 2\rceil)+n-1, \\
& Y(n)=Y(\lfloor n / 2\rfloor)+Y(\lceil n / 2\rceil)+\lfloor n / 2\rfloor .
\end{aligned}
$$

Here $\sigma_{a}=s_{0}=1$ and we deal with the rationally related case. By our Master Theorem (cf. Flajolet \& Golin, 1994)

$$
\begin{aligned}
T(n) & =\frac{1}{\log 2} n \log n+n \Psi(\log n)+o(n), \\
Y(n) & =\frac{1}{2 \log 2} n \log n+n \Psi(\log n)+o(n)
\end{aligned}
$$

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## Boncelet's Algorithm Revisited

Let a sequence $X$ be generated by a memoryless source over alphabet $\mathcal{A}$ of size $m$ with symbol probabilities $p_{i}, i \in \mathcal{A}$.

Using the Boncelet's parsing tree, we parse $X$ into phrases $\left\{v_{1}, \ldots v_{n}\right\}$ of length $\ell\left(v_{1}\right), \ldots, \ell\left(v_{n}\right)$ with phrase probabilities $P\left(v_{1}\right), \ldots, P\left(v_{n}\right)$.

## Phrase Length and its Probability Generating Function:

Let $D_{n}$ denote the phrase length and define the probability generating function as

$$
C(n, y)=\mathbf{E}\left[y^{D n}\right]
$$

It satisfies the following discrete divide and conquer recurrence:

$$
C(n, y)=y \sum_{i=1}^{m} p_{i} C\left(\left[p_{i} n+\delta_{i}\right], y\right)
$$

The expected phrase length $d(n)=\mathbf{E}\left[D_{n}\right]=C^{\prime}(n, 1)$ satisfies the following discrete divide and conquer recurrence:

$$
d(n)=1+\sum_{i=1}^{m} p_{i} d\left(\left[p_{i} n+\delta_{i}\right]\right)
$$

with $d(0)=\cdots=d(m-1)=0$.

## Main Results for Boncelet's Algorithm

Theorem 3. Consider an m-ary memoryless source with probabilities $p_{i}>0$ and the entropy rate $H=\sum_{i=1}^{m} p_{i} \log \left(1 / p_{i}\right)$.
(i) If $\log \left(1 / p_{1}\right), \ldots \log \left(1 / p_{m}\right)$ are irrationally related, then

$$
d(n)=\frac{1}{H} \log n-\frac{\alpha}{H}+o(1),
$$

where

$$
\alpha=E^{\prime}(0)-H-\frac{H_{2}}{2 H},
$$

$H_{2}=\sum_{i=1}^{m} p_{i} \log ^{2} p_{i}$, and $E^{\prime}(0)$ is the derivative at $s=0$ of a Dirichlet series $E(s)$ arises from the discrete nature of the recurrence.
(ii) If $\log \left(1 / p_{1}\right), \ldots \log \left(1 / p_{m}\right)$ are rationally related, then

$$
d(n)=\frac{1}{H} \log n-\frac{\alpha+\Psi(\log n)}{H}+O\left(n^{-\eta}\right)
$$

for some $\eta>0$, where $\Psi(t)$ is a periodic function of bounded variation that has usually an infinite number of discontinuities.

## Redundancy of the Boncelet's Algorithm

Corollary 1. Let $R_{n}$ denote the redundancy of the Boncelet code:

$$
\left.R_{n}=\frac{\log n}{\mathbf{E}\left[D_{n}\right.}\right]-H=\frac{\log n}{d(n)}-H
$$

(i) If $\log \left(1 / p_{1}\right), \ldots \log \left(1 / p_{m}\right)$ are irrationally related, then

$$
R_{n}=\frac{H \alpha}{\log n}+o\left(\frac{1}{\log n}\right) .
$$

(ii) If $\log \left(1 / p_{1}\right), \ldots \log \left(1 / p_{m}\right)$ are rationally related, then

$$
R_{n}=\frac{H \alpha+\Psi(\log n)}{\log n}+o\left(\frac{1}{\log n}\right)
$$

Tunstall Code Redundancy:

$$
R_{n}^{T}=\frac{H}{\log n}\left(-\log H-\frac{H_{2}}{2 H}\right)+o\left(\frac{1}{\log n}\right)
$$

for irrational case; in the rational case there is aperiodic function.
Example. Consider $p=1 / 3$ and $q=2 / 3$. Then one computes $\alpha=$ $E^{\prime}(0)-H-\frac{H_{2}}{2 H} \approx 0.322$ while for the Tunstall code $-\log H-\frac{H_{2}}{2 H} \approx 0.0496$.

## Limiting Distribution for the Phrase length

Theorem 4. Consider a memoryless source generating a sequence of length $n$ parsed by the Boncelet algorithm. If $\left(p_{1}, \ldots, p_{m}\right)$ is not the uniform distribution, then the phrase length $D_{n}$ satisfies the central limit law, that is,

$$
\frac{D_{n}-\frac{1}{H} \log n}{\sqrt{\left(\frac{H_{2}}{H^{3}}-\frac{1}{H}\right) \log n}} \rightarrow N(0,1)
$$

where $N(0,1)$ denotes the standard normal distribution, $H_{2}=$ $\sum_{i=1}^{m} p_{i} \log ^{2} p_{i}$, and and

$$
\begin{aligned}
\mathbf{E}\left[D_{n}\right] & =\frac{\log n}{H}+O(1) \\
\operatorname{Var} D_{n} & \sim\left(\frac{H_{2}}{H^{3}}-\frac{1}{H}\right) \log n
\end{aligned}
$$

for $n \rightarrow \infty$.

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## Sketch of Proof

1. From the recurrence we have

$$
\widetilde{T}(s)=\widetilde{A}(s)+\sum_{j=1}^{m} b_{j} \sum_{n=1}^{\infty} \frac{T\left(\left\lfloor p_{j}(n+2)+\delta_{j}\right\rfloor\right)-T\left(\left\lfloor p_{j}(n+1)+\delta_{j}\right\rfloor\right)}{n^{s}} .
$$

But defining

$$
n=\left\lfloor\frac{k+2-\delta_{j}}{p_{j}}\right\rfloor-2
$$

for some integer $k$ for which we have

$$
\left\lfloor p_{j}(n+1)+\delta_{j}\right\rfloor=k+1 \text { and }\left\lfloor p_{j}(n+2)+\delta_{j}\right\rfloor=k+2 \text {. Then }
$$

$$
\sum_{n=1}^{\infty} \frac{T\left(\left\lfloor p_{j}(n+2)+\delta_{j}\right\rfloor\right)-T\left(\left\lfloor p_{j}(n+1)+\delta_{j}\right\rfloor\right)}{n^{s}}=G_{j}(s)+\sum_{k=1}^{\infty} \frac{T(k+2)-T(k+1)}{\left(\left\lfloor\frac{k+2-\delta_{j}}{p_{j}}\right\rfloor-2\right)^{s}} .
$$

for an explicit (and simple) analytic function $G_{j}(s)$, namely

$$
G_{j}(s)=\sum_{3 p_{j}+\delta_{j}-2 \leq k \leq 0} \frac{T(k+2)-T(k+1)}{\left(\left\lfloor\frac{k+2-\delta_{j}}{p_{j}}\right\rfloor-2\right)^{s}} .
$$

## Sketch of Proof - Continuation

2. We now compare the last sum to $p_{j}^{s} \widetilde{T}(s)$ and obtain
$\sum_{k=1}^{\infty} \frac{T(k+2)-T(k+1)}{\left(\left\lfloor\frac{k+2-\delta_{j}}{p_{j}}\right\rfloor-2\right)^{s}}=\sum_{k=1}^{\infty} \frac{T(k+2)-T(k+1)}{\left(k / p_{j}\right)^{s}}-E_{j}(s)=p_{j}^{s} \widetilde{T}(s)-E_{j}(s)$,
where

$$
E_{j}(s)=\sum_{k=1}^{\infty}(T(k+2)-T(k+1))\left(\frac{1}{\left(k / p_{j}\right)^{s}}-\frac{1}{\left(\left\lfloor\frac{k+2-\delta_{j}}{p_{j}}\right\rfloor-2\right)^{s}}\right)
$$

3. Defining

$$
E(s)=\sum_{j=1}^{m} b_{j} E_{j}(s) \quad \text { and } \quad G(s)=\sum_{j=1}^{m} b_{j} G_{j}(s)
$$

we finally obtain our final formula

$$
\widetilde{T}(s)=\frac{\widetilde{A}(s)+G(s)-E(s)}{1-\sum_{j=1}^{m} b_{j} p_{j}^{s}}
$$

## Asymptotics - Tauberian Theorem

For any sequence $c(n)$ with Dirichlet series $C(s)$ define

$$
\bar{c}(v)=\sum_{n \leq v} c(n) .
$$

Notice that the Mellin-Stieltjes transform of $C(s)$ becomes

$$
C(s)=\sum_{n \geq 1} c(n) n^{-s}=\int_{1-}^{\infty} v^{-s} d \bar{c}(v)=s \int_{1}^{\infty} \bar{c}(v) v^{-s-1} d v
$$

Theorem 5 (Wiener-Ikehara). Suppose that for some constant $A_{0}>0$, the analytic function

$$
F(s)=C(s)-\frac{A_{0}}{s-1} \quad(\Re(s)>1)
$$

has a continuous extension to the closed half-plane $\Re(s) \geq 1$. Then

$$
\bar{c}(v) \sim A_{0} v, \quad v \rightarrow \infty
$$

More general version by Delange that covers singularities of algebraiclogarithmic type.

## Asymptotics - Perron-Mellin Formula

Inn order to provide error term and second order terms, one needs to use the Perron-Mellin formula:

$$
T(n)=T(2)+\lim _{T \rightarrow \infty} \frac{1}{2 \pi i} \int_{c-i T}^{c+i T} \widetilde{T}(s) \frac{\left(n-\frac{3}{2}\right)^{s}}{s} d s
$$

Unfortunately, the integrals and series (of residues) are not absolutely convergent because of the terms $1 / s$.

To remedy it we consider the auxiliary function (for any sequence $(c(n)$ )

$$
\bar{c}_{1}(v)=\int_{0}^{v}\left(\sum_{n \leq w} c(n)\right) d w
$$

which is also given by

$$
\bar{c}_{1}(v)=\frac{1}{2 \pi i} \int_{c-i \infty}^{c+i \infty} C(s) \frac{v^{s+1}}{s(s+1)} d s
$$

But to recover $\bar{c}(v)$, and then $T(n)$, we need a Wiener-Ikehara Tauberian result.

## Asymptotics - Rationally Related Case

Previous methods generally cannot handle infinitely many poles on the line $\Re(s)=s_{0}$ ! That is, it is not true that

$$
\bar{c}_{1}(v)=\int_{0}^{v} \bar{c}(w) d w \sim \Psi_{1}(\log v) \cdot v^{s_{0}+1}
$$

implies $\bar{c}(v) \sim \Psi(\log v) \cdot v^{s_{0}}$.
Suppose that $\log p_{j}=-n_{j} L$ for some real $L>0$. In our case, we replace the denominator $1-\sum_{j=1}^{m} b_{j} p_{j}^{s}$ with a single real root $z_{0}=e^{-L s_{0}}$ by

$$
1-\sum_{j=1}^{m} b_{j} z^{n_{j}}=\left(1-e^{L s_{0}} z\right) P(z), \quad P(z) \text { polynomial. }
$$

Then we prove the following
$\frac{1}{2 \pi i} \lim _{T \rightarrow \infty} \int_{c-i T}^{c+i T} \frac{1}{1-e^{-L s} \lambda} \frac{x^{s}}{s} d s=\frac{\lambda^{\left\lfloor\frac{\log x}{L}\right\rfloor+1}-1}{\lambda-1}-\frac{1}{2} \lambda^{\left\lfloor\frac{\log x}{L}\right\rfloor} \llbracket \log x / L \in \mathbb{Z} \rrbracket$.
where $\left\lfloor\frac{\log x}{L}\right\rfloor$ lead to fluctuations.

## Sketch of Proof - Binary Boncelet's Algorithm

1. Define

$$
C(s, y)=\sum_{n=1}^{\infty} \frac{C(n+2, y)-C(n+1, y)}{n^{s}}
$$

which from the basic recurrence becomes

$$
C(s, y)=\frac{(y-1)-E(s, y)}{1-y\left(p^{s+1}+q^{s+1}\right)},
$$

where $E(s, y)$ converges (in the right half a plane) and satisfies $E(0, y)=$ 0 and $E(s, 1)=0$.
2. Let $s_{0}(y)$ be the real zero of

$$
y\left(p^{s+1}+q^{s+1}\right)=1, \quad q=1-p .
$$

3. By Mellin-Perron formula and residue theorem we can prove that

$$
C(n, y)=(1+O(y-1)) n^{s_{0}(y)}(1+o(1))
$$

where

$$
s_{0}(y)=\frac{y-1}{H}+\left(\frac{H_{2}}{2 H^{3}}-\frac{1}{H}\right)(y-1)^{2}+O\left((y-1)^{3}\right) .
$$

## Continuation

4. By setting $y=e^{t /(\log n)^{1 / 2}}$ we obtain

$$
\begin{aligned}
n^{s_{0}(y)} & =\exp \left(\log n\left(\frac{y-1}{H}-\left(\frac{1}{H}-\frac{H_{2}}{2 H^{3}}\right)(y-1)^{2}+O\left(|y-1|^{3}\right)\right)\right) \\
& =\exp \left(\frac{1}{H} t \sqrt{\log n}+\frac{1}{H} \frac{t^{2}}{2}-\left(\frac{1}{H}-\frac{H_{2}}{2 H^{3}}\right) t^{2}+O\left(t^{3} / \sqrt{\log n}\right)\right) \\
& =\exp \left(\frac{1}{H} t \sqrt{\log n}+\left(\frac{H_{2}}{H^{3}}-\frac{1}{H}\right) \frac{t^{2}}{2}+O\left(t^{3} / \sqrt{\log n}\right)\right)
\end{aligned}
$$

and consequently
$\mathbb{E}\left[e^{D_{n} t / \sqrt{\log n}}\right]=C\left(n, e^{t / \sqrt{\log n}}\right)=\exp \left(\frac{1}{H} t \sqrt{\log n}+\left(\frac{H_{2}}{H^{3}}-\frac{1}{H}\right) \frac{t^{2}}{2}\right)(1+o(1))$.
arriving at

$$
\begin{aligned}
\mathbb{E}\left[e^{t\left(D_{n}-\frac{1}{H} \log n\right) / \sqrt{\log n}}\right] & =e^{-(t / H) \sqrt{\log n}} \mathbb{E}\left[e^{D_{n} t / \sqrt{\log n}}\right] \\
& =e^{\frac{t^{2}}{2}\left(\frac{H_{2}}{H^{3}} \frac{1}{H}\right)}+o(1)
\end{aligned}
$$

By Goncharev's theorem, this completes the proof.

That's It


## THANK YOU


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