

# Prenex Normal Form

**Definition 1. [Prenex Normal Form]** *An expression is said to be in **Prenex Normal Form** if it consists of a sequence of quantifiers followed by an expression that is free of quantifiers (a Boolean combination of atomic expressions — called the matrix).*

**Theorem 1.** *Any first-order expression can be transformed to an equivalent one in Prenex normal form.*

**Proposition 1.** *Let  $\phi$  and  $\psi$  be arbitrary first-order expressions. Then*

$$(1) \forall x(\phi \wedge \psi) \equiv (\forall x\phi \wedge \forall x\psi).$$

$$(2) \text{ If } x \text{ does not appear free in } \psi, \forall x(\phi \wedge \psi) \equiv (\forall x\phi \wedge \psi).$$

$$(3) \text{ If } x \text{ does not appear free in } \psi, \forall x(\phi \vee \psi) \equiv (\forall x\phi \vee \psi).$$

$$(4) \text{ If } y \text{ does not appear in } \phi, \forall x\phi \equiv \forall y\phi[x \leftarrow y].$$

## Example

$$(\forall x(G(x, x) \wedge (\forall yG(x, y) \vee \exists y\neg G(y, y))) \wedge G(x, 0))$$

$$(\forall x(G(x, x) \wedge (\forall yG(x, y) \vee \exists z\neg G(z, z))) \wedge G(w, 0))$$

$$\forall x((G(x, x) \wedge (\forall yG(x, y) \vee \exists z\neg G(z, z))) \wedge G(w, 0))$$

$$\forall x\forall y((G(x, x) \wedge (G(x, y) \vee \exists z\neg G(z, z))) \wedge G(w, 0))$$

$$\forall x\forall y((G(x, x) \wedge \neg(\neg G(x, y) \wedge \forall zG(z, z))) \wedge G(w, 0))$$

$$\forall x\forall y((G(x, x) \wedge \neg\forall z(\neg G(x, y) \wedge G(z, z))) \wedge G(w, 0))$$

$$\forall x\forall y(\neg(\neg G(x, x) \vee \forall z(\neg G(x, y) \wedge G(z, z))) \wedge G(w, 0))$$

$$\forall x\forall y(\neg\forall z(\neg G(x, x) \vee (\neg G(x, y) \wedge G(z, z))) \wedge G(w, 0))$$

$$\forall x\forall y\neg\forall z((\neg G(x, x) \vee (\neg G(x, y) \wedge G(z, z))) \vee \neg G(w, 0))$$

$$\forall x\forall y\exists z\neg((\neg G(x, x) \vee (\neg G(x, y) \wedge G(z, z))) \vee \neg G(w, 0))$$

# A Proof System

**Definition 2.** We say that a finite sequence of first-order expressions  $S = (\phi_1, \phi_2, \dots, \phi_n)$  is a proof of expression  $\phi_n$  if for each expression  $\phi_i$  in the sequence,  $1 \leq i \leq n$ , either:

(a)  $\phi_i$  is a **logical axiom** or

(b) there are two expressions of the form  $\psi, \psi \Rightarrow \phi_i$  among the expressions  $\phi_1, \dots, \phi_{i-1}$ . (**Modus Ponens**)

$\phi_n$  is a **first-order theorem** and we write  $\vdash \phi_n$ .

## Example

Here is a proof for the following first-order theorem:  
 $x = y \Rightarrow y = x$ .

1.  $\phi_1 = (x = y \wedge x = x) \Rightarrow (x = x \Rightarrow y = x)$   
(equality axiom with equality relation)

2.  $\phi_2 = (x = x)$  (equality axiom)

3.  $\phi_3 = x = x \Rightarrow ((x = y \wedge x = x) \Rightarrow (x = x \Rightarrow y = x)) \Rightarrow (x = y \Rightarrow y = x)$  (Boolean validity)

4.  $\phi_4 = ((x = y) \wedge x = x) \Rightarrow (x = x \Rightarrow y = x) \Rightarrow (x = y \Rightarrow y = x)$  (from  $\phi_2$  and  $\phi_3$  by modus ponens)

5.  $\phi_5 = (x = y \Rightarrow y = x)$  (from  $\phi_1$  and  $\phi_4$  by modus ponens)

Hence  $\vdash x = y \Rightarrow y = x$ .

# THEOREMHOOD

We can encode first-order expressions (in a given fixed vocabulary) as well as proofs in an appropriate alphabet.

THEOREMHOOD: Given an (encoding of an) expression  $\phi$ , is  $\phi$  a first order theorem?

**Theorem 2.** *THEOREMHOOD is r.e.*

**Proof.** The TM that accepts the language tries all possible finite sequences of expressions in lexicographic order and accepts if one of them is a proof of  $\phi$ .

(Note that given a string, there is an algorithm to check whether it a proof or not.)  $\square$