


**PURDUE**  
UNIVERSITY


CS52600:  
Information Security

*Authentication and Identity*

4 October, 2010  
Prof. Chris Clifton



CERIAS  
Center for Education and Research  
in Information Assurance and Security



What we have so far:  
Access control

---

- Given subjects  $S$ , objects  $O$ 
  - What subject is allowed
  - what type of access
  - to what object
- Enough for a realistic system?
  - How do we know a request really is from  $s$ ?
  - And the object accessed really is  $o$ ?
- Next Topic: Authentication and Identity

2



## What is Authentication?



- Authentication: Binding of identity to subject
- How do we do it?
  - Entity *knows* something
    - Passwords, id numbers
  - Entity *has* something
    - Badge, smart card
  - Entity *is* something
    - Biometrics
  - Entity is *someplace*
    - Source IP, restricted area terminal

3



## Authentication System: Formal Definition



- **A**: set of *authentication information*
  - used by entities
- **C**: set of *complementary information*
  - used by system to validate authentication information
- **F**: Set of *complementation functions*
  - $f: A \rightarrow C$
  - Generate appropriate  $c \in C$  given  $a \in A$
- **L**: set of *authentication functions*
  - $l: A \times C \rightarrow \{ \text{true}, \text{false} \}$
  - verify identity
- **S**: set of *selection functions*
  - $s: ? \rightarrow A$
  - Generate/alter  $A$  and  $C$

4



## Authentication System



- $(A, C, F, L, S, (f, l))$  such that
  - $\forall f \in F, \forall l \in L, \exists (f, l)$  in the system such that
  - $\forall a \in A, \forall c \neq f(a) \in C$ :
    - $l(a, f(a)) \rightarrow \mathbf{true}$
    - $l(a, c) \rightarrow \mathbf{false}$
    - with high probability
- (Bad) example: plaintext passwords
  - $A = C = \text{alphabet}^*$
  - $f$  returns argument
  - $l$  is string equivalence

5



## Background: Cryptography



- Encryption system  $E_k(s)$ 
  - over all choices of  $k$ , should form uniform distribution
  - Thus “appears” independent of  $s$
- Decryption:  $D_k(E_k(s)) = s$
- Strength: Given  $E_k(s)$ , finding  $k$  or  $s$  difficult
  - Better: given  $s, E, E_k(s)$ , learning  $k$  hard
  - choosing  $k$ , computing  $D_k, E_k$  must be easy
- Public key:  $p, r$  such that  $D_r(E_p(s)) = s$ 
  - Similar notions of strength

6



## Authentication Systems: Passwords



- Information known to subject
- Complementation Function
  - Identity – requires that  $c$  be protected
  - One-way hash – function such that
    - $f(a)$  easy to compute
    - $f^{-1}(c)$  difficult to compute
  - Better ideas?

7



## Attacks on Passwords



- Dictionary attack: Trial and error guessing
  - Type 1: attacker knows  $A, f, c$
  - Type 2: attacker knows  $A, l$
  - Difficulty based on  $|A|$ , time  $g$  to compute  $f$  or  $l$ 
    - Probability  $P$  of breaking in time  $T$ :  $P \geq Tg/|A|$
    - Problem: often smaller in practice than in theory
- Password Selection
  - Random
  - Pronounceable nonsense
  - User selection
    - Controls on allowable
  - Password checking, aging

8



## Authentication Systems: Challenge-Response



- Pass algorithm
  - authenticator sends message  $m$
  - subject responds with  $a(m)$ 
    - $a$  is an encryption function
    - In practice: key known only to subject
- What is the advantage over passwords?
  - Avoids “replay” attacks
- One-time password
  - $a$  changes after use
  - Why is this challenge-response?

9



## Attacks on Challenge-Response



- Type 1 attack
  - Attacker knows (space of) encryption function
  - Captures challenge and response
  - Learns encryption function / key
  - *Can now properly respond to new challenge*
- Solution: encrypt challenge
  - Use shared key to share session key
  - Session key encrypts challenge
  - Challenge thus indistinguishable from random data

10



## Authentication Systems: Biometrics



- Used for human subject identification
- Based on physical characteristics that are tough to copy
  - fingerprint
  - voice patterns
  - iris/retina patterns
  - face recognition
  - keystroke interval/timing/pressure

11



## Attacks on Biometrics



- Fake biometrics
  - fingerprint “mask”
  - copy keystroke pattern
- Fake the interaction between device and system
  - Replay attack
    - *Authenticate the authenticator!*
  - Requires careful design of entire authentication system

12



## Authentication Systems: Location



- Based on knowing physical location of subject
- Example: Secured area
  - Assumes separate authentication for subject to enter area
  - In practice: early implementation of challenge/response and biometrics
- What about generalizing this?
  - Assume subject allowed access from limited geographic area
    - I can work from (near) home
  - Issue GPS Smart-Card
  - Authentication tests if smart-card generated signature within spatio/temporal constraints
  - Key: authorized locations known/approved in advance
    - *If I know I'll be traveling, login from my office disabled!*

13




## Authentication vs. Identity



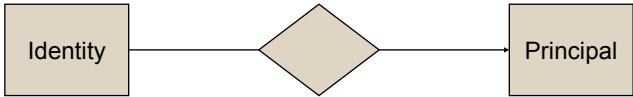
- Authentication: Binding of identity to subject
  - We know how
  - We've discussed subjects
  - *But what precisely is identity?*
- **Principal**: Unique Entity
  - Subject
  - Object
- **Identity**: Specifies a principal

14




## Identity = Principal?

---




- Identity to Principal may be many-to-one
  - Given identity, know principal
  - Other direction unimportant?
- Examples: Unix
  - User identity
  - File identity

15



## What is a Principal?

---



- Entity
  - Subject
  - Object
- Also a set of entities
  - Think of subject as “power user”, not individual
- Examples:
  - Groups: defined collection of users with common privileges
  - Roles: membership tied to function

16





## Representing Identity



- Randomly chosen: not useful to humans
- User-chosen: probably not unique
  - At least globally
- Hierarchical: Disambiguate based on levels
  - File systems
  - X.500: Distinguished Names
    - /O=Purdue University/OU=Computer Sciences/CN=clifton
  - Aliases
    - /O=Purdue University/OU=ITaP/CN=cclifton

17



## Validating Identity



- Authentication: Does subject match purported identity?
- Problem: Does identity match principal?
- Solution: *certificates*
  - Certificate: Identity validated to belong to known principal
  - Certification Authority: Certificate Issuer
    - Authentication Policy: describes authentication required to ensure principal correct
    - Issuance policy: Who certificates will be issued to
  - CA is *trusted*

19



## Certificate Implementation



- Is a certificate real?
  - Digital signatures
  - Certificate = Identity +  $E_{\text{IssuerPrivateKey}}(\text{Identity})$ 
    - Correct if Identity =  $D_{\text{IssuerPublicKey}}(\text{Signature})$
- Can I trust it?
  - Hierarchy of issuers
    - Certificate includes certificate of issuer chain
  - Higher levels place (contractual) conditions on lower level issuance
    - Common issuance, authentication policy
  - *Also solves uniqueness issue*

20



## Certificate Examples



- Verisign
  - Independently verifies identity of principal
  - Levels of certification
    - Email address verified
    - Name/address verified
    - Legal identity verified
  - More common: *corporate* identity
    - Is this really PayTuition.EDU I'm giving my bank account number to?
- PGP (Pretty Good Privacy): “Web of Trust”
  - Users verify/sign certificates of other users
  - Do I trust the signer?
    - *Or someone who signed their certificate?*

21



## Internet Identity



- Host Identity: Who is this on the network?
- Ethernet: MAC address
  - Guarantees uniqueness
- IP address: aaa.bbb.ccc.ddd
  - Provides hierarchy to ease location
- Domain Name Service
  - Human-recognizable name to IP
  - aliasing
- Issues: Spoofing
  - At any level, change mapping of identity to principal

22



## Anonymity



- What if identity not needed?
  - Web browsing
  - Complaints about assignments
- Removing identity not as easy as it sounds
  - I can send email without my userid
  - But it still traces back to my machine
- Solution: anonymizer
  - Strips identity from message
  - Replaces with (generated) id
  - Send to original destination
  - Response: map generated id back to original identity

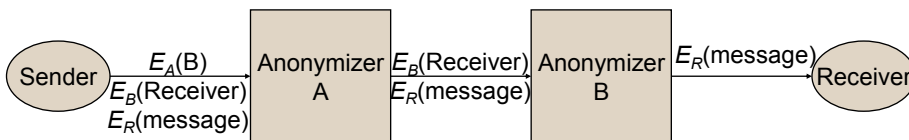
23



# Anonymity



- Problem: Anonymizer knows identity
  - Can it be trusted?
  - *Courts say no!*
- Solution: multiple anonymizers
  - Onion Routing
  - Crowds



24